

**1 CHARACTERISATION OF THE FINCH EMBRYO SUPPORTS**  
**2 EVOLUTIONARY CONSERVATION OF THE NAÏVE STAGE OF**  
**3 DEVELOPMENT IN AMNIOTES**

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**25 KEYWORDS**

**26** avian cell culture, avian embryonic stem cells, early blastula, blastoderm development, zebra

**27** finch transgenesis.

28

29 **ABSTRACT**

30 Innate pluripotency of mouse embryos transits from naïve to primed state as the inner  
31 cell mass (ICM) differentiates into epiblast. In vitro, their counterparts are embryonic  
32 (ESCs) and epiblast stem cells (EpiSCs) respectively. Activation of the FGF  
33 signalling cascade results in mouse ESCs differentiating into mEpiSCs, indicative of  
34 its requirement in the shift between these states. However, only mouse ESCs  
35 correspond to the naïve state; ESCs from other mammals and from chick show primed  
36 state characteristics. Thus, the significance of the naïve state is unclear. Here, we use  
37 zebra finch as a model for comparative ESC studies. The finch blastoderm has mESC-  
38 like properties, while chick blastoderm exhibits EpiSC features. In the absence of  
39 FGF signalling, finch cells retained expression of pluripotent markers, which were  
40 lost in cells from chick or aged finch epiblasts. Our data suggest that the naïve state of  
41 pluripotency is evolutionarily conserved among amniotes.

42

## 43 INTRODUCTION

44 The successful isolation and in vitro culture of embryonic stem cells (ESC) from  
45 mouse embryos have enabled technological breakthroughs and revolutionized our  
46 understanding of the molecular mechanisms regulating mammalian development  
47 (Evans 2011). However, similar applications to other species have been lacking. One  
48 conceptual difficulty has been linking the innate pluripotency of the embryo with the  
49 characteristics of cultured stem cells, raising the speculation that mESCs may be  
50 solely a result of in vitro manipulations (Pauklin et al. 2011). In the mouse, ESCs are  
51 at naïve state (Nichols and Smith 2009), which recent evidence suggests is most  
52 similar to cells from embryonic day (E) 4 to 4.5 of mouse development (Boroviak et  
53 al. 2014). Cells taken from this stage can give rise to derivatives of all three germ  
54 layers as well as germ cells. These cells, both in their native naïve state and in culture,  
55 express genes associated with pluripotency such as *Oct3/4 (Pou5f1)*, *Sox2* and *Nanog*  
56 (2). Using defined media that includes the presence of either an inhibitor of FGF  
57 signalling or its downstream Erk/MAP kinase transduction pathway, mouse ESCs  
58 (mESCs) can be propagated while maintaining the expression of these pluripotency  
59 markers (Ying et al. 2008). A second pluripotent cell type in the mouse, epiblast stem  
60 cells (mEpiSCs), are derived from embryos that are later in development (E5.5) and  
61 are in what has been termed, the primed state (Brons et al. 2007). These cells have a  
62 more limited potency and require different culture condition for in vitro propagation  
63 (Lanner and Rossant 2010), with a dependency on FGF-mediated ERK activation for  
64 the maintenance of pluripotent gene expression. Pluripotent embryonic stem cells  
65 from other mammalian organisms, such as human (Thomson et al. 1998; Schatten et  
66 al. 2005), and from non-mammalian amniotes, such as chick (Pain et al. 1996), share  
67 this requirement for ERK signalling (Tesar et al. 2007). Hence the primed state of

68 pluripotency is evolutionarily conserved in mammalian and non-mammalian  
69 amniotes. However, the naïve state has so far only been confirmed in the mouse (Ying  
70 et al. 2008) and rat (Buehr et al. 2008; Li et al. 2008; Chen et al. 2013b), raising the  
71 possibility that this state is not conserved among the amniotes. More recent reports  
72 suggested that with specific reprogramming factors and culture conditions such a  
73 naïve state may also exist for human ESCs although the exact nature of these naïve-  
74 type human cells is under debate (Takashima et al. 2014; Theunissen et al. 2014;  
75 Ware et al. 2014). Identifying the naïve state of embryogenesis in other species is  
76 therefore central to our conceptual understanding of pluripotent stem cells.

