1	Neural population dynamics underlying evidence accumulation in multiple rat
2	brain regions
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20	

### 21 Abstract

### 22

23 Accumulating evidence to make decisions is a core cognitive function. Previous studies have tended

- 24 to estimate accumulation using either neural or behavioral data alone. Here we develop a unified
- framework for modeling stimulus-driven behavior and multi-neuron activity simultaneously. We
- applied our method to choices and neural recordings from three rat brain regions the posterior
   parietal cortex (PPC), the frontal orienting fields (FOF), and the anterior-dorsal striatum (ADS) —
- while subjects performed a pulse-based accumulation task. Each region was best described by a
- distinct accumulation model, which all differed from the model that best described the animal's
- 30 choices. FOF activity was consistent with an accumulator where early evidence was favored while
- 31 the ADS reflected near perfect accumulation. Neural responses within an accumulation framework
- 32 unveiled a distinct association between each brain region and choice. Choices were better predicted
- 33 from all regions using a comprehensive, accumulation-based framework and different brain regions
- 34 were found to differentially reflect choice-related accumulation signals: FOF and ADS both reflected
- 35 choice but ADS showed more instances of decision vacillation. Previous studies relating neural data
- to behaviorally-inferred accumulation dynamics have implicitly assumed that individual brain
- 37 regions reflect the whole-animal level accumulator. Our results suggest that different brain regions
- 38 represent accumulated evidence in dramatically different ways and that accumulation at the whole-
- animal level may be constructed from a variety of neural-level accumulators.
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- 41

### 42 Introduction

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44 Accumulation of evidence is a critical process underlying decision-making in complex environments

where relevant information is distributed across time. Choice data from evidence accumulation
tasks (e.g., Brunton et al., 2013; Raposo et al., 2012; Sanders and Kepecs, 2012) have allowed for

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development of sophisticated models of animals' accumulation strategies (e.g., Bogacz et al., 2006;

47 development of sophisticated models of annuals accumulation strategies (e.g., bogacz et al., 2000 48 Brunton et al., 2013; Genkin et al., 2021; Gold and Shadlen, 2007; Ratcliff et al., 2016; Ratcliff and

49 McKoon, 2008; Shinn et al., 2020; Wiecki et al., 2013). In parallel, neural correlates of accumulated

- 50 evidence have been found in a wide variety of brain regions (e.g., Brody and Hanks, 2016;
- 51 Churchland et al., 2011; Ding and Gold, 2010; Erlich et al., 2011; Gold and Shadlen, 2007; Hanks et
- al., 2015; Huk and Shadlen, 2005; Kim and Shadlen, 1999; Mante et al., 2013; Ratcliff et al., 2003;

53 Roitman and Shadlen, 2002; Shadlen and Newsome, 2001; Yartsev et al., 2018) and methods have

54 been developed to describe the statistical relationship between neural activity and accumulated

evidence (e.g., Aoi et al., 2020; Beck et al., 2008; Churchland et al., 2011; Hanks et al., 2015; Latimer
et al., 2015; Latimer and Freedman, 2021; Park et al., 2014; Zoltowski et al., 2020, 2019).

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58 Obtaining a comprehensive account of how stimulus-influenced accumulated evidence underlies 50 neural activity and subject choice remains an ener problem. For example, few analysis methods

59 neural activity and subject choice remains an open problem. For example, few analysis methods

60 which use precise spike timing information take into account the timing of stimulus information or

61 use choice data directly (e.g., Latimer et al., 2015). Likewise few methods that use the precise

62 timing of stimulus information to infer accumulated evidence use neural responses directly (e.g.,

Hanks et al., 2015). To address this gap, we developed a framework for inferring probabilistic
evidence accumulation models jointly from choice data, neural activity, and precisely controlled

- evidence accumulation models jointly from choice data, neural activity, and precisely cstimuli.
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67 A complete understanding of decision-making necessitates models that can comprehensively 68 combine stimuli, neural activity, and behavior. The evidence accumulation process inferred from 69 behavioral data alone need not correspond to the accumulation process that best matches data 70 from a single brain region; behavior is the result of interactions between multiple brain regions. For 71 example, two brain regions, one favoring accumulation of early evidence (e.g., an unstable 72 accumulator) and the other favoring accumulation of late evidence (e.g., a leaky accumulator) could 73 together support stable behavior-level accumulation. By fitting accumulator models to neural data 74 from multiple brain regions and to subject choice data, we gained the opportunity to probe for the 75 first time whether different brain regions reflect the same, or different, accumulation processes and how those individual processes correspond to the animal's overall behavior.

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78 We applied our model to choices and neural responses from three brain regions known to be 79 involved in evidence accumulation while animals perform a pulse-based evidence accumulation 80 task. A single variable representing accumulated evidence, shared across neurons within a brain 81 region, accurately accounted for both neural and choice data. We identified distinct signatures of 82 accumulation reflected in each brain region, all of which differed from the accumulation model that 83 best described behavior, supporting the idea that whole-organism accumulation likely results from 84 multiple accumulation processes. Prior analysis of these data found that the anterodorsal striatum 85 (ADS) represented accumulated evidence in a graded manner (Yartsev et al., 2018) while the 86 frontal orienting fields (FOF) represented choice more categorically (Hanks et al., 2015). Our 87 analysis confirms the ADS as a veracious representation of accumulated evidence while offering a 88 more nuanced view of the FOF: the accumulation model that best described FOF activity was 89 dynamically unstable, producing neural responses that looked like a categorical representation of 90 choice but that were in fact unstable accumulators sensitive to early stimulus information. 91 Additionally, we analyzed recordings from the posterior parietal cortex (PPC), a brain region long

- 92 studied in connection to evidence accumulation (Hanks et al., 2015; Roitman and Shadlen, 2002;
- 93 Shadlen and Newsome, 2001), where we identified neural correlates of graded evidence
- 94 accumulation, albeit more weakly than in the ADS.
- 95
- 96 Incorporating neural activity into accumulation models reduced the uncertainty in the moment-by-
- 97 moment value of accumulated evidence when compared to models fit only to animal choices. This
- reduction in uncertainty led to a more refined picture of the moment-by-moment value of
- accumulated evidence, which made the model more informative about what choice the animal
- intended to make. Our model allowed us to implement a novel analysis to examine how subject
   provisional choice changed during individual trials, commonly referred to as 'changes of mind'
- 102 (Boyd-Meredith et al., 2022; Kiani et al., 2014; Peixoto et al., 2021), that revealed extensive choice
- vacillation reflected in ADS activity and greater choice certainty reflected in FOF activity.
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- 105 Broadly, our framework offers a unified, mechanistic, and probabilistic description of the moment-
- 106 by-moment accumulation process that underlies decision-making. Our flexible framework offers a
- 107 computationally efficient method for identifying a key normative decision-making model using
- 108 multiple types of data, and can easily accommodate simultaneous recordings from many neurons or
- 109 recordings performed sequentially over many days. It provides a platform for quantitatively
- 110 characterizing choice-related information in neural responses and can be used to understand how
- 111 different brain regions implement an accumulation strategy.
- 112

# 113 Results

114

We analyzed behavioral and neural data from rats trained to perform a perceptual decision-making

- 116 task (Brunton et al., 2013). Rats listened to two simultaneous series of randomly timed auditory
- 117 clicks, one from a speaker on the left and one from a speaker on the right. After the end of the click
- train, the rat had to orient to the side with a greater number of clicks to receive a reward (Figure 14).
- 119 : 120

121 We analyzed behavioral choice data and electrophysiological neural recordings from 11 rats. In

- total, we analyzed 37,179 behavioral choices and 141 neurons from three brain areas—the
- 123 posterior parietal cortex (PPC), the frontal orienting fields (FOF), and the anterior-dorsal striatum
- 124 (ADS). Prior electrophysiological and lesions studies have shown that these brain regions play a key
- role in evidence accumulation (Ding and Gold, 2013, 2010; Erlich et al., 2015, 2011; Gold and
- 126 Shadlen, 2007, 2000; Hanks et al., 2015; Huk and Shadlen, 2005; Kim and Shadlen, 1999; Mante et
- 127 al., 2013; Roitman and Shadlen, 2002; Shadlen and Newsome, 2001; Yartsev et al., 2018).
- 128129 Data were collected after the animals were well-trained and exhibiting a high-level of performance
- 130 (Brunton et al., 2013; Hanks et al., 2015; Yartsev et al., 2018); these data were collected as part of
- 131 two earlier studies and have been previously analyzed (Hanks et al., 2015; Yartsev et al., 2018).
- 132 Data were subject to a selection criterion for inclusion in our study. We selected neurons with
- 133 significant tuning for choice during the stimulus period (two-sample t-test, p < 0.01) because choice
- tuning is a prerequisite for reflecting accumulation-like signals. Information about the data is
- summarized in **Table 1**. Once tuning significance was determined, our dataset consisted of 68
- neurons from FOF, with 7,382 behavioral choices recorded from five rats over 46 behavioral
- sessions; 25 neurons from PPC, with 9,037 behavioral choices from three rats over 24 sessions; and
- 48 neurons from ADS, with 10,760 behavioral choices from three rats over 27 behavioral sessions.
- 139
- 140 A latent variable model of behavioral choice and neural activity

142 One of the most common normative models of the internal mental processes that underlie evidence 143 accumulation is the drift-diffusion to bound model (DDM; Figure 1B; Bogacz et al., 2006; Brunton 144 et al., 2013; Gold and Shadlen, 2007; Ratcliff and McKoon, 2008). While previous work has tended 145 to fit this model (either explicitly or implicitly) using either choice data (e.g., Brunton et al., 2013; 146 Chandrasekaran and Hawkins, 2019; Gold and Shadlen, 2007; Ratcliff et al., 2016; Shinn et al., 2020; 147 Wiecki et al., 2013; Zylberberg et al., 2016) or neural response data (e.g., Bollimunta et al., 2012; 148 Brody and Hanks, 2016; Churchland et al., 2011; Ditterich, 2006; Genkin et al., 2021; Hanks et al., 149 2015; Howard et al., 2018; Latimer et al., 2015; Zoltowski et al., 2020, 2019), here we seek to jointly 150 model the relationship between accumulated evidence, choices, and neural activity. 151 152 The essence of our model is to describe a DDM based accumulation process driven by sensory 153 stimuli following (Brunton et al., 2013) and relate the latent accumulation process to both neural 154 responses and the rat's choice. Previous results have shown that this model is sufficiently flexible to 155 accommodate the various behavioral strategies rats exhibit while performing this task (Brunton et 156 al., 2013). The resulting model has a single latent variable, denoted a(t), that evolves in time and 157 represents the current, inner mental representation of the evidence in support of a left or right 158 choice at each moment in time. This latent variable is shared by the neurons within a region (except 159 where explicitly noted), so that each neuron's time-varying firing rate is a function of a(t) on each 160 trial. The key distinction of our approach is that the accumulator variable a(t) drives both choices 161 and neural activity, as described below. 162 163 Formally, the temporal evolution of the latent evidence a(t) is governed by: 164  $da = \lambda a dt + \Delta(t) dt + \sigma_a dW + \sigma_s \Sigma(t) \eta dt$ 165 (Equation 1) 166 167 where *da* is the amount a(t) changes in a time *dt*.  $\lambda$  is a leak parameter.  $\Delta(t)$  and  $\Sigma(t)$  indicate the 168 difference and sum, respectively, in the number of right and left sensory clicks at time t, after the 169 magnitude of the clicks has been adapted based on recent stimulus history (see parameters 170 governing adaptation below, and Methods for additional detail).  $\sigma_a dW$  is a diffusive Gaussian noise 171 process (or Weiner process) with scaling  $\sigma_a$ .  $\sigma_s \Sigma(t)\eta$  is additive Gaussian noise induced by each click input, where  $\sigma_s \Sigma(t)$  is the standard deviation of the click noise and  $\eta$  is a Gaussian random 172 173 variable with a mean of zero and standard deviation 1. 174

175 If a(t) becomes greater in magnitude than a symmetric boundary with magnitude *B* (Figure 1B, 176 dotted lines), then da = 0, and accumulation ceases for the remainder of the trial. To illustrate, the

blue trajectory in Figure 1B crosses the boundary *B* roughly one-third of the way through the trial,and thus remains constant thereafter.

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180 The four terms of **Equation 1** each account for specific ways a(t) might reflect accumulated 181 evidence. The first two terms are designed to account for deterministic (non-random) dynamics 182 exhibited by a(t). The first term specifies how recent values of a(t) influence future values and is 183 governed by  $\lambda$  that determines the timescale of this effect. Positive values of  $\lambda$  correspond to 184 unstable dynamics so that a(t) grows exponentially. In this setting, early clicks have greater 185 influence on a(t) than recent clicks, because their impact grows with time. By contrast, negative 186 values of  $\lambda$  correspond to leaky dynamics. In this setting, early clicks have a weaker influence on 187 a(t) than recent clicks because the impact of early clicks decays with time. When  $\lambda$  equals zero, the 188 sensory clicks are perfectly integrated. Previous results have shown that rats exhibit a range of 189 accumulation strategies spanning these values of  $\lambda$  (Brunton et al., 2013). The second term,  $\Delta(t)dt$ , 190 specifies how the click stimulus is incorporated into a(t). Because the task requires reporting

whether there was a greater number of left or right clicks, only the total click difference is requiredto correctly perform it.

193

194 To account for stochasticity in the accumulation dynamics, the model also contains two forms of

noise in a(t). The first noise term,  $\sigma_a dW$ , corresponds to diffusive noise that corrupts a(t)

196 continuously in time. The final term,  $\sigma_s \Sigma(t) \eta dt$ , introduces noise into a(t) that is proportional to the

197 total number of clicks that occur at a given moment. The sum of clicks  $\Sigma(t)$  is included so that the

magnitude of the noise increases depending on the number of sensory clicks experienced at time t.
 Figure 1B illustrates the effects of these two noise terms: although the sensory inputs and leak are

identical for both blue and black trajectories of a(t), differences in noise lead the two trajectories to

201 diverge so that one hits the boundary +*B* while the other remains sub-threshold and continues to

202 integrate the sensory stimulus.

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To model animal choices, we assume that the accumulation variable a(t) directly governs the animal's choice on each trial. Specifically, we describe the probability of a rightward choice as depending on a(T), the accumulated evidence at the end of the stimulus period *T*, using a step function with 'lapses'. With probability  $\gamma$  the animal picks one of the two sides without considering the stimulus, referred to as a 'lapse'. With probability  $(1 - \gamma)$  the animal does not lapse, and makes a rightward choice if a(T) > c and a leftward choice if a(T) < c, where *c* denotes the choice criterion. This model can be expressed as

$$P(d = R) = \gamma/2 + (1 - \gamma)H(a_T - c)$$
 (Equation 2)

where  $d \in \{L, R\}$  is the decision variable and  $H(\cdot)$  is the Heaviside step function. As described above, when a(t) crosses the decision bound B a choice commitment is made, either to the left or the right, and no further evidence accumulation occurs. Previous work has found that parameterizing choice this way creates a model that is sufficiently flexible to describe animals' choice (Brunton et al., 2013) while remaining as simple as possible.

To model spike train data, we describe the time-varying firing rate of each neuron as a soft-rectified linear function of the same accumulated evidence variable a(t):

 $f_{\theta_n}(a(t)) = \operatorname{softplus}(\theta_n a(t) + \theta_n^0(t)),$  (Equation 3)

where *n* indexes neurons, the softplus function (**Figure 1B**) is given by softplus(*x*) = log(1+exp(*x*)), and  $\theta_n$  denotes the slope of the linear relationship between a(t) and neuron *n*'s firing rate. The slope parameter,  $\theta_n$ , is fit separately for each neuron. A time-varying offset,  $\theta_n^0(t)$ , is included to capture time-varying changes in firing rate that do not depend on a(t) (see Methods). The spikes of each neuron are modeled as a Poisson process with a time-dependent conditional intensity function  $f_{\theta_n}(a(t))$ . The softplus function (smooth rectified linear function) was used to ensure the expected firing rate was positive, and was selected because it is the simplest function to achieve this goal, and also based on prior success in similar studies (e.g., Latimer et al. 2015).

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We refer to the set of all parameters that govern a(t), and its relationship to the neural activity and choice data as  $\Theta = \{\sigma_{i,}, B, \lambda, \sigma_{a}, \sigma_{s}, \phi, \tau_{\phi}, \theta_{1:N}, c, \gamma\}$ , where  $\sigma_{i}$  is the variance of a(t) at the start of the trial, and  $\phi$  and  $\tau_{\phi}$  determine how the magnitude of each click is adapted based on the timing of recent clicks (see Methods). We fit  $\Theta$  separately for each brain region using maximum likelihood (see Methods). Maximizing the likelihood of the data requires computing the temporal evolution of the probability distribution of a(t) over the duration of a single trial, for all trials, and computing the 240 probability of the observed spikes and choices under this distribution. The dynamics of this

241 probability distribution can be expressed using the Fokker-Planck equation, and previous work has

developed methods for numerically solving it (Brunton et al., 2013; see Methods). We refer to the

- 243 value of  $\Theta$  that maximizes the likelihood of the data as  $\hat{\Theta}$ . We verified that our method was able to
- recover the parameters that generated synthetic physiologically-relevant spiking and choices data (
- Figure 1 figure supplement 1), and that parameter recovery was robust across a range of
   parameter values (Figure 1 figure supplement 2).
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# 248 Shared accumulator model captures neural responses and choices

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250 We fit the model separately to data from each brain region. To verify model fits were consistent 251 with data, we compared the peri-stimulus time histograms (PSTHs: Figure 2A & B) and 252 psychometric curves (Figure 2C) of the empirical data to synthetic data simulated from the fitted 253 model for each brain region. The PSTH of most neurons showed a characteristic choice preference 254 that increased over time, consistent with accumulation. The model was able to capture this (Figure 255 2A). The model provided an accurate account of mean responses in all three brain areas (Figure 256 2B), with a median R<sup>2</sup> of 0.91, 0.68, and 0.87 for the FOF, PPC, and ADS respectively (Figure 2B, 257 colored lines). Figure 2C shows a comparison between true psychometric curves and the 258 psychometric curve of the fitted model, confirming that the model also accounted for 259 psychophysical choice behavior. (*R*<sup>2</sup>: 0.99 - FOF; 0.99 - PPC; ADS - 0.97; see Methods for details). 260 These analyses confirm that a shared accumulator model for each brain region is sufficient to capture the animals' choice sensitivity to the stimulus and strength of accumulated evidence 261 262 reflected in each neuron's response.

# 263 264 Different regions reflect different accumulator models, which all differ from model 265 describing behavior

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267 The primary motivation of our study was to learn accumulator models that incorporate precise 268 stimulus timing information and describe the animal's choices and temporally structured neural 269 activity. Previous efforts only modeled choices using stimulus-timing information (Brunton et al., 270 2013) or modeled neural activity without choices for tasks without detailed stimulus-timing 271 information (Latimer et al., 2015; Zoltowski et al., 2019). We refer to our model that describes both 272 neural activity and choices as the 'joint neural-behavioral model' or the 'joint model'. We compared 273 the joint neural-behavioral model to a model where only the stimulus is used to model the animal's 274 choice (i.e., neural activity is not used). To fit such a 'choice-only' accumulator model we fit the 275 same latent variable model using only choice data (see Methods).

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277 **Figure 3A** shows the maximum likelihood parameters for the joint and choice-only accumulator 278 models for each brain region. Neural data was not used for the choice model so brain region 279 designates the cohort of animals from which the choice data was taken. We stress that because of 280 this, each fitted choice model uses different behavioral choice data, and thus the fitted parameters vary from fitted model to fitted model. Both fitted models exhibited strong adaptation ( $\phi \ll 1$ ) 281 282 consistent with prior work fitting choice accumulator models (Brunton et al., 2013). This indicates 283 that a stimulus pulse that occurs in rapid succession following other pulses has a smaller effect on 284 a(t) than an isolated pulse. Each model was impacted by different forms of noise: choice models exhibited small diffusive noise ( $\sigma_a \approx 0$ ) and large stimulus noise ( $\sigma_s >> 1$ ), consistent with earlier 285 findings, while joint models exhibited large diffusive noise ( $\sigma_a > 0$ ) and large initial variability in 286 287 a(t) ( $\sigma_i >> 0$ ). The effect of these different parameters can be seen in **Figure 3B**: choice models 288 have smaller initial variance and more variability when clicks arrive, while joint accumulator 289 models have larger initial variance and diffusive noise. Large initial variance in the joint model

- likely reflects variability in neural responses prior to stimulus onset (Churchland et al., 2010).
- 291 Strong accumulation noise in the joint model was also found when the negative binomial
- distribution, a more flexible observation model, was used, suggesting that this finding was not
- sensitive to the Poisson observation model (**Figure 3 figure supplement 1**). Differences in diffusive poise between the joint and shairs only models suggest that accumulation dynamics
- diffusive noise between the joint and choice-only models suggest that accumulation dynamicsunderlying neural activity is impacted by noise that is resolved at the level of a behavioral
- 296 accumulator model.
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298 We also compared the best-fit parameters across the three, separately fit, brain regions (Figure 299 **3A**). We focus on one of the most salient differences — the leak or instability parameter  $\lambda$ . Although 300 there was no significant difference in the value of  $\lambda$  across the cohorts of animals in the choice-only 301 model, we found substantial differences across brain regions in the joint model fits (**Figure 3A**). 302 The PPC and ADS data were best fit by leaky accumulator models ( $\lambda < 0$ ). Surprisingly however, the 303 FOF data was best described by a model with unstable accumulation dynamics ( $\lambda > 0$ ) meaning 304 that the model's accumulator (and thus firing rates) are more strongly affected by early stimulus 305 clicks. The stronger weighting of earlier clicks was compounded further by the low accumulation 306 bound of the model that best described FOF data. Such a low bound, in conjunction with unstable 307 accumulation, causes a(t) to stop evolving early in the trial (**Figure 3B**). This results in a

- 308 phenomenon known as 'primacy encoding', in which early
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310 clicks more strongly impact the animal's choice while later clicks are ignored. We confirmed this finding in the FOF using a generalized linear model (GLM; see Methods & Figure 3 — figure 311 312 supplement 2). This result is consistent with previous work suggesting that the FOF has a 313 categorical representation of a(t) (Hanks et al., 2015). We expand on these findings in light of other 314 studies of the FOF in the Discussion. Collectively, these results indicate that all three brain regions 315 were best described by accumulator models that differed in their best fitting parameters (and thus 316 exhibit dramatically different accumulation dynamics) and that each region's data was likewise best 317 described by a model that differed from that which best described accumulation at the level of the

animal's choice.

# 320 ADS is better described by multiple, independent accumulators

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322 Our model describes the spiking activity of a population of simultaneously recorded neurons as 323 relying on a single shared latent variable. To assess whether this is indeed the best description of 324 the data, we compared it to an 'independent noise accumulator model' where each neuron is driven 325 by an accumulator with its own independent noise (Figure 4A; Methods). It is worth emphasizing 326 that the independent noise model is identical to the shared noise model in the way it is 327 parameterized (i.e. number and form of the model parameters) but only differs in the structure of 328 the latent accumulation noise. If trial-to-trial spiking covariation is produced by temporal 329 covariation in the accumulator due to noise, the independent noise model (which does not share 330 this covariation) should not account for the data as well, suggesting that correlations in the data can 331 be attributed to correlated diffusive noise reflected in the shared model. We fit the parameters of 332 the independent noise model using the same optimization method but with a different log-333 likelihood function (see Methods). Because the independent noise model contained multiple 334 accumulators (one for each neuron), the animal's choice was modeled differently than for the 335 shared noise model (see Methods). We focused on the FOF and ADS datasets because they 336 contained a sufficient number of simultaneously recorded neurons to make this comparison (Table 337 1). The maximum likelihood parameters for the two models for both regions were similar (Figure 4 338 **— figure supplement 1**), except for the initial accumulator variance parameter which differed 339 significantly.

341 We used 5-fold cross-validation to determine which model better described each data set.

- 342 Comparing cross-validated log-likelihood, we found that the independent noise model provided a
- 343 better description of choices and neural activity from ADS, while the shared noise model provided a
- slightly better description of FOF data (**Figure 4B**). This finding supports the conclusion that neural
- responses within the ADS reflect independent accumulation processes, while neurons in the FOF
   reflect a single latent accumulator. Although ADS datasets with 4 or more neurons provided the
- primary contribution to these results Figure 4 figure supplement 2 A), when the number of
- 348 neurons in ADS datasets were subsampled to match the maximum number of neurons in FOF
- sessions (3 neurons), the ADS recordings still favored an independent noise accumulator model
  (Figure 4 figure supplement 2 B). We fit the shared noise and independent noise model to
  neural data only (excluding choice data) and found consistent results (Figure 4 figure
  supplement 2 D) suggesting this difference is not due to contributions from the animal's choice,
  which was modeled differently in each model (see above).
- 353 354

To further examine this result, we computed the 'shuffle corrected' cross-correlation function
(Methods; Perkel et al., 1967; Smith and Kohn, 2008) for all pairs of simultaneously recorded
neurons to examine spiking covariation in the empirical data and synthetic data from the fit models

358 (Figure 4C & D). To shuffle-correct, we took the raw cross-correlation and subtracted the cross-

- correlation of the PSTHs of two neurons (for left and for right trials separately). This provides a
- measure of the neurons' correlation beyond what is to be expected from the PSTHs (i.e., Figure 2A).
- 362 Synthetic data of both models captured trends in the shuffle-corrected cross-correlation function at 363 slower time scales but failed to capture fluctuations on short time scales. Across all pairs of 364 simultaneously recorded neurons (70 pairs in total), we found that the shared and independent 365 noise accumulator models provided approximately equally accurate fits to the shuffle corrected cross-correlations (mean r of 0.55 for shared model and 0.57 for independent noise model for FOF; 366 367 0.63 for shared model and 0.60 for independent noise model for ADS). This shows that both models capture correlations in trial-to-trial neural responses beyond those accounted for by the PSTH. 368 369 These correlations likely arise from trial-to-trial differences in the exact sequence of clicks, which 370 are not reflected in the PSTH for left- or right-choice trials. Although FOF weakly favored a shared-371 noise model and ADS favored an independent-noise model (Figure 4B) the comparable ability for 372 each model to capture the shuffle-corrected cross-correlation function for each region suggests that 373 these correlations are primarily stimulus-induced and not a manifestation of non-stimulus induced 374 (i.e., 'noise') correlations, which are weak if present at all. Although these results suggest that each model fits the data equally well, the results of Figure 4B suggest that the independent noise model 375 376 may be accounting for intricate features of the ADS data not reflected in the shuffle-corrected cross-377 correlation function.
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379 To validate that neural responses in the ADS weakly covary, as suggested by an independent noise 380 model, we computed a measure of response dimensionality known as the participation ratio 381 (Litwin-Kumar et al., 2017). The participation ratio is computed using the eigenvalues of the 382 covariance matrix of firing rates (Methods). If all firing rates are independent the eigenvalues will 383 all be equal and the participation ratio will equal the number of neurons. If the firing rates are 384 correlated such that some eigenvalues are small (or perhaps zero) the participation ratio will 385 reflect this and the dimensionality of the data will be less than the number of neurons. Consistent 386 with our modeling results, we found that responses in ADS had higher dimensionality than in FOF 387 (i.e., ADS exhibited less firing rate covariation) and that ADS sessions with greater dimensionality 388 were those that favored the independent noise model (Figure 4 — figure supplement 2 C). 389

### 390 Neural data provides more information about accumulated evidence than choice

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Next we examined how neural data affected inferences about accumulated evidence. We computed the posterior distribution over the accumulator variable *a*(*t*) for the joint model, given choice data

394 only, or given neural and choice data. The posterior distribution combines information from

395 multiple sources — stimulus, choice, and neural activity — to offer a concise window into the

- animal's internal state of evidence accumulation. **Figure 5A** shows the posterior distribution for
- 397 three example trials (one for each brain region) when only choice data was included and when both
- 398 choice and neural data were included. The choice data posterior was broad; a large set of a(t)
- trajectories were all consistent with the animal's choice. However, when we considered both choice and neural spiking activity, we obtained a substantially narrower distribution over a(t), meaning
- 401 including neural data in the joint model offers greater confidence in the precise value of
- 402 accumulated evidence at each moment within a trial.

403 To quantify this difference, we computed the standard deviation of the two posteriors (**Figure 5B**).

For all brain regions, the median posterior standard deviation given neural data and choice was

substantially smaller than when conditioning only on choice (**Figure 5B**; median difference FOF:

406 0.46; PPC: 0.72; ADS: 2.23). This reduction in the posterior width increased with the number of

- 407 neurons (**Figure 5C**). The increased certainty about a(t) provided by neural activity makes intuitive
- sense: temporally specific spiking activity (e.g., in the middle of a trial) allows one to infer that *a*(*t*)
  has increased in favor of a choice, whereas choice information can only offer certainty about the
- 409 has increased in favor of a choice, whereas choice information can 410 range of a(t) at the end of the trial.
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### 412 Joint neural-behavioral model improves choice decoding

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414 We designed our joint model with the expectation that combining choice data, neural responses, 415 and stimulus information within an accumulation framework would lead to greater insight into 416 decision-making than models that lacked these features. We tested this expectation by comparing 417 choice decoding accuracy of the joint model on single trials to models that used stimulus 418 information and only choice data or only neural data (see Methods). We found that choices could be 419 predicted more accurately under the joint model, which took into account the stimulus, neural 420 activity, and choices, than under the choice model, which used stimulus information and choices 421 alone. We quantified this improvement in test log-likelihood and percent correct (**Figure 6A**). The 422 joint model had higher test log-likelihood for choice data and choice prediction accuracy for all 423 three brain regions, with the joint model of FOF data showing an almost 50% improvement in test 424 log likelihood and a 6% increase in prediction accuracy. The posterior mean of the joint model and 425 the posterior mean of the choice model is shown in **Figure 6B** for three example trials. In all 426 examples, the joint model correctly predicted the choice the animal made (indicated by the arrow), 427 whereas the choice-only model failed because its prediction was based on the stimulus. This 428 increased performance derives from the choice-informative spiking information contained in the 429 posterior (Figure 5) that the choice model lacks. 430 431 If neural activity is highly correlated with the motor report (for example, activity from motor 432 neurons controlling orientation), we would expect the neural activity to be a good predictor of the

animal's choice. In such a case, a model that predicted choice without the framework of the DDM

434 accumulator but using neural activity, would have high accuracy. We compared our accumulator435 based joint model to a logistic regression model (i.e., Bernoulli generalized linear model, GLM)

- based joint model to a logistic regression model (i.e., Bernoulli generalized linear model, GLM)
  which used the final accumulated click difference and the trial-summed spike count for each neuron
- 437 as regressors (Methods). Decoding under the joint accumulator model significantly outperformed
- 438 logistic regression (**Figure 6A**, *GLM*). The performance of the GLM did not depend strongly on the
- time window considered: decoding of choice using spikes from the last 50 ms (**Figure 6A**, *GLM* 50

440 ms), 100 ms, 150 ms, 200 ms and 250 ms before a decision all performed similarly (**Figure 6** —

figure supplement 1). This shows that the joint accumulation framework and the fine timescale

442 dynamics of the joint model captures features of the spike trains that are useful for predicting the

animal's choice, above and beyond the information carried by spike counts in particular timewindows before the choice.

445

### 446 Putative changes of mind are common in ADS, rare in FOF

447

448 The previous analysis illustrated how the joint accumulation framework, combined with temporally 449 precise neural responses, can accurately predict animal choices. Numerous studies have shown that 450 subjects making decisions based on noisy stimuli will vacillate before reporting a decision 451 (Kaufman et al., 2015; Kiani et al., 2014; Resulaj et al., 2009). Switches of a subject's provisional 452 decision have been referred to as 'changes of mind' (Boyd-Meredith et al., 2022; Peixoto et al., 453 2021). We used our joint accumulator model to identify putative changes of mind from our neural 454 recordings, to examine how decision commitment is manifested in different brain regions. We 455 examined the temporal dynamics of the joint model posterior, conditioned on neural activity only, 456 to find putative changes of mind: moments when posterior mean crossed from one side of the 457 decision threshold to the other. We required that the conditioned posterior mean remained on one 458 side of the decision threshold for at least 50 ms before and after the crossing and achieved an 459 absolute magnitude greater than 2 during that 100 ms window (see Methods).

459

461 **Figure 6C** shows three example putative change of mind trials. We also plot the posterior mean of 462 the choice model (black) and the cumulative click difference (gray) for comparison. In all three 463 examples, the joint model posterior mean crossed the decision threshold, ending on the side 464 corresponding to the animal's choice. Sign changes in the cumulative click difference were rare, as 465 were putative change of mind events under the choice-only model, both of which could only be 466 caused by the stimulus (Figure 6D). In contrast, putative change of mind events were observed 467 frequently under the joint model for all three brain regions (Figure 6D). This shows that putative 468 change of mind events reflect information about the accumulator carried in neural activity. Putative 469 change of mind events were observed least frequently in the FOF and most frequently in the ADS 470 (Figure 6D); compounded by our initial finding, that different brain regions are best fit by different 471 accumulator models (Figure 3), these results further support the view that the decision making 472 dynamics in each brain region are fundamentally and consequentially different.

473

474 The animal's performance improved on putative change of mind event trials (fraction correct: FOF: 475 0.88 vs. 0.74; PPC: 0.87 vs. 0.74; ADS: 0.85 vs. 0.76; Figure 6 — figure supplement 2 A) and the 476 choice prediction of the joint model was also more accurate (fraction correct: FOF: 0.92 vs. 0.80; 477 PPC: 0.88 vs. 0.77; ADS: 0.88 vs. 0.78; Figure 6 — figure supplement 2 B), suggesting that the 478 decision making dynamics that give rise to these events primarily correct incorrect decision-479 making dynamics early within a trial. Initial variability in the accumulation dynamics, as reflected in 480 neural responses, was found to be greater in both PPC and ADS (Figure 3A), regions for which 481 putative changes of mind were more likely (Figure 6D), consistent with this assumption. 482 Furthermore, putative change of mind events were more likely to occur at later moments in the 483 trial, usually not long before the stimulus ended (Figure 6E), consistent with the assumption that 484 they generally correct incorrect early-trial dynamics. To more firmly connect putative change of 485 mind events to the animal's behavior, we performed linear regression to compare the time of the 486 event relative to the end of the stimulus to the response latency (Figure 6F). We found a 487 statistically significant effect for the PPC and the ADS (PPC: p < 0.003; ADS: p < 0.0008; two-sided t-488 test), which both showed a slower response time when a change of mind event occurred closer to 489 the end of the stimulus. These results illustrate the potential of our framework for uncovering

490 putative covert changes of mind within neural activity, and demonstrate the varying way in which
 491 decision-making dynamics — both prior to stimulus onset and during the stimulus period — differ

- 492 in different brain regions.
- 493

### 494 **Discussion**

495

496 We developed a probabilistic latent process model to simultaneously describe neural activity and 497 choices during an evidence accumulation decision-making task. We fit the model to data from three 498 brain regions and found that the dynamics of accumulation that best fit choices and neural data 499 from each brain region differed significantly across brain regions, and from the accumulation model 500 that best described the animal's choices. We found that including neural activity in the model 501 provided rich, moment-by-moment information about the animal's choice. The inferred 502 accumulation model could be used to examine estimates of the animal's moment-by-moment 503 provisional choice, and by doing so, we found differing choice-related dynamics in each brain 504 region, dynamics that meaningfully related to other measures of behavior such as reaction time. 505 Collectively, our results argue for the existence of very different accumulation dynamics in different 506 brain regions, dynamics which each differ greatly from the dynamics giving rise to behavior. An 507 exciting future application of our modeling framework is to model multiple, independent 508 accumulators in several brain regions which collectively give rise to the animal's behavior. Such a 509 model would provide incredible insight into how the brain collectively gives rise to behavioral 510 choices.

511

512 There has been substantial work relating neural activity to evidence accumulation. The logic 513 underlying this work (e.g., Churchland et al., 2011; Gold and Shadlen, 2007; Hanks et al., 2015; 514 Mante et al., 2013; Ratcliff et al., 2003; Yartsev et al., 2018) is that behavior is well approximated by gradual evidence accumulation (Ratcliff and McKoon, 2008). Numerous studies have probed 515 516 whether neurons in any given brain are involved in encoding or computing a correlate of this 517 behavior-level evidence accumulation. A rarely emphasized assumption is that the accumulation 518 process, at the level of individual brain regions, will be similar to the accumulation process at the 519 level of the organism's behavior. This assumption need not be correct. As in the example mentioned 520 in the Introduction, two brain regions, one representing a leaky accumulator from which recent 521 evidence is best decoded, and another representing an unstable accumulator from which the 522 earliest evidence is best decoded, could combine to generate behavior that is well-described by 523 stable evidence accumulation, in which evidence from throughout behavioral trials is weighted 524 approximately equally. One should not conclude that neural activity best explained by a leaky or by 525 an unstable accumulator is unrelated to behavior that is best explained by stable accumulation. 526 Other properties, in addition to leakiness/instability, may also differ across contributing brain 527 regions. Developing a formal approach to fit the parameters of evidence accumulation models from 528 neural data as well as from choices provided us with the opportunity to probe this assumption. Our 529 results suggest that it is *not* correct. Elucidating the neural basis of evidence accumulation for 530 decision-making may require understanding how brain regions with neural activity that appears 531 driven by accumulators with potentially very different properties combine, and perhaps 532 counterbalance each other, so as to produce the organism's behavior.

533

Our approach extends and complements existing approaches that construct formal mathematical
models of decision making which combine both behavioral data and neural data. These models
leverage both neural and behavioral observations to jointly infer decision making parameters, as
we've done here (see Turner et al., 2019 for a comprehensive overview). However, the majority of
these approaches have tended to emerge from the field of cognitive neuroscience, and as such, have
predominantly focused on models for application to neural data acquired by other methods, such as

540 EEG, fMRI, etc. (e.g., Turner et al. 2015; but also see Frank et al., 2015). Our approach adds to these

- 641 efforts by offering a method that can combine fine timescale single unit recordings with behavioral
- 542 measurements specifically during pulse-based evidence accumulation tasks, thereby offering a
- 543 moment-by-moment picture into the latent dynamics that underlies cognition. Continued
- development of joint models such as our and existing approaches in the field of cognitive
   neuroscience are critical to quantitatively understand the latent processes underlying cognition.
- 545 546

547 One of our most surprising discoveries was that neural data from the FOF was best modeled by an 548 accumulator consistent with a 'primacy' strategy in which early stimulus clicks have an out-size 549 impact on neural activity and choice compared to later clicks. Coupled with the low accumulation 550 bound of the model fit to the FOF, our analysis suggests a model of FOF accumulation where a 551 subject prematurely commits to a decision based on early sensory evidence. Previous analysis of 552 these data did not find that FOF activity was described by an unstable accumulator because the 553 accumulator model was not learned from neural activity, only choices (Hanks et al., 2015). This 554 prior analysis identified an alternative interpretation of FOF activity: FOF activity exhibited a step-555 like encoding of accumulated evidence that was unbounded, consistent with the FOF encoding a 556 categorical representation of choice (Hanks et al., 2015). At a strategic level, this interpretation is consistent with the model of FOF activity we identified. Noting that, in this task, the stimulus will 557 558 rarely cause the accumulator to switch sign (Fig 6D), a step-like encoding of an unbounded 559 accumulator that does not switch sign will appear very much like an bounded accumulator: for 560 either model, the accumulator will quickly jump to its largest value and remain there. Additional 561 experiments and modeling are required to differentiate these two models.

562

563 A primacy encoding model of the FOF is supported by our change of mind analysis. Putative change 564 of mind events identified from neural activity occurred less frequently in the FOF than other 565 regions (p < 4.5694e-82 FOF vs. PPC; p < 3.4585e-323 FOF vs. ADS; Fisher's exact test) consistent 566 with an early-commitment strategy in the FOF. A recent study of the FOF during an accumulation 567 task in which evidence dynamically changed throughout a trial found that FOF activity reflected 568 evidence across stimulus-induced 'overt' changes of mind, and that these events were common in 569 the FOF (Boyd-Meredith et al., 2022). It's important to note that we likewise found that FOF reflects 570 evidence across changes of mind, but we identified rarely-occurring non-stimulus-induced 'covert' 571 changes of mind during a task in which the evidence was static, and thus our results do not conflict 572 with those findings.

573

574 A primacy encoding model of the FOF is also both supported by and offers context to prior FOF 575 inactivation studies (Erlich et al., 2015). Behavioral modeling of choices in conjunction with 576 bilateral pharmacological inactivation found that FOF inactivation led to leakier accumulation when 577 producing choices (Erlich et al., 2015). Leakier accumulation at the level of choice also implies that 578 later stimulus information disproportionately impacts choice, precisely the impact predicted if an 579 early stimulus favoring brain region, such as the FOF, was silenced. A more complete model relating 580 accumulation dynamics in multiple brain regions to choice-related accumulation dynamics at the 581 level of behavior would aid in understanding how silencing individual brain regions, with their 582 region specific accumulation dynamics, impacts accumulation at the level of behavior.

583

Our novel change of mind analysis identified both the ADS and PPC as regions that showed frequent
instances of choice vacillation during this task. Prior studies in related tasks found that neural
responses in one of these regions, the PPC, (or its primate homolog), reflect information related to
already experienced trials (Akrami et al., 2018; Purcell and Kiani, 2016), consistent with our
interpretation of prestimulus neural responses being suboptimally tuned for the upcoming trial and
thus requiring mid-trial correction. Given the large initial accumulator variance of ADS and the

590 presence of frequent putative change of mind events in this region, activity in ADS seems poised to 591 also reflect these types of trial-history dependent responses as well. Future experiments and

- 592 analysis are required to determine this.
- 593

594 Previous studies that fit this model to only choices developed specific interpretations of the 595 accumulation strategy used by animals (Brunton et al., 2013). One difference between choice 596 accumulator models and joint neural-behavior models is the differential impact of accumulator 597 noise versus stimulus noise. Choice-only models have typically indicated that stimulus noise is the 598 primary cause of systematic behavioral uncertainty (Brunton et al., 2013), whereas our joint 599 models suggest that this impact is weaker than diffusion noise. One interpretation of this difference 600 is that at the level of a single neural population, diffusive noise plays a stronger role in producing 601 uncertainty in a(t) than stimulus noise, whereas at the level of the entire brain's encoding of 602 accumulated evidence, this diffusive noise 'averages out' and residual stimulus noise remains. 603 Understanding how multiple brain regions work together to produce a model of accumulated 604 evidence at the level of behavior is an important future direction of this work.

605

606 Several extensions of our framework are readily apparent. Increasing the number of recorded 607 neurons led to an improved estimate of a(t). As the density of neural recordings increases (Luo et 608 al., 2020), the explanatory power of our model will increase. Although we have extended the 609 evidence accumulation model to include neural responses and choice, we could extend it further to 610 describe additional physiological or behavioral variables (e.g., from annotated video data, pupil-611 dilation measurements, response time, etc.). Including these additional behavioral measures would 612 further inform the inferred accumulator model, providing a clearer window into the internal factors 613 governing choices. Although we considered a specific evidence accumulation model due to its 614 normative interpretation, our framework can readily accept modifications and extensions of its 615 dynamical equations (e.g., Genkin et al., 2021). More sophisticated (e.g., nonlinear) dynamics of 616 accumulated evidence or more refined models of accumulation noise are two examples. Our 617 framework can also accommodate more elaborate and/or appropriate relationships between 618 accumulated evidence and neural responses, as we briefly explored by considering the negative binomial distribution (Figure 3 — figure supplement 1). Changing this relationship would open 619 620 the door to using this approach with other types of data, such as imaging data. Although our 621 framework was developed with the specific application to a pulsed-based accumulation task in 622 mind, it is not confined to this. Our framework can be adapted to any task where noisy temporal accumulation of evidence is thought to play a role, and for which neural recordings and behavioral 623 624 choices reflect this process (International Brain Laboratory et al., 2021). Finally, while a major 625 motivation of our approach was to develop a framework for identifying a specific normative and 626 mechanistic accumulation model, its rigidity makes it difficult to capture varying features present in 627 the data. Extending the model to include additional latent processes alongside a rigid accumulation 628 model (Zoltowski et al., 2020) would enable the model to simultaneously account for currently 629 unexplained variance in the data while preserving the model's ability to account for variance with 630 an accumulation model. Doing so may offer a clearer picture of the evidence accumulation process 631 by sweeping away unrelated variance with a more flexible, but less interpretable, latent process 632 model.

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635

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642

643 **Methods** 

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#### 645 Latent variable model

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651

647 We model accumulated evidence as a one-dimensional drift diffusion model (DDM) with a 648 symmetric absorbing boundary (Brunton et al., 2013). On a single behavioral trial, the evolution of 649 the accumulated evidence, a(t), is governed by 650

$$da = \lambda a dt + \sigma_a dW + \sigma_s dt (\eta' \delta_{t,t_R} C_R(t) - \eta' \delta_{t,t_L} C_L(t)).$$
 (Equation 4)

652  $\lambda$  is the inverse of the drift time constant.  $\sigma_a dW$  is a Wiener process with scaling  $\sigma_a$ .  $\sigma_s \eta'$  are 653 Gaussian variables with variance  $\sigma_s^2$  and mean 1.  $\delta_{t,t_L}$  and  $\delta_{t,t_R}$  are the timing of left and right 654 pulses respectively, and  $C_L(t)$  and  $C_R(t)$  are the magnitude that each left or right click, respectively, 655 656 has at time *t*. The impact of each click is modulated by sensory adaptation, based on the following 657 equation:

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664

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682

659 
$$\frac{dC_{\alpha}}{dt} = \frac{1 - C_{\alpha}}{\tau_{\phi}} + (\phi - 1)(C_{\alpha}\delta_{t,t_{\alpha}}),$$
 (Equation 5)  
660

661 where  $\alpha = \{L, R\}$ . We define the difference of the adapted click magnitude at time t as  $\Delta(t) =$  $\delta_{t,t_R} C_R(t) - \delta_{t,t_L} C_L(t)$  and the sum of the adapted click magnitude at time t as  $\Sigma(t) = \delta_{t,t_R} C_R(t) + \delta_{t,t_R} C_R(t)$ 662 663  $\delta_{t,t_l} C_L(t)$ . By doing so, we can express Equation 4 as,

$$665 da = \lambda a dt + \Delta(t) dt + \sigma_a dW + \sigma_s \Sigma(t) \eta dt, (Equation 6)$$

$$666$$

667 where n is a standard Normal. An absorbing boundary, B, if crossed, prevents a(t) from evolving further (i.e. da = 0 if a(t) > B). The initial state of a(t) is distributed normally with mean of 0 and 668 variance of  $\sigma_i^2$ . We refer to all parameters that govern the dynamics of a(t) as 669 670  $\theta_a = \{\sigma_i, \lambda, B, \sigma_a, \sigma_s, \phi, \tau_{\phi}\}.$ 

#### Computing the distribution of the latent state 672 673

674 The temporal dynamics of the probability distribution of a(t), P(a(t)), can be expressed as a Fokker-675 Planck equation, 676

677 
$$\frac{\partial P(a(t))}{\partial t} = \frac{\sigma_a^2 + \sigma_s^2 \Sigma(t)}{2} \frac{\partial^2 P}{\partial a^2} - \frac{\partial ((\lambda a + \Delta(t))P)}{\partial a}.$$
 (Equation 7)  
678

We numerically compute the solution to Equation 7 by dividing P(a(t)) into a set of *n* discrete 679 680 spatial bins, and determine how mass moves after a discrete temporal interval,  $\Delta t$ . The discrete time dynamics of  $P(a_t)$  are Markov, and obey the following equation, 681

683 
$$P(a_t) = M(\theta_a, \delta_t) P(a_{t-1}),$$
 (Equation 8)

684 685 where  $\delta_t$  is the collection of left and right clicks at time *t*. The transition matrix  $M(\theta_a, \delta_t)$  is 686 determined using methods established in Brunton et al., 2013. Briefly, for each spatial bin, the 687 deterministic effect of the dynamics on the probability mass is computed, and this is convolved with a discrete approximation to a Gaussian distribution with the appropriate variance and a finer

689 spatial resolution than the initial spatial resolution described above, to determine the various

690 locations of that probability mass at the next time bin. Because the location of each bin of mass after 691 the Gaussian convolution is not likely to correspond to the spatial grid defined for  $P(a_t)$ , the mass is

692 (settled' into appropriate bins based on the distance of each bit of mass and the nearest two bins.

693 Mass located in the first and last bin, corresponding to mass that has been captured by the

boundary, cannot change locations, and the entries of  $M(\theta_a, \delta_t)$  that determines how the mass in

695 these bins moves, reflects this. n = 53 and  $\Delta t = 10$  ms for all results presented here.

### 697 Relating *a(t)* to spikes and choices

699 On a single behavioral trial, the observed spike count of the  $n^{th}$  neuron at time t,  $y_{n,t}$ , is a Poisson 700 random variable,

702 
$$P(y_{n,t}|a_t,\theta_n) = (f_{\theta_n}(a_t))\Delta t^{y_{n,t}}exp(-f_{\theta_n}(a_t)\Delta t),$$
 (Equation 9)

704 where  $\theta_n$  defines the expected firing rate function f for the  $n^{th}$  neuron. We choose  $f_{\theta_n}$  to be a 705 softplus function, i.e., softplus(x) = log(1+exp(x)). Each neuron has their own parameter  $\theta_n$  that 706 relates  $f_{\theta_n}$  to  $a_t$ .  $\theta_y = \{\theta_1, \theta_2, \dots, \theta_N\}$  is the collection of all neural parameters for the population of N707 neurons.

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### 709 We define $f_{\theta_n}(a_t)$ as 710

711 
$$f_{\theta_n}(a_t) = softplus(\theta_n a_t + \theta_{n,t}^0),$$
 (Equation 10)

713 where  $\theta_{n,t}^0$  accounts for the time-varying trial-average (i.e., invariant to a(t)) firing rate of the  $n^{th}$ 714 neuron.  $\theta_{n,t}^0$  is learned prior to fitting the full model, i.e., before learning  $\theta_a$  and  $\theta_y$ . We 715 approximate  $\theta_{n,t}^0$  with a set of six Gaussian radial basis functions

716  
717 
$$\theta_{n,t}^{0} = \sum_{i}^{6} w_{i,n}^{RBF} N(\mu_{i}, \sigma_{RBF}^{2}).$$
  
718 (Equation 11)

The mean of the functions,  $\mu_i$  are spaced uniformly from time 0 to the maximum trial length for each respective neuron. The variance of the functions,  $\sigma^2_{RBF}$ , is equal to the distance between the function means. We learn  $w_{i,n}^{RBF}$  by assuming that  $y_{n,t}$  is distributed Poisson with an intensity function  $\theta^0_{n,t}$  and maximize the likelihood. In other words, for the  $n^{th}$  neuron we define the likelihood of the observed spikes for a trial of duration *T*,  $y_n$ , assuming a time-varying intensity function  $\theta^0_{n,t}$ 

727 
$$P(y_n | \theta^0_n) = \prod_{t=1}^T (\theta^0_{n,t} \Delta t)^{y_{n,t}} exp(-\theta^0_{n,t} \Delta t),$$
  
728 (Equation 12)

729

and maximize this likelihood across *K* trials with respect to the parameters  $w_{i,n}^{RBF}$ .

731 732 Although both  $\theta_n a(t)$  and  $\theta_n^0(t)$  vary in time to define each neuron's expected firing rate, they are 733 uniquely identifiable, because  $\theta_n a(t)$  varies from trial to trial depending on the stimulus while 734  $\theta_n^0(t)$  does not. We verified through numerical experimentation and parameter recovery using 735 synthetic data that each process can be identified.

737 On a single behavioral trial, with a probability  $1 - \gamma$  the subject's choice, d, is a deterministic

function of a(t) at the end of the trial (time *T*), (Brunton et al., 2013); with probability  $\gamma$  the choice is made without considering a(t).  $\gamma$  captures "lapses" in the subject's performance. For choices that depend on a(t), if a(T) is greater than a cutoff value c, d = 1, otherwise d = 0. Thus, the probability of the choice, given a(t) and  $\theta_d$  can be written as,

743 
$$P(d|a_T, \theta_d) = (\frac{\gamma}{2} + (1-\gamma)H(a_T-c))^d(\frac{\gamma}{2} + (1-\gamma)(1-H(a_T-c)))^{1-d},$$
  
744

745 (Equation 13)

747 where  $H(\cdot)$  is the Heaviside function. We refer to the parameters relating a(t) to the likelihood of a 748 subject's choice as  $\theta_d = \{c, \gamma\}$ .

### 750 Relative binning of clicks and spikes

749 750 751

746

752 A minor but key implementation detail concerns defining the start and end times of the temporal 753 bin edges that are used to bin the click inputs and the spikes trains. Through numerical 754 experimentation, we identified that our numerical procedure produces a systematic error in 755 estimating the model parameters when the temporal bins for the clicks are aligned with the 756 temporal bins for the spikes. To circumvent this issue, we offset the bins for the spikes by  $\Delta t/2$ , so 757 that the bin edges for spikes at time t surround the forward bin edge of the clicks by +/-  $\Delta t/2$ . This 758 procedure is similar to the central difference formulation of a finite difference approximation to a 759 differential equation.

### 761 Inferring model parameters with maximum likelihood

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760

# We refer to the set of all parameters for models fit to spikes and choices as $\Theta = \{\theta_a, \theta_y, \theta_d\}$ . Given the Markov dynamics described above, the relationship between a(t) and the observed data, and the model parameters, we can write out the likelihood of the spike train data **Y** from *N* neurons for *T* time bins, the behavioral choice *d*, and the latent variable **a** for *T* time bins as

768 
$$P(a, Y, d|\Theta) = P(a_0|\theta_a) \prod_{t=1}^{T} P(a_t|a_{t-1}, \theta_a, \delta_t) \prod_{n=1}^{N} P(y_{n,t}|a_t, \theta_n) P(d|a_T, \theta_d)$$
769 (Equation 14)
770

771 We compute the likelihood of the data by integrating over *a* 

773 
$$P(Y,d|\Theta) = \sum_{a} P(a,Y,d|\Theta).$$
  
774 (Equation 15)

775 776 Because of the way in which we compute  $P(a_t | a_{t-1}, \theta_a, \delta_t)$  (see above) computing the log-777 likelihood of the data can be done with a single forward pass over the data using the 'forward-778 backward' algorithm method for Hidden Markov models (Bishop, 2006). We maximize the sum 779 over *K* behavioral trials of the logarithm of this quantity with respect to  $\theta$  via gradient ascent. To 780 compute the gradient of  $\sum_{k=1}^{K} \log P(Y_k, d_k | \theta)$  with respect to  $\theta$  we use a standard automatic 781 differentiation package (Revels et al., 2016). We refer to the set of parameters that maximizes the

782 likelihood as  $\hat{\Theta}$ .

783

- 784 We note that all K trials for many of the models we fit were not recorded on the same behavioral
- 785 session, and therefore, all N neurons are not recorded for every trial. For example, neurons 1-3
- 786 might be recorded on trials 1-500, while neurons 4-6 might be recorded on trials 501-1000.
- 787 Although our notation does not reflect this in order to keep the notation simple, only neurons
- 788 recorded on a trial contribute to the likelihood on that trial.
- 789

#### 790 **Bounded optimization**

791

792 Several model parameters are only defined within a restricted domain; for example, all variances parameters, such as  $\sigma_a^2$ , are only defined on the positive real axis. Alternatively, other parameters, 793 although defined on a more expansive domain, have values that correspond to models that are not 794 795 very likely; for example, although B is defined on the positive real axis, values much greater than 40 796 are not likely to be exhibited in the data, given the specifics of the stimulus, where greater than 40 797 clicks were rare. For these reasons, we define the following domain over which parameter 798 optimization was performed:

- 799
- $1e^{-3} \le \sigma_a{}^2 \le 100$ 800 • 8 < B < 40801
- 802 •  $-5 \le \lambda \le 5$
- $1e^{-3} \le \sigma_a^2 \le 400$   $1e^{-3} \le \sigma_s^2 \le 10$ 803
- 804
- $1e^{-3} \le \phi \le 1.2$   $5e^{-3} \le \tau_{\phi} \le 1$ 805
- 806
- $-10 \le c \le 10$ 807
- $0 \le \gamma \le 1$ 808 809 •  $-10 \le \theta_n \le 10 \forall n$
- 810

811 The occurrence of parameters hitting the bound can be seen in Figure 3 & Figure 3 — figure 812 supplement 4. The most common boundary hitting situation was a variance parameter ( $\sigma_i, \sigma_a, \sigma_s$ ) 813 hitting the lower boundary of zero, which means that the model did not support noise of that kind 814 in the model fit.  $\sigma_i$  and  $\sigma_a$  were found to do this for the choice only model, consistent with the 815 results of Brunton et al. The other bound that was frequently hit was the upper bound for the 816 accumulation bound parameter B, a result also consistent with the results of Brunton et al. The log-817 likelihood surface as B grows very large becomes very flat, because it becomes increasingly unlikely 818 that probability mass P(a(t)) crosses the boundary. Thus, the model fits do not change appreciably 819 if this optimization boundary is relaxed.

820

### 821 Confidence intervals for maximum likelihood parameters

822

823 To compute confidence bounds of estimated parameters (as in **Figure 3** and **Figure 1** — **figure** 824 **supplement 1**, Figure 4 — figure supplement 1, Figure 3 — figure supplement 3, Figure 3 — figure 825 supplement 4), we use the Laplace approximation to the log-likelihood. Using automatic 826 differentiation, we compute the Hessian (the matrix of second derivatives) of the log-likelihood at 827 the maximum likelihood parameters. The diagonal entries of the Hessian's inverse quantify the 828 sharpness of the curvature of the log-likelihood surface, and therefore the uncertainty of the 829 estimate of each parameter. We define the confidence bound as +/- two times the square root of 830 each diagonal entry; approximating the log-likelihood surface as Gaussian, this describes the range 831 of parameters that would fall within approximately 95% of the log-likelihood volume. 832

833 For some sets of maximum likelihood parameters, further consideration was necessary. In cases 834 where confidence bounds extend beyond an optimization bound that corresponds to a strict 835 boundary on the domain of a parameter (e.g., variance parameters being strictly positive), we 836 truncate these intervals at the bound. In some cases, we found that Hessian was not positive semi-837 definite, a necessary condition to invert it. This most often occurred when a maximum likelihood 838 parameter encroached upon a strict parameter boundary (e.g., variance parameters being strictly 839 positive). We dealt with these scenarios in two ways. In some cases, numerical line search along any 840 Hessian eigenvector with negative eigenvalue confirmed the convexity of the log-likelihood was 841 local whereas more globally the log-likelihood was concave. In light of this, we numerically 842 computed the global concavity of the log-likelihood with a numerical line search and approximated 843 this curve with a quadratic function. We replaced the negative eigenvalue of the Hessian with two 844 times the coefficient of this quadratic approximation (the multiplier two is used because the 845 Hessian is two times the second-order approximation of the log-likelihood via Taylor series 846 approximation, where the second-order term contains a 1/2 prefactor). In other cases, computing 847 the Hessian in a transformed space (e.g., log space) where troublesome parameters were free to 848 take on any value, rectified the non-concavity (Yartsev et al., 2018). After computing confidence 849 intervals in the transformed space, we mapped these values back into the standard space by the 850 inverse transform.

# 851852 Data selection

853

Details regarding behavioral data collection and neural recordings and spike sorting can be found in (Hanks et al., 2015) and (Yartsev et al., 2018). To select which neurons were used, a firing rate for each neuron was computed by summing spikes over the duration of the stimulus period and dividing this by the length of the stimulus period. A two-sided t-test was applied, comparing the firing rate distribution on trials when the animal chose left and when the animal chose right. Neurons with a *p*-value less than 0.01 were included for analysis.

860

# 861 Data grouping

862

We grouped together rats that had neural recordings from the same brain region (five FOF rats,
three PPC rats, three ADS rats; see Table 1 for information about the data) to improve our
estimation of the model parameters for each region. For the PPC and ADS recordings, the majority
of recorded neurons came from a single rat (Table 1). Although individual FOF rats had enough
neurons to support fitting each rat alone, the maximum likelihood parameters for FOF rats fit
individually were qualitatively similar (Figure 3 — figure supplement 3).

# 869870 Response latency

871

Previous analyses have identified a response latency between the stimulus and the neural
responses, and that this latency can be different in different brain regions (Hanks et al., 2015). To
account for this, we shifted the time of the neural responses relative to the clicks based on these
prior results. FOF and ADS responses had a latency of 60 ms, while PPC responses had a latency of
120 ms.

877

# 878 Specifics of data selection for each analyses

879

Our reports of the maximum likelihood parameters for each model are for models fit to the entire
 dataset. Each model was also fit using cross-validation (i.e., training on a subset of the data, while

882 reserving data for testing) but the maximum likelihood parameters did not qualitatively change

from those identified using the entire dataset, and the log-likelihood computed on test data using
parameters identified with training data did not differ appreciably from the log-likelihood
computed on those same trials using parameters identified with the entire dataset (Figure 3 —
figure supplement 4 ). This consistency is likely due to the modest number of model parameters.

887

888 When we compute various quantities related to the data, such as peri-stimulus time histograms 889 (PSTHs), cross-correlation functions, and psychometric functions, we likewise use the entire 890 dataset. We did not find that we could accurately estimate the PSTH when only using a small subset 891 of the data (i.e., test data) due to the fact that our task lacks repeated stimulus conditions. 892 Additionally, when we simulate data from a fit model (e.g., **Figure 2A**), we used the maximum likelihood parameters derived from model fits to the entire dataset, and used the stimuli of the 893 894 entire dataset to generate these data. Again, because the maximum likelihood parameters did not 895 qualitatively change when the model was fit to a subset of the data, we found it easier to focus our 896 analyses on a single model. The above statements apply to analyses in the following figures: **Figure** 897 2, Figure 3, Figure 4C & D, Figure 5, Figure 6 B-F, and Figure 3 — figure supplement 2, Figure 4 — figure supplement 1, Figure 6 — figure supplement 2, **Figure 3 — figure supplement 3**. 898

899

900 When comparing performance across models, cross-validation is necessary, and we did so in those 901 cases (e.g. Figure 4B, Figure 6A, and Figure 4 — figure supplement 2, Figure 6 — figure 902 supplement 1, Figure 3 — figure supplement 4 B). In these cases, we performed five-fold cross-903 validation by dividing the dataset into a training set that consisted of 80% of the data and a test set 904 that consisted of 20% of the data. We fit each model using the training data of each fold, and 905 computed the test log-likelihood using the test data and the parameters derived from the training 906 data. Test performance was averaged across the five folds. Again, we stress that the test 907 performance on cross-validated data did not appreciably differ from that computed using a model 908 trained to the entire dataset (Figure 3 — figure supplement 4). We note, however, that even in 909 cases when we performed cross-validation, we still computed an approximation to each neuron's trial-averaged firing rates,  $\theta_{n,t}^0$ , using all available data, prior to fitting the full model. 910

911

Because most of our models were fit simultaneously to data from multiple experimental sessions(in which different neurons are recorded), to perform cross-validation, we randomly divided trials

914 within each session into a train and test set, and trained and tested the model collectively on those 915 groups of trials. Testing the model in this way will determine parameter robustness across all

916 sessions (for model parameters that are shared across all sessions) and individual parameter

917 robustness within a session (for parameters that are specific to an individual session). This

918 procedure also worked for the 'independent noise model', for which model parameters were shared
919 across all sessions, but individual neuron parameters were session specific.
920

921 **Other fit models** 

# 922

# 923 Independent noise accumulator models924

We refer to the set of all parameters for the model with independent accumulator noise per neuron as  $\Theta_{ind}$ . The likelihood of the spike train data from the  $n^{th}$  neuron  $Y_n$  for *T* time bins is 927

928 
$$P(Y_n | \Theta_{ind}) = \sum_{a_n} P(a_{0,n} | \theta_a) \prod_{t=1}^T P(a_{n,t} | a_{n,t-1}, \theta_a, \delta_t) P(y_{n,t} | a_{n,t}, \theta_n).$$
  
929 (Equation 16)  
930

931 The joint likelihood for the spike train data from all neurons is the product of the likelihood for each 932 neuron:  $P(Y|\Theta_{ind}) = \prod_{n=1}^{N} P(Y_n|\Theta_{ind})$ . Our primary interest in this analysis was capturing the 933 neural responses, so we considered a simple model of choice for this model: on each trial, choice is 934 determined by randomly selecting one of the accumulators. The likelihood of the choice *d* under 935 such a model is the average of the the *n* accumulators at time *T*:

937  $P(d|\Theta_{ind}) = \frac{1}{N} \sum_{n=1}^{N} P(d|a_{n,T}\theta_d).$ 

(Equation 17)

938

939 The full likelihood is the product of these terms:  $P(Y, d|\Theta_{ind}) = P(d|\Theta_{ind})P(Y|\Theta_{ind})$ . 940

### 941 **Choice-only model** 942

943 We refer to the set of all parameters for the model fit to choices only as  $\theta_d = \{\theta_a, \theta_d\}$ . The 944 likelihood of the behavioral choice *d* is

945

946  $P(d|\theta_d) = \sum_a P(a_0|\theta_a) \prod_{t=1}^T P(a_t|a_{t-1}, \theta_a, \delta_t) P(d|a_T, \theta_d)$ 947 (Equation 18)

### 948 949 Bernoulli GLM

950

951 To benchmark our method's ability to predict the animal's choice, we considered a basic logistic 952 regression model (i.e., Bernoulli GLM) that included stimulus information and neural activity (e.g. 953 Figure 6A and Figure 6 — figure supplement 1). For each trial, we computed the total number of 954 spikes each neuron produced during the specified temporal window and the final cumulative click 955 difference, and used them as regressors in a standard Bernoulli generalized linear model to predict 956 the animal's choice. A constant bias was also included, as well as a single lapse parameter that 957 scaled the minimum and maximum values of the logistic inverse link function. Cross-validation was 958 performed on this model as described above.

# 959960 Null choice model

961
962 In Figure 6A, we assess how well each of our fitted models can predict choice. We compare all
963 models against a baseline model where each choice is a Bernoulli random variable with probability
964 of making a right choice equal to the empirical fraction of choices made to the right.

### 966 Null joint model

967

965

To compare the improvement of the joint model in absolute terms (i.e., when not comparing two
fitted models) we compute a null model of the spiking activity and choices (Figure 3 — figure

970 supplement 4 B). The null likelihood of the choice data is as described above. The null likelihood of971 the spike train data assumes that the time-varying expected firing rate of each neuron is equal to its

estimated time-varying trial-average firing rate, i.e.,  $f_{\theta_n}(t) = \theta_{n,t}^0$ .

973

974 The improved performance (i.e. cross-validated log likelihood) of our joint model over the null

975 model shown in Figure 3 — figure supplement 4 further confirms that  $\theta_n a(t)$  and  $\theta_n^0(t)$  are

- 976 uniquely identifiable, and that they are not redundant (i.e. the joint model is not
- 977 overparameterized).
- 978
- 979 Poisson GLM

984

992

994

981 To validate the maximum likelihood parameters derived from the joint model, we fit a variant of a
982 Poisson GLM to the spiking responses (Figure 3 — figure supplement 2). As a regressor, we used
983 the adapted, exponentially filtered click inputs,

985 
$$da = \lambda a dt + dt \Delta(t)$$
, (Equation 19)  
986

987 where  $\Delta(t)$  is defined as above. The expected firing rate of each neuron is defined as in the full 988 model, by Equation 10. For the bounded Poisson GLM model, the dynamics of a(t) follow Equation 989 19, except that if a(t) crosses B, a(t) stops evolving (i.e. da = 0 if a(t) > B). The parameters  $\lambda$ , B,  $\phi$ ,  $\tau_{\phi}$ , 990 and  $\theta_{y}$  that maximize the likelihood of the spike data were learned using gradient ascent. The null 991 model described in Figure 3 — figure supplement 2 is the null joint model, described above.

### 993 Negative binomial

In Figure 3 — figure supplement 1, we compare a Poisson observation model to a negative
 binomial model. To do this, we model the spikes as

997  
998 
$$P(y_{n,t}|a_t, \theta_n) = NB(\theta_n^{NB}, \frac{\theta_n^{NB}}{f_{\theta_n}(a_t)\Delta t + \theta_n^{NB}})$$
 (Equation 20)  
999

1000 where  $NB(\cdot, \cdot)$  is the negative binomial distribution, and  $\theta_n^{NB}$  controls the variance of the 1001 distribution for each neuron and can take values between 0 and positive infinity. When  $\theta_n^{NB}$ 1002 becomes large the negative binomial distribution approaches the Poisson distribution.  $\theta_n^{NB}$  was fit 1003 for each neuron using gradient ascent, as described above.

# 10041005 Quantifying model fit

1006

# 1007 **Computing PSTHs and cross-correlation functions on empirical data**

1008 1009 We computed a 'single-trial' firing rate for each neuron by convolving its binned spikes with a 1010 Gaussian kernel of standard deviation 50 ms. We call this single-trial rate  $r_{t,k,n}$  for the  $n^{th}$  neuron 1011 on the  $k^{th}$  trial at time t. We divide all the trials into two equally-sized groups based on the 1012 cumulative click difference at the end of the trial and average  $r_{t,k,n}$  based on these groupings. 1013 Because trials are not of equal duration, at time t we use whichever trials have data at that time. We 1014 refer to this average as  $\bar{r}_{c,n,t}$  where the index c runs from 1 to 2. 1015

We used the empirical binned spikes counts to compute cross-correlation functions. Raw crosscorrelation functions were normalized by the (across time) mean firing rates of the two neurons
being used so they provided a measure of excess spike rate. The equation for the raw crosscorrelation function was,

1020

1021 
$$R_{m,n}(\tau) = \frac{1}{m_m} \left(\frac{1}{K} \sum_k \frac{1}{N_k(\tau)} \sum_t \frac{y_{n,k,t}}{\Delta t} \frac{y_{m,k,t-\tau}}{\Delta t}\right) - m_n,$$
(Equation 21)  
1022

1023 where *t* is over all bins for the  $k^{th}$  trial,  $y_{n,k,t}$  and  $y_{m,k,t-\tau}$  are the binned spike train of neuron *n* 1024 and *m* at time *t* and  $t - \tau$  respectively, and  $N_k(\tau)$  is the number of bins such that both  $y_{n,k,t}$  and 1025  $y_{m,k,t-\tau}$  are valid.  $m_n$  and  $m_m$  are the mean firing rates of the  $n^{th}$  and  $m^{th}$  neuron respectively, 1026 computed by taking the average spike count across all times.

To compute the shuffled corrected cross-correlation, we computed the cross-correlation of the expected firing rate of each neuron provided by the PSTH, i.e.  $\bar{r}_{n.c.t.}$ 

 $R^{PSTH}{}_{m,n}(\tau) = \frac{1}{m_m} (\frac{1}{c} \sum_c \frac{1}{N_c(\tau)} \sum_t \bar{r}_{n,c,t} \bar{r}_{m,c,t-\tau}) - m_n,$ (Equation 22)

where C=2 is the number of conditions used to define the PSTH,  $N_c(\tau)$  is defined similarly as above, and  $m_n$  and  $m_m$  are as defined above. The shuffle corrected cross correlation is the raw cross correlation minus the cross-correlation of the expected firing rate:  $R_{m,n}(\tau) - R^{PSTH}_{m,n}(\tau)$ . 

#### **Computing PSTHs and cross-correlation functions on synthetic data**

We generated synthetic data from a model by using the maximum likelihood parameters to generate the expected firing rate of each neuron on each trial, i.e.  $f_{t,k,n}$ . We averaged this expected rate for each neuron on each trial over 20 different realizations of the latent noise to reduce variation due to the latent process. We then grouped and averaged these average expected rates, as described above, to generate a synthetic PSTH, which we denote by  $f_{n.c.t}$ , as used in **Figure 2** and Figure 1 — figure supplement 1.

### 

We used the synthetic expected firing rate,  $f_{t,k,n}$ , to compute cross-correlation function for synthetic data,

 $R^{syn}{}_{m,n}(\tau) = \frac{1}{m_m} (\frac{1}{K} \sum_k \frac{1}{N_k(\tau)} \sum_t f_{n,k,t} f_{m,k,t-\tau}) - m_n,$ (Equation 23) 

where K,  $N_k(\tau)$ ,  $m_n$  and  $m_m$  are as defined above. The shuffle corrected cross correlation function of synthetic data is the raw cross correlation function minus the cross correlation function of the expected synthetic firing rate provided by the synthetic PSTH,  $f_{n.c.t.}$ 

#### **Goodness-of-fit metrics**

To compare empirical and synthetic PSTHs, we computed the coefficient of determination. Because fewer and fewer trials were included in computing the PSTH at large time values (because trials of great length were rare) we included PSTH values 200 ms before the stimulus onset up until 500 ms after stimulus onset in this calculation. Based on the definitions of the empirical and synthetic PSTHs, the coefficient of determination is defined as: 

1065 
$$R_n^2 = 1 - \frac{\sum_c \sum_t (\bar{r}_{n,c,t} - f_{n,c,t})^2}{\sum_c \sum_t (\bar{r}_{n,c,t} - \langle \bar{r}_{n,c,t} \rangle_{c,t})^2},$$
  
1066 (Equation 24)

where  $\langle \bar{r}_{n,c,t} \rangle_{ct}$  is the mean of  $\bar{r}_{n,c,t}$  over trial groupings and times. Pearson correlation (*r*) was used to compare empirical and synthetic cross-correlation functions. When computing r we considered values of  $\tau$  between -800 and 800 ms. 

#### **Psychometric functions**

1074 We used a Bernoulli GLM (i.e. logistic regression) to compute psychometric functions for empirical1075 and synthetic data. We generated synthetic data from a model by using the maximum likelihood

1076 parameters to generate the probability of a choice, and sampled the choice from a Bernoulli

1077 distribution. For the Bernoulli GLM, for each trial, we computed the final click difference and used it

as a regressor to predict the animal's choice. A constant bias was also included, as well as a single

1079 lapse parameter that scaled the minimum and maximum values of the logistic inverse link function.

1080  $R^2$  values comparing empirical and synthetic psychometric functions were defined as above, but

using the psychometric functions whose domain was from the minimum final cumulative clickdifference to the maximum final cumulative click difference.

# 1084 **Choice decoding** 1085

1086 We used two metrics to determine how well choice could be decoded from various models: choice
1087 prediction accuracy and test log likelihood. Test likelihood was reported in bits per trial, i.e.

1088  
1089 
$$\Delta LL = \frac{LL_{model} - LL_{null}}{log_2(K)}$$
(Equation 25)

1090

1083

1091where K is the number of trials in the test set and  $LL_{null}$  is the appropriate null model, as described1092above, or a second model with which to test against. Five-fold cross validation was performed, as1093described above. Accuracy was determined, depending on the model, by computing the probability1094that the model predicted a right choice, given all available data (i.e., inputs and spikes in a model1095that includes spikes). If the model had a greater than 0.5 probability of choosing right, we1096considered that a prediction of a rightward choice. Accuracy is the fraction of correct choice1097predictions.

### 1099 Identifying putative changes of mind

1100

1098

1101 Based on a recent study (Peixoto et al., 2021) we defined putative changes in mind in the following 1102 way. For each model and each trial, we computed the posterior distribution of a(t) given all 1103 available data except for the choice. In the case of the choice only model, this means using only the 1104 stimulus, and is equivalent to the forward pass of the model. In the case of the joint model, this is 1105 equivalent to the posterior distribution of a(t) given the spikes on that trial. We computed the 1106 expected value of the posterior distribution for each trial and identified moments when it crossed 1107 the decision threshold as determined for each model (i.e., the *c* parameter of the choice likelihood). 1108 We required that the expected value remain on one side of the threshold for 50 ms, remain on the 1109 other side following the crossing for 50 ms, and achieve an absolute magnitude greater or equal to 1110 2 at some point during that 100 ms window.

1111

1112 To relate putative change of mind events to the animal's behavior we performed linear regression 1113 between the time of the event relative to the end of the stimulus (i.e., how close to a decision the 114 event occurred) and a measure of the animal's reaction time. In this task, the animal is required to 115 fixate in the center poke for the duration of the stimulus, so it does not exhibit a true reaction time 116 in the standard sense of the term. However, following the end of the stimulus, it does take the 117 animal time to withdraw from the center port to make its choice (see **Figure 1A**, bottom, upper two 118 lines). We refer to the difference between the end of the stimulus and when the animal withdrew

1119 from the center port as the animal's reaction time, which we used in our analysis.

1120

### 1121 Estimating dimension

1122

- 1123 To estimate the effective dimension of groups of simultaneously recorded neurons, we computed
- 1124 the 'participation ratio' (Litwin-Kumar et al., 2017). Single-trial firing rates were computed by 1125 convolving the spike trains with a Gaussian kernel (std=50 ms), and the covariance matrix of these
- 1126 rates was computed. The participation ratio is

1127 1128

- $\frac{(\sum_{n=1}^{N}\lambda_{n})^{2}}{\sum_{n=1}^{N}(\lambda_{n})^{2}},$ 1129
  - (Equation 26)
- 1130

1131 where  $\lambda$  are the eigenvalues of the covariance matrix. If the firing rates are independent, the 1132 eigenvalues will all be equal and the participation ratio will equal the number of neurons. If the 1133 firing rates are correlated such that some eigenvalues are small (or perhaps even zero) the 1134 participation ratio will be less than the number of neurons.

### 1135 1136 **Code availability**

1137

1138 All code was written in the Julia programming language. The core codebase for fitting the models 1139 described in this manuscript can be found here: https://github.com/Brody-Lab/PulseInputDDM. 1140 Code and data for performing the analyses described in this manuscript can be found here:

- 1141 https://github.com/Brody-Lab/DePasquale-eLife-2023.
- 1142

### 1143 **Figure Legends** 1144

- 1145 Figure 1: Accumulating evidence task and latent variable model. (A) Rats performed a pulsed-1146 based evidence accumulation task. A central LED illuminates, indicating that the rat can begin a trial 1147 by poking its nose in a central port. After a delay of random duration, an auditory stimulus of 1148 variable duration is delivered—a series of brief auditory pulses played from a left and a right 1149 speaker. Upon cessation of the stimulus, the rat must orient to the direction of the greater number 1150 of pulses to receive a water reward. (B) The model relates the click-based sensory stimulus to two types of observations—the animal's choice and neural activity observed during the task. The latent 1151 1152 variable model is a bounded accumulator. Left and right clicks (green and red arrows, respectively)
- 1153 push the variable to one side or the other; if the accumulator variable reaches the bound B (dotted
- 1154 line) accumulation ceases. Seven parameters govern the dynamics of a(t) (see main text). Two
- 1155 different hypothetical trajectories of a(t) are illustrated (black and blue) for the same click
- 1156 stimulus; the two trajectories differ due to the diffusive and stimulus noise in the model. a(t) relates
- 1157 to the animal's choice by a Heaviside step function and to neural activity by way of a softplus
- 1158 nonlinearity and a Poisson distribution. *a*(*t*) is common for all simultaneously recorded neurons
- 1159 and each neuron has its own parameters that determine its tuning curve.
- 1160

1161 Figure 1 — figure supplement 1: Recovering the parameters of synthetic data. Synthetic data was generated with parameters  $\sigma_i = 5$ , B=15,  $\lambda = -0.5$ ,  $\sigma_a = 100$ ,  $\sigma_s = 20$ ,  $\phi = 0.4$ ,  $\tau_{\phi} = 0.02$ . 1162 1163 Two synthetic 'sessions' were generated, with 400 trials and 3 neurons each. Softplus gain 1164 parameters were randomly generated between -2 and 2. c = 1,  $\gamma = 0.05$ . (A) PSTHs for two example 1165 neurons for synthetic data and simulated data after modeling fitting. (B) Psychometric curves for 1166 synthetic data and simulated data after modeling fitting. (C) Optimization was initialized at a 1167 random set of parameters ('initial'). Maximum likelihood parameters ('final') converged to within 1168 two standard deviations (error bars computed by Laplace approximation) of the parameters used

1169 to generate the data (dotted lines).  $\theta_{ij}$  refers to the neuron parameters for the jth neuron from the 1170 ith session.

1171

Figure 1 — figure supplement 2: Recovering the parameters of synthetic data for multiple datasets.
Four synthetic datasets (red, cyan, green, blue) were generated as in Figure 1 — figure supplement

- 1174 1 (two sessions per dataset, with three neurons in each session). Dotted lines in each panel indicate
- 1175 the generative parameters. Optimization was initialized at a random set of parameters ('init.').
- 1176 Maximum likelihood parameters ('final') almost always converge to within two standard deviations
- 1177 (error bars computed by Laplace approximation) of the parameters used to generate the data.  $\theta_{ii}$
- 1178 refers to the neuron parameters for the jth neuron from the ith session.

1179
1180 Figure 2: A shared accumulator model captures neural response and choice for each brain

**region.** (A) Peri-stimulus time histograms (PSTHs) of three example neurons for each brain region

- (each row; FOF: red/green, PPC: blue/orange, ADS: purple/yellow). Spike trains were binned,
  filtered with a Gaussian kernel (std = 50 ms), grouped based on the strength of evidence, and
- 1184 averaged. Transparent shaded regions are +/- 1 standard error of the mean for the empirical data
- 1185 for each grouping. Colored curves are the mean of synthetic data simulated from the model with the
- 1186 parameters that maximize the likelihood of the data, grouped in a similar fashion. The black curve
- 1187 shows the trial-averaged firing rate, for all evidence strengths. Gray vertical lines indicate the 1188 average delay between the stimulus and the response for each brain region (see Methods). (B)
- 1189 Coefficient of determination ( $R^2$ ) between empirical PSTH and synthetic data PSTH, for each neuron
- 1190 in each brain region. The data are plotted as a function of average firing rate. The median across the
- population is shown as a line. Points indicated with a 'star' refer to the data plotted in (A). (C)
- Probability of making a rightward choice as a function of cumulative difference in the number of
   clicks (psychometric curves) for empirical data (black lines) and data simulated from the model
- 1194 with the best fitting parameters (colored curves; FOF: red, PPC: blue, ADS: purple). Each curve is
- 1195 the curve of best fit, as computed by logistic regression.
- 1196

# 1197 Figure 3: Data from different regions is best fit by different accumulator models. (A)

- 1198 Maximum likelihood parameters that govern a(t) for the joint neural-behavioral model and the 1199 choice-only model. Error bars, computed by the Laplace approximation (Methods), are +/-21200 standard deviations. Parameters are  $\sigma_i$ : initial variance, B: accumulation bound,  $\lambda$ : drift,  $\sigma_a$ : 1201 accumulation noise variance,  $\sigma_s$ : click noise variance,  $\phi$ : adaptation strength,  $\tau_{\phi}$ : adaptation 1202 timescale. (B) 10 example trajectories with different noise instantiations for one trial for the choice 1203 model (top) and the joint model (middle) model for each brain region, and cumulative sum of the 1204 click stimulus for each trial (bottom). The dotted black lines (top and middle) indicate the 1205 accumulation boundary value for each model.
- 1206

1207 Figure 3 — figure supplement 1: Model comparison using Poisson or negative binomial

- **1208 observation model.** (A) Parameters are  $\sigma_i$ : initial variance, B: accumulation bound,  $\lambda$ : drift,  $\sigma_a$ :
- 1209 accumulation noise variance,  $\sigma_s$ : click noise variance,  $\phi$ : adaptation strength,  $\tau_{\phi}$ : adaptation
- 1210 timescale. Each point is a data fold (1 of 5). Maximum likelihood parameters were similar for the
- two observation models. Cross-validated log-likelihood was statistically indistinguishable (FOF:
   p=0.99; PPC:0.93; ADS:0.98) and the average difference in cross-validated log-likelihood was small
- 1212 (FOF: 1.11e-5; PPC: -0.036; ADS: -0.035). (B) Histogram of the negative binomial dispersion
- 1213 (FOF: 1.11e-3; FFC: -0.036; AD3: -0.035). (b) Histogram of the negative binomial dispersion 1214 parameter (r) across all neurons for each region. For large values of r, as seen here, the negative
- 1215 binomial approaches the Poisson distribution.

- 1217 Figure 3 — figure supplement 2: GLM analysis of individual sessions. (A) Poisson GLM with a 1218 softplus nonlinearity was fit with exponentially filtered clicks as the regressors (see Methods), 1219 using the same data as in **Figure 2** and **Figure 3**. Each dot is the maximum likelihood drift ( $\lambda$ ) 1220 parameter for a session. Sessions are ordered (from left to right) based on the fraction of the 1221 cumulative sum (across all sessions for a brain region) of the change in log likelihood (LL) over the 1222 null model (see Methods for null model). For example, the leftmost dot for each brain region is the session with the largest change in LL. Dots on the right were from sessions with the smallest change 1223 1224 in LL over the null. The colored lines are the cumulative mean of  $\lambda$  weighted by that session's 1225 normalized change in LL. Dots on the far right have little change in LL and thus contribute to this 1226 mean only weakly. (B) GLM as in (A) but fit with a boundary, such that if the filtered clicks crossed a 1227 boundary *B*, the value of the regressors remained equal to *B* henceforth in a trial (see Methods). In 1228 each plot, the dashed colored lines are the values of  $\lambda$  from the full model fit (as in **Figure 2** and 1229 Figure 3).
- 1230

1231 Figure 3 — figure supplement 3: Maximum likelihood parameters of joint model for each

- **FOF rat individually.** Error bars, computed by the Laplace approximation (Methods), are +/- 2 standard deviations. Parameters are  $\sigma_i$ : initial variance, B: accumulation bound, *λ*: drift,  $\sigma_a$ :
- 1234 accumulation noise variance,  $\sigma_s$ : click noise variance,  $\phi$ : adaptation strength,  $\tau_{\phi}$ : adaptation 1235 timescale.
- 1236

1237 Figure 3 — figure supplement 4: Comparison of maximum likelihood parameters for three 1238 models: joint (neural/choice) model, choice-only model, and independent noise joint model, 1239 when fit to all data, or using cross-validation data. (A) Circles with error bars are for models fit 1240 to all data. Error bars for models fit to full data computed by the Laplace approximation (Methods) 1241 are +/- 2 standard deviations. 'Diamond ' marks are models (5 for each model type) fit to crossvalidation data (5-fold). Parameters are  $\sigma_i$ : initial variance, B: accumulation bound,  $\lambda$ : drift,  $\sigma_a$ : 1242 1243 accumulation noise variance,  $\sigma_s$ : click noise variance,  $\phi$ : adaptation strength,  $\tau_{\phi}$ : adaptation timescale. (B) Test log likelihood for models fit to all data (i.e., using trials reserved as testing trials 1244 1245 when cross-validation is done) plotted against test log likelihood for cross validation models, for 1246 each model type (joint, choice, joint (ind.)), for all three brain regions. 1247

- 1248 Figure 4: ADS is better described by independent accumulators. (A) For the shared noise accumulator model (top), a set of parameters defines the dynamics of a single accumulator, which 1249 1250 drives the spiking activity of the entire population. In the independent noise accumulator model, a 1251 set of parameters defines the dynamics of an ensemble of independent accumulator models, which 1252 each individually determine the spiking of a single neuron. (B) Difference in test log-likelihood 1253 (bits/trial) for the shared noise versus independent noise accumulator models. (C) Empirical (red) 1254 and synthetic (shared: black; independent; gray) shuffle-corrected cross-correlation function for 1255 three simultaneously recorded neurons from the FOF. Corresponding PSTHs are shown below for 1256 reference. (D) Same as (C) for three (of five) simultaneously recorded neurons from the ADS.
- 1257

1258 Figure 4 — figure supplement 1: Maximum likelihood parameters for the joint

- 1259 (neural/choice, i.e., shared noise) model and independent ('ind.') noise joint model. Error
- 1260 bars, computed by the Laplace approximation (Methods), are +/- 2 standard deviations. Parameters
- 1261 are  $\sigma_i$ : initial variance, B: accumulation bound,  $\lambda$ : drift,  $\sigma_a$ : accumulation noise variance,  $\sigma_s$ : click 1262 noise variance,  $\phi$ : adaptation strength,  $\tau_{\phi}$ : adaptation timescale.

1264 Figure 4 — figure supplement 2:  $\Delta LL$  between the shared-noise and independent-noise 1265 accumulator model. (A) Difference in log likelihood for each session for FOF and ADS data plotted as a function of the number of neurons in each session. (B) When the number of neurons in each 1266 1267 session for the ADS dataset was subsampled to match the maximum number of neurons in a FOF 1268 session (3 neurons) the ADS was still favored by an independent noise accumulator model (purple, 1269 no fill; averaged across 2 subsample permutations of the ADS recordings). (C) Same as (A) but 1270 plotted as a function of dimension, as computed by the participation ratio (see Methods). Sessions 1271 in the ADS with higher dimension favored the independent noise accumulator model, leading to the 1272 net effect seen in **Figure 4B**. (D) The difference in log likelihood was similar when the choice data was omitted from both models. 1273

1274

1275 Figure 5: Neural data provides more information about accumulated evidence on single

**trials than choice alone.** (A) Posterior distribution of a(t) under the joint model (excluding

1277 captured mass at the boundary) given only the choice (top row) and given spike times and choice

1278 (bottom row), for a single example trial. Columns show example trials for different brain regions.

(B) Histogram of joint model posterior standard deviations given choice data (*black*) or both neural
and choice data (*colors*) for all three brain regions. (C) Difference in choice-conditioned joint

1281 posterior standard deviation and neural- and choice-conditioned joint posterior standard deviation 1282 as a function of the number of simultaneously recorded neurons. Each point is the difference in the 1283 average posterior standard deviation for a session. Negative values indicate that the neural- and 1284 choice-conditioned posterior had smaller average standard deviation than the choice-conditioned 1285 posterior.

1286

1287 Figure 6: Joint neural-behavioral model improves choice decoding. (A) Choice-prediction accuracy, quantified with log-likelihood (left) and percent correct (right) on test choice data for 4 1288 1289 models: joint neural-behavioral model, choice-only model, and two logistic regression models 1290 (Methods). Values greater than zero indicate that the model can predict choices better than a 1291 baseline model that only knows the marginal probability of a rightward choice. (B) Posterior mean 1292 of a(t) conditioned on the neural activity for the joint model (colors), the distribution of a(t) for the 1293 choice only model (black), and the cumulative click difference (gray) for three example trials (one 1294 for each brain region). 'animal's choice' arrow indicates the choice (left or right) the animal made 1295 on that trial. (C) Putative change of mind events, where the posterior mean of the joint model 1296 crossed the decision threshold. The corresponding distribution of a(t) for the choice only model 1297 (black) and the cumulative click difference (gray) for the same trial are shown for comparison. 1298 'animal's choice' arrow indicates the choice (left or right) the animal made on that trial. (D) Fraction 1299 of trials that contain at least one putative change of mind event for the cumulative click difference, 1300 the choice model, and the joint model, for each brain region. (E) Fraction of trials for which a 1301 putative change of mind event occurs at the specified time relative to the end of the stimulus for the 1302 joint model (color) and the cumulative click difference (black) for each brain region. (F) Choice 1303 response latency as a function of timing of putative change of mind events relative to stimulus 1304 offset for each brain region. Bar plots show the 25-75 percentiles of the choice response latency for 1305 putative change of mind events occurring at similar times. The colored lines indicate the line of best 1306 fit for each brain region computed by linear regression. 1307

Figure 6 — figure supplement 1: GLM choice decoding (as in Figure 6A) using spikes in different
 time windows relative to stimulus offset.

1310

### 1311 Figure 6 — figure supplement 2: Accuracy on putative change of mind event trials and non-

event trials. (A) Accuracy of the rat for data from each brain region for putative change of mind
event trials and trials that lacked events ('no event'). (B) Same as (A) but for accuracy of the joint
model for each brain region.

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- 1316

Rat	Region	Sessions	Neurons	Trials	Sessions with greater than 1 neuron	Max. # of simultaneously recorded neurons
B068	FOF	11	13	5859	2	2
T034	FOF	9	10	4138	1	2
T036	FOF	8	12	3026	4	2
T063	FOF	17	32	4002	9	3
т030	FOF	1	1	357	0	1
T035	PPC	15	16	5919	1	2
T011	PPC	7	7	2235	0	1
B053	PPC	2	2	883	0	1
Т080	ADS	5	6	1731	1	2
T103	ADS	19	38	8332	9	5
E021	ADS	3	4	697	1	2

1317

**Table 1:** Number of neurons, sessions and trials for each rat.

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example recovered parameters







time from stimulus onset (s)

time from stimulus onset (s)































### choice decoding



