TITLE: Nucleus Reuniens of the Thalamus Contains Head Direction Cells

SUBMITTED TO: eLife

SUBMISSION CATEGORY: Short Report

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Abstract: Discrete populations of brain cells signal heading direction, rather like a compass. These ‘head direction’ cells are largely confined to a closely-connected network of sites. We describe, for the first time, a population of head direction cells in nucleus reuniens of the thalamus in the freely-moving rat. This novel subcortical head direction signal potentially modulates the hippocampal CA fields directly and, thus, informs spatial processing and memory.

Nucleus reuniens (NRe), one of the largest midline thalamic nuclei, receives extensive limbic inputs and provides a bridge linking the hippocampus (especially area CA1) with medial prefrontal cortex. Its functions are not well-understood, but it has been suggested that, via these connections, NRe influences memory consolidation for spatial learning and generalisation of fear conditioning. To elucidate its functions open-field single-unit recordings in NRe of freely-moving rats were made. We have found an unexpected population of NRe cells signalling head direction (HD) in the horizontal plane, independent of location within the test arena. These cells resemble HD cells in the anterodorsal and anteroventral thalamic nuclei, the lateral mammillary nucleus, and certain parahippocampal regions. NRe cells maintain head directionality during light-dark transitions, and in environments of different shape. These cells establish directionality rapidly upon first entering an environment. NRe has not, to date, been part of the traditional HD circuit, which largely originates in the dorsal tegmental nucleus of Gudden and the lateral mammillary nucleus. Subsequent processing is via the anterodorsal thalamic nucleus, dorsal presubiculum, entorhinal cortex and to the hippocampus. Our findings, therefore, reveal a novel head direction signal potentially modulating the hippocampal CA fields and, therefore, hippocampal spatial processing.

Changing visual conditions from light to dark to light does not affect HD cells. Lighting conditions were systematically varied across foraging sessions for 10 cells. The animal foraged during light-dark-light sessions (each 20 min). Light removal did not affect NRe head directional activity (Fig 1).

Arena shape does not affect HD cells. We transformed arena shape (circle-square-circle; Figure 1D; circle-square; Figures 2A, 2D). There was no effect of environmental shape changes on any HD cells (n= 10).

Transitions between light and dark, arena shape, mean head direction, mean head direction for clockwise and counter-clockwise movement, peak head direction and peak firing rate were compared using t-test for paired two samples for means with Bonferroni correction. No significant differences were observed between conditions.
HD cells do not remap across days. 22 cells were recorded across at least two consecutive days (15 were recorded for three or more days), and three were stably recorded for fourteen or more days. Initial head direction remained stable across days, even for extended recordings, indicating no effect of time or sleep/wake cycle on their preferred directionality.

Temporal development of HD cells. Figures 2C and 2F depict the temporal evolution of head directional firing for cumulative samples and independent time-binned samples, demonstrating head directional activity is present from the first minute of exposure to the environment (irrespective of environment shape).

Separation angle (clockwise versus counter-clockwise) is present. Separation angle (the offset in mean peak firing rate for clockwise versus counter-clockwise head movements) is present in about 50% of recorded HD cells. There were no significant differences between mean head direction measured in degrees for clockwise and counter-clockwise movement in the t-test for paired two samples for means) in the whole population of HD cells recorded in NRe.

Theta-Cycle Skipping Cells do not carry a head-directional signal. Brandon ea.\textsuperscript{15} recorded in medial entorhinal cortex (mEC) and found units firing in a fixed synchronous or anti-synchronous relationship with alternate theta cycles. We find a similar population of cells in NRe (see online material (OM) for detailed calculations and Fig 3A,B). These cells, which do not carry a HD signal, are found only in NRe, providing a physiological marker for electrode depth. The theta skipping index is always positive ((mean±SD) 0.334±0.127; jump factor: 0.367±0.075; frequency ratio: of 2.00±0.018)). Frequencies (mean±SD) of the higher and lower oscillations were 8.670±0.259 Hz and 4.334±0.112 Hz, respectively.

Spatial Analyses All described HD cells were analysed to test for spatial/place cell modulation (OM). In no case was any spatial modulation of the HD cell signal detected (see, e.g. Figs 2B, E).