77       A comparative embryology approach to address this question should include  
78 non-mammalian amniotes. These include avian species which share key molecular  
79 and cellular features of epiblast morphogenesis with the mammals (Sheng 2014), yet  
80 are evolutionarily distant enough to serve as an outgroup. As in all amniotes,  
81 fertilization of avian oocytes takes place internally and avian embryos undergo some  
82 development prior to egg-laying (oviposition). The most widely used avian  
83 developmental models are chicken (*Gallus gallus*), quail (*Coturnix japonica*) and  
84 zebra finch (*Taeniopygia guttata*). However, chicken embryos at oviposition are  
85 already at a late blastula/early gastrulation stage (Eyal-Giladi and Kochav (EGK)  
86 stage X) (Eyal-Giladi and Kochav 1976). These embryos have a columnar  
87 epithelialized epiblast overlying scattered hypoblasts and are thus morphologically  
88 similar to E5.5 mouse embryo, later than the stage at which mESCs can be derived.  
89 The Japanese quail embryos are laid at a stage later than the chicken embryos (Sellier  
90 et al. 2006) while the ovipositional stage of zebra finch embryos is unclear. Early  
91 staged avian embryos can generate cells that show some of the characteristics of  
92 mammalian ES cells (Jean et al. 2015), after the introduction of reprogramming



93 factors (Rossello et al. 2013; Dai et al. 2014), or after the manipulation of culture  
94 conditions (Pain et al. 1996; Jean et al. 2013). However, it was not clear how the  
95 pluripotency generated by exogenous factors related to the pluripotent state of cells in  
96 the embryo. We decided to investigate the early development of the zebra finch  
97 (hereafter referred to as the finch) in more detail. The finches are a model system  
98 commonly used in neurobiological studies of social behavior (Brazas and Shimizu  
99 2002; Svec et al. 2009), vocalization and learning (Jarvis 2004; Petkov and Jarvis  
100 2012). These studies have led to an increased focus on the developmental  
101 neurobiology of zebra finch (Charvet and Striedter 2009; Chen et al. 2013a), and in  
102 turn the embryology of the finch (Murray et al. 2013). In addition, the finch genome  
103 has been sequenced (Warren et al. 2010) and their relatively small adult body sizes (4  
104 – 7 times smaller than adult chickens) and shorter generation time (2 – 3 months for  
105 finches versus 4 – 6 months for the chickens) makes it feasible to breed them within a  
106 normal laboratory setting. Thus, we asked whether finch embryos could be used for  
107 early embryogenesis and ESCs studies, complementing existing studies (Rossello et  
108 al. 2013), which together may lead to potential technological breakthroughs that  
109 facilitate genetic and functional investigations in this model organism.

110         Here, we report the first molecular characterization of ovipositional finch  
111 blastoderms by quantitative RT-PCR, immunohistochemical staining and in situ  
112 hybridization. Our results suggested that finch embryos are laid at stage EGK-VI to -  
113 VIII, much earlier than the chicken embryos, and are morphologically more similar to  
114 the E4 – 4.5 mouse embryos from which mESCs can be derived. Cells derived from  
115 the finch blastoderm at oviposition and cultured in the presence of a MEK inhibitor  
116 and LIF retained expression of the pluripotent markers; *Nanog*, *PouV* expression and  
117 alkaline phosphatase (AP) activity. In contrast, chicken cells taken from newly laid

118 embryos and cultured under the same conditions did not produce *Nanog*, *PouV* or AP-  
119 positive aggregates. Our data suggest that birds and mammals share a common  
120 regulatory mechanism in the maintenance of pluripotency. Finch embryos are ideally  
121 suited for the establishment and characterization of avian ESCs, and the incorporation  
122 of recent technical improvements (Dai et al. 2014) could lead to the finch becoming a  
123 tractable avian model for genetics and regenerative medicine.

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125

## 126 RESULTS

### 127 Finch oviposition is at EGK-VI prior to sub-germinal cavity expansion

128 Avian embryos undergo varying degrees of intrauterine development prior to  
129 oviposition (egg-laying). Chick oviposition is at the late blastula/early gastrula stage  
130 (EGK-X to -XI). In other *Galloanserae*, oviposition ranges from EGK-VII (Turkey  
131 (Gupta and Bakst 1993) and Duck (Sellier et al. 2006)) to EGK-XI (the quail  
132 (Stepinska and Olszanska 1983)). Ratite embryos are laid at EGK-X, similar to the  
133 chick embryos (Nagai et al. 2011). The oviposition stage of *Passerine* (songbird)  
134 species has not been carefully investigated, although gross morphology of newly laid  
135 embryos of the zebra finch and society finch (Bengalese finch) suggested that they are  
136 younger than EGK-X (Yamasaki and Tonosaki 1988; Agate et al. 2009; Murray et al.  
137 2013). Due to the difficulty in retrieving pre-ovipositional (<EGK-X) chick embryos  
138 (Nagai et al. 2015), we decided to further explore the potential advantages of finch  
139 eggs and carried out a detailed morphological and molecular characterization of  
140 ovipositional finch embryos in order to evaluate their potential use for comparative  
141 stem cell studies as well as for genome engineering.

