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EM connectomics reveals axonal target variation in a sequence-generating network

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31 **The sequential activation of neurons has been observed in various areas of the brain, but**
32 **in no case is the underlying network structure well understood. Here we examined the**
33 **circuit anatomy of zebra finch HVC, a cortical region that generates sequences**
34 **underlying the temporal progression of the song. We combined serial block-face electron**
35 **microscopy with light microscopy to determine the cell types targeted by HVC_(RA)**
36 **neurons, which control song timing. Close to their soma, axons almost exclusively**
37 **targeted inhibitory interneurons, consistent with what had been found with electrical**
38 **recordings from pairs of cells. Conversely, far from the soma the targets were mostly**
39 **other excitatory neurons, about half of these being other HVC_(RA) cells. Both observations**
40 **are consistent with the notion that the neural sequences that pace the song are**
41 **generated by global synaptic chains in HVC embedded within local inhibitory networks.**

42

43 **INTRODUCTION**

44 Neural sequences are central to many models of circuit function (Diesmann, Gewaltig et al.
45 1999, Jin, Ramazanoglu et al. 2007, Gibb, Gentner et al. 2009, Fiete, Senn et al. 2010, Mostafa
46 and Indiveri 2014, Cannon, Kopell et al. 2015, Rajan, Harvey et al. 2016), and neurons often fire
47 sequentially during specific behaviors (Hahnloser, Kozhevnikov et al. 2002, Peters, Chen et al.
48 2014, Mello, Soares et al. 2015) or cognitive states (Pastalkova, Itskov et al. 2008, Harvey,
49 Coen et al. 2012), but the network properties that underlie such dynamics are poorly
50 understood. Here we explore the synaptic connections within the zebra finch HVC, which is
51 central to generating the neuronal activity necessary to coordinate activation of vocal muscles
52 during the highly reproducible courtship song (Nottebohm, Stokes et al. 1976, Vu, Mazurek et
53 al. 1994, Aronov, Andalman et al. 2008, Long and Fee 2008). Song progression is paced by
54 HVC_(RA) neurons, which project to the primary downstream target area, known as the robust
55 nucleus of the arcopallium (RA) (**Figure 1a**). During the song, an HVC_(RA) neuron is either silent

56 or active in the form of a burst of action potentials that occurs at a single precise and cell-
57 specific time (Hahnloser, Kozhevnikov et al. 2002, Kozhevnikov and Fee 2007, Long, Jin et al.
58 2010, Vallentin and Long 2015). At any moment, it is estimated that about 200 of these ‘pacer’
59 neurons are active and can drive the appropriate motor activity (Fee, Kozhevnikov et al. 2004),
60 presumably through a set of specific synaptic connections in RA (Fee, Kozhevnikov et al. 2004,
61 Markowitz, Liberti et al. 2015, Lynch, Okubo et al. 2016, Picardo, Merel et al. 2016).

62
63 It has been difficult to discriminate between different models of sequence generation in HVC, in
64 part because of the unknown connectivity within that nucleus. One class of models uses a
65 synaptic (or ‘synfire’) chain architecture (Amari 1972, Abeles 1991, Diesmann, Gewaltig et al.
66 1999), which can deliver highly reliable and precise timing but requires direct connections
67 between the pacer neurons (Li and Greenside 2006, Jin, Ramazanoglu et al. 2007, Long, Jin et
68 al. 2010, Cannon, Kopell et al. 2015). Such connections are, however, only rarely seen with
69 paired intracellular recordings, which at the same time showed that $HVC_{(RA)}$ neurons are
70 connected with high probability (> 0.50) to nearby inhibitory interneurons (Mooney and Prather
71 2005, Kosche, Vallentin et al. 2015). This observation weakened the case for synfire chain-
72 based sequence generation in HVC and sparked the development of alternative hypotheses
73 that do not require direct connections between excitatory cells (Yildiz and Kiebel 2011,
74 Hamaguchi and Mooney 2012, Amador, Perl et al. 2013, Goldin, Alonso et al. 2013, Armstrong
75 and Abarbanel 2016, Hamaguchi, Tanaka et al. 2016, Rajan, Harvey et al. 2016). There are,
76 however, a number of reasons paired recordings may fail to correctly estimate the connection
77 rate between excitatory cells, among them the severing of axons during slice preparation
78 (Stepanyants, Martinez et al. 2009) and an oversampling of closely spaced neurons (Jiang,
79 Shen et al. 2015). To avoid this bias, we used a structural approach combining anatomical
80 reconstructions of complete cells in light microscopy (LM) with high-throughput serial block-face
81 electron microscopy (SBEM) (Denk and Horstmann 2004, Seung 2009).

82

83 RESULTS

84 We used both LM and EM, because anatomically, synapses can only be identified
85 unambiguously in EM, but currently the size of the volume that can be studied by EM is limited
86 to several hundred microns in one dimension (Helmstaedter 2013). This size is too small to
87 explore the full extent of HVC connectivity, given that axon collaterals of HVC neurons ramify
88 widely throughout the nucleus (e.g. **Figure 1—figure supplement 2a**), which is roughly 2000 x
89 500 x 500 μm^3 in size (Nixdorf-Bergweiler and Bischof 2007). We therefore used LM to explore
90 the mesoscale structure of the axonal morphology and EM to analyze synaptic connectivity. To
91 identify $\text{HVC}_{(\text{RA})}$ cells, we injected markers into RA that are retrogradely transported, fluorescent
92 Tetramethylrhodamine (TMR, also called fluoro-Ruby) or biotinylated dextran (BDA, **Figure 1—**
93 **figure supplement 1**), for tissue to be observed in LM or EM, respectively.

94

95 To enable the LM-based reconstruction of the entire dendrite and of the axonal collaterals within
96 HVC for single $\text{HVC}_{(\text{RA})}$ cells, we used *in vivo* two-photon microscopy to target (Komai, Denk et
97 al. 2006) TMR-labeled somata for Neurobiotin labeling (**Figure 1b**). We eliminated all cells (29
98 of 44) where the labeling intensity varied between different parts of the neurite or where no
99 descending axon could be found. The remaining 15 cells were imaged at 92 x 92 x 500 nm^3
100 voxel size using a transmitted light brightfield microscope (Oberlaender, Bruno et al. 2007) and
101 reconstructed using Neuromorph (see Methods) (**Figure 1c,d, Figure 1—figure supplement**
102 **1a-e; Video 1**). In agreement with other observations (Dutar, Vu et al. 1998, Mooney 2000,
103 Kosche, Vallentin et al. 2015), we found that $\text{HVC}_{(\text{RA})}$ dendrites were compact, with $95.0 \pm 2.0\%$
104 (SEM) of the dendritic path found within 100 μm of the soma (**Figure 1e**). In contrast, the axon
105 collaterals, which were lined with synaptic boutons throughout (**Figure 1—figure supplement**
106 **2b**), ramified across HVC. For each cell ($n = 15$), the dendrite was entirely (100%) confined to

107 HVC, while the axon (with the exception of the branch projecting to RA) was also largely
108 restricted to the boundaries of HVC (97% on average).

109
110 To quantify the prevalence of different types of synaptic inputs onto the dendrite of $HVC_{(RA)}$
111 cells, we next acquired a SBEM data set ($166 \times 166 \times 77 \mu\text{m}^3$ overall size, comprising $15104 \times$
112 15104×2661 voxels, each $11 \times 11 \times 29 \text{ nm}^3$ in size) from the central part of HVC (**Figure 1f**,
113 **Figure 1—figure supplement 1f-j, Videos 2 and 3**). All raw data as well as skeletonized
114 reconstructions are available online (Kornfeld 2017) (https://github.com/jmrk84/HVC_paper).
115 Within this volume, 34 somata were positively identified as $HVC_{(RA)}$ neurons by the presence of
116 a BDA-derived electron density (**Figure 1f**). This number is approximately 14% of the expected
117 value of $HVC_{(RA)}$ somata (240 ± 28 , SEM), given that there are about $40,000 \pm 3,800$ (SEM)
118 $HVC_{(RA)}$ cells (Wang, Hurley et al. 2002) and the total HVC volume is $0.35 \pm 0.024 \text{ mm}^3$ ($n=14$,
119 SEM). For 12 of the 34 labeled $HVC_{(RA)}$ neurons, we manually reconstructed (skeletonized)
120 (Helmstaedter, Briggman et al. 2011) the dendrite as far as possible. These reconstructions
121 ranged in dendritic path length from $642 \mu\text{m}$ to $1956 \mu\text{m}$ ($1290 \pm 469 \mu\text{m}$, mean \pm SD)
122 compared with complete LM-based reconstructions ($1438 \mu\text{m}$ to $4819 \mu\text{m}$, mean \pm SD: $3187 \pm$
123 $997 \mu\text{m}$). Although $\sim 70\%$ (174 out of 248) of dendritic branches reached the boundary of the EM
124 data set and were thus incomplete, 74 branches were completely reconstructed, including their
125 most distal inputs (median \pm SD of maximum soma distances: $90.9 \pm 8.6 \mu\text{m}$ and 116.7 ± 29.4
126 for EM and LM, respectively). Our reconstructions therefore sample the full gamut of input
127 types. While we do not find any variation of the input type with dendritic distance from the soma
128 beyond a distance of 40 microns (see below), it cannot be completely ruled out that a subtle
129 bias exists that lies below our detection threshold but might be discoverable when using larger
130 data volumes.

131

132 We started by classifying for one cell all (1,003) incoming synapses (**Figure 1g-h**) by visually
133 inspecting their ultrastructural details (Gray 1959, Colonnier 1968) (**Video 4 and 5**). We found
134 that 396 (39.5%) synapses were asymmetric and thus presumably excitatory, and 607 (60.5%)
135 were symmetric (inhibitory) cases. If it was not possible to classify a synapse based on its
136 inspection directly, additional synapses nearby on the same axon were analyzed, since it can be
137 assumed that they are of the same type (Eccles 1976) (**Figure 1—figure supplement 3**). Our
138 synapse classification is reliable: in 19 out of 20 randomly selected test cases, a 2nd expert
139 independently came to the same conclusion and in another set of test cases (8 HVC_(RA), 11
140 HVC_(X), and 31 interneuron synapses), where the neuron type was known based on somatic and
141 dendritic morphology (**Figure 2d, Figure 2 – figure supplement 1**), all synapses were correctly
142 classified by an expert unaware of the cell type.

143
144 The dominance of inhibitory synaptic inputs was consistently observed for HVC_(RA) cells: when
145 we applied our synapse classification procedure to 97 short dendritic stretches (first and third
146 quartile of stretch length: 13.3 μm and 21.6 μm) randomly selected from 8 of the other
147 skeletonized HVC_(RA) cells, we found that across neurons the average ratio between excitatory
148 and inhibitory synapses was statistically indistinguishable ($p = 0.36$, one-way ANOVA) from that
149 found in the completely analyzed neuron. Inhibitory synapses were significantly enriched near
150 the soma ($68 \pm 4\%$ of all synapses at most 40 μm from the soma are inhibitory compared to 57
151 $\pm 2\%$, for synapses beyond that distance, mean \pm SEM, $p < 0.05$, Wilcoxon rank-sum test,
152 **Figure 1i**), an observation also made in cortical neurons (Anderson, Douglas et al. 1994). To
153 estimate the number of excitatory and inhibitory synapses that a single HVC_(RA) neuron receives
154 on average, we first calculated dendritic synapse densities for all 9 analyzed cells separately for
155 asymmetric ($0.25 \pm 0.02 \mu\text{m}^{-1}$, mean \pm SEM) and for symmetric synapses ($0.36 \pm 0.02 \mu\text{m}^{-1}$). To
156 get expected counts per cell, we multiplied these with the full dendritic path length (on average
157 3.2 mm per neuron), determined from LM reconstructions. Thus, on average well above half of

158 all synapses onto $HVC_{(RA)}$ dendrites are symmetric (59%, 1144 ± 429 , mean \pm SD) and only
159 41% are asymmetric (786 ± 311) — a surprising dominance of inhibitory inputs that stands in
160 stark contrast to mammalian cortical neurons (Beaulieu, Kisvarday et al. 1992, Peters 2002,
161 Kasthuri, Hayworth et al. 2015), where the inhibitory synapses are typically found to be at most
162 20% of the total.

163
164 We next inspected all BDA-labeled dendrites emerging from the 12 aforementioned cells for
165 synapses in which the presynaptic axon was labeled, and thus had to come from other $HVC_{(RA)}$
166 cells (**Figure 1j**). We found 44 such homotypic synapses between $HVC_{(RA)}$ cells (see Methods),
167 but they comprise only about 1% among an estimated total of $3,817 \pm 926$ (SD) incoming
168 excitatory synapses. Their median size ($0.21 \mu m^2$) and size variation (first and third quartile:
169 $0.10 \mu m^2$ and $0.48 \mu m^2$), were statistically indistinguishable from those for all asymmetric
170 synapses ($0.17 \mu m^2$; first and third quartile: $0.08 \mu m^2$ and $0.39 \mu m^2$, $p > 0.05$, Wilcoxon rank-
171 sum test, **Figure 1k**). One might be tempted to consider the small number of double-labeled
172 synapses as evidence that $HVC_{(RA)}$ - $HVC_{(RA)}$ connections are rare. However, BDA labeled only a
173 small fraction ($1/7^{th}$) of all $HVC_{(RA)}$ cells in our data set (**Figure 1—figure supplement 4a**) and
174 even for those, axonal collaterals were often incompletely filled (**Figure 1—figure supplement**
175 **4b**), suggesting the probability that a given stretch of $HVC_{(RA)}$ axon is labeled could be quite
176 small. To estimate this probability, we created a 300-member set of $1 \mu m^3$ cubes randomly
177 placed throughout the SBEM volume and measured the total labeled axonal path length they
178 contained. The value obtained ($38.6 \mu m$ of labeled axon across 300 cubes) is about 13 times
179 smaller than that expected given an estimate of the combined axonal path length ($585.6 m$) of
180 all 40,000 $HVC_{(RA)}$ cells. The axonal labeling probability of $7.6 \pm 1.6\%$ (SEM, see Methods) in
181 turn implies that the homotypic $HVC_{(RA)}$ synapses constitute $\sim 15 \pm 4\%$ (SEM) of all excitatory
182 synapses onto $HVC_{(RA)}$ neurons.

183

184 We next took a presynaptic perspective to independently estimate the extent of $HVC_{(RA)}-HVC_{(RA)}$
185 connectivity and used a transsynaptic tracing scheme (McGuire, Gilbert et al. 1991) to
186 determine the cell-type of the targets of the outgoing synapses on BDA-labeled axon collaterals
187 (**Figure 2a**). The three main cell types found in HVC (Dutar, Vu et al. 1998, Kubota and
188 Taniguchi 1998, Mooney 2000) are easily distinguished in LM: Inhibitory interneurons have
189 smooth dendrites with a nearly complete lack of spines (Mooney 2000, Wild, Williams et al.
190 2005), and excitatory neurons project to either RA or to the basal ganglia (Area X), with the
191 descending axon clearly recognizable. Even short stretches of dendrite can be reliably ascribed
192 to one of the three types, because the spine density varies widely between but not within them
193 (Dutar, Vu et al. 1998, Kubota and Taniguchi 1998, Mooney 2000) (**Figure 2b,c**). Dendrites
194 were largely aspinous (0.01 ± 0.01 spines/ μm , mean \pm SD) for interneurons, densely covered
195 with spines (0.70 ± 0.13 spines/ μm) for $HVC_{(X)}$ cells and less so (0.21 ± 0.07 spines/ μm) for
196 $HVC_{(RA)}$ neurons. This spine density metric correctly classified 17 out of 18 BDA-labeled $HVC_{(RA)}$
197 dendrites in EM as well as 11 inhibitory neurons that had been classified using other
198 morphological characteristics (symmetric synapses and a large soma diameter, **Figure 2—**
199 **figure supplement 1a**). We used this to classify the cell type of postsynaptic dendritic
200 segments ($n = 528$) transsynaptically traced from 9 BDA-labeled axons fully reconstructed in the
201 EM volume. In 41 of 569 cases, the cell type could not be determined. These cases were
202 excluded from further analysis, because the ultrastructure was obstructed by the BDA label ($n =$
203 33) or because the recovered dendritic branch was too short ($n = 8$), see Methods, **Figure 2d**.

204

205 When we examined three BDA-stained axons that each emerged from labeled somata in the
206 SBEM dataset (path lengths: 1.37, 0.88, and 0.72 mm), we found that of 121 connections, 115
207 terminated on dendrites of inhibitory cells but only 6 onto excitatory cells, 4 of which being other
208 $HVC_{(RA)}$ cells (e.g., **Figure 2e**). This agrees with the high connectivity found for closely spaced
209 $HVC_{(RA)}$ -interneuron pairs by electrical recordings (Kosche, Vallentin et al. 2015) as well as with

210 reports using EM connectomics for other cortical tissue (Bock, Lee et al. 2011). However, at this
211 density, there would only be about 20 homotypic synapses per $HVC_{(RA)}$ neuron, which is about 6
212 times smaller than our estimate derived from the BDA-labeled inputs onto $HVC_{(RA)}$ dendrites.

213

214 We then examined BDA-labeled axon fragments that were 'orphaned' ($n=6$, path length: $0.56 \pm$
215 0.27 mm, mean \pm SD), i.e., could not be traced back to their soma and were therefore likely
216 farther away from it. Three of the fragments were synaptically connected to one of the labeled
217 dendrites and four were partially myelinated. We discovered that the prevalence of synapses
218 onto excitatory neurons, and onto other $HVC_{(RA)}$ cells in particular, was much larger for
219 orphaned fragments than for attached axons; increases were 13-fold ($HVC_{(RA)}$ -E), from 5.0% (6
220 out of 121) to 64.6% (263 out of 407), and 11-fold ($HVC_{(RA)}$ - $HVC_{(RA)}$), from 3.3% (4 out of 121) to
221 36.8% (150 out of 407) (**Figure 3a**). $HVC_{(RA)}$ dendrites were often connected by more than one
222 synapse to a labeled axon (17 doubles, 3 triple, and 1 quintuple among 127 analyzed pairs).
223 The much larger (compared to the proximal outputs) fraction of excitatory target cells for the
224 orphans implies that the prevalence of the different target types must depend on the distance
225 from the soma. This would also be consistent with the low connection probability of 0.7%
226 between $HVC_{(RA)}$ cells found in electrophysiological recordings (Kosche, Vallentin et al. 2015),
227 where the recorded somata are usually less than 200 μ m apart (Mooney and Prather 2005,
228 Jiang, Shen et al. 2015), while, as our LM reconstructions show, $56 \pm 14\%$ (SD) of the axon
229 collaterals' path lies farther than 200 μ m from the soma, with some of them ramifying over the
230 extent of HVC (e.g., **Figure 1—figure supplement 2a**).

231

232 Can we estimate the distance of an orphan segment to its soma based on local information?
233 It is apparent from our LM reconstructions that branching becomes less frequent as the distance
234 from the soma increases (**Figure 3 b,c**). Consistent with this, $HVC_{(RA)}$ axons in the SBEM data
235 set that were connected to a cell body were much more highly branched (12.4 ± 3.7 , mean \pm

236 SD, branch points/mm, **Figure 2e**) than most orphaned axon fragments, with an average of only
237 4.0 ± 4.3 (mean \pm SD) branch points/mm. To obtain a quantitative estimate of the distance to
238 the soma and its uncertainty based on the number of branch nodes on a branch and its length
239 we used both a nearest neighbor (**Figure 3 d-g**) and a Bayesian (**Figure 3—figure**
240 **supplement 1**) analysis (for details see Methods). We found that a synapse was much more
241 likely to be connected to another $HVC_{(RA)}$ cell or to a $HVC_{(X)}$ neuron rather than to an inhibitory
242 neuron if the synapse was farther away from the soma (**Figure 3d**). The transitions between
243 these regimes may well be gradual: One of the orphaned axons (**Figure 3—figure supplement**
244 **2**) showed an unusually high branch density (11.4 branch points/mm), suggesting a location
245 close to the soma (16th to 84th percentile: 35.8 to 72.3 μ m and also made the majority of its
246 connections (52 of 83, 63%) onto interneurons, twice the fraction seen for the other orphaned
247 axons ($32\% \pm 10\%$, mean \pm SD).

248
249 To rule out the possibility that our findings are due to a selection bias, we estimated the fraction
250 of homotypic synapses for the 59 BDA-labeled axon fragments found in the 300-member set
251 (see above), tracing each fragment from the sampling cube until we found two synapses or
252 reached the data set boundary, and determined the postsynaptic cell types. Out of 105
253 synapses, 65 targeted interneurons, 22 $HVC_{(X)}$ neurons, and 18 other $HVC_{(RA)}$ neurons. Since
254 there are approximately 1111 ± 513 (SD) outgoing synapses inside HVC for each $HVC_{(RA)}$
255 neuron (given an axon path length of 14.7 mm and a total synapse density of 75.4
256 synapses/mm), we expect about $\sim 191 \pm 88$ (SD) homotypic synapses per cell (on average,
257 incoming and outgoing homotypic synapse have to be equal in number), comprising about a
258 quarter ($24 \pm 4\%$, SEM) of all incoming excitatory synapses, and nearly half of all outgoing
259 excitatory contacts. The discrepancy between the estimates of the homotypic fraction of
260 incoming excitatory synapses from the dendritic ($\sim 15\%$) and axonal perspective ($\sim 24\%$) might

261 be due to the fact that when counting the number of double labeled synapses, we accepted only
262 those where the labeling of the presynaptic terminal was unambiguous.

263
264 How can we be sure that all or at least most of the orphaned fragments belong to $HVC_{(RA)}$
265 neurons? Since BDA (which is transported in the retrograde direction much more efficiently than
266 anterogradely in all tissues tested, including the zebra finch brain (Reiner, Veenman et al. 2000)
267 was only injected into RA, any labeled axon has to belong to a cell with an axon that connects
268 HVC and RA, as $HVC_{(RA)}$ axons do. If there is indeed a substantial number of cells in RA that
269 project to HVC (Roberts, Klein et al. 2008), then it is possible that a substantial fraction of the
270 orphaned axons could originate from those cells. To independently confirm the number of
271 $RA_{(HVC)}$ cells, we injected the fluorescent tracer Dil (Invitrogen) into HVC, which heavily labeled
272 the upstream nuclei Nlf and Uva (**Figure 3—figure supplement 3**) but yielded only a small
273 number of labeled somata in RA (125, 163, and 171, respectively, in three birds), approximately
274 one for every 200 $HVC_{(RA)}$ neurons on average. To account for the density of labeled axon in
275 our EM volume, each those cells would need a total axon path of ~ 4 m in HVC, which appears
276 unlikely given that the extensively ramifying $HVC_{(RA)}$ axons have a length of only ~ 0.015 m.

277

278 **DISCUSSION**

279 We have shown that the synaptic architecture in HVC contains a density of connections
280 between $HVC_{(RA)}$ neurons that might be sufficient to support a synaptic-chain model, whereby
281 precisely timed sequences of action potential bursts in $HVC_{(RA)}$ neurons are generated by a
282 wave of activity propagating via synaptic connections among these neurons without the need for
283 inhibition-mediated propagation of activity (Yildiz and Kiebel 2011) or to involve structures
284 outside HVC (Hamaguchi and Mooney 2012, Goldin, Alonso et al. 2013, Hamaguchi, Tanaka et
285 al. 2016).

286 While we estimate that 25% of excitatory inputs to $HVC_{(RA)}$ neurons are homotypic, the sources
287 of the remaining synapses are unknown. It should be a central goal of future efforts to quantify
288 the relative number of connections from these regions (e.g., Uva, Nlf, etc.) at the level of single
289 $HVC_{(RA)}$ neurons. That said, many of these connections, such as auditory afferents (Vallentin
290 and Long 2015), collaterals from $HVC_{(X)}$ neurons (Scharff, Kirn et al. 2000), and descending
291 fibers from Nlf are unlikely to play a role in motor patterning, since removal of Nlf does not
292 disrupt the song (Cardin, Raksin et al. 2005). The precise role of Uva, a thalamic region also
293 directly projecting to HVC (Nottebohm, Kelley et al. 1982), remains to be determined (Coleman
294 and Vu 2005, Hamaguchi, Tanaka et al. 2016).

295

296 Somewhat surprisingly, the low rates of pairwise connectivity seen in electrophysiological
297 recordings (Mooney and Prather 2005, Kosche, Vallentin et al. 2015), which previously had
298 been interpreted as evidence against a direct synaptic chain (Armstrong and Abarbanel 2016),
299 are not inconsistent with our estimate that each $HVC_{(RA)}$ neuron receives a significant amount of
300 its excitatory input from other $HVC_{(RA)}$ neurons. The reason is that with the around 200
301 homotypic inputs per cell, the probability to be connected to any one of around 40,000 $HVC_{(RA)}$
302 neurons can be at most 0.5%. The question remains what fraction of those inputs are true
303 'chain' synapses in that the presynaptic cell's activity immediately precedes that of the
304 postsynaptic cell, but our study demonstrates that the anatomical substrate for the chain model
305 exists.

306

307 An important next step will be to combine functional imaging with volume EM to directly test
308 whether an $HVC_{(RA)}$ cell receives more numerous or stronger direct homotypic inputs from cells
309 that fire immediately prior to its own activity. In fact, a recent study describes how calcium
310 activity can be imaged in the singing bird (Picardo, Merel et al. 2016), a crucial step in that
311 direction. One potential difficulty stems from our finding that $HVC_{(RA)}$ neurons preferentially form

312 distal connections, indicating that the timing circuitry in HVC is distributed and therefore requires
313 a large EM volume (as much as 500 million μm^3 , compared to 2 million μm^3 in our volume) for
314 its complete reconstruction. It might take the better part of a year merely to acquire the raw data
315 (Schalek, Lee et al. 2016). While even a few years ago it seemed impossible to analyze such an
316 amount of data within a reasonable time frame, recent progress in the automation of
317 segmentation are encouraging (Berning, Boergens et al. 2015, Januszewski, Maitin-Shepard et
318 al. 2016, Beier, Pape et al. 2017, Dorkenwald, Schubert et al. 2017).

319

320 Our finding that connections near the soma are often onto inhibitory neurons suggests that
321 inhibition plays an important role in sequence generation, which is further supported by the large
322 overall fraction of inhibitory inputs. One function of those inhibitory connections could be to
323 decorrelate excitatory activity in space and time: Not only are nearby $\text{HVC}_{(\text{RA})}$ neurons rarely
324 connected and thus unable to drive each other, but even when driven by a common input, only
325 the cell(s) with the strongest input(s) will continue to fire in the face of the winner-take-all effect
326 due to the strong reciprocal inhibition (**Figure 3h**). Winner-take-all behavior is normally
327 associated with certain cognitive tasks (Hopfield and Tank 1985, Lundqvist, Rose et al. 2016),
328 such as decision making (Usher and McClelland 2001). In HVC, it may help to prevent local
329 clusters of activity, which could lead to leakage across different chains passing through adjacent
330 excitatory neurons. An altogether different role for local inhibition may be the improvement of
331 temporal precision by sharpening burst timing through recurrent inhibition (Hahnloser,
332 Kozhevnikov et al. 2002, Long, Jin et al. 2010, Cannon, Kopell et al. 2015).

333

334 Inhibition may, furthermore, have a central role in shaping the distance dependency of
335 postsynaptic targets during circuit development without the need for molecular cues (de Wit and
336 Ghosh 2016). Instead, the architecture we observed may arise naturally from a pattern that
337 initially follows Peters' rule (Braitenberg and Schüz 1998), which predicts synaptic connections

338 between cell types with intermingled axonal and dendritic arbors (Rees, Moradi et al. 2017), but
339 is then refined as the interneurons increasingly prevent the co-activation of nearby excitatory
340 cells, thereby destabilizing connections between them while leaving more distant connections
341 intact. Such a preferentially distal connectivity would also favor more widely distributed synaptic
342 chains, which could have the added benefit of relying more on axonal propagation delays for
343 sequence timing (Budd, Kovacs et al. 2010). Overall, the observed synaptic architecture shows
344 some resemblance with local inhibitory/excitatory networks linked by long-range
345 excitatory/excitatory connections (coupled winner-take-all modules) that have been shown to
346 make computational models of cortical sequence generation more robust (Binas, Rutishauser et
347 al. 2014, Mostafa and Indiveri 2014).

348 **MATERIALS AND METHODS**

349 **Animals**

350 We used adult (>90 days post hatch) male zebra finches that were obtained from an outside
351 breeder and maintained in a temperature- and humidity-controlled environment with a 12/12
352 hours light/dark schedule. All animal maintenance and experimental procedures were performed
353 according to the guidelines established by the Institutional Animal Care and Use Committee at
354 the New York University Langone Medical Center.

355

356 **Surgery**

357 To label only neurons that projected from HVC to the robust nucleus of the arcopallium (RA), we
358 injected lysine-fixable retrograde dextran tracers (Invitrogen) conjugated to either
359 Tetramethylrhodamine (fluoro-Ruby, mol. Weight: 10,000) or biotin (BDA, mol. weight: 3,000) for
360 preparations to be inspected with light microscopy (LM) or electron microscopy (EM),
361 respectively. We injected 200 nL of either Fluoro-Ruby (50 mg/mL) or BDA (100 mg/mL) into RA
362 of anesthetized (1-3% isoflurane in oxygen) zebra finches using an injection system (Nanoject,
363 Drummond Scientific) outfitted with a glass injection pipette (tip diameter: 30-40 μ m). RA was

364 targeted using stereotaxic coordinates (2.30 mm lateral and 1.85 mm posterior from the
365 midsagittal sinus) and success in finding the RA region was confirmed by observing
366 characteristic spontaneous activity (Long and Fee 2008) using a carbon-fiber electrode
367 (Carbostar-1, Kation Scientific) and an extracellular amplifier (NPI Electronic Instruments).

368
369 For *in vivo* imaging and dye loading, we first had to enable optical access to HVC. To
370 accomplish this, a craniotomy (1 mm x 1 mm) was prepared over HVC. The underlying dura
371 was then carefully removed with a flame sharpened tungsten wire (starting diameter: 0.5 mm). A
372 small drop of saline buffer was applied to the exposed brain, followed by a 3 mm-diameter
373 round cover glass (#0 thickness, Warner Instruments) as an optical window, which was first
374 secured to the surrounding skull by applying light-curable acrylic (Flow-IT ALC; Pentron Clinical
375 Technologies) around the edges of the glass. Dental acrylic (Coralite Dental MFG) and
376 cyanoacrylate were then added to permanently and stably attach the cover glass to the skull. A
377 small metal head plate with two tapped holes was then implanted at the anterior part of the skull
378 using dental acrylic for head fixation.

379

380 **2-Photon Guided Cell Labeling**

381 Juxtacellular labeling (Pinault 1996, Narayanan, Egger et al. 2015) with Neurobiotin (Vector
382 Labs) was used to fill individual RA-projecting HVC ($HVC_{(RA)}$) neurons out of a population that
383 had been retrogradely labeled from RA with fluoro-Ruby *in vivo*. After waiting at least 48 hours
384 following the injection of the retrograde tracer into RA, two-photon imaging (Denk and Webb
385 1990) was used to identify the target cell and guide the pipette. On the day of single-cell
386 labeling, a small pipette access hole (~400-500 μm) was drilled in the glass coverslip
387 immediately lateral to the target recording region using a carbide bur drill bit (1/4 FG-100;
388 Johnson-Promident). Glass pipettes were fabricated using a horizontal puller (P97, Sutter
389 Instrument Company) and had a final resistance of 4-5 $\text{M}\Omega$ when loaded with internal solution

390 that consisted of 150mM K-Gluconate (Sigma) and 3% Neurobiotin. The microscope (MOM,
391 Sutter Instrument Company) was of the moveable objective design (Euler, Hausselt et al. 2009)
392 and was controlled using ScanImage (Pologruto, Sabatini et al. 2003) 3.8 with a 16x/0.8 NA
393 water immersion objective (Nikon). Pipettes were made fluorescent either by adding 40 μ M of
394 Alexa 488 (Invitrogen) to the internal solution or by coating the pipette with green fluorescent
395 quantum dots (Andrasfalvy, Galinanes et al. 2014). The activity of HVC_(RA) neurons was
396 recorded (IR-183, Cygnus Technology Inc), and cells were filled with Neurobiotin by applying
397 1000-1500 positive current pulses with an amplitude between 3 and 15 nA and a duration of 200
398 ms delivered at a frequency of 2.5 Hz.

399

400 **Histological Procedures (LM)**

401 Birds were anesthetized with pentobarbital sodium and perfused transcardially with 4% w/v
402 paraformaldehyde (EMS) at least one hour after dye loading to permit adequate Neurobiotin
403 diffusion. Brains were removed from the skull using a surgical scoop, immersed in 4%
404 paraformaldehyde for 3-5 days to achieve thorough fixation, and incubated in phosphate buffer
405 for an additional 1-3 days to decrease endogenous peroxidase activity. To prepare sections, the
406 brain was cut across the midline, mounted on the sagittal surface with cyanoacrylate, and
407 stabilized with 3% agarose. Parasagittal sections (100 μ m thickness) of HVC were cut using a
408 vibratome (Leica VT1000S). Slices were washed five times with phosphate buffer and treated
409 with 3% H₂O₂ to further reduce endogenous peroxidase activity. Slices were then immersed
410 overnight at 4°C in a solution containing avidin/biotin complexes and 0.5% Triton X-100 in
411 phosphate buffer (Vector Labs and Sigma, respectively) to tag the Neurobiotin with peroxidase
412 complex. On the following day, slices were washed 5 times with phosphate buffer and then
413 immersed in a solution containing 2.3 mM diaminobenzidine (DAB, Sigma) and 0.01% H₂O₂ in
414 phosphate buffer to label processes containing Neurobiotin. Slices were then washed and
415 mounted on slides with Vectashield (Vector Labs) or Mowiol (Sigma-Aldrich) mounting medium.

416

417 To quantify the number of HVC-projecting RA neurons, we injected a retrograde tracer into HVC
418 (Dil, Invitrogen D3911; 46 nL total injection volume) that labels neurons with high efficiency in
419 zebra finches (Scott, Gardner et al. 2012). Following a two-day incubation period, animals were
420 perfused with 4% paraformaldehyde, and 100 μ m sagittal sections were cut across the entirety
421 of RA, Nucleus Interfacialis (Nlf), and nucleus Uvaeformis (Uva). Sections were mounted on
422 slides using Vectashield (Vector Labs) and imaged with a confocal microscope (LSM 800,
423 Zeiss; excitation / emission: 551 / 569 nm) using a 20x objective (0.8 NA). The z-stacks of
424 retrogradely labeled RA_(HVC) neurons were captured across the extent of RA, and the position of
425 each cell was manually marked using the landmark function in Amira.

426

427 **LM Imaging**

428 Only well-filled HVC_(RA) neurons were selected for reconstruction, specifically those in which the
429 soma, dendrite, and axon were all labeled (even if faintly) without interruptions and with clearly
430 labeled dendritic spines and presynaptic boutons were selected for high resolution LM imaging
431 with a custom-designed high-resolution mosaic/optical-sectioning brightfield microscope system
432 (Oberlaender, Bruno et al. 2007). In brief, a transmitted light brightfield microscope (Olympus
433 BX51, Olympus, Japan), equipped with a motorized x-y-z stage (Maerzhaeuser, Wetzlar,
434 Germany), a narrow bandpass (546 \pm 5 nm) illumination filter and a 100x magnification oil-
435 immersion objective (numerical aperture 1.4) was used to acquire image stacks from
436 consecutive 100 μ m thick brain sections. For each section, a 3D mosaic of images (e.g., 10 x 15
437 fields of view) covering the entire HVC was acquired at 92 x 92 nm pixel size and in steps of
438 500 nm mechanical defocus. Next we applied a linear image restoration algorithm (Tikhonov-
439 Miller) using the Huygens software package (Scientific Volume Imaging, Netherlands). By
440 inverting the gray values of the brightfield image stacks they could be treated as fluorescent
441 data with an emission wavelength of 546 nm. The deconvolution used a point-spread-function

442 that takes the optical properties of biocytin-labeled brain tissue into account (Oberlaender,
443 Broser et al. 2009). Deconvolved image stacks were then downsampled by a factor of 2 in x/y,
444 yielding a final voxel size of 184 x 184 x 500 nm before axonal reconstruction. To quantify the
445 bouton density, subvolumes that contained primarily horizontal (i.e. within the image plane)
446 axonal branches were acquired at 200 nm focus increments and used without deconvolution.

447

448 **Neuron reconstructions (LM)**

449 Neuronal branches (dendrites and axons) were reconstructed in 3D using NeuroMorph
450 (Oberlaender, Bruno et al. 2007). Automated tracing results from each histological section were
451 manually proof-edited using FilamentEditor (Dercksen, Hege et al. 2014), custom-designed
452 based on Amira visualization software (FEI-VisualizationSciencesGroup). In brief, maximum-
453 intensity z-projections of the original image stacks were superimposed onto automatically
454 generated 3D skeleton tracings of all putative neuronal branches contained within the imaged
455 volume and segmented objects that had no correspondence in the projection image were
456 manually deleted (Dercksen, Hege et al. 2014). Fragmented segments were spliced, and axonal
457 branches were classified as 'dendrite' or 'axon' based on whether, respectively, spines or
458 boutons were visible in the projection images. Whenever a neuronal branch reached one of the
459 borders of the imaged volume, additional image stack regions were acquired that allowed us to
460 follow the branch further. To account for shrinkage during histological processing, the
461 reconstruction was scaled to match the thickness of 100 μm , as defined by the vibratome. The
462 scaled 3D tracings from all consecutive sections were then combined and manually aligned
463 using the FilamentEditor. The z-coordinate of each point was then replaced by the average of 9
464 points (the point itself and the 4 adjacent points in each direction) and resampled to a point
465 spacing of about 1 μm . Smoothing in z and downsampling make path length measurements
466 comparable to manual tracing results using NeuroLucida Software (MicroBrightfield). The
467 NeuroMorph and FilamentEditor tools enable tracings that are independent of the experience of

468 the human operator, with an interuser-variability of approximately 20 μm per 1 mm axonal
469 length(Dercksen, Hege et al. 2014). The borders of HVC were manually traced in each 100 μm
470 tissue section using Neurolucida.

471

472 **Analysis of LM reconstructions**

473 The fraction of dendritic length contained within a certain distance of the soma was determined
474 by conducting a spherical Sholl analysis (Sholl 1953) in Neurolucida (MBL sciences). The
475 proportion of axonal pathlength both within HVC and within a 200 μm radius from the soma was
476 computed in Amira for each neuron using the ZIB extension package (Egger, Dercksen et al.
477 2014). Axonal boutons and dendritic spines were annotated manually in Amira using high-
478 resolution LM stacks. The location of each bouton or spine was marked in 3D and aligned in
479 Amira to the corresponding branch reconstruction. Spine-densities were calculated for each
480 branch by dividing its total spine count by its path length. Branch nodes (points where the axon
481 bifurcates) were manually located in the reconstructions using Amira. Branch nodes for which
482 one of the daughter branches was < 15 μm in length were not included in this analysis.

483

484 **Histological Procedures (EM)**

485 The bird used for the EM experiments was transcardially perfused in a way that preserves the
486 extracellular space and leads to minimal shrinkage (JK, unpublished observations), by using
487 high pressure and the following fixative solution: 0.07 M sodium cacodylate (Serva), 140 M
488 sucrose (Sigma), 2 mM CaCl_2 (Sigma) with 2% paraformaldehyde and 2% glutaraldehyde
489 (Serva) added (Cragg 1980). The brain was removed and, using a vibratome (Leica VT1000S),
490 cut into slices each about 200 μm thick. One of the slabs that centrally intersected HVC was
491 selected and post-fixed in the same solution overnight, rinsed several times with cacodylate
492 buffer and permeabilized in a 30% sucrose solution by exposing it to one freeze-thaw cycle in
493 liquid nitrogen. Residual peroxidase activity was suppressed by soaking the sample in 3% H_2O_2

494 for 30 minutes before labeling the sample with an avidin-peroxidase complex and DAB, as
495 described in a previous section. The sample was then rinsed several times in cacodylate buffer.
496 Heavy metal staining was added through a conventional ROTO protocol using the following
497 steps interspersed with rinses in cacodylate buffer (after first Osmium step) or H₂O (all others):
498 2% OsO₄ (Serva), reduced with 2.5 % potassium hexacyanoferrate(II) (Sigma) 2 h, room
499 temperature; 1% thiocarbohydrazide in H₂O, 1 h, 58 °C (Sigma); 2 % OsO₄, 2 h; 1.5 % uranyl-
500 acetate in H₂O, 53°C (Serva); 20 mM lead-aspartate, 2 h, 53°C (Sigma) (Seligman, Wasserkrug
501 et al. 1966, Karnovsky 1971, Walton 1979). Dehydration was performed using an ethanol series
502 with 10, 15, 10, 10 minutes at 70%, 100%, 100%, and 100% ethanol (Electron Microscopy
503 Sciences). The sample was infiltrated with epoxy monomer (epon hard, Serva) (Glauert and
504 Lewis 2014) dissolved in propylene oxide (Sigma) for 3 h and for 3 h with pure monomer before
505 final embedding and curing (48 h at 60°C). The sample was then trimmed and glued with epoxy
506 to a custom-made aluminum holder and trimmed into a pyramidal-shape before gold coating for
507 better conductivity.

508

509 **SBEM Imaging and data preprocessing**

510 We performed serial block-face electron microscopy (Denk and Horstmann 2004) at 11 × 11 ×
511 29 nm voxel size using a scanning electron microscope with a field-emission cathode (UltraPlus,
512 Zeiss, Oberkochen, Germany) equipped with a custom-built in-chamber microtome in high-
513 vacuum (raw and effective voxel rates were 5 and 2.1 MHz respectively) at a dose of 10.3
514 electrons/nm², 2 kV landing energy with a custom back-scatter electron detector and
515 amplification system optimized for fast acquisition speeds. Before each cut, a subregion of the
516 block face was imaged using four overlapping micrographs resulting in an image stack. Images
517 were registered by affine transformations (https://github.com/billkarsh/Alignment_Projects)
518 (Scheffer, Karsh et al. 2013, Karsh 2016) and converted to a KNOSSOS (www.knossostool.org)

519 data set for reconstruction and browsing with custom Python code ([https://github.com/knossos-](https://github.com/knossos-project/knossos_python_tools/tree/master/knossos_cuber)
520 [project/knossos_python_tools/tree/master/knossos_cuber](https://github.com/knossos-project/knossos_python_tools/tree/master/knossos_cuber)) (Kornfeld 2017).

521

522 **Neuron reconstructions (EM)**

523 Each annotator received at least 10 hours of training and was considered an expert after one
524 year of annotation experience. BDA-labeled neurons, using the soma as a starting place, were
525 skeletonized within the EM stack in KNOSSOS by an expert annotator, and errors were
526 corrected by the same individual in a second pass, which was also used for synapse annotation.
527 All BDA-labeled axons, including orphaned axons, were traced by at least two independent
528 annotators and discrepancies were resolved by an expert that had not participated in the initial
529 annotation. Synapses on each axon were then labeled (see synapse identification) and
530 proofread by an expert annotator who excluded cases where the BDA-label obscured the
531 ultrastructure. The remaining synapses were used to seed the tracing of the postsynaptic
532 dendrite segment. Annotators were instructed to reconstruct the postsynaptic dendrite to the
533 end of the branch in one direction and to the next main branch point in the other direction. All
534 dendritic-branch tracings were proofread by an expert and only included if at least a minimum
535 path length of 10 μm could be reconstructed. All EM reconstructions were analyzed and
536 visualized with custom Python code using the Mayavi2 (Enthought) library (Kornfeld 2017,
537 Kornfeld 2017).

538

539 **EM synapse annotation**

540 Synapses were labeled by an expert annotator and classified as symmetric or asymmetric
541 (**Video 4 and 5, Figure 1—figure supplement 3**). Active-zone 'diameters' were quantified by
542 measuring - with KNOSSOS - the cross-sectional length of the synaptic thickening in that plane
543 and principal viewing orientation (x, y, or z) in which the contact cross section appeared largest.
544 Diameters were then converted to areas by assuming a circular synaptic contact.

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Classification of postsynaptic cell type

To estimate dendritic spine density, a stretch of the postsynaptic dendrite ($> 10 \mu\text{m}$) was selected that often included the place where the axon was in contact with the dendrite. We counted as a spine every skeleton branch with a length greater than $1 \mu\text{m}$ that emerged from the dendritic shaft. Some postsynaptic protrusions found on interneurons contained multiple synapses (e.g., **Figure 2—figure supplement 1b**). Therefore, spines were defined as receiving no more than one synapse at their ends by three independent annotators. The resulting spine density D_{spine} (in μm^{-1}) was used to classify the dendritic stretch as belonging to an interneuron ($D_{\text{spine}} < 0.11$), $\text{HVC}_{(\text{RA})}$ ($0.11 < D_{\text{spine}} < 0.46$), or $\text{HVC}_{(\text{X})}$ neuron ($0.46 < D_{\text{spine}}$). To detect dendritic reconstructions that were traced from separate synapses but belonged to the same dendrite, we detected overlap between skeletons using the following criterion: a node was considered to overlap another skeleton if it was less than 400 nm from any edge of all other skeletons. Dendritic reconstructions were defined as belonging to the same neuron when at least 25% of their nodes overlapped. Since the postsynaptic dendritic reconstructions were never complete (i.e. only parts of the entire neuron could be reconstructed), our analysis could only positively identify reconstructions as belonging to the same cell. For dendrites that were found to belong to the same cell (grouped together after being traced from different synapses), spine density was averaged before classification.

564

Estimating the axon-to-soma distance

We used two different ways to estimate the distance between an orphaned branch and its soma from its number of branch nodes inside the EM volume, both based on the LM observation that the density of branch nodes, D_b , varies with soma distance (r) (**Figure 3c**). The first way used a Bayesian approach to calculate the probability distribution over r , given a branch of length l and

569

570 a branch-node count of N (**Figure 3c**), which can be used to estimate, as needed, mean,
571 median, variance or any quantile for r :

572

$$573 \quad P(r|N, l) \propto P(N, l|r) * P_a(r),$$

574

575 whereby

576

$$577 \quad P(N, l|r) = \frac{(D_b(r)*l)^N * e^{-D_b(r)*l}}{N!},$$

578

579 which assumes that the branch nodes are placed independently from each other and are,
580 therefore, Poisson distributed with a node-count expectation value of $\lambda = D_b(r) * l$. Fitting the

581 LM measurements to an exponential gave $D_b(r) = (35.448 * e^{-\frac{r}{43.5mm}} + 0.613)/mm$. The

582 Bayesian prior, $P_a(r)$, i.e. the probability that an axon segment is found at a distance between r

583 and $r \pm \Delta$ from its soma, was estimated by applying Gaussian kernel density estimation (Python

584 `scipy.stats.gaussian_kde`, `scott` bandwidth selector) to the LM based axon distribution

585 measurements.

586

587 The other way to relate r to N and l is to sample the LM data directly: We divided each of the 15

588 LM stacks into volumes shaped identically to the EM volume and recorded for each volume and

589 for all contained orphaned branches their lengths, distances from the soma, and branch-node

590 counts. Only branches that both entered and left the sampled subvolume were considered

591 (about 95% of the total) because all of the reconstructed orphaned branches in the EM volume

592 also had that property. This was repeated with the origin of the division grid shifted in 10 μm

593 increments along all 3 axes resulting in $17 \times 17 \times 8$ different divisions for each LM stack. For a

594 given orphaned branch in the EM volume, we selected all those sample branches that had the

595 same node count and a length within $\pm 10\%$. The distribution of their soma distances was then
596 used in the same way as the probability distribution coming from the Bayesian approach.

597

598 **Estimating the fraction of homotypic HVC_(RA) synapses**

599 In order to estimate the homotypic fraction of all excitatory synapses onto HVC_(RA) cells, we
600 determined the density of homotypic synapses by counting the number of double labeled
601 synapses and correcting it for the axonal labeling efficiency. Labeling efficiency was estimated
602 by comparing the volume density of labeled axon length by inspecting 300 randomly placed 1
603 μm^3 cubes with the density expected for HVC_(RA) neurons using published estimates for their
604 total number (Wang, Hurley et al. 2002) and the average axonal path length from LM
605 reconstructions. To count the number of double labeled synapses, BDA-labeled dendrites were
606 searched by an expert annotator for synapses with labeled axons by following them in
607 KNOSSOS at the full voxel resolution, instructed to annotate also synapses with weak labeling.
608 The found synapses were then scrutinized by JK and the result was confirmed by ML and SB.

609

610 All error estimates were calculated assuming independence of the errors using the variance
611 formula for error propagation.

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628 custom electronics.

629

630 **Figure Legends**

631

632 **Figure 1 Analysis of synaptic inputs onto HVC_(RA) dendrites.** (a) A schematic of the songbird
633 brain showing HVC and its two main downstream targets, RA and Area X. (b) A backlabeled
634 HVC_(RA) neuron (red) during juxtacellular filling (pipette shown in white) guided by 2-photon
635 imaging of fluoro-Ruby. (c,d) A Neurobiotin-filled cell from (b) in brightfield LM after
636 histochemical processing (c) and dendritic reconstruction (d). (e) Normalized count of dendritic
637 path length vs. soma distance for 15 HVC_(RA) neurons; individual cells (gray) and average (red).
638 Bin size: 10 μ m. (f) Cross-section through a SBEM stack showing BDA-labeled HVC_(RA) somata.
639 (g) Inhibitory (blue spheres) and excitatory (gold spheres) synapses onto an HVC_(RA) dendrite in
640 the SBEM volume. Sphere cross-sectional areas are proportional to the active zone area. (h)
641 Higher magnification of a dendritic branch from (g). (i) Density of asymmetric and symmetric
642 synapses vs. the distance to the soma. (j) Two HVC_(RA) dendrites; red spheres indicate double-
643 labeled synapses, with cross sections through two synapses (insets). Inset, cross sections
644 through the synapses circled in red. (k) Active zone size distributions of inhibitory (blue),
645 excitatory (black), and double-labeled (red) synapses. Scale bars are 10 μ m in b and c, 25 μ m
646 in f, and 0.25 μ m in j.

647

648 **Figure 1—figure supplement 1. Sample preparation for LM and EM.** (a,b) For LM, HVC_(RA)
649 neurons are retrogradely labeled by injecting a fluorescent dextran (fluoro-Ruby) into RA (a),
650 and a single labeled neuron is targeted and filled with Neurobiotin under the guidance of 2-

651 photon microscopy **(b)**. **(c)** The tissue is then fixed and 100 μm parasagittal sections are sliced
652 through the entirety of HVC. **(d,e)** Sections are further processed to stain Neurobiotin-labeled
653 neurites **(d)** and then imaged in brightfield with a 100x objective **(e)**. **(f)** For EM, $\text{HVC}_{(\text{RA})}$
654 neurons are retrogradely labeled with biotinylated dextran (BDA). **(g,h)** A single 200 μm
655 parasagittal section is taken from the center of HVC **(g)** and further processed to stain BDA-
656 labeled neurites **(h)**. **(i,j)** A cube of tissue from within the center of HVC is extracted and stained
657 by ROTO (reduced osmium OTO) **(i)** before being imaged via SBEM **(j)**.

658

659 **Figure 1—figure supplement 2. Synaptic boutons on $\text{HVC}_{(\text{RA})}$ axon collaterals.** **(a)** An LM
660 reconstruction of $\text{HVC}_{(\text{RA})}$ axon collaterals of one neuron with the HVC border indicated by
661 dashed lines. Locations of all synaptic boutons are marked by grey spheres. **(b)** Bouton density
662 of collateral branches as a function of their midpoint distances from the soma.

663

664 **Figure 1—figure supplement 3. Ultrastructural classification of synapses.** **(a)** An
665 asymmetric synapse onto a BDA-labeled $\text{HVC}_{(\text{RA})}$ dendrite whose morphology is partially
666 obscured by the label. Red arrows indicate the synaptic cleft. **(b to d)** Other synapses made by
667 the same axon onto unlabeled dendrites. The pronounced postsynaptic density (PSD),
668 especially for synapse 4, confirms the classification of this connection as excitatory. **(e)** A
669 symmetric synapse onto a BDA-labeled $\text{HVC}_{(\text{RA})}$ dendrite. **(f to h)** Synapses from the same
670 neuron onto other unlabeled dendrites display a lack of a PSD and a different appearance of
671 synaptic vesicles compared with the asymmetric synapses. Scale bar: 0.5 μm .

672

673 **Figure 1—figure supplement 4. The BDA label is inefficient and incomplete.** (a) All cells
674 within our SBEM dataset are represented by spheres at the location of the cell body. Known
675 $HVC_{(RA)}$ neurons, which were labeled with BDA, were colored red. Also shown are putative
676 $HVC_{(RA)}$ neurons, classified by morphological features of the soma and dendrite (pink), other
677 neuron types (large gray spheres), and glia (small gray spheres). Scale bar: 50 μm . (b)
678 Incomplete labeling of axonal collaterals of $HVC_{(RA)}$ neurons inside HVC is demonstrated with a
679 skeleton reconstruction (labeled axon in black and unlabeled axon in gray). The inset electron
680 micrographs correspond to the portions of the axonal field indicated. Scale bars: left: 1 μm , right:
681 5 μm .

682

683 **Figure 2 Classification of postsynaptic targets.** (a) A BDA-labeled axon with 4 synaptic
684 boutons (boxes). One bouton and its postsynaptic structure labeled in red and blue,
685 respectively: In cross section (top right) and as a surface reconstruction (bottom center). (b)
686 Dendrites from an inhibitory interneuron, an $HVC_{(RA)}$ neuron, and an $HVC_{(X)}$ neuron (left to right)
687 in LM. Spine locations are indicated by grey spheres. (c,d) Spine densities for each of these
688 neuron classes from LM (c) and EM (d) reconstructions. Insets show examples with spines
689 indicated by arrowheads. (e) SBEM-based reconstructions of two $HVC_{(RA)}$ somata with their
690 proximal axons. Blue, green, and red spheres mark the location of synapses with inhibitory
691 interneurons, $HVC_{(RA)}$ neurons, and $HVC_{(X)}$ neurons, respectively. Scale bar is 0.25 μm in a.

692

693 **Figure 2—figure supplement 1. Morphological markers of interneurons.** (a) Ultrastructural
694 and morphological differences of the somata of an HVC interneuron (left, blue shade) and an
695 HVC_(RA) neuron (right, red shade). Compared with HVC_(RA) neurons, interneurons had large
696 amounts of endoplasmatic reticulum (ER), many mitochondria, and a large cell body. Scale bar:
697 7.5 μm . (b) An electron micrograph (cut plane rotated to show spine attached to dendrite)
698 showing a polysynaptic protrusion (blue label) that receives four synapses at its tip, that was
699 previously misclassified as a dendritic spine of an excitatory cell. The four presynaptic axons are
700 colored in yellow, green, purple and red. Scale bar: 1 μm .

701
702 **Figure 3 Spatial variation of postsynaptic cell type.** (a) SBEM-based reconstructions and
703 synaptic targets for two orphaned axon segments. Colored spheres mark the locations and
704 types of synapses. (b) Axon collaterals (LM-based reconstruction) of an HVC_(RA) neuron with
705 branch nodes (gold circles), the soma (black circle), and the HVC border (dashed lines). (c)
706 Mean axon length (black) and branch node densities (gold) vs. soma distance (n=15 cells). (d)
707 The ratio of synapses onto inhibitory interneurons vs. estimated distance from the soma (p <
708 0.005, Pearson's correlation). (e,f) The density of synapses onto HVC_(RA) (e) and HVC_(X) (f) vs.
709 estimated distance from soma (p < 0.002, Pearson's correlation, combining HVC_(RA) and HVC_(X)
710 values). (g) Total synaptic size (summed active zone area, $\mu\text{m}^2/\text{mm}$) onto excitatory neurons
711 vs. estimated distance of the presynaptic axon from the soma (p < 0.05, Pearson's correlation).
712 Vertical error bars: SEM of the Poisson-distribution means estimated from the number of
713 synapses on each axon segment (e-g) or the SEM of an assumed underlying binomial count

714 distribution (**d**). Horizontal error bars from quantiles 0.16 to 0.84 of the distance distribution
715 based on the nearest neighbor sampling approach (see Methods). (**h**) Proposed circuit
716 architecture. $HVC_{(RA)}$ neurons (red) target inhibitory interneurons (blue) proximally and other
717 $HVC_{(RA)}$ neurons distally.

718

719 **Figure 3—figure supplement 1. Synaptic properties of $HVC_{(RA)}$ axons, using a Bayesian**
720 **approach to estimate distance from soma.** (**a**) Total synaptic strength (summed active zone
721 area/pathlength) onto excitatory neurons vs. estimated distance of the presynaptic axon from
722 the soma ($p < 0.05$, Pearson's correlation). (**b**) The ratio of synapses onto inhibitory
723 interneurons vs. estimated distance from soma ($p < 0.01$, Pearson's correlation). (**c,d**) The
724 density of synapses onto $HVC_{(RA)}$ (**c**) and $HVC_{(X)}$ (**d**) vs. estimated distance from soma ($p <$
725 0.002 , Pearson's correlation, combining $HVC_{(RA)}$ and $HVC_{(X)}$ values). Vertical bars represent the
726 SEM of an assumed underlying Poisson distributed synapse counting process (**a,c,d**) or (**b**) the
727 SEM of an assumed underlying Binomial count distribution. (**a-d**) Horizontal error bars
728 correspond to a 0.16 and 0.84 quantile of the Bayesian posterior distribution, see Methods.

729

730 **Figure 3—figure supplement 2. A SBEM-based reconstruction and synaptic targets for an**
731 **orphaned axon with high branch density.** Small spheres mark the location of synapses, with
732 the color indicating the target type. Note the higher frequency of inhibitory targets (blue) along
733 the length of the reconstruction compared with other orphaned axons with low branch density
734 (see Figure 3a).

735

736 **Figure 3—figure supplement 3. A small population of RA neurons project to HVC.** (a) The
737 borders of RA were traced across nine sequential 100 μm thick sagittal sections, and the
738 location of each retrogradely labeled HVC-projecting RA neuron is marked with a dot. (b) Dil
739 injection in HVC resulted in robust retrograde labeling of upstream motor nuclei Uva and Nlf,
740 while only a small percentage ($< 1\%$) of cells in RA were labeled (a,c). An example confocal
741 image of RA is shown in (c), revealing a sparse population of retrogradely labeled neurons in
742 the posterior region of the nucleus.

743

744 **Supplementary Video Legends**

745 **Video 1.** Video shifting through a z-stack of a sagittal section within HVC, containing a
746 Neurobiotin-filled $\text{HVC}_{(\text{RA})}$ neuron stained with DAB. Number of z-sections shown is 144. Voxel
747 size is $92 \times 92 \times 500\text{nm}$.

748

749 **Video 2.** Video of a subregion of the acquired SBEM dataset, showing the original data
750 resolution (lossy compression). Number of z-sections shown is 100, translating to $2.9 \mu\text{m}$.

751

752 **Video 3.** Video of a subregion of the acquired SBEM dataset, showing a larger field of view with
753 a BDA-labeled $\text{HVC}_{(\text{RA})}$ soma (lossy compression). Number of z-sections shown is 200,
754 translating to $5.8 \mu\text{m}$.

755

756 **Video 4.** Video of a z-stack of 18 consecutive images (100 x 100 pixels) showing a symmetric
757 synapse. Voxel dimensions: 11 x 11 x 29.

758

759 **Video 5.** Video of a z-stack of 18 consecutive images (100 x 100 pixels) showing an asymmetric
760 synapse. Voxel dimensions: 11 x 11 x 29.

761

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1002

Figure 1

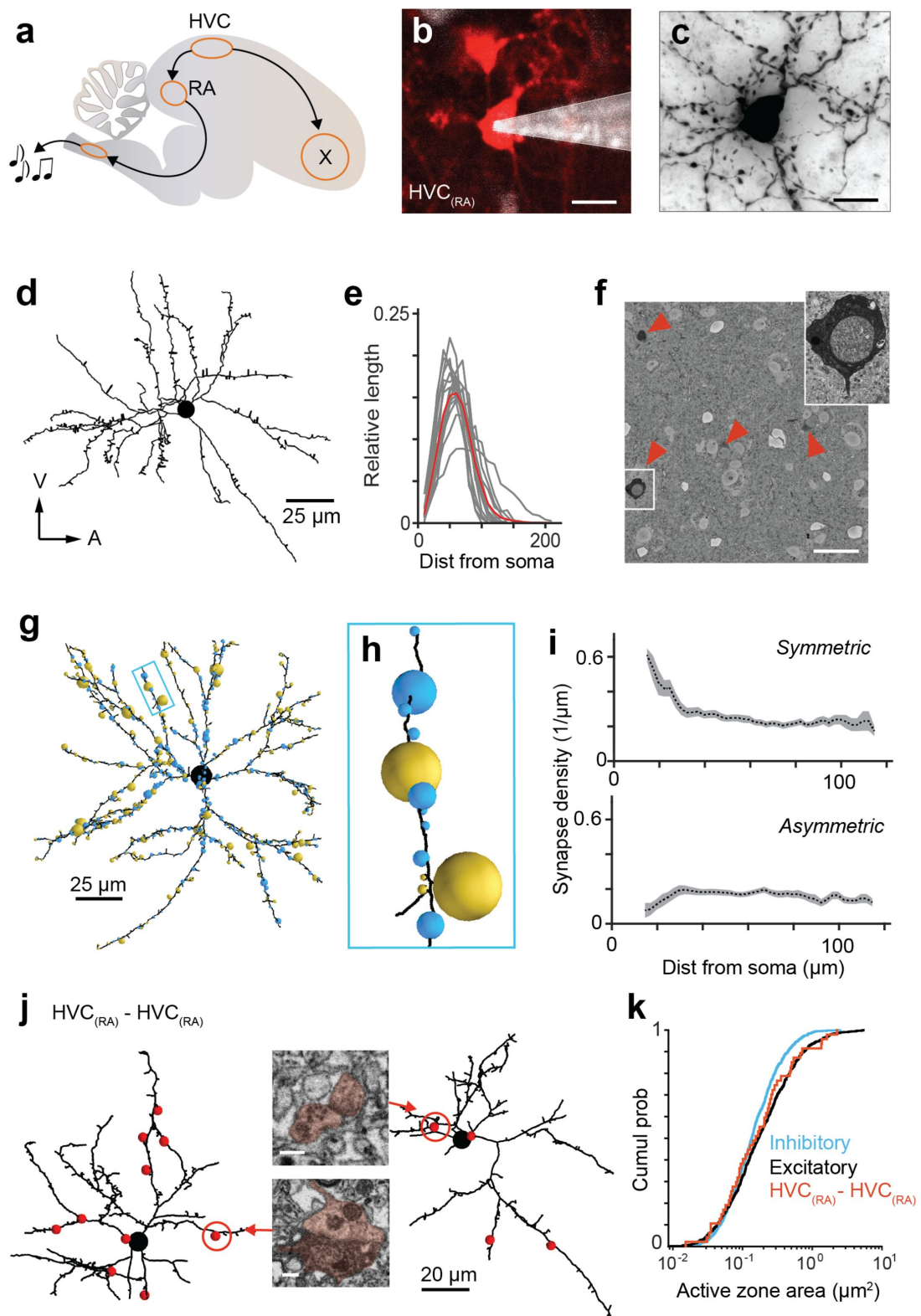


Figure 1 - supplement 1

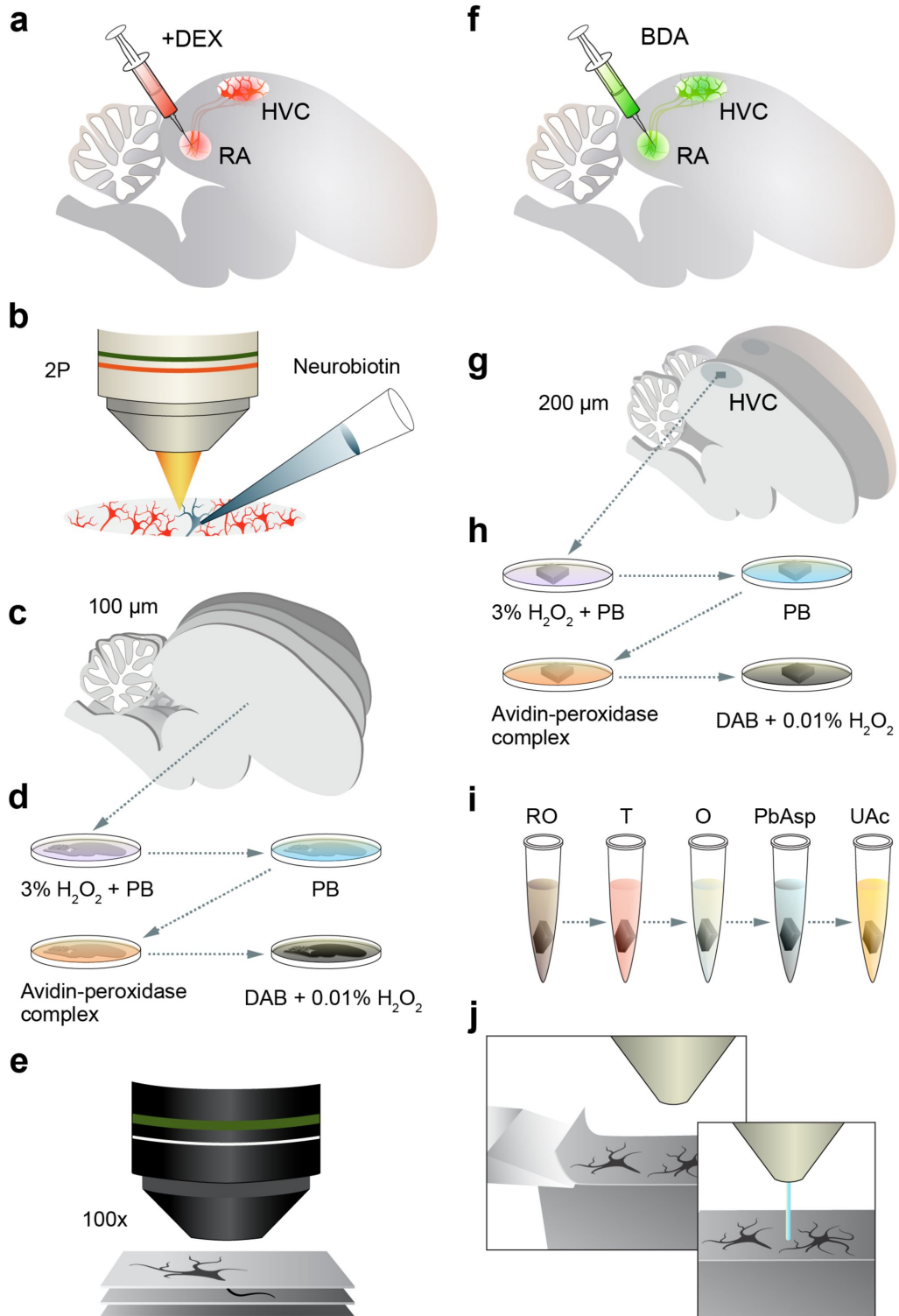


Figure 1 - supplement 2

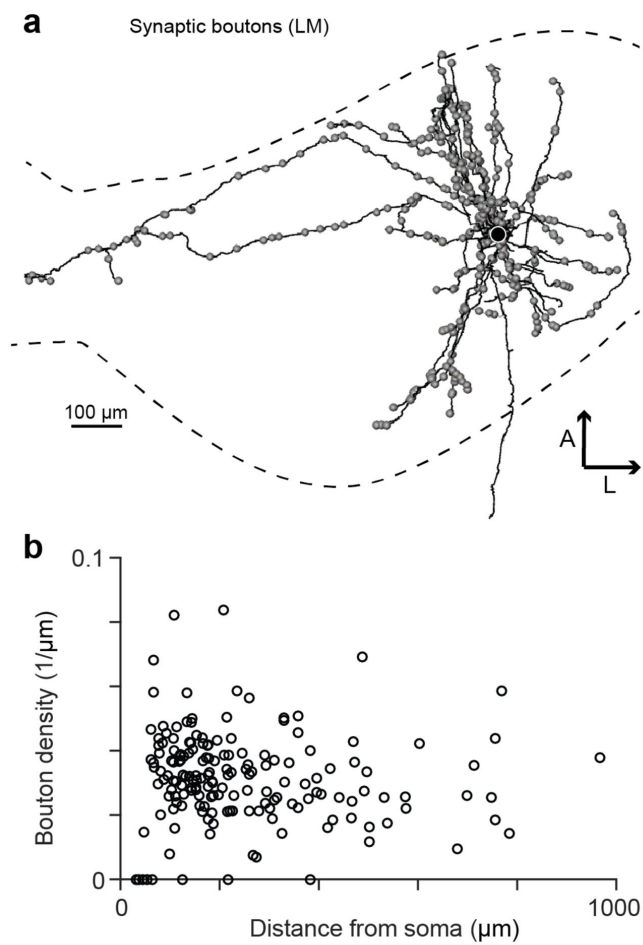


Figure 1 - supplement 3

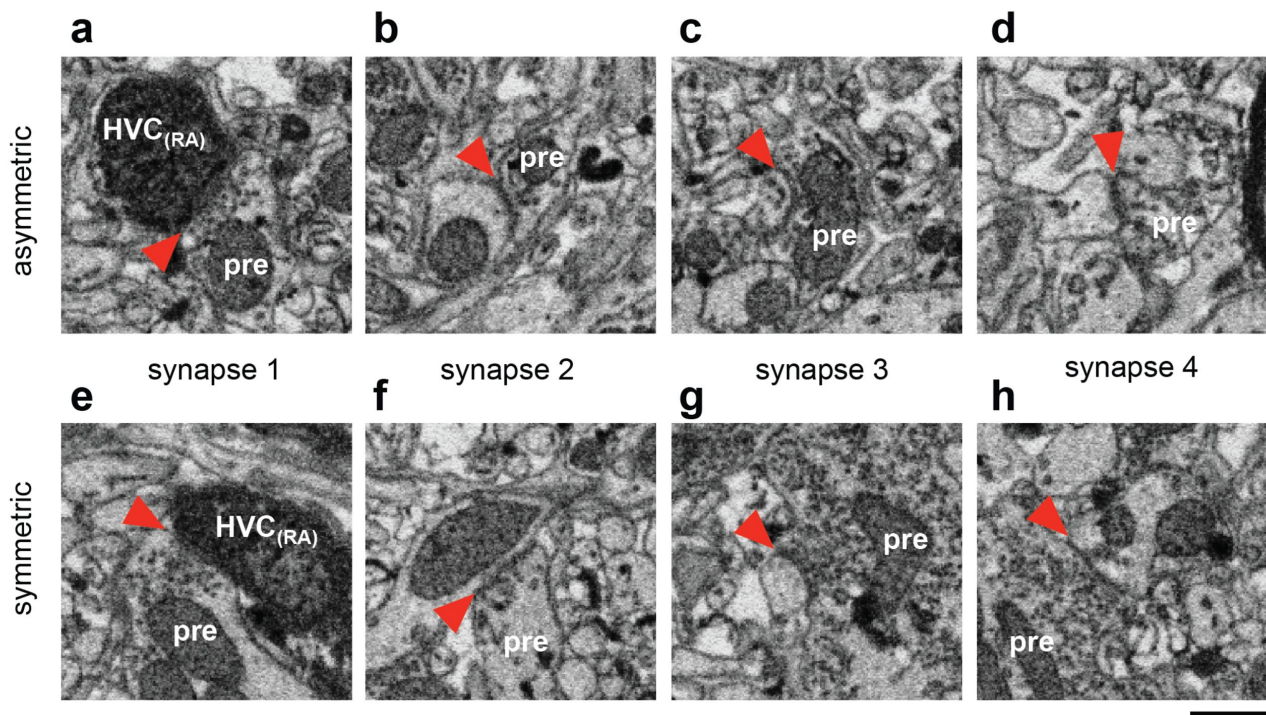


Figure 1 - supplement 4

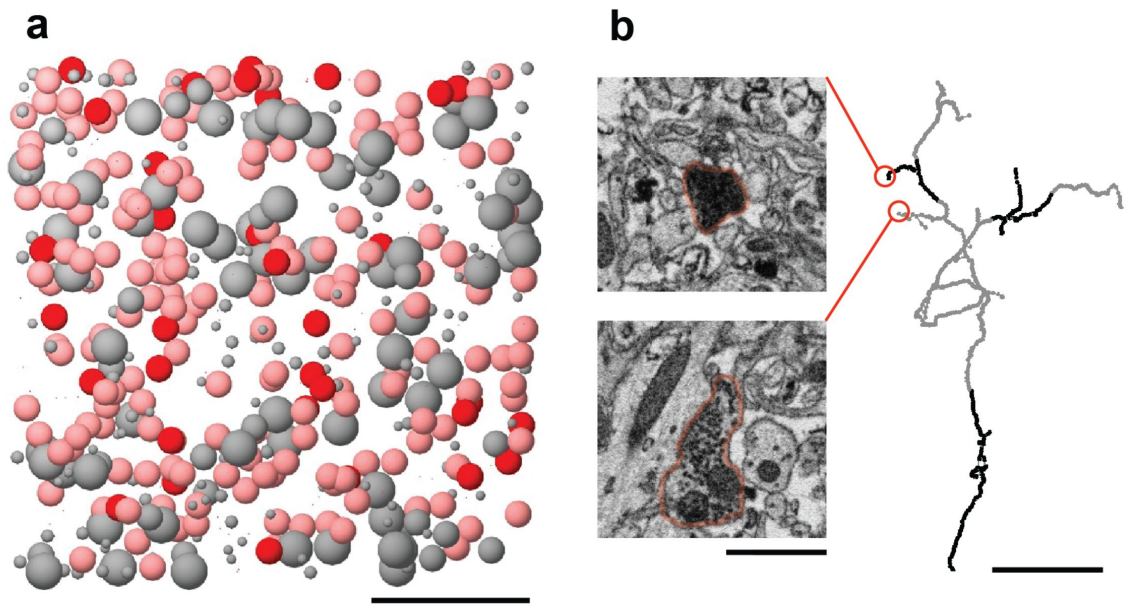


Figure 2

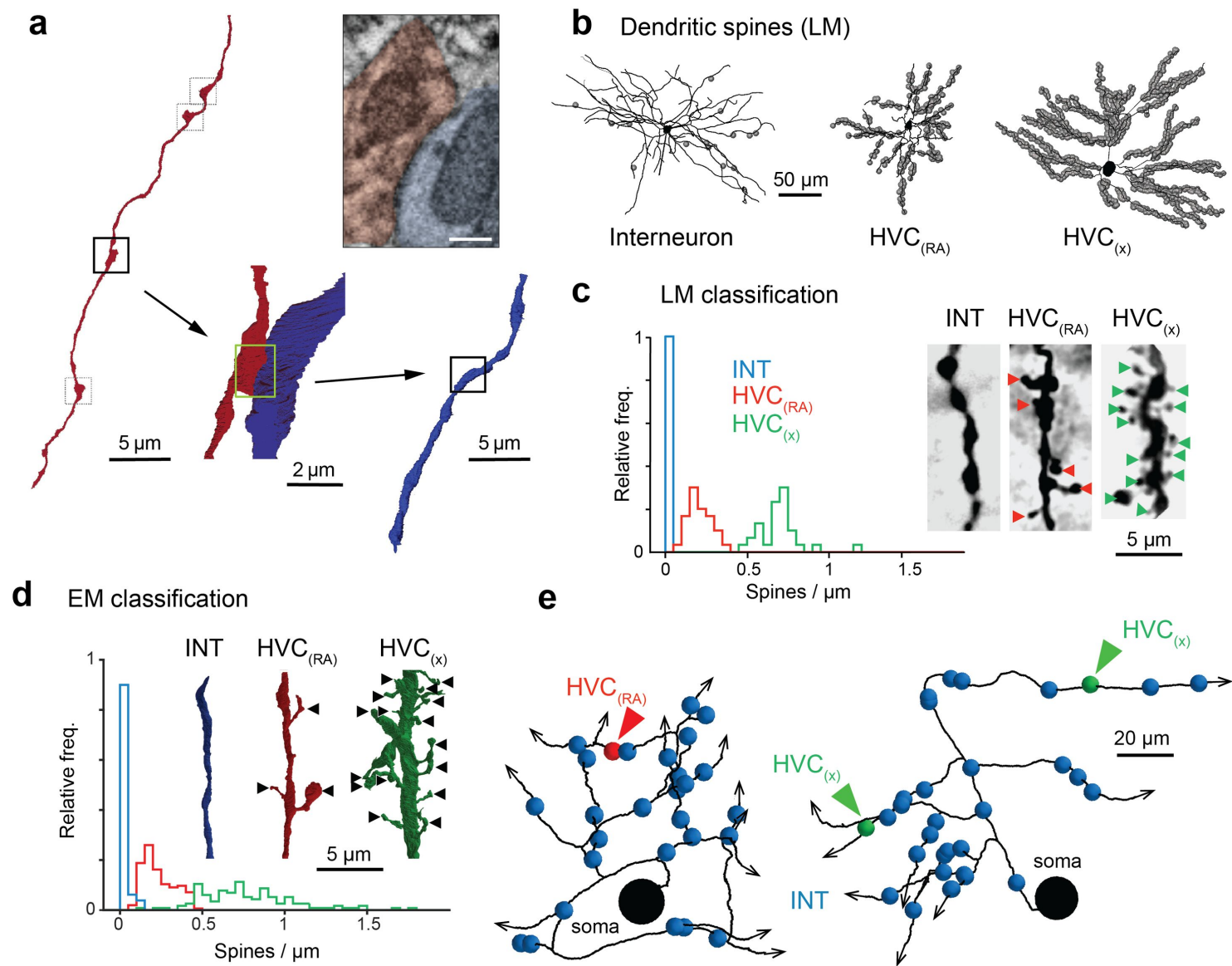
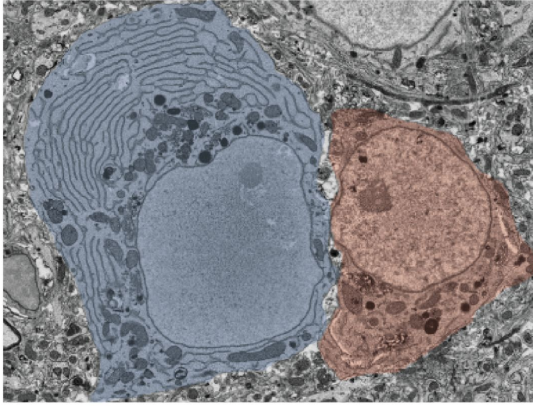


Figure 2 - supplement 1

a



b

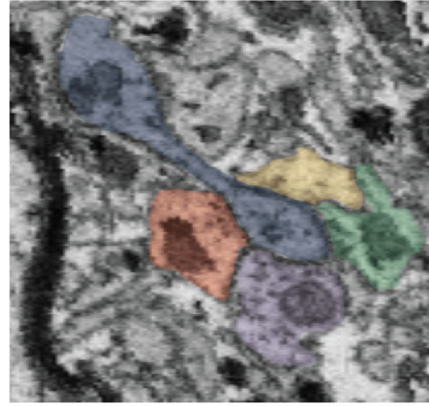


Figure 3

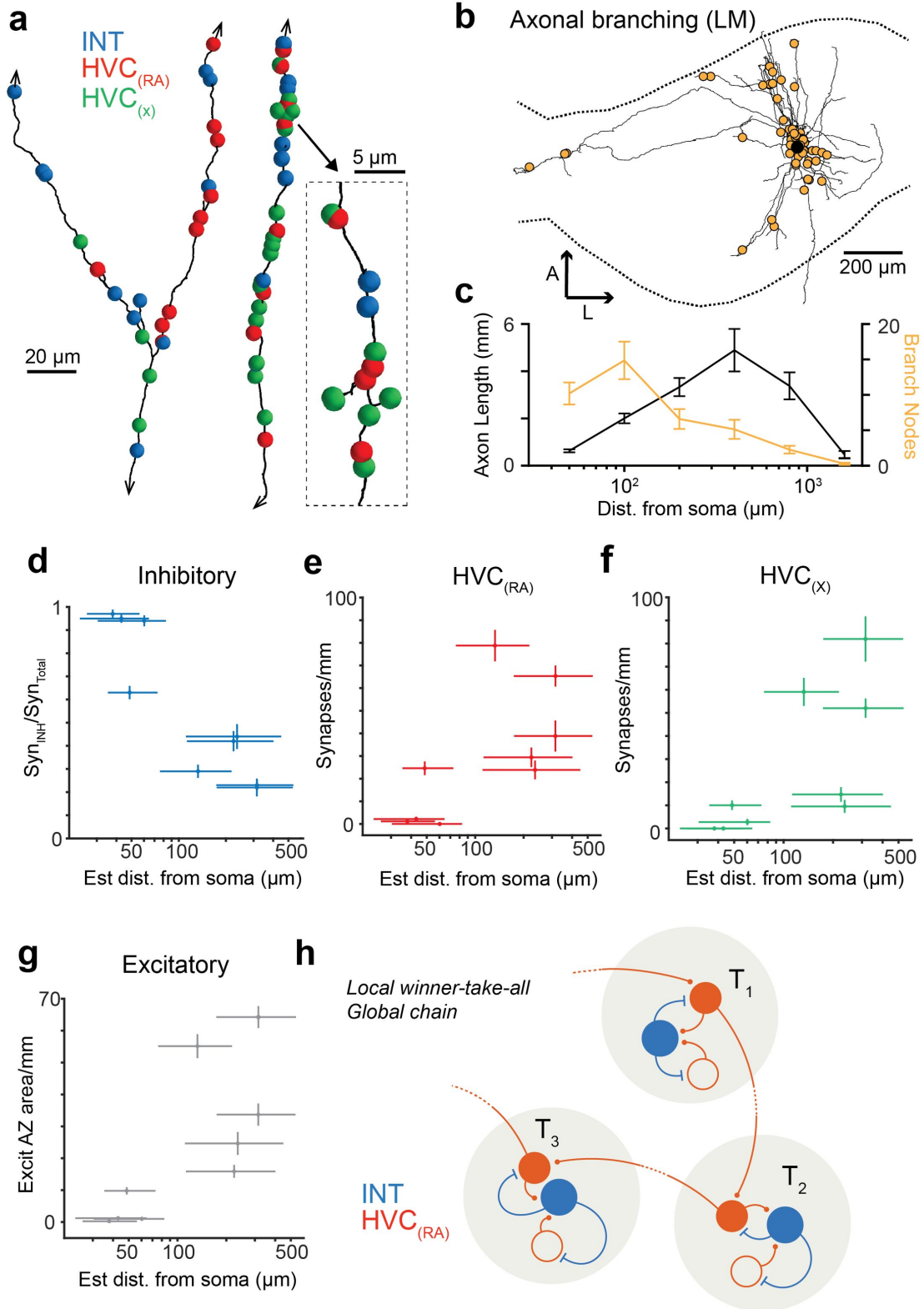


Figure 3 - supplement 1

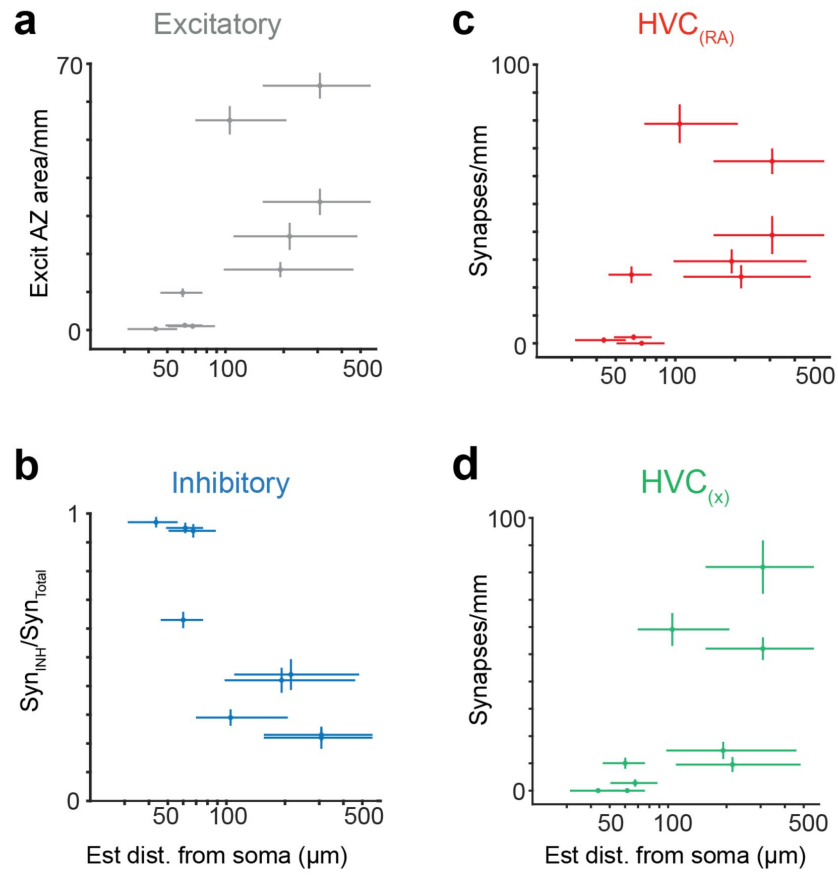


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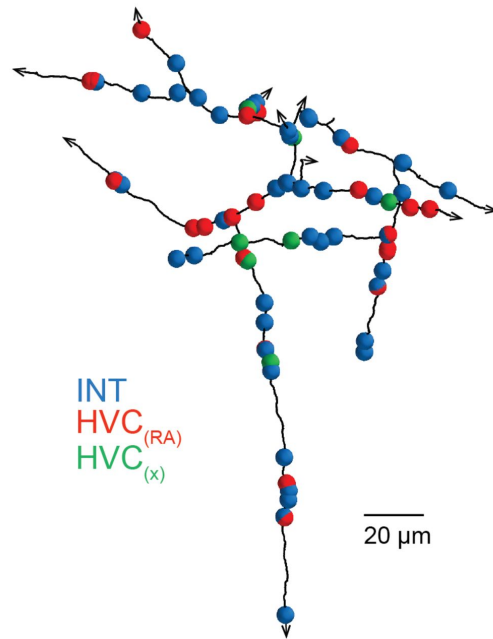


Figure 3 - supplement 3