Discussion
Nucleus Reuniens has been investigated anatomically, but remain largely unexplored electrophysiologically\textsuperscript{11}. The HD cells in NRe share many characteristics of other HD cells, such as those in the anterodorsal and anteroventral thalamic nucleus, the dorsal presubiculum and retrosplenial cortex\textsuperscript{10}. Current theoretical models of HD information suggest that the HD signal derives, in part, from the dorsal tegmental nucleus of Gudden and the lateral mammillary nuclei\textsuperscript{10,11}. In addition to projecting to the anterodorsal thalamic nucleus, the lateral mammillary nucleus innervates NRe\textsuperscript{1}. NRe also receives inputs from presubiculum (postsubiculum) and retrosplenial cortex, other sites containing HD cells. This pattern of connectivity suggests various potential sources for the heading information found in NRe. The presence of spatial cells in NRe is highly significant, as it is the major source of direct thalamic projections to the CA fields of the rat hippocampus\textsuperscript{12}. NRe also receives widespread cortical and limbic inputs, involving both interoceptive and exteroceptive information. This nucleus has a notable role linking medial prefrontal cortex with the hippocampus\textsuperscript{3}. These NRe HD cells are, therefore, pivotally positioned to influence hippocampal spatial processing directly because of its dense, direct connections with both the prefrontal cortex and hippocampus\textsuperscript{2,3}. Finally, the theta-skipping cells may provide a pace-making function for synchronising some early components of the HD system.
Figure Legends

Figure 1 Head Direction Cells Recorded in the Nucleus Reuniens

A Eighteen representative head direction (HD) cells in nucleus reuniens (NRe); B NRe location on a coronal (left) and corresponding sagittal (right) rat brain section (adapted from\[15\]); C representative histological specimen showing electrode track (left); recording positions corresponding to cell locations presented in panel a (upper right inset) showing location of NRe and detailed atlas (lower right inset); D representative recordings showing multi-day stability of HD cells: a representative cell recorded on each day of 16 days (multiple transitions from light-dark-light, and environmental transformations from circle to square to circle). The solid line is the mean spike waveform and dashed lines are M±SD of the spike waveform. The green outline shows predicted firing rates given the proportion of time the animal spent looking in each direction, calculated according to the distributive hypothesis.

Figure 2 Nucleus Reuniens Head Direction Cells Do Not Appear to beSpatially Modulated and are Present from First Exposure to the Environment

A, D representative waveforms for two units showing, (top to bottom), light-dark-light and circle-square transitions; from left to right, autocorrelation histogram, polar plots, tuning curves for clockwise (CW) vs counter-clockwise (CCW) head movements; B spatial analysis for cardinal orientations showing no effect of spatial position on unit activity; C temporal evolution of HD firing for cumulative samples (time ranges: 0-1, 0-2, 0-4, 0-8, 0-16 and 0-20 min) and independent time-binned samples (0-1, 1-2, 2-4, 4-8, 8-16 and 16-20 min) demonstrating that HD activity is present in the first minute of exposure to the arena; D, E, F as A, B, C Red lines are formed by continuous firing activity when the rat walks with its head directed in the preferred HD. The firing map represents -22.5° degree to +22.5°; as the ring was formed by eight plots each representing a 45° degree extent of head direction.

Figure 3 Theta Skipping Cells are Present in Nucleus Reuniens

A, B Twelve representative theta cycle skipping cells which do not carry HD signal recorded in NRe; A from the left waveform, autocorrelation per 10 ms, interspike interval histogram (ISIH), autocorrelations per 1000 ms with and without fitting to the model, firing intensity map and polar plot are presented for two representative cells. Red line: envelope of the autocorrelation histogram obtained by fitting histogram data to the equation (see OM); B waveforms, ISIHs, and autocorrelation histograms with redline envelope of data fitting to the equation (OM) for 10 theta cycle skipping cells recorded in NRe.

Video 1 An example of a well-discriminated head direction unit recorded in NRe while the rat is engaged in pellet chasing in a circular arena. The unit corresponds to unit 4 of Figure 1 A.

References

Acknowledgements: This work was supported by The Wellcome Trust.

Author Contributions: SMOM and JPA conceived and directed these experiments; MJ conducted experiments and analysed data; MNI wrote Matlab scripts, derived equations and analysed data; SMOM, JPA, SLV, JE, MNI and MJ co-wrote the paper.

Competing Financial Interests: The authors have no competing financial interests to declare.

Online Methods:

Online data archive: The data from which this paper was generated are archived at doi:10.5061/dryad.j68v0 and may be accessed via http://dx.doi.org/10.5061/dryad.j68v0

Rats: Eleven (4–6 months) male Lister-Hooded rats (B&K, UK) weighing 420-530 g were used. Upon arrival, animals were housed individually and handled by the experimenter daily for a week before being trained in the pellet-chasing task (see below). Rats were food-restricted to 85% of their ad libitum body weight and kept in a temperature-controlled laminar airflow unit and maintained on a 12-h light/dark cycle (lights on from 08:00 to 20:00 hours). Experiments were carried out in strict accordance with regulations laid out by LAST Ireland and were compliant with the European Union directives on animal experimentation (86/609/EEC).

Behavioural Testing: Experiments were conducted in a circular arena (diameter 96 cms) and square arena (60x60cm). The insides of the arenas were a uniform matt black, and low-level lighting was used during light testing; all lights were extinguished during dark testing. All experiments were conducted during the day between 0900 and 2000 hours. Session lengths were typically 20 mins duration. Rats performed a pellet-chasing task during the course of the experiments. During testing, 20 mg food pellets (TestDiet™, 5TUL formula) were thrown in the arena at random locations ca. every 20 s. During the weeks of recordings, animals were allowed 20 g of food daily. The
environment is partially curtained with a visual cue card in a constant location We leave the rat in the environment during the LDL transitions.

**In vivo electrophysiology and surgery:** Detailed descriptions of the surgical protocol and recording techniques can be found elsewhere. Briefly, rats were implanted with tetrodes of either four or eight bundles of ø 25 µm platinum–iridium wires (California Fine Wire Ltd., USA) mounted onto small driveable microdrives (Axona Ltd., UK) at the following coordinates targeted at the nucleus reuniens (see Fig 1 for histological verification): -1.60 mm posterior to bregma, -1.20 mm lateral to the midline and at angle of about 5.5 degrees. Depth varied depending on the target structure and ranged from 4.8 to 5.6 mm below the brain surface (Fig 1). Rats were allowed at least 1 week of recovery post-surgery. Tetrodes were lowered slowly through the brain (maximal rates 25-50 µm/day), typically over a period of weeks to prevent tissue damage and to ensure successful NRe electrode targeting and penetration. Based on the daily record of the electrode position and post-mortem histological verification, each recording could be located along the tetrode trace. The recording sessions took place in arenas located in the centre of the test room, which contained multiple, large visual cues made salient to allow the animals to orient themselves in the environment. An example of a NRe HD cell is provided in Video 1.

**Recording and Statistical Analysis:** Standard statistical testing used Matlab scripts and Axona software. Unit identification involved several criteria. First, neurons had to be active in all conditions and had to present the same waveform characteristics (amplitude, height, and duration) in those conditions. Furthermore, units had to demonstrate a clean refractory period (>2 ms) in the inter-spike interval (ISI) histogram. Units were sorted using conventional cluster-cutting techniques and classified by the environmental manipulation to which they were exposed. Once well-defined neuronal signals were isolated and the rats explored the arena sufficiently (rats had to explore at least 90% of the open field in either session to be included in analysis to allow reliable calculation of spatial characteristics), recording commenced.

In total, 758 well-isolated units were recorded in 3 rats in NRe and the adjacent anteromedial thalamic nucleus (AM). After post-mortem histological verification, 483 cells were assigned to NRe. To select animals for analysis we set following criteria: histologically-verified electrodes should be placed in the lateral part of nucleus reuniens, therefore bypassing laterally the Rhomboid nucleus, avoiding the possibility that by mistake some cells would be wrongly assigned to NRe or the Rhomboid nucleus. Further, an observed electrophysiological criterion required co-localised HD cells and theta skipping cells in NRe. We did recordings in many animals in surrounding nuclei but as described in the present paper theta skipping cells are characteristic only for NRe, are electrophysiologically colocalised with HD cells therefore can serve as an electrophysiological marker of lateral part of NRe. Electrode tracks were localised predominantly in the lateral portion of NRe. The numbers and percentages of cells recorded in NRe were: 42 HD cells (8.7 %); 19 theta cycle skipping cells (3.9 %); these cells characteristically appeared in NRe, electrophysiologically co-localised with HD cells); 55 other theta modulated cells (11.3 %); 23 fast firing cells (4.7 %); 21 weakly-theta modulated cells (4.3 %); 13 other spatially-tuned cells (2.2 %). 309 cells (63.9 %) were classified as unidentified low firing units - cells that did not exhibit any particular temporal or spatial properties or formed groups smaller than four cells with similar phenotype. Among unidentified low firing units 53 cells fired with maximum frequency lower than 1 Hz.
**Head direction analyses:** Directional analyses were performed for all recorded cells in nucleus reuniens, 42 units in total. The rat’s HD was calculated for each tracker sample from the projection of the relative position of the LEDs onto the horizontal plane. The directional tuning function for each cell was obtained by plotting the firing rate as a function of the rat’s directional heading, divided into bins of 5°. The firing rate was computed based on the total number of spikes divided by the total time in that bin. To restrict the influence of inhomogeneous sampling on directional tuning, we accepted data only if all directional bins were sampled by the rat. The directionality of the HD units in the horizontal plane (measured in degrees) was normalized for comparison of the HD firing rate properties. The peak firing rate of cells that respond to different direction of heading was aligned to a HD of 180°. The firing rate was normalized (with values between 0 and 1) with respect to the peak firing rate for each unit. The firing rate is calculated by dividing the number of spikes by the number of visits at a particular head direction bin. The CW/CCW separation was calculated by considering a particular angular head velocity threshold (120°/sec). When the rat moves at +120°/sec, it was placed into the CW head direction category (similarly, for CCW, -120°/sec). If it coincidentally happens that spikes occur at a lower rate during slower head movements, the visit count will increase in the non-separating case, the spike count will be relatively decreased (causing a decrease in the firing rate compared to the CW/CCW separation).

**Spatial Analyses:** Additional analyses examined for spatial modulation of these cells. The spatial specificity (or spatial information content) is expressed in bits per spike. Place field size is computed as the region of the arena in which the firing rate of the place cell is above 20% of the maximum firing frequency. A place field was identified if nine neighbouring pixels (sharing a side) were above 20% of the peak firing rate. Place field size was represented in number of pixels. The spatial selectivity of a firing field (ratio of maximal signal to noise) was calculated by dividing the firing rate of the cell in the bin with the maximum average rate by its mean firing rate over the entire apparatus. Average frequency is the total number of spikes divided by the total recording time and is expressed in Hz. Where recordings were conducted on successive days, units were matched based on their spike amplitude, height, and spike duration with the respective units from the control recording. Exploration was assessed by comparing the occupancy of bins and the number of visits per bin between the two recording conditions.

**Autocorrelation histograms** of the ISI distribution were obtained for ISI lags of -1000ms to +1000ms between spikes with 1ms-wide bins. The non-normalized autocorrelation signal was fitted to Equation (1) (an extended form of the one used by Brandon et al.):

\[ y(x) = [a_1 \cos(\omega_1 x) + a_2 \cos(\omega_2 x)] \times \exp\left(-\frac{|x|}{\tau_1}\right) + b + c_1 \exp\left(-\frac{|x|}{\tau_2}\right) - c_2 \exp\left(-\frac{|x|}{\tau_3}\right) \]

Here, \( y \) is the autocorrelation signal, \( x \) is the lag, \( a_1 \) and \( a_2 \) are the amplitude of the oscillating terms with frequency \( \omega_1 \) and \( \omega_2 \), modulated by exponential with decay constant \( \tau_1 \). The terms \( \tau_2 \) and \( \tau_3 \) are the decay constants for the exponentials with amplitude \( c_1 \) and \( c_2 \). The parameters \( a_1, a_2, b, c_1 \) and \( c_2 \) were allowed to vary in the range \([0, N]\), where \( N \) is the peak of the autocorrelation signal. The range of decay constants are, \( \tau_1 = [0, 5000] \), \( \tau_2 = [0, 100] \), \( \tau_3 = [0, 10] \) in millisecond units. \( \omega_1 \) and \( \omega_2 \) were varied in the range \([12\pi, 24\pi]\) and \([6\pi, 12\pi]\), respectively.

Assuming spikes were generated following a Poisson process, the ISI was considered to follow an exponential distribution, which also gives an exponential distribution in its autocorrelation,
represented in Equation (1) by the positive exponential component comprised of \((c_1, \tau_1)\). The initial dip in the autocorrelation for the refractory period in the ISI was given by the fast-decaying negative exponential \((c_2, \tau_2)\). The alternating low and high peaks are modeled as the superposition of two oscillations, given by two slow decaying cosine functions, as if the high peaks are generated when the oscillations are in the same-phase and the low peaks are generated when they are in anti-phase.

The baseline shifts for the cosine terms and all the constant errors are interpreted in constant \(b\). Equation (1) does not hold the prior assumption that one of the periodic functions oscillates exactly at half-frequency of the other, as others have assumed\(^{14}\). The curve fitting followed the measurement of ‘jump factor’, defined as the relative contribution of the low frequency components in the higher peaks given by \(a_j/(a_1+a_2)\). Frequency ratios of the cosine functions were also measured to verify the superposition model of the Equation (1). Theta cycle skipping index, TS was measured by Equation (4)\(^{14}\).

As described\(^{14}\), TS range should be [-1 to +1]. A positive TS is present if the second peak after the centre peak is larger than the first one; a negative TS is not expected according to the model in Equation (1) if it is fitted for the theta-skipping cycle cell with an alternative low and high peaks and low peaks appearing first after the centre peak. We did not find any negative TSs (as can be seen in the raw autocorrelograms; see supplementary figures). The greater the TS, the greater the larger peak is jumped from the theta-modulated signal, caused by the interference of the second oscillation. We measured this effect with an alternative index ‘jump factor’ defined as \(a_j/(a_1+a_2)\), which provides a direct measurement of the relative contribution of the two oscillations. A jump factor >0.5 means the contribution of the slow varying interfering oscillation in the jump is greater than the theta-range oscillation. If the frequency ratio follows a 2:1 ratio (or closer), then the mathematical basis of using two cosine functions of different frequency ranges to obtain consecutive in-phase or anti-phase superposition to yield lower and higher peaks respectively, is verified.

**Histological analyses:** On completion of the recording studies, the rats received an overdose of anaesthetic (1.5 g of urethane (Sigma-Aldrich) dissolved in 4.5 ml water) and were then perfused intracardially with 250ml of 0.1M phosphate-buffered saline (PBS) at room temperature followed by 350 ml of 4% paraformaldehyde in 0.1M PBS at ~4°C, after which the brains were removed and placed in 4% paraformaldehyde (for at least 72h). Brains were blocked, placed on a freezing platform, and 40µm coronal sections were cut with a sledge microtome (Leica 1400). Two, alternate series that used all sections were taken through the rostral thalamus. One series was mounted directly onto gelatine-subbed slides, and then allowed to dry overnight before staining with cresyl violet, a Nissl stain. The second series was immunologically reacted with the neuronal marker α-NeuN (MAB 377, Chemicon, Watford, UK), then with a secondary horse anti-mouse rat adsorbed antibody (AI-2001, Vector Laboratories Ltd, Peterborough, UK) and subsequently visualised with Vector Elite ABC (PK-6100, Vector Laboratories Ltd) and diaminobenzidine. A Leica DM5000B microscope with Leica DFC310FX digital camera and Leica Application Suite image acquisition software was used for brightfield microscopy. NeuN provides a stain assumed to be selective for neurons, i.e. it does not label glial cells. As a consequence it can sometimes make it easier to see underlying cytoarchitectonic features.

Recording positions were determined by calculating distance above the deepest electrode position, and calculating distance below the first penetration into the tissue. The electrodes often caused tissue distortion and this was carefully allowed for in the position calculations. Positions of recorded
cells were estimated as follows: theoretical positions of electrodes tips and NRe borderlines were estimated by reference to atlas\textsuperscript{15} and reconstructed histological specimens. The electrodes sometimes caused tissue distortion even with very slow penetrations, and this was allowed for in the position calculations. The position of electrodes below brain surface was known for each recording session and expressed in µm, thereby allowing estimates of each cell position to be derived.