142 Finch eggs are laid in intervals of between 20 – 29 hours, with most laid  
143 following a 24 – 25 hours periodicity. Based on the EGK staging system for pre-  
144 oviposition chick embryos (Eyal-Giladi and Kochav 1976), we found that finch  
145 embryos from newly laid eggs ranged from EGK-VI to -VIII, with ~10% of eggs at  
146 EGK-VI and the rest at EGK-VII and -VIII. To probe morphological and molecular  
147 heterogeneity of the finch blastoderm, we first used section analysis of embryos  
148 stained for Hhex, a marker for endoderm cells (Thomas et al. 1998) (Figure 1A – C).  
149 At EGK-VI (early blastula), the embryo was composed of similar-sized blastoderm  
150 cells organized into 7 – 8 layers (Figure1A). The subgerminal (blastocoel) cavity was

not as apparent as in similarly staged chick embryos (Eyal-Giladi and Kochav 1976; Sheng 2014). By EGK-VIII (mid-blastula stage) (Figure 1B), the subgerminal cavity and the area pellucida became apparent and the embryo was thinner at the center of the blastoderm (4 – 5 cell-thick) than at EGK-VI. Cells located apically, closer to the vitelline membrane (the putative epiblast precursors) were smaller than those located closer to the yolk side (the putative hypoblast precursors). A low level of *Hhex* expression was detected in the hypoblast precursors. Post-ovipositional incubation of around 6 hours yielded finch embryos at EGK-X (Figure 1C). At this stage, the epiblast and hypoblast were clearly separated and the epiblast showed a columnar epithelial organization. In addition, strong *Hhex* expression was detected in the hypoblasts.

Epithelial organization is typically maintained by adherens junctions (AJ) mediated extracellularly by homophilic interactions of E-cadherin molecules and intracellularly by adhesion complex components such as  $\beta$ -catenin (Takeichi 2014). To understand the transformation of the epithelial morphology between EGK-VI to EGK-X we asked if there were changes to E-cadherin and  $\beta$ -catenin localization consistent with epithelialization of the early embryo. At EGK-VI, both E-cadherin (Figure 1D) and  $\beta$ -catenin (Figure 1G) were expressed in all cells. Staining did not appear to be enriched in any particular region of the cell and epithelialization was not apparent. By EGK-VIII, formation of an epithelial cell-sheet was not observed although the nuclei of the outermost, putative epiblast, cells appeared more regularly organized (Fig 1E, H). In contrast, deep, putative hypoblast, cells appeared to down-regulate both proteins. By EGK-X, E-cadherin was up-regulated in the lateral regions of the epiblast cells (Figure 1F). Their epithelial morphology was further evidenced by formation of a discrete columnar cell-sheet. Analysis of chick embryos at

176 oviposition revealed that it was more similar to the finch embryo after incubation  
177 (Figure 1I), sharing features with the finch late blastula (Figure 1J).

178

## 179 **Developmental lineages are molecularly segregated at EGK-VI prior to** 180 **epithelialization of the epiblast**

181 The reorganization of the blastoderm into an epithelialized epiblast and hypoblast  
182 raised the possibility that epiblast formation may be concomitant with acquisition of  
183 cell fate. The early blastoderm consists of cells fated to become the epiblast and  
184 hypoblast as well as germ cells (Eyal-Giladi et al. 1981; Hatada and Stern 1994;  
185 Lawson and Schoenwolf 2003). We thus asked whether these cell identities have  
186 already been established in the finch embryo at oviposition. As oviposition ranged  
187 from EGK-VI to EGK-VIII, we examined these stages.

188 In chick, the germ cell fate is marked by immunoreactivity to the Stage-  
189 Specific Embryonic Antigen 1 (SSEA-1) and Epithelial Membrane Antigen 1 (EMA-  
190 1) antibodies (Jung et al. 2005). In finch embryos, immunoreactivity of these proteins  
191 were observed predominantly in the epiblast precursor (Figure 2A, B, E-H), with  
192 SSEA-1 staining being stronger than EMA-1. Few hypoblast precursor cells were  
193 positive for these markers (Figure 2C, D, E-H). In mouse embryos, Gata4 expression  
194 marks the specification of the hypoblast/primitive endoderm (Soudais et al. 1995) and  
195 the SoxB family member, Sox2 expression marks the epiblast precursors (Avilion et  
196 al. 2003). In chick, a different SoxB family member, Sox3 is expressed in epiblast  
197 precursors (Rex et al. 1997). We thus used Sox3 and Gata4 to determine the presence  
198 and distribution of these cell types in EGKVI/VIII finch embryos. Both  
199 immunostaining and in situ hybridization confirmed that Sox3 and Gata4 could be  
200 detected at finch oviposition and that lineage segregation between these two cell types

201 could be distinguished (Figure 2I – K). Sox3 was more abundant in the cells at the  
 202 vitelline membrane side (Figure 2I, K) and Gata4 more abundant in the yolk side  
 203 (Figure 2J, M). To verify the specification of the endodermal lineage, three additional  
 204 markers, *Gata6*, *Sox17* and *Hhex*, were investigated. In mouse, both Gata6 and Sox17  
 205 mark all of endodermal lineages (Fujikura et al. 2002; Kanai-Azuma et al. 2002).  
 206 *Sox17* was expressed in the hypoblast in the finch blastoderm from oviposition, with  
 207 some positive cells also detected in the epiblasts (Figure 2L). Expression was similar  
 208 after epithelization at EGK-IX/X (Figure 2M). *Gata6* expression was restricted to the  
 209 hypoblast lineage (Figure 2O) at EGK-VII/VIII, which is more apparent by EGK-X  
 210 (Figure 2P). *Hhex* expression was not detected at oviposition, but endodermal  
 211 expression could be detected from mid blastula stage (Figure 1B – C). Gene  
 212 expression at early and mid blastula stages was not associated with any overt  
 213 morphological segregation at either EGK-VI or EGK-VIII. Taken together, these data  
 214 suggest that the primordial germ cell, epiblast and hypoblast precursors were already  
 215 molecularly specified from early blastula stages, prior to morphological segregation of  
 216 these cell types.

## 217 218 **Expression of pluripotency-associated markers in finch and chick** 219 **blastoderm**

220 Our characterization suggested that the finch blastoderm at oviposition was equivalent  
 221 to the blastocyst stage mouse embryo when the epiblast precursors had not yet  
 222 polarized to form an epithelium. This is also the stage when mESCs could be derived  
 223 (Boroviak et al. 2014). In mouse embryogenesis, the naïve stage is accompanied by  
 224 the specific expression of a number of pluripotency-associated genes (Nichols and  
 225 Smith 2009). We thus asked whether markers of pluripotency were also expressed in  
 226 the finch blastoderm at oviposition. *Nanog* is a transcriptional regulator involved in

227 cell proliferation during early mouse development and in self-renewal of mESCs  
 228 (Chambers et al. 2003; Mitsui et al. 2003). However, upon cloning finch *Nanog*, it  
 229 became apparent that the avian *Nanog* locus has undergone a recent gene duplication  
 230 event, producing *Nanog* and *Nanog-like* as suggested from chicken data (Figure  
 231 3A)(Shin et al. 2011). Both genes showing a similar level of homology to mouse  
 232 *Nanog* (Figure 4B). Both are expressed in the early EGK-VIII finch blastoderm,  
 233 predominantly in epiblast cells, but with scattered cells on the yolk side also being  
 234 positive (Figure 3C, D). By EGK-X, *Nanog-like* is differentially enriched in the  
 235 periphery of the blastoderm, in cells fated for the extra-embryonic tissue (Figure 3C).  
 236 In contrast, *Nanog* is expressed throughout the epiblast of the EGK-X blastoderm  
 237 (Figure 3D). Two additional pluripotency regulators, *PouV* (Pou5F1, the avian  
 238 homologue of Oct3/4), and *Dnmt3b* (Calloni et al. 2013) are also expressed in finch  
 239 embryos at oviposition in a spatial and temporal pattern similar to that of *Nanog*  
 240 (Figure 3E, F). By EGK-X, expression of these two markers also became restricted to  
 241 the epiblast.

242       To gain a broader understanding of the molecular differences between freshly  
 243 laid finch and chick embryos, we used a comprehensive Q-PCR screen to compare  
 244 expression levels of a number of genes associated with early embryonic development  
 245 and pluripotency. These genes have been defined from work in the mammalian  
 246 species and adapted to avian species more recently. We first tested core pluripotency  
 247 factors (Figure 4). Both finch and chick blastoderms at oviposition showed  
 248 comparable levels of expression for many of the genes tested, namely *PouV*, *Nanog*,  
 249 *Dnmt3b*, *Sall4*, *Cripto*, *Myc*, and *Sox3*. In contrast, *Nanog-like*, *Lin28A/B* and *Klf2*  
 250 were specifically up-regulated in the finch blastoderm. We next looked for expression  
 251 of genes associated with the naïve state of pluripotency. *Fbxo15* and *PRDM14*, which

252 are high in naïve state ES cells, are upregulated in the finch blastoderm. The naïve  
253 state genes *Tbx3* and *Tfcp2l1* show also higher levels of expression for the finch  
254 samples although not as prominent. *Esrrb*, an orphan nuclear receptor that has been  
255 used to reprogram fibroblasts into induced pluripotent stem (iPS) cells (Martello et al.  
256 2013), was not expressed in either blastoderm at oviposition. Surprisingly, *Nrobl1*,  
257 another gene associated with the naïve state showed reciprocal expression, with high  
258 levels detected in the chick blastoderm. Interestingly, expression of above mentioned  
259 naïve state associated genes could be also detected in chick blastoderm samples, albeit  
260 not as robustly as in finch samples.

261 To clarify this, we tested additional markers to assess whether the finch  
262 blastoderm contains features of a full naïve state and whether some of these features  
263 are lost in the transitional state of the chick blastoderm. The naïve state of  
264 development includes cells that are able to give rise to the germ cell lineage. We thus  
265 analyzed the expression of two putative markers of germ cell fated cells, *Ddx4* and  
266 *Dazl*. Both showed higher expression in finch blastoderms at oviposition as compared  
267 to chick. Cells derived from later, primed, staged blastoderm in mouse are dependent  
268 on FGF-mediated Erk activation. We hypothesized that the chick blastoderm at  
269 oviposition would show higher levels of FGF. Q-PCR revealed higher levels of *Fgf3*,  
270 *Fgf4* and *Fgf10* in the chick blastoderm as well as slightly elevated levels of *Fgf8*  
271 when compared with the finch blastoderm. *Fgf5*, which marks the mature epiblast and  
272 is considered to be a mEpiSC marker (Lanner and Rossant 2010), was not detected in  
273 either chick or finch blastoderm. Leukemia Inhibitory Factor (LIF) mediates Jak-Stat3  
274 pathway activation and has been shown to be essential for the maintenance of ESC  
275 pluripotency in the mouse (Yoshida et al. 1994). We found that *Lif* and its close



276 homologue *Il6* were both expressed in finch blastoderms but showed lower levels of  
277 expression in the chick samples.

278

279

280 **Expression of naïve pluripotency-associated markers decreases in older**  
281 **finch blastoderm**

282 Our data suggested that the expression level of naïve pluripotency markers is reduced  
283 in chick blastoderms with respect to finch blastoderms at oviposition. This could be as  
284 a result of the difference in stage between these two embryos, but it is formally  
285 possible that this difference results from species differences. To exclude this, we  
286 performed Q-PCR on 2 stages of finch embryos; at oviposition and aged to the  
287 equivalent of the chick ovipositional stage, EGK-X (Figure5). Markers of general  
288 pluripotency, that is, *PouV*, *Nanog*, and *Dnmt3b* show little change between  
289 ovipositional finch embryos and finch embryos at EGK-X (Figure5A). Furthermore,  
290 *Nanog-like* also showed only slight differences in expression levels between the two  
291 stages of finch blastoderm (Figure5A). In contrast, naïve pluripotency markers, the  
292 expression levels of *Fbxo15*, *Prdm14*, *Tbx3* and *Nrobl* showed a significant down-  
293 regulation in older finch embryos (Figure5B).

294

295 **Expression of pluripotency markers is independent of ERK activation in**  
296 **isolated finch blastodermