

1 **Essential roles of Caspase-3 in facilitating Myc-induced genetic instability and**
2 **carcinogenesis**

3
4 Ian M. Cartwright^{1,3,*}, Xinjian Liu^{1,*}, Min Zhou¹, Fang Li¹, Chuan-Yuan Li^{1,2,†}

5
6 ¹Department of Dermatology, Duke University Medical Center, Durham, NC 27710, USA

7 ²Department of Pharmacology and Cancer Biology, Duke University Medical Center, Durham,
8 NC 27710, USA

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11
12 ³Current address: Department of Urology, University of Colorado School of Medicine, Aurora, CO, USA

13 *These authors contributed equally to this study.
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37 **†Correspondence:**

38 Chuan-Yuan Li, PhD

39 Duke University Medical Center

40 Box 3455

41 Durham, NC 27514

42
43 Tel: (919) 613-8754

44 Email: Chuan.Li@duke.edu

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46 **Abstract**

47 The mechanism for Myc-induced genetic instability is not well understood. Here we show that
48 sublethal activation of Caspase-3 plays an essential, facilitative role in Myc-induced genomic
49 instability and oncogenic transformation. Overexpression of Myc resulted in increased numbers
50 of chromosome aberrations and γ H2AX foci in non-transformed MCF10A human mammary
51 epithelial cells. However, such increases were almost completely eliminated in isogenic cells
52 with *CASP3* gene ablation. Furthermore, we show that endonuclease G, an apoptotic nuclease
53 downstream of Caspase-3, is directly responsible Myc-induced genetic instability. Genetic
54 ablation of either *CASP3* or *ENDOG* prevented Myc-induced oncogenic transformation of
55 MCF10A cells. Taken together, we believe that Caspase-3 plays a critical, unexpected role in
56 mediating Myc-induced genetic instability and transformation in mammalian cells.

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58

59 **Introduction**

60 One of the hallmarks of cancer is increased genomic instability(1). In addition to DNA
61 replication errors and or mutations induced by exposure to DNA damaging agents, over-
62 expression of oncogenes have been shown to induce genomic instability(2, 3). One such
63 oncogene is Myc. As one of the most widely studied oncogenes in cancer biology, it is mutated
64 or over-expressed in multiple types of cancer (4). Myc is involved in driving cellular
65 proliferation and promoting stem cell self-renewal under normal circumstances. When myc is
66 overexpressed in a cell, it can cause increased genomic instability and promote carcinogenesis
67 (5, 6). Despite numerous studies, the mechanisms involved in myc-induced genomic instability
68 and transformation remain controversial. There are conflicting reports on the mechanism of myc-
69 induced genomic instability and transformation. Several studies suggest that myc-induced
70 genomic instability and carcinogenesis is a result of an overabundance of reactive oxygen
71 species (ROS) (7, 8). However, it has also been reported that myc overexpression can cause
72 DNA damage and transformation in the absence of ROS (6).

73

74 Over-expression of myc has been shown to induce apoptosis(9, 10). Until recently, apoptosis has
75 been widely recognized as an anti-carcinogenic process based on the assumption that it is utilized
76 by the host to eliminate damaged cells, including those suffering DNA damage(1, 11). However,
77 there has been increasing evidence that apoptosis may in fact been involved in promoting
78 carcinogenesis(12-14). Mammalian cells exposed to external stress can survive activation of the
79 apoptotic cascade and incur increased genetic instability and oncogenic transformation. Studies
80 show that mammalian cells that survive apoptosis experience increased mitochondria membrane
81 permeability (MOMP)(13) and sublethal caspase 3 activation(14), which lead to activation of

82 downstream endonucleases such as CAD and endoG, which in turn cause increased genetic
83 instability and oncogenic transformation.

84

85 In the current study, we carried out experiments to examine the potential roles of the cellular
86 apoptotic machinery in Myc-induced mutagenesis and carcinogenesis. We show sublethal
87 activation of caspase 3 and endonuclease G plays an essential role in Myc-induced genetic
88 instability and oncogenic transformation in human cells.

89

90 **Result & Discussion**

91 Previously we and others have shown that mammalian cells exposed to external stress such
92 radiation and chemicals could survive the activation of apoptotic caspases. Among the cells that
93 survive caspase activation, elevated DNA damage such as DNA double strand breaks were
94 observed. In this study, we set out to examine the hypothesis that sublethal activation of
95 apoptotic caspases are involved in Myc induced genetic instability. Our hypothesis is based on
96 the well-established evidence that Myc over-expression in mammalian cells promotes caspase
97 activation and cell death(5, 6).

98

99 To investigate the effects of Myc expression we used a recombinant lentivirus to transduce the
100 c-Myc gene under the control of a constitutively active CMV promoter into MCF10A cells, an
101 immortalized but not transformed human mammary epithelial cell line (15, 16). We reasoned
102 that if some of the Myc-expressing cells can survive caspase activation, they may possess higher
103 levels genomic instability, similar to those cells that were exposed to ionizing radiation(14). We
104 quantified Myc's ability to activate Casp3 by immunofluorescence staining of cleaved Casp3
105 (**Fig. 1A, B**). Roughly 6% of Myc-expressing MCF10A cells were observed with having

106 relatively normal nuclei and cleaved caspase 3, as compared to control MCF10A cells where
107 only ~1% over cells were observed with cleaved caspase 3. We also examined the relationship
108 between Casp3 activation and cellular survival by use of a reporter system described in a prior
109 publication(14). Our data indicate that irrespective of Casp3 activation status in the presence or
110 absence of Myc expression, 40% or more of the individually sorted MCF10A cells can form
111 colonies in 96-well plates (**Fig. 1-figure supplement 1**). Further flow cytometry analysis showed
112 that despite increased Casp3EGFP activities in Myc-expressing MCF10A cells, the levels of
113 Annexin V staining, a well-recognized marker of apoptosis, did not increase significantly (**Fig.**
114 **1-figure supplement 2**). Those data provide clear evidence that a significant fraction of
115 MCF10A cells can survive spontaneous or Myc-induced Casp3 activation.

116
117 To examine if Casp3 plays a causative role in Myc-induced genomic instability and
118 carcinogenesis, we generated MCF10A and BJ-1hTERT cells with *CASP3* gene knockout by use
119 of the CRISPR/Cas9 technology (**Figure 1C, Table 1-3**). Control and Casp3-deficient MCF10A
120 cells with and without exogenous Myc expression were then analyzed for both chromosome
121 aberrations and γ H2AX foci, two well established markers of genomic instability. In control
122 MCF10A cells, Myc overexpression caused significant increases in both the fraction of cells with
123 and the average numbers per cell of γ H2AX foci and chromosomal aberrations (**Fig. 1D-G,**
124 **Figure 1-figure supplement 3**). In contrast, Myc overexpression in Casp3-deficient cells
125 induced no increases in the numbers of either chromosome aberrations or γ H2AX foci when
126 compared to control MCF10A or *CASP3* knockout (*CASP3PKO*) cells without Myc
127 overexpression (**Fig. 1D-G, Figure 1-figure supplement 3**). To examine the relationship
128 between c-Myc expression and γ H2AX foci induction, we carried out immunofluorescence

129 staining of c-Myc and γ H2AX (**Fig. 1-figure supplement 4**). Our results indicate the c-Myc
130 expression was not homogeneous, perhaps a reflection of the silencing of the CMV promoter that
131 controlled c-Myc expression. Furthermore, γ H2AX foci presence did not always correlate with
132 high c-Myc expression, perhaps indicating a stochastic nature of γ H2AX foci induction.
133 However, Myc expression did have a strong influence on both the baseline and induced levels of
134 γ H2AX foci and their repair kinetics in MCF10A cells. A systematic analysis on the repair of
135 radiation induced γ H2AX foci showed that Myc expression caused not only higher background
136 levels of γ H2AX foci but also higher residual foci levels after a significant of the induced foci
137 were repaired. On the other hand, CASP3 knockout eliminated most of the basal and residual
138 levels of γ H2AX foci in MCF10A cells (**Figure 1-figure supplement 5**).

139
140 In order to rule out the possibility that our *CASP3KO* cells suffered off-target effects during the
141 generation process, we re-expressed Casp3 in *CASP3KO* MCF10A cells (**Figure 1-figure**
142 **supplement 6A**) and examined for Myc-induced γ H2AX foci. Our results indicate that Casp3 re-
143 expression restored Myc-induced DNA damage foci (**Figure 1-figure supplement 6B**). In a
144 parallel experiment, we expressed a dominant-negative *CASP3* gene (*dnCASP3*) in Casp3KO
145 cells. DnCasp3 differs from wild type Casp3 in only a single amino acid that eliminates its
146 cleavage activities(17). In contrast to wild type Casp3 re-expression, dnCASP3 re-expression
147 did not restore the ability of Myc to induce induce γ H2AX foci (**Figure 1-figure supplement**
148 **6C**).

149
150 In order to make sure that our observations so far are not restricted to MCF10A cells, we
151 generated *CASP3* gene knockout cells from hTERT immortalized BJ1 human fibroblast cells

152 (Figure 1-figure supplement 7A, Table 3) to assess the effects of Casp3 on myc-induced
153 genomic instability. Similar to MCF10A cells, we observed that Myc overexpression resulted in
154 statistically significant increases in γ H2AX foci (Figure 1-figure supplement 7B) and
155 chromosomal aberrations (Figure 1-figure supplement 7C) in cells overexpressing Myc.
156 However, such increases were almost completely eliminated in *CASP3KO* BJ1 cells (Figure 1-
157 figure supplement Fig. 7B, C), similar to *CAPS3KO* MCF10A cells.

158
159 Since Myc induced genomic instability is intimately associated with its ability to transform
160 mammalian cells, we investigated Myc-induced tumorigenicity in MCF10A cells. We initially
161 evaluated Myc induced tumorigenicity of MCF10A cells by use of the soft agar colony forming
162 assay, a well-establish assay that evaluates the anchorage independence ability of putative tumor
163 cells. Our results indicate that Myc overexpression in control MCF10A resulted in a significant
164 increase in the number of observed soft agar colonies (Fig. 2A, B). However, such increases
165 were completely absent in Casp3 knockout cells (Fig. 2A, B). The causative role for Casp3 in
166 this process was further demonstrated that in Casp3KO cells with Casp3 re-expression, the
167 ability of Myc to induce soft agar colony formation was restored (Fig. 2C). In control MCF10A
168 cells, expression of an exogenous Casp3 caused no increase in Myc-induced soft agar colony
169 formation (Fig. 2C).

170
171 In a further experiment, we examined the ability of Myc-transduced control or *CASP3KO* cells to
172 form tumors in nude mice. Despite injection of 4×10^6 cells each, only the group of nude mice
173 that were injected with Myc-transduced control MCF10A cell were able to form tumors after 8
174 weeks of observation (Fig. 2D), thereby confirming that both Myc over-expression and an intact
175 *CAPS3* gene are required for oncogenic transformation. A more detailed examination showed

176 that in Myc over-expressing MCF10A cells, 5 out of 8 injected sites formed tumors, albeit with
177 varying growth kinetics (**Fig. 2E**). The *in vivo* data here are striking in that Casp3 deficiency
178 completely blocked the ability of Myc to transform MCF10A cells. *In vitro*, although Casp3
179 deficiency significantly reduced Myc induced soft agar colony formation, it was not completely
180 blocked. The discrepancy between the *in vitro* and *in vivo* assays perhaps reflected the different
181 properties that the two assays are measuring.

182

183 We next sought to determine the downstream effectors of Casp3 in mediating Myc-induced
184 genomic instability and oncogenic transformation. In a previous study, endonuclease G (endo G),
185 an apoptotic endonuclease that normally resides within the mitochondria and migrates to the
186 nucleus after activation of apoptotic cascade(18, 19), is responsible for much of the Casp3
187 induced genomic instability after stress exposure. In order to evaluate if endoG is involved in
188 Myc-induced genomic stability, we determined the cellular location of endoG in control and Myc
189 expressing MCF10A cells by use of immunofluorescence staining. Our results show that there
190 was a significant increase in the fraction of cells with endoG nuclear migration in Myc
191 expressing MCF10A vs control cells (11% vs 2%, **Fig. 3A, B**). However, the increase was
192 completely eliminated in *CASP3* knockout cells (**Fig. 3B**).

193

194 In order to determine if endoG plays a causative role in Myc-induced genomic damage and
195 transformation, we created MCF10A cells with *ENDOG* gene knockout by use of CRISPR/Cas9
196 (**Fig. 3C**). We then evaluated the abilities of Myc to induce γ H2AX foci and oncogenic
197 transformation. Our results show that *ENDOG* deletion was able to eliminate both Myc-induced

198 γ H2AX foci (**Fig. 3D** and **Figure 3-figure supplement 1**) and oncogenic transformation as
199 evaluated by use of the soft agar colony forming assay (**Fig. 3E**).

200 To further determine the relationships among Myc expression, CASP3 status, ENDOG status,
201 and apoptosis, we carried out flow cytometry analysis of Annexin V and PI (propidium iodine)
202 staining, which allowed for the identification of different stages of cellular apoptosis. Our results
203 (**Fig.3-figure supplement 2**) indicate that CASP3KO caused small reductions in both Annexin
204 V+ and Annexin V+/PI+ MCF10A cells when compared with control cells. However, ENDOG
205 knockout caused small increases in fractions of Annexin V+/PI- and Annexin V-/PI+ cells when
206 compared with control cells. Myc expression, on the other hand, caused increases in fractions of
207 Annexin V+/PI+ cells in all three cell populations. Overall, while the relative changes could be
208 sizable, the absolute changes caused by the knockouts or Myc expression in terms of PI+ or
209 Annexin V+ cells were small.

210
211 Increased ROS has been previously (refs) implicated in Myc-induced carcinogenesis. Our data so
212 far has suggested strongly the Casp3 activation and endoG release from the mitochondria played
213 decisive roles in Myc-induced carcinogenesis. In order to determine if ROS levels in MCF10A
214 cells correspond with oncogenic transformation, we did DCFDA-based flow cytometry analysis
215 ROS levels in various MCF10A-derived cells (**Fig. 3-figure supplement 3**). Our results indicate
216 that CASP3 or ENDOG knockout caused small increase and decrease in MCF10A cells (**Fig.3-**
217 **figure supplement 3**, top panels), respectively. Forced MYC expression increased ROS in wild
218 type MCF10A cells but not in CASP3KO or ENDOGKO MCF10A cells (**Fig. 3-figure**
219 **supplement 3**, mid-panels). Further, Myc was able to induce significantly more ROS in wild
220 type MCF10A cells than CASP3KO cells, but about equal levels of ROS in wild type vs

221 ENDOGKO cells (**Fig.3-figure supplement 3**, lower panels). Those data suggest that although
222 ROS production appeared to track with carcinogenesis in wild type and CASP3KO cells, it did
223 not in ENDOGKO cells.

224
225 In order to determine whether Casp3 activation and endoG release is the result of partial damage
226 of many mitochondria vs severe damage to a small number of mitochondria, a quantitative PCR
227 (qPCR) analysis of cytoplasmic mitochondrial DNA (mtDNA) levels was done (**Fig.3-figure**
228 **supplement 4**). Our results indicate that MYC expression in MCF10A cells caused a significant
229 increase in cytoplasmic mtDNA levels, indicating that a significant fraction of mitochondria had
230 compromised membrane integrity. On the other hand, CASP3KO significantly reduced
231 cytoplasmic mtDNA levels in MCF10A cells with or without Myc over expression. While we are
232 not clear the exact cause of this, we speculate that Casp3 and other upstream factors that promote
233 mitochondrial leakage form a positive loop to promote mitochondrial leakage with or without
234 Myc expression.

235
236 To further examine if endoG leakage from the mitochondria and migration is sufficient to cause
237 genomic instability and oncogenic transformation, we engineered a modified endoG protein
238 where the native mitochondrial localization signal is switched to a nuclear localization signal
239 (NLS-EndoG, **Fig. 4A**) and transduced it into MCF10A*CASP3KO* cells with or without Myc
240 expression (**Fig. 4B**). Immunofluorescence staining confirmed the nuclear localization of the
241 engineered endoG (**Fig. 3C**). We then determined the incidence of γ H2AX foci in transduced
242 cells. Our results indicate the NLS-endoG expression restored the depleted γ H2AX foci

243 induction by Myc in *CASO3KO* cells (**Fig. 4D**). In fact, NLS-endoG alone was sufficient to
244 induce γ H2X foci in *CASP3KO* cells to levels induced by Myc (**Fig. 4D**).

245
246 We next examined the influence of NLS-EndoG on oncogenic transformation by use of the soft
247 agar assay. Our results show that NLS-EndoG restored the ability of Myc to induce oncogenic
248 transformation in Casp3 knockout MCF10A cells (**Fig. 4E**). However, NLS-EndoG expression
249 alone was not sufficient to induce oncogenic transformation despite its ability to induce DNA
250 damage foci to levels similar to Myc expression (**Fig. 4E**). Those results suggest while endoG
251 nuclear migration is a necessary condition for Myc induced oncogenic transformation, it is not
252 sufficient by itself. Additional activities of Myc are clearly required in the transformation
253 process.

254 A further tumor formation experiment was conducted in nude mice to examine the role of NLS-
255 EndoG in oncogenic transformation. NLS-EndoG restored the ability of Myc to induce
256 oncogenic transformation in *CASP3KO* MCF10A cells (**Fig. 4F**), with 5 out of 8 inoculations
257 formed tumors. On the other hand, NLS-EndoG alone was not able to make MCF10A cells with
258 *CASP3* gene knockout to become tumorigenic, consistent with results from soft agar colony
259 formation assay (**Fig. 4E**).

260
261 Despite being one of the first oncogenes identified and having numerous studies dedicated to
262 discovering its roles in cancer biology, there are still many gaps in our knowledge about Myc.
263 The present study provides significant new insights into the roles of Myc in carcinogenesis. In
264 particular, it resolves two apparently paradoxical observations regarding Myc: its ability to
265 stimulate apoptosis and to induce genomic instability and oncogenic transformation. In many

266 instances, such as in the case of p53, apoptosis induction is anti-carcinogenic due to its ability to
267 remove damaged cells from the body. However, in the case of Myc, the apoptotic machinery, is
268 exploited by Myc as a vehicle to cause genomic instability and induce oncogenic transformation.
269 Most strikingly, our data suggest that Casp3 and Endo G, two well established apoptosis
270 effectors, are activated and required for Myc induced oncogenic transformation. This finding
271 suggest that Myc-induced activation of apoptosis, instead of being a result of Myc induced
272 cellular stress, is actually part and parcel of Myc's capacity to induce mammalian
273 transformation.

274

275 One important piece of information that remain missing is how Myc induces mitochondrial
276 leakage and Casp3 activation. While we do not have any experimental evidence at present, it is
277 possible that deregulation in mitochondrial biogenesis, which is known to be stimulated by Myc,
278 may be responsible for it, as suggested previously(20-22). This possibility should be investigated
279 in future studies since it may holds the key to Myc's powerful oncogenic abilities.

280 On the surface our discovery appears to be contrary to the established paradigm that apoptosis is
281 a key barrier for carcinogenesis(1). However, it is consistent with an increasing body of literature
282 that suggest a pro-oncogenic role for apoptosis and some apoptotic factors (12-14). The key
283 conceptual advance in the studies is the realization of that cells exposed to stress can survive
284 caspase activation (12-14, 23, 24). The observation of such survival in development (23),
285 chemical exposure (12, 13), and radiation exposure (14) indicate that it is a wide spread
286 phenomenon. Our observation of cells surviving Myc induced caspase activation is a significant
287 extension of those earlier observations and may provide important insights into the relationship
288 between apoptosis and oncogene-induced transformation beyond that of Myc.

289

290 Taken together, our study provides crucial evidence that Casp3 and endo G, two key factors in
291 the canonical apoptosis pathway, play essential, facilitative roles in Myc-induced oncogenic
292 transformation.

293 **Materials and Methods**

294 *Cell Lines and Tissue Culture*

295 Early passage, immortalized, non-transformed human breast epithelial cell line, MCF10A, was a
296 kind gift from Dr. Hatsumi Nagasawa of Colorado State University (Fort Collins, CO). MCF10A
297 growth medium was composed of Dulbacco's modified Eagle's medium (DMEM)/F12 (Sigma)
298 supplemented with 5% donor horse serum (Sigma), 20 ng/ml of epidermal growth factor (EGF;
299 R&D Systems), 0.5 µg/ml hydrocortisone (Sigma), 100 ng/ml cholera toxin (Sigma), 10µg/ml
300 insulin (Invitrogen), and 100 units/ml penicillin and 100 µg/ml streptomycin. hTERT
301 immortalized, non-transformed human fibroblast cell line, hTERT BJ-1, was a kind gift from Dr.
302 Takamitsu Kato of Colorado State University. hTERT BJ-1 growth medium was composed of
303 DMEM supplemented with 10% fetal bovine serum (Sigma) and 100 units/ml penicillin and 100
304 µg/ml streptomycin. The identities of both MCF10A and hTERT-BJ1 were authenticated
305 through STR profiling methods. Throughout the course of the experiments, the cell were also
306 checked periodically for the absence of mycoplasma contamination.

307

308 *γH2AX foci assay*

309 For γH2AX foci assays, the wells were synchronized in G1 using the well-established double-
310 thymidine block protocol(25). Briefly, cells were plated on glass bottom 35mm petri dishes
311 (MatTek, Ashland, MA) and cultured with growth medium for overnight. They were incubated
312 with 2mM thymidine for 18 hours, washed 2x with PBS, and incubated for 10-12 hours in
313 growth media. They were then incubated for 15-18 hours with 2 mM thymidine. After
314 synchronization, cells were fixed with 4% PFA and permeabilized and blocked in PBS
315 containing 0.1% Triton X-100, 5% goat serum, and 1% BSA. Cells were incubated with a

316 primary antibody against γ H2AX (Upstate Biotechnology, Lake Placid, NY), wash with PBS and
317 incubated with a secondary antibody conjugated with Alexa Fluor® 488 (Invitrogen). Cells were
318 mounted with mounting medium (Vector Laboratories) containing DAPI. Fluorescent images of
319 γ H2AX were acquired with a Zeiss fluorescence microscope with a 63x oil objective (Axio
320 Observer Z1). For each experimental group we observed 150 cells in triplicate.

321 *Chromosome Aberration Analysis*

322 We carried out chromosome aberration analysis in cultured cells following an established
323 protocol(26). We analyzed for various chromosome/chromatid aberrations that include
324 breaks/gaps, dicentrics, centric/acentric rings, and translocations. Each data points represent data
325 from 50 cells in triplicate.

326 327 *CRISPR/Cas9-mediated gene knockout and lentivirus production.*

328 We make various tumor cells deficient in various genes by use of the CRISPR/Cas9 technology.
329 Single guided RNA (sgRNA) sequences targeting the genes were generated with the use of a free
330 online CRISPR design tool (crispr.mit.edu). The sgRNA sequences used were listed in **Table 2**.
331 Annealed double stranded sgRNA oligos were ligated into the lentiCRISPR vector (27)
332 (deposited by Dr. Feng Zhang to Addgene, Cambridge, MA) at BsmBI site, which co-express
333 cas9 and sgRNA in the same vector. The constructed CRISPR lentivirus vectors were then
334 packaged according to a standard protocol. To produce lentiviral vectors, lentiviral plasmids with
335 the target genes were transduced into 293T cells together with second generation packaging
336 plasmids (psPAX2, pMD2.G) following previously published procedures:
337 <http://tronolab.epfl.ch/lentivectors>.

338 *Immunofluorescence staining*

339 Cells were cultured on glass-bottom 35mm petri dishes. Cells were fixed with 4%
340 paraformaldehyde (PFA) in PBS for 15 min, permeabilized and blocked with PBS containing 5%
341 goat serum, 0.1% Triton X-100, and 1% bovine serum albumin (BSA) for 45 min. Fixed cells
342 were incubated with primary antibodies for cleaved Caspase-3, γ H2AX, or EndoG overnight at
343 4C, followed by incubation with appropriate Alexa Fluor® 488-conjugated secondary antibodies
344 (Invitrogen, Carlsbad, CA) for 1 h and mounted with mounting medium (Vector Laboratories,
345 CA) containing DAPI. Fluorescent images were acquired with a Zeiss fluorescence microscope
346 with a 63x oil objective (Axio Observer Z1).

347 *Soft-agar assay*

348 The soft agar assay was carried out following an established procedure(28). About 1×10^4
349 MCF10A cells in growth medium were plated into 6-well plates with 1.5 ml 0.3% (m/v) low-
350 melting agar (BD, Sparks, MD, which was overlaid onto 1.5 ml 0.6% (w/v) bottom agar layer.
351 Soft-agar colonies were maintained at 37°C and fed twice a week by drop-wise addition of
352 growth medium for colony formation. After 21 days in culture, the colonies were counted after
353 staining with 0.005% crystal violet.

354 *Flow cytometry-based analysis of ROS*

355 In order to measure reactive oxygen species, the cells were labeled with DCFDA (20 μ M)
356 according to the manufacturer's instruction that comes with the ROS kit (Abcam). The cells were
357 then analyzed by use of flow cytometry. *Q-PCR analysis of mtDNA*

358 *Q-PCR analysis of mtDNA*

359 To measure cytoplasmic mtDNA in various MCF10A derived cells, the cytoplasmic fraction of
360 the cellular lysates were isolated according to a published protocol(29). To quantify mtDNA, a
361 segment of the mtND5 gene was amplified by use of the primer pair(30): forward 5' -

362 ACGAAAATGACCCAGACCTC-3' , rev 5' -GAGATGACAAATCCTGCAAAGATG-3'
363 through Q-PCR. A pair of primers for 18s rDNA (Forward: 5'-
364 TAGAGGGACAAGTGGCGTTC-3' Reverse: 5'-CGCTGAGCCAGTCAGTGT-3') was used as
365 control.

366 *Tumor formation in nude mice*

367 Animal experiments conducted in this study were approved by the Duke University Institutional
368 Animal Use and Care Committee (protocol# A195-14-08). To confirm the tumorigenicity of myc
369 overexpressing MCF10A cells, about 4×10^6 cells were injected subcutaneously into the flanks
370 of 6-8 week-old, female athymic nude mice (Jackson Laboratories) in 50 μ l of 1:1 Matrigel
371 (Corning) and PBS. After inoculation, the growth of tumors was evaluated once a week for 8
372 weeks.

373 *Statistical analysis*

374
375 Student's t-test was used to evaluate the significance of differences between different
376 experimental groups. In most cases, a P-value of less than 0.05 was deemed as significant while
377 a P-value of more than 0.05 was deemed not significant.

378

379

380

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481

482 **Figure Legends**

483 **Fig. 1 Requirement for Casp3 in Myc-induced genomic instability.**

484 (A) Representative immunofluorescence staining of MCF10A cells with cleaved caspase 3
485 (green) and DAPI (blue). Scale bar represents 10 μ m.

486 (B) The proportion of nonapoptotic MCF10A cells presenting with normal nuclear morphology
487 and cleaved caspase 3 signal.

488 (C) Western blot analysis of Caspase-3 status in MCF10A cells with or without *CASP3* gene
489 knockout.

490 (D) Representative immunofluorescence γ H2AX foci (green) and DAPI staining in MCF10A
491 cells with wild type (left panel) and *CASP3KO*. Scale bar represents 20 μ m.

492 (E) Fraction of cells which stained positive for a γ H2AX foci in control and Casp3-deficient
493 MCF10A with or without exogenous expression of Myc, n=3.

494 (F) A representative image of chromosome staining in MCF10A cells. A dicentric chromosome
495 is indicated by an arrow.

496 (G) Fraction of cells containing at least one chromosome aberration in control and Casp3-
497 deficient MCF10A with or without exogenous expression of Myc.

498 In B, E, & G, error bars represent standard error of the mean (SEM), * indicates a P value <
499 0.01, ** indicates a P value > 0.1, Student's t-test, n=3. For B, E, each data point was derived
500 from the average of three triplicate groups of 150 cells each. In G, each data point was derived
501 from the average of three triplicate groups of 50 cells each.

502

503 **Fig. 1-figure supplement 1. Clonogenic abilities of control and Myc-expressing MCF10A**
504 **cells with high and low Casp3 reporter (Casp3GFP) activities after being individually**
505 **sorted into 96-well plates by use of FAC based on their reporter activities.**

506

507 **Fig.1-figure supplement 2. Flow cytometry analysis of annexin v-PE staining in Casp3-**
508 **GFP transduced MCF10A cells with or without Myc expression.** There was not a significant
509 increase in annexin V-high cells in Casp3-GFP high cells.

510 **Figure 1-figure supplement 3. Additional data for the influence of Casp3 on Myc induced**
511 **genetic instability in MCF10A cells.**

512 (A) Average number of γ H2AX foci per cell in Myc transduced MCF10A cells with or without
513 *CASP3* gene ablation.

514 (B) Average number of chromosomal aberrations per cell in Myc transduced MCF10A cells
515 with or without *CASP3* gene ablation.

516

517 Error bars represent standard error of the mean (SEM). *, $p > 0.05$; **, $p < 0.05$; Students t-test,
518 $n = 3$.

519 In A, each data point was derived from the average of three triplicate groups of 150 cells each.

520 In B, each data point was derived from the average of three triplicate groups of 50 cells each.

521

522 **Fig. 1-figure supplement 4. Immunofluorescence co-staining of vector control and Myc-**
523 **transduced MCF10A cells.** Notice the heterogeneous nature of Myc expression.

524

525 **Fig.1- figure supplement 5. DNA double strand repair kinetics in MCF10A cells with**
526 **various genetic backgrounds** Cells were synchronized and irradiated with 3Gys of x-rays. At
527 different time points after radiation exposure, they were fixed and stained with fluorescence-
528 labeled γ H2AX antibody. The fraction of cells with γ H2AX foci were then enumerated from
529 fluorescence images. MCF10A Myc vs Casp3KO Myc, ** $p < 0.001$, $n = 5$, Student's t-test.

530

531 **Fig. 1-figure supplement 6. Additional data confirming the role of Casp3 in mediating Myc-**
532 **induced genomic instability.**

533 (A) Western blot confirmation of Myc over-expression and re-expression Casp3 in Casp3KO
534 cells.

535 (B) The effect of Casp3 re-expression on Myc-induced γ H2AX foci in MCF10A-CASP3KO
536 cells.

537 (C) The effect of dominant-negative Casp3 (C163A mutation) on Myc-induced γ H2AX foci in
538 MCF10A-*CASP3KO* cells.

539

540 Error bars represent standard error of the mean (SEM). *, $p > 0.05$; **, $p < 0.05$. In B & C, each
541 data point was derived from the average of three triplicate groups of 150 cells each.

542

543 **Figure 1-figure supplement 7. Role of Casp3 in Myc induced genetic instability in BJ-1**
544 **human fibroblasts.**

545 (A) Western blot showing *CASP3* gene knockout in immortalized human BJ1 fibroblasts.

546 (B) Quantitative estimate of Myc induced γ H2AX foci in control and *CASP3* knockout BJ1
547 fibroblasts.

548 (C) Quantitative estimate of Myc induced chromosomal aberrations in control and *CASP3*
549 knockout BJ1 human fibroblast cells.

550 In B, C, error bars represent SEM. * $p > 0.05$, ** $p < 0.001$, Student's t-test, $n=3$. In B, each data
551 point was derived from the average of three triplicate groups of 150 cells each. In C, each data
552 point was derived from the average of three triplicate groups of 50 cells each.

553

554

555 **Fig 2. Requirement for Casp3 in Myc-induced transformation.**

556 (A) Depicts colonies which grew in soft agar

557 (B) Average number of colonies in soft agar in control and Casp3 deficient cells with or without
558 Myc expression.

559 (C) Average number of colonies in soft agar in wild type and Casp3 knockout MCF10A cells
560 with Casp3 re-expression in the absence or presence of Myc over-expression.

561 (D) Tumor growth from control, Myc-overexpressing, and Casp3KO cells with Myc over-
562 expression in nude mice.

563 (E) Individual tumor sizes in nude mice from wild type cells with Myc over-expression.

564 The error bars in B, D, and E represent standard error of the mean (SEM). * Indicates p value < 0.001 , **
565 indicates p value $< 1e^{-5}$, *** indicates a P value > 0.1 . Student's t-test was used to calculate the p -
566 values in B & C. $N=3$ for B & C. $N=5$ for D.

567 **Figure 3. Requirement of EndoG in Myc-induced genomic instability and transformation.**

568 (A) Immunofluorescence staining of MCF10A with antibodies to EndoG (green),
569 mitochondria (Orange) and DAPI (blue). Scale bar represents 20 μ m.

570 (B) Fraction of MCF10A cells with activated EndoG (EndoG signal within the nucleus). Error
571 bar indicates SEM.

572 (C) Western blot analysis fo EndoG expression in wild type or endoG knockout MCF10A cells.
573 (D) Fraction of cells which stained positive for a γ H2AX foci in control and EndoG-deficient
574 MCF10A with or without exogenous expression of Myc.
575 (E) Influence of endoG status on Myc-induced transformation of MCF10A cells, as indicted by
576 soft agar (SA) colony formation.
577 * Indicates P value <0.001, **p>0.05. Student's t-test in B, D, E. Error bars represent SEM. In
578 B, D, each data point was derived from the average of three triplicate groups of 150 cells
579 each. In E, n=3.

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581

582 **Figure 3-figure supplement 1.** Additional data showing the average number of γ H2AX foci per
583 cell in control and EndoG deficient MCF10A cells with or without exogenous expression of
584 Myc. Error bar indicates SEM. * indicates p <0.01, Student's t-test, n=3. Each data point was
585 derived from the average of three triplicate groups of 150 cells each.

586
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588 **Fig. 3-figure supplement 2. Flow cytometry analysis of various MCF10A cells for PI and**
589 **annexin V staining to quantitate the fraction of cell undergoing early (lower right**
590 **quadrants), late (top right quadrants) apoptosis, and necrosis (top left quadrants).**

591

592 **Fig 3- figure supplement 3. Flow cytometry level analysis of reactive oxygen species (ROS)**
593 **levels in various MCF10A cells.** Top panels. ROS levels in MCF10A vs CASP3KO cells (left)
594 and MCF10A vs ENDOGKO cells (right). Lower panels, ROS levels in wild type (left),
595 CASP3KO (middle), and ENDOGKO (right) MCF10A cells with or without Myc expression.

596

597 **Fig.3-figure supplement 4. Relative levels of mitochondrial DNA that leaked into the**
598 **cytoplasm in control as wells genetically modified MCF10A cells.** Cytoplasmic mtND5 of
599 indicated cells were analyzed by use of quantitative RT-PCR. The levels of 18S rDNA were also

600 determined to serve as genomic DNA control. Error bars represent standard error of the mean
601 (SEM), n=3, p values derived from Student's t-test.

602

603

604 **Figure 4. A nucleus-located EndoG restores Myc induced transformation in Casp3-**
605 **deficient cells.**

606 (A) A diagram showing a re-engineered endoG with a nucleus localization signal (NLS) at its
607 tagged N-terminal.

608 (B) Western blot demonstrating exogenous myc and NLS-EndoG expression by use of an anti-
609 HA antibody.

610 (C) Immunofluorescence staining of EndoG (green), mito marker (red), and DAPI (blue) in
611 NLS-EndoG transduced *CASP3KO* cells. Scale bar indicates 20 μ m.

612 (D) Average number of γ H2AX foci per cell in MCF10A with or without nEndoG in the
613 absence or presence of Myc or Casp3KO.

614 (E) The influence of nEndoG on soft agar formation in MCF10A-Casp3KO cells with or
615 without Myc gene expression.

616 (F) Xenograft tumor formation in nude mice from MCF10A-Casp3KO cells with NLS-EndoG
617 (nEndoG) and/or Myc expression.

618 In D, E, F error bars represent standard error of the mean (SEM), * indicates P value <0.001
619 while ** Indicates P value >0.1. Student's t-test. In D, each data point was derived from the
620 average of three triplicate groups of 150 cells each. In E, n=3. In F, n=5.

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624 **Source Data Titles**

625 Source data, in MS Excel format, are available for various figures. These include:

626 Fig.1-source data 1. Data for Figure 1B.

627 Fig. 1-source data 2. Data for Figure 1E.

628 Fig.1 -source data 3. Data for Figure 1G.

629 Fig.1-source data 4. Data for Figure 1-figure supplement 3A.

630 Fig.1-source data 5. Data for Figure 1-figure supplement 3B.

631 Fig.1-source data 6. Data for Figure 1-figure supplement 5.

632 Fig.1-source data 7. Data for Figure 1-figure supplement 6B.

633 Fig.1-source data 8. Data for Figure 1-figure supplement 6C.

634 Fig.1-source data 9. Data for Figure 1-figure supplement 7B.

635 Fig.1-source data 10. Data for Figure 1-figure supplement 7C.

636 Fig.2-source data 1. Data for Figure 2B.

637 Fig. 2-source data 2. Data for Figure 2C.

638 Fig. 2-source data 3. Data for Figures 2D&E

639 Fig.3-source data 1. Data for Figure 3B.

640 Fig. 3-source data 2. Data for Figure 3D.

641 Fig. 3-source data 3. Data for Figure 3E.

642 Fig. 3-source data 4. Data for Figure 3-figure supplement 1.

643 Fig. 3-source data 5. Data for Figure 3-figure supplement 4.

644 Fig. 4-source data 1. Data for Figure 4D.

645 Fig.4-source data 2. Data for Figure 4E.

646 Fig. 4-source data 3. Data for Figure 4F.

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Table 1. Primary antibodies used in this study.

Target protein	Antibody source	Clone information
γ H2AX (Ser139)	Upstate Biotechnology	JBW301, Mouse mAb
Caspase-3 (full length)	Cell Signaling Technology	8G10 , Rabbit mAb
Caspase-3 (cleaved,Asp175)	Cell Signaling Technology	5A1E, Rabbit mAb
EndoG	Chemicon	Rabbit polyclonal
HA epitope	Novus Biologicals	Goat polyclonal
β -Actin	Novus Biologicals	Mouse mAb
c-Myc	Cell Signaling Technology	Rabbit mAb
Mito Marker	Thermo Fisher Scientific	N/A

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Table 2. Single guided RNA (sgRNA) sequences used in this study

Gene	Accession	sgRNA oligo(5`-3`)*	Targeted Exon
CASPASE3	NC_000004	CACCGcatacatggaagcgaatcaa AAACTtgattcgcttccatgtatgC	Exon4
CASPASE3	NC_000004	CACCGggaagcgaatcaatggactc AAACgagtccattgattcgcttccC	Exon4
EndoG	NC_000009	CACCGgggctgggtgcggtcgtcga AAACtcgacgaccgcacccagcccC	Exon1
EndoG	NC_000009	CACCGcgacttccgcgaggacgact AAACagtcgtcctcgcggaagtgcC	Exon1

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*Capital letters: enzyme overhangs; non-capital letters: sgRNA target guide sequence

664 **Table 3. Mutations at target sequences in various CRISPR knockout MCF10A and BJ-1**
 665 **hTERT cells**

666

		5'.....3'	Mutation
Casp3 KO	MCF10A	Clone1: AAAGATCATACATGGAAGCGAATCAATGGA ----- ATAT Casp3: AAAGATCATACATGGAAGCGAATCAATGGACTCTGGAATAT	7bp deletion
Casp3 KO	BJ-1hTERT	Clone28: AAAGATCATACATGGAAGCGAATCAATG --- deletion----- Casp3: AAAGATCATACATGGAAGCGAATCAATGGACTCTGGAATAT	193bp deletion
EndoG KO	MCF10A	Clone13: ----- deletion----- EndoG: TGCCACCAACGCCGACTACCGCGCAGTGGCTTCGACCGCG	169 bp deletion

667

668 **Note:** Red: sgRNA sequence; Yellow: PAM sequence; Bold: insertion sequence; -: deletion sequence. In
 669 all cases, knockout clones that showed both clear absence of target protein expression and gene mutations
 670 were chosen. In addition, in most cases, only those clones with homozygous mutations (where both
 671 copies of the gene showed the same mutation) were chosen for convenience.

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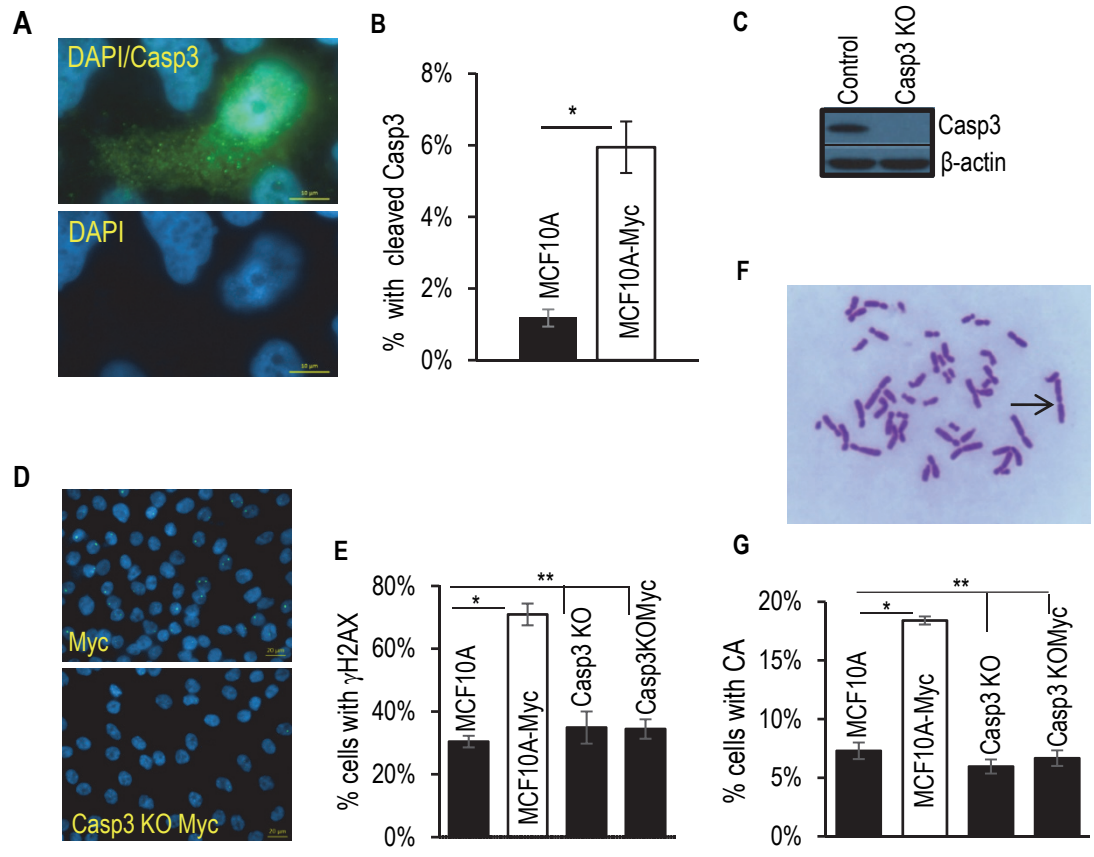


Fig. 1

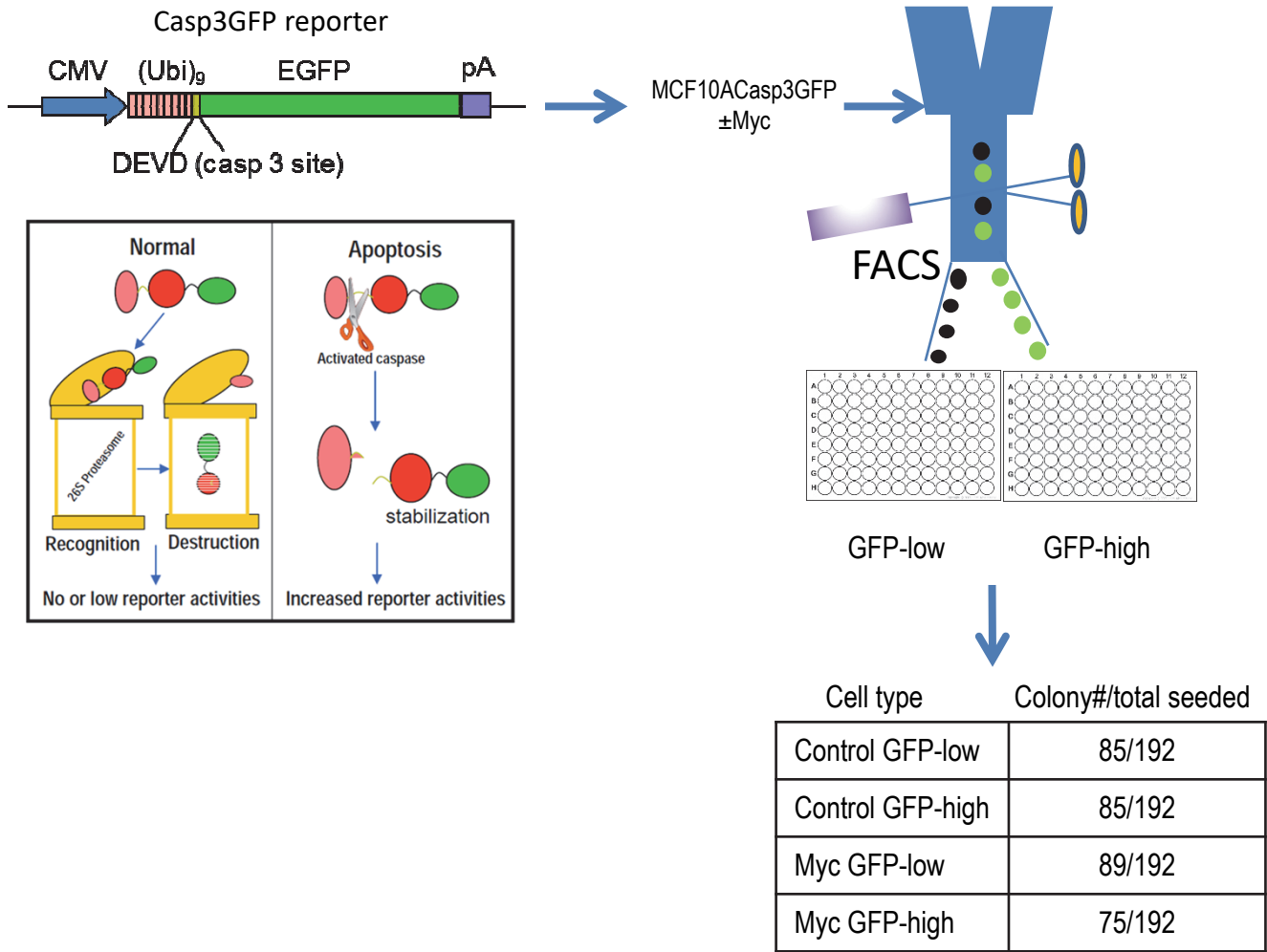


Fig.1-figure supplement 1

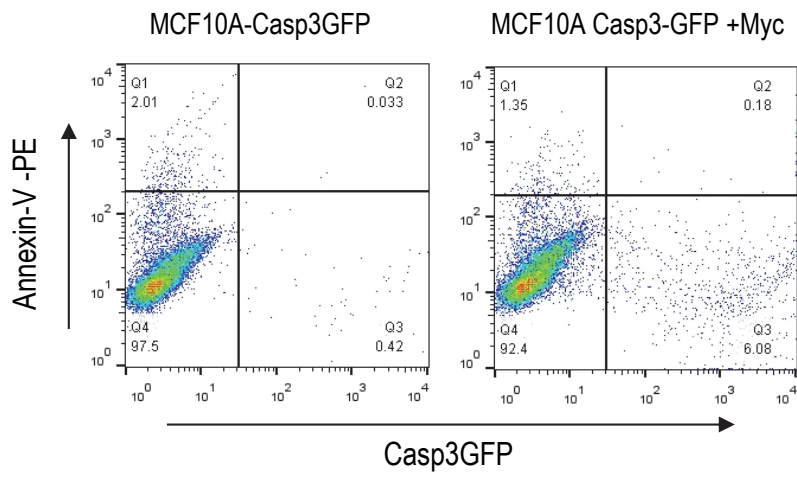
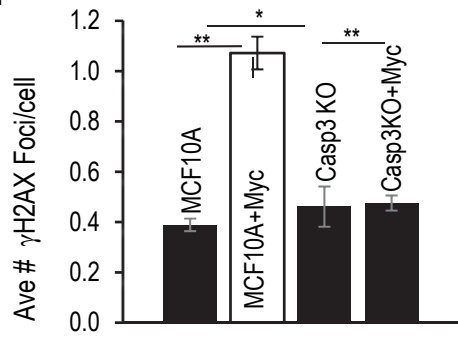


Fig.1-figure supplement 2

A.



B.

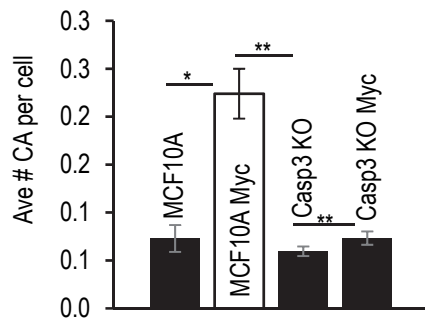


Fig.1-figure supplement 3

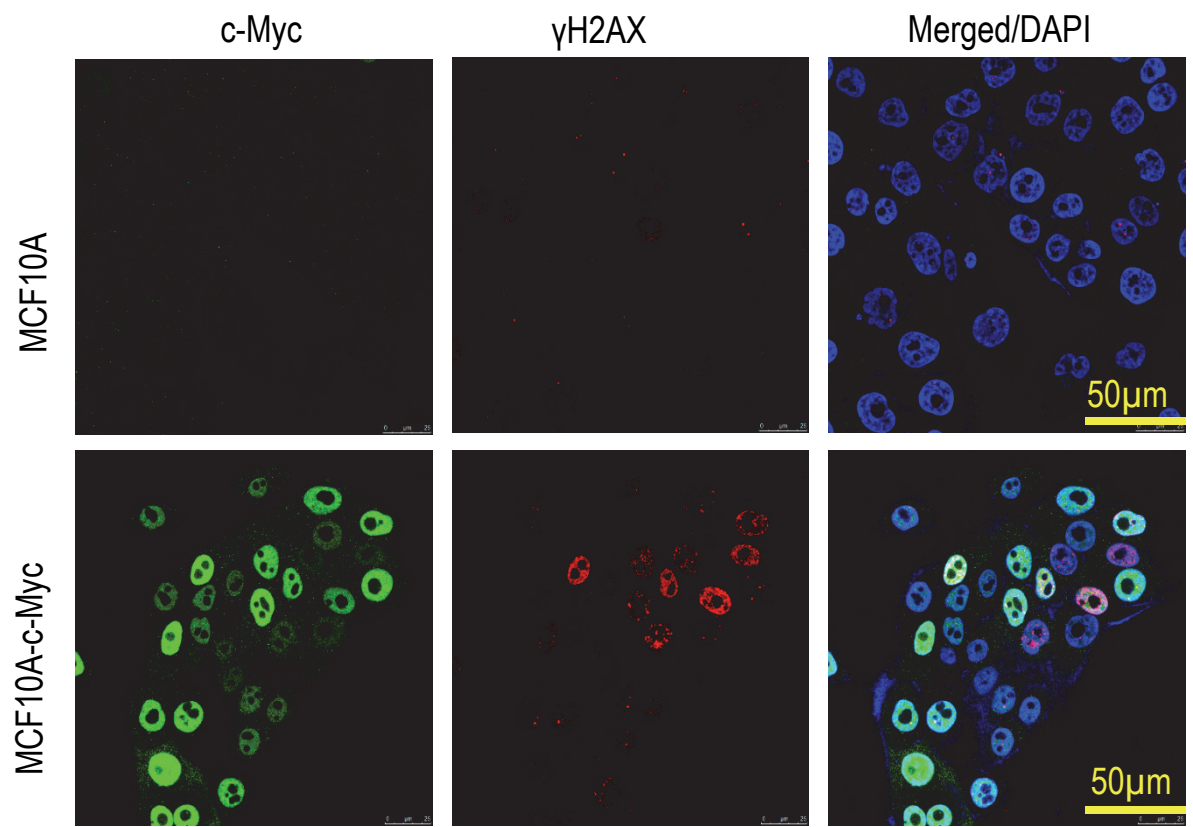


Fig.1-figure supplement 4

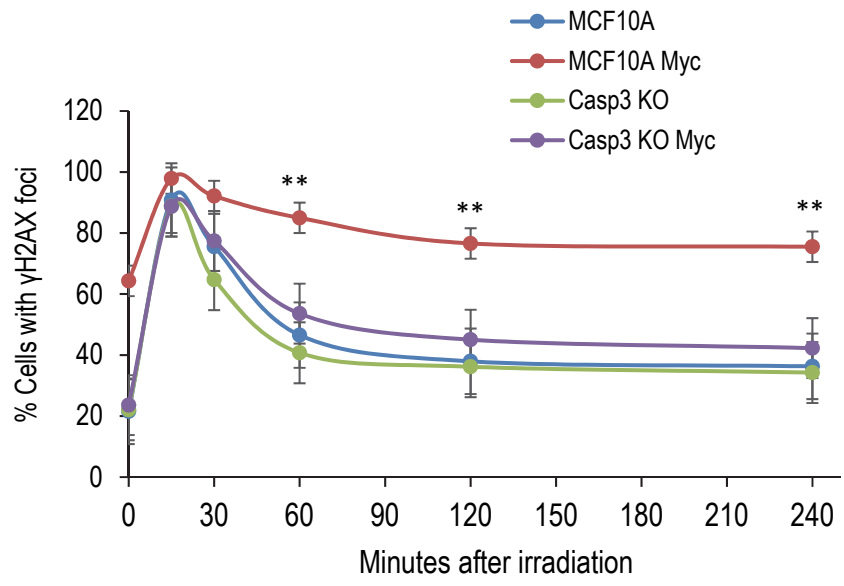


Fig.1-figure supplement 5

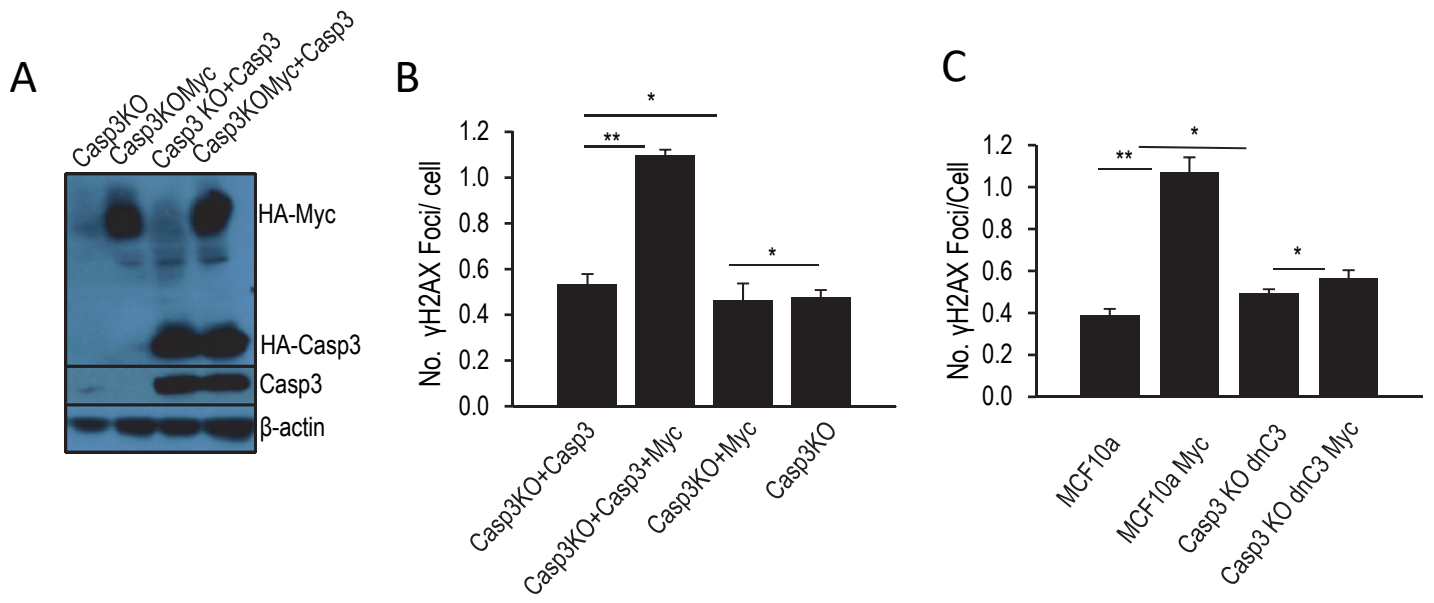


Fig.1-figure supplement 6

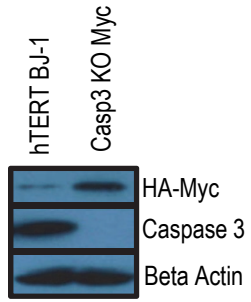
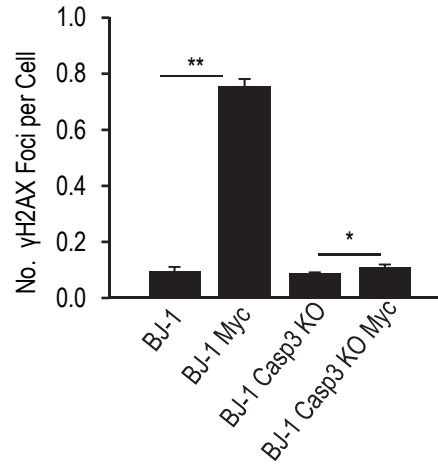
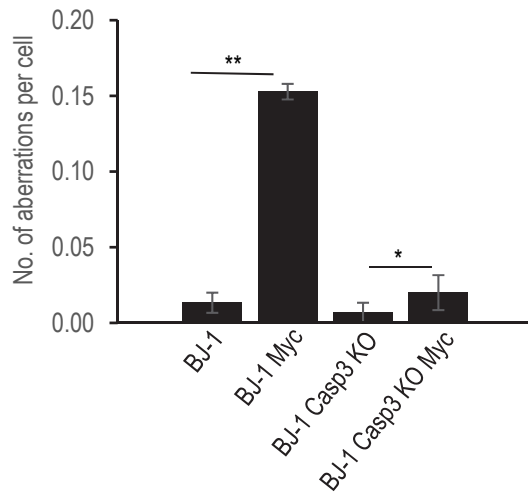
A.**B.****C.**

Fig.1-figure supplement 7

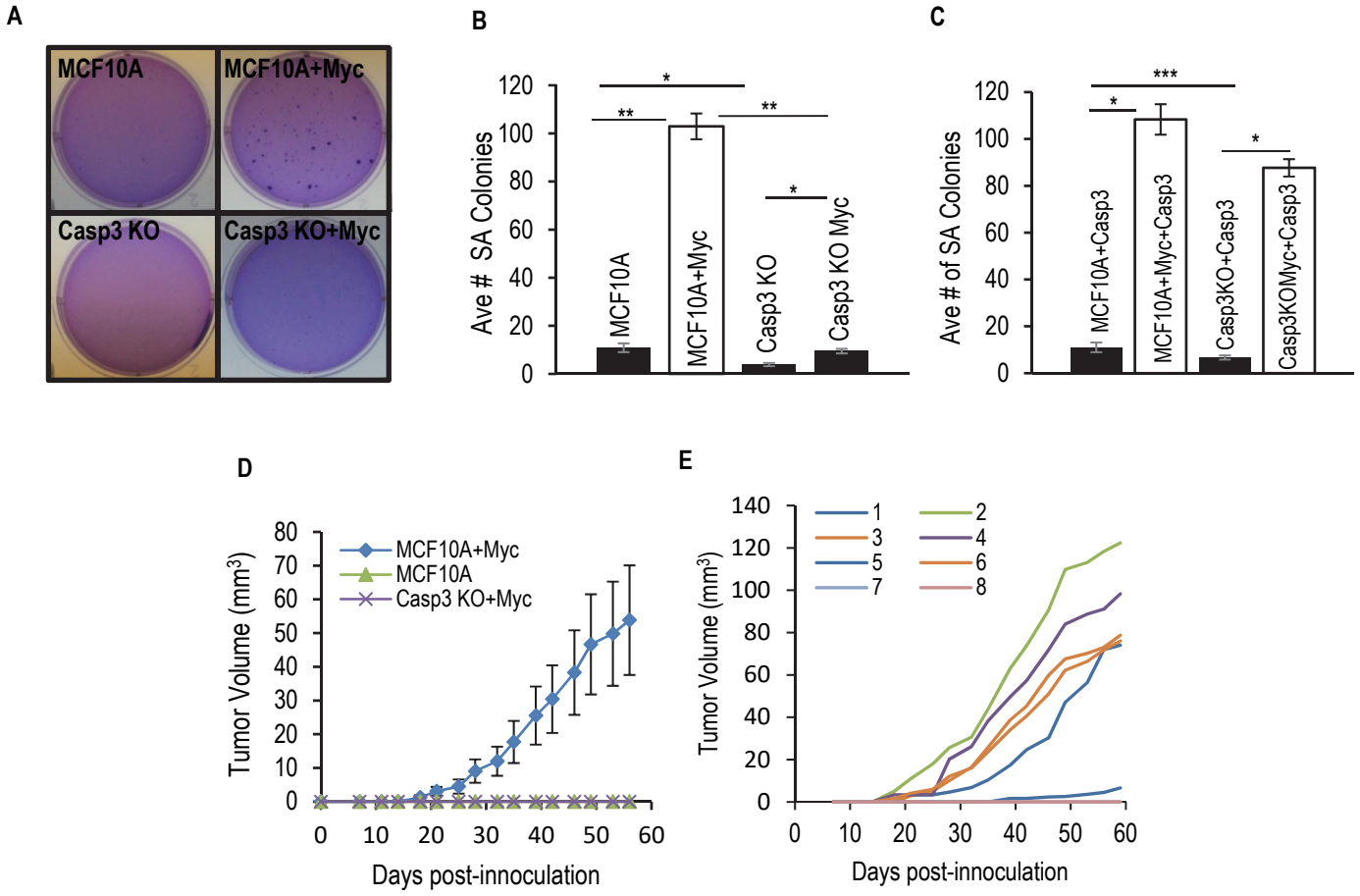


Fig.2

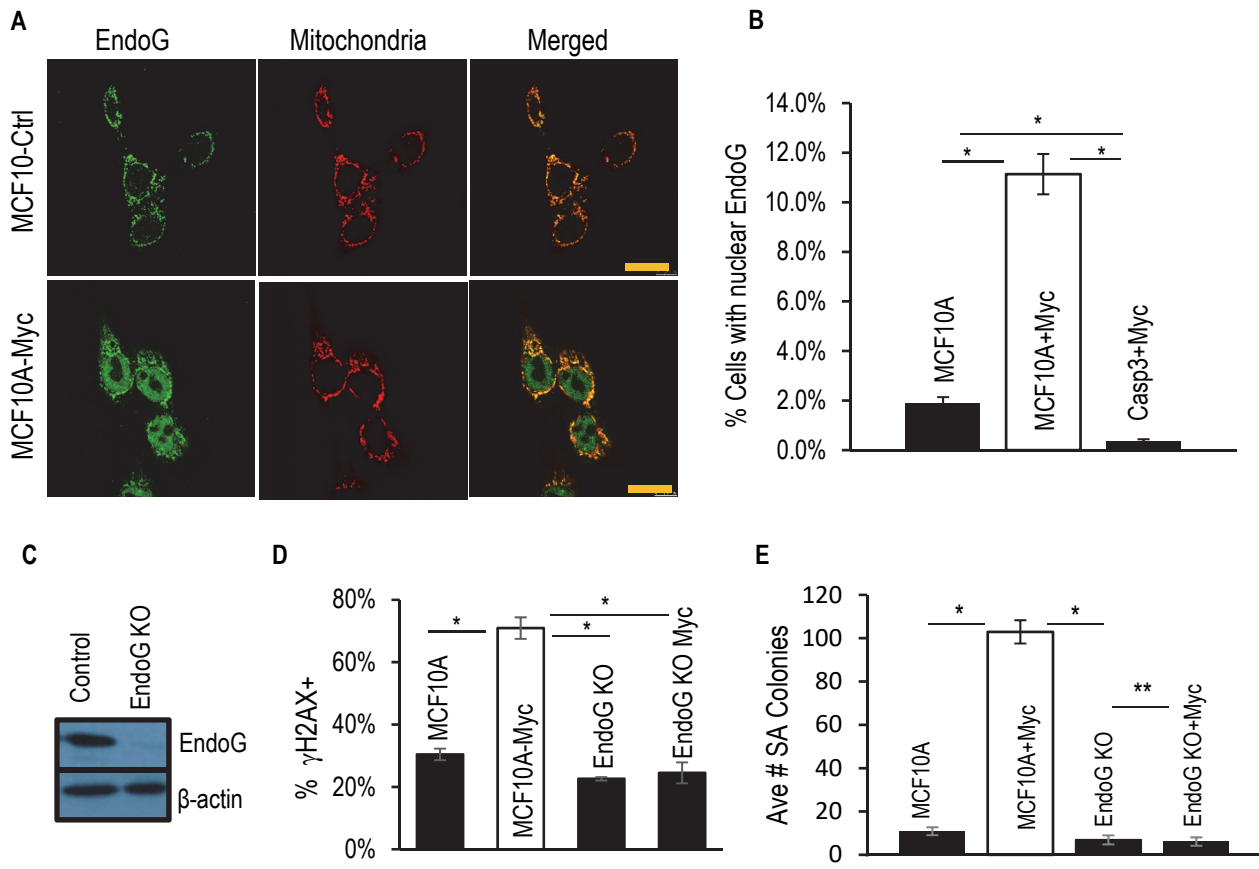


Fig.3

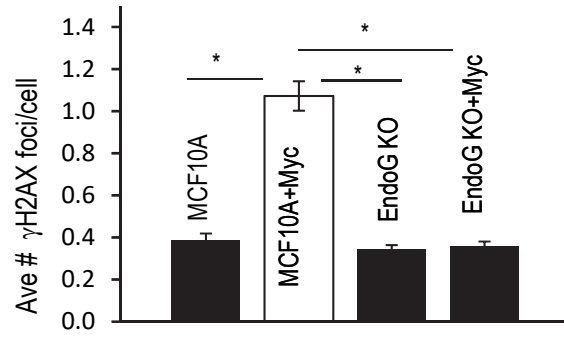


Fig.3-figure supplement 1

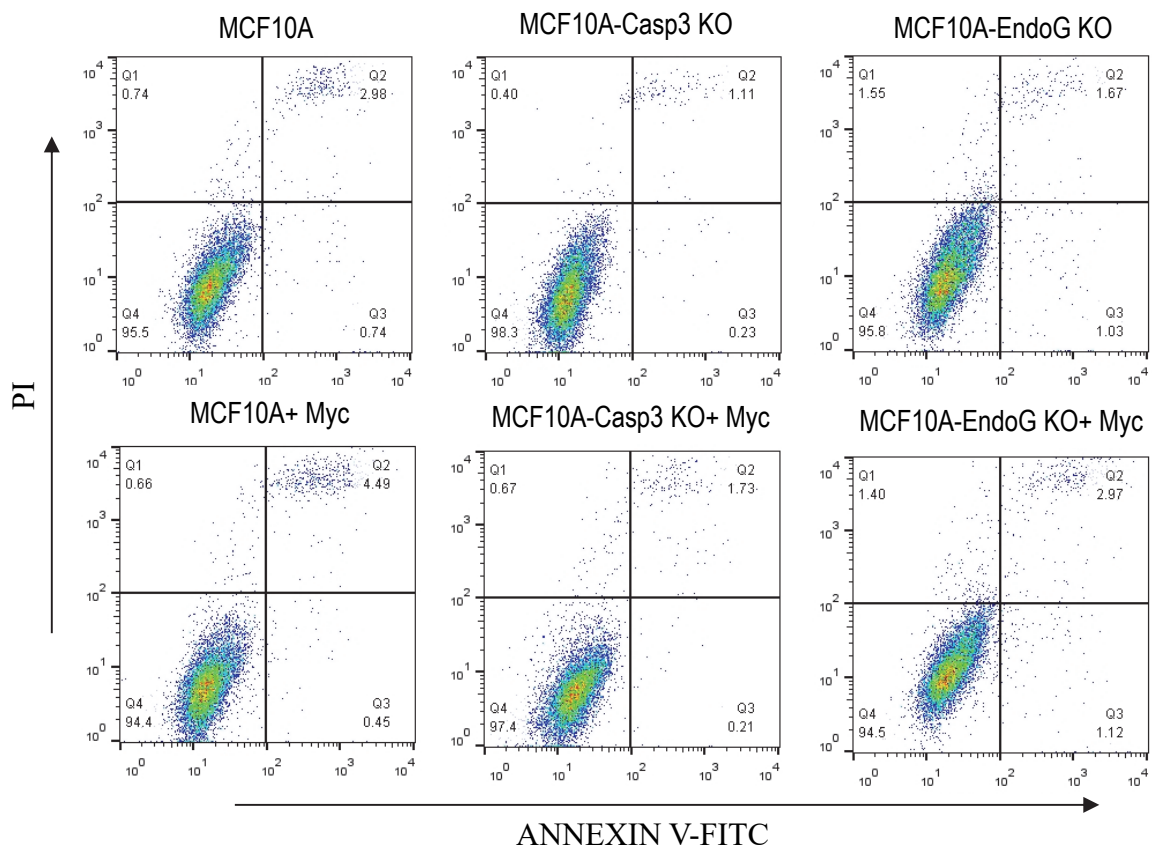


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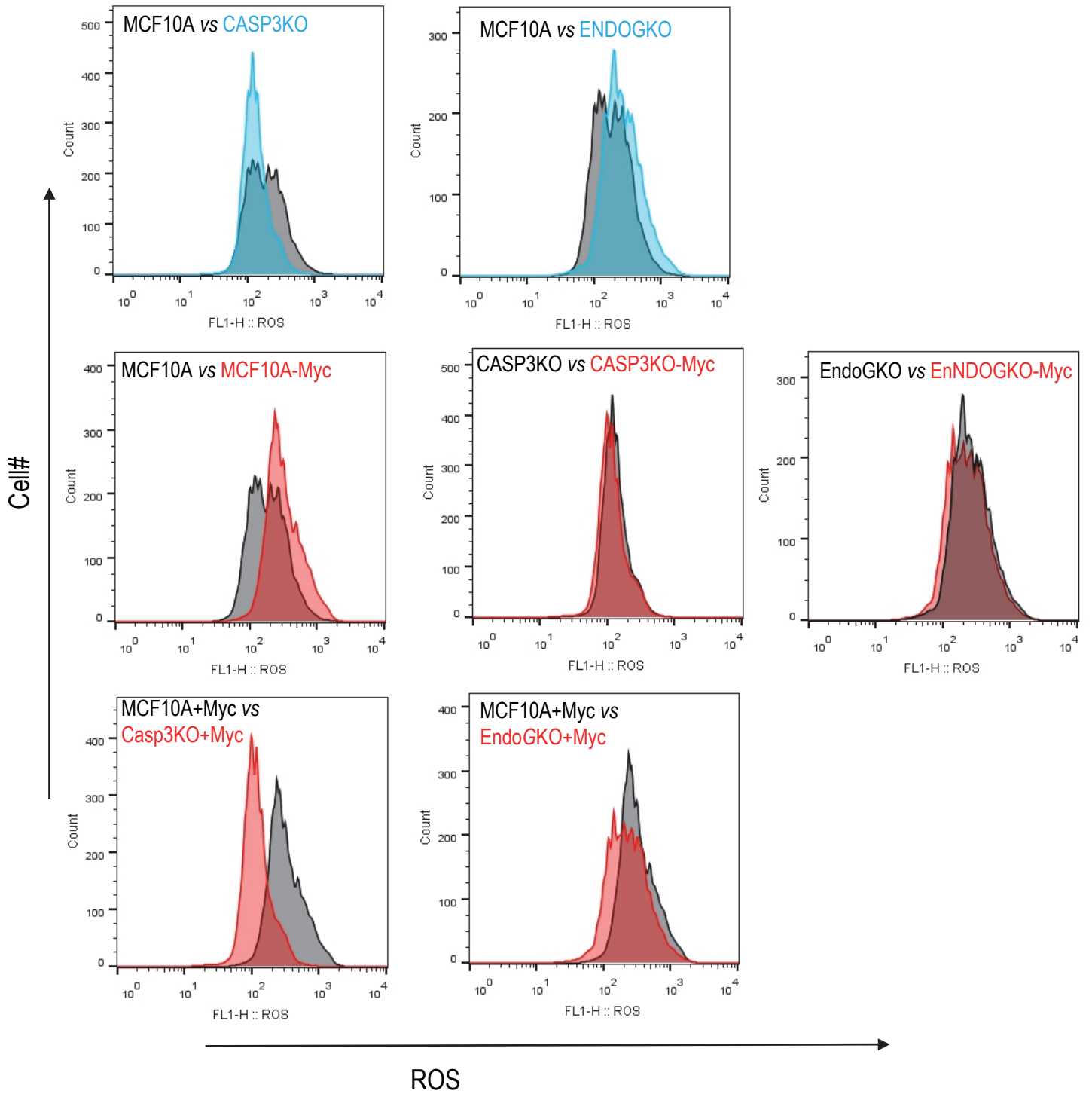


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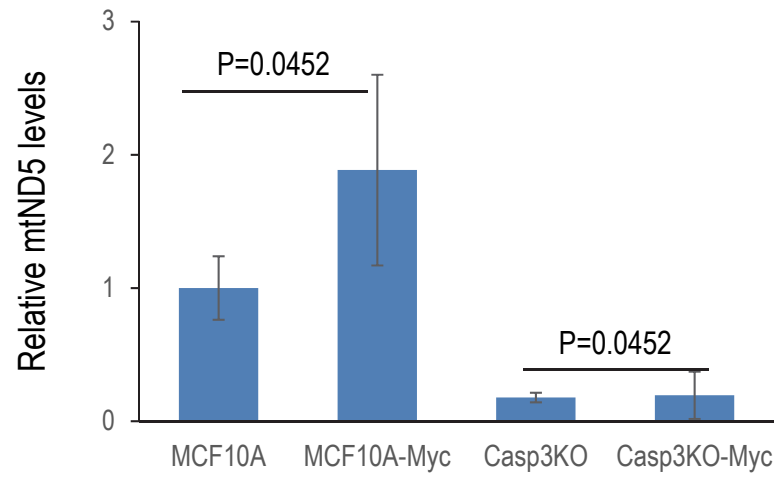


Fig.3-figure supplement 4

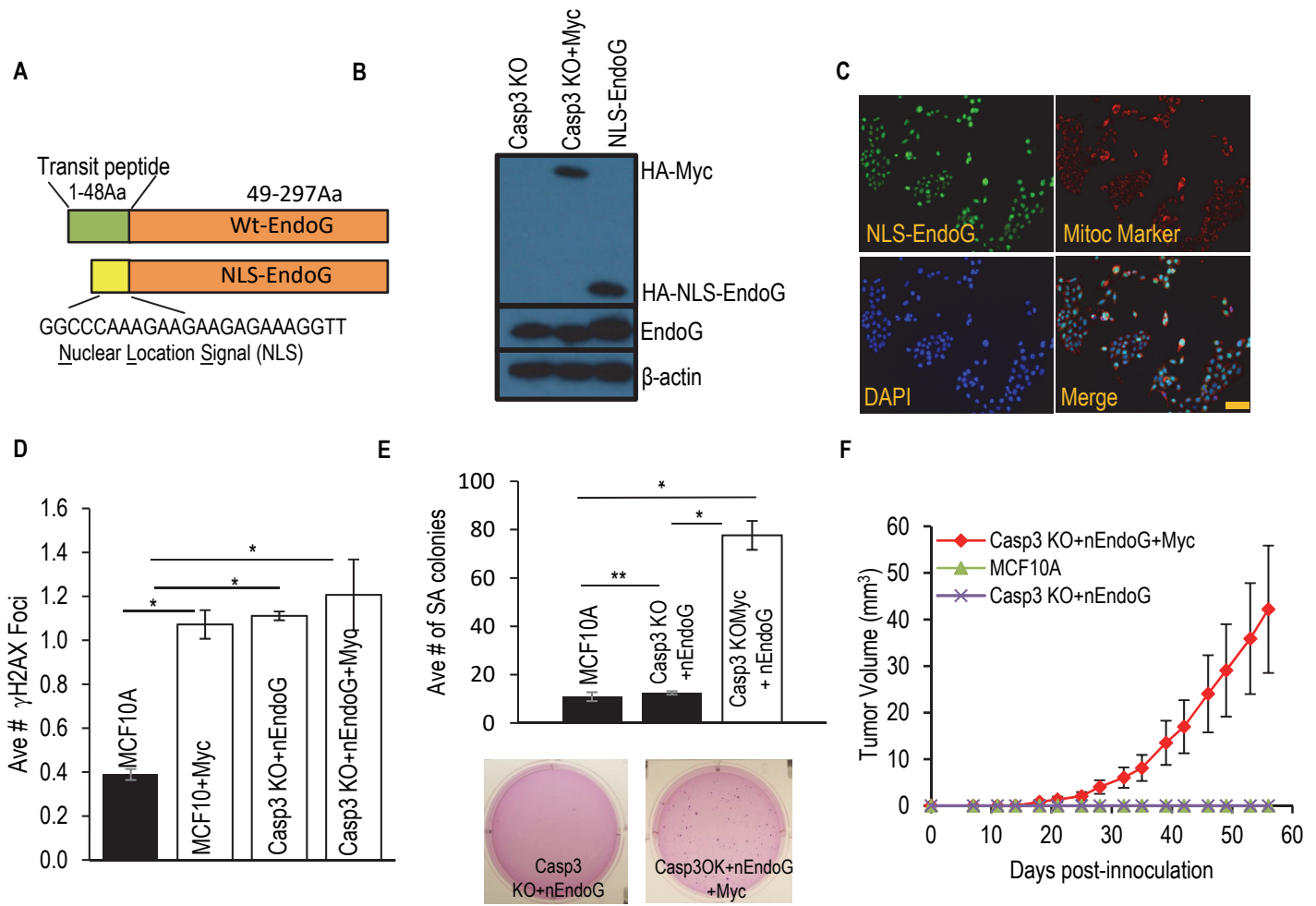


Fig.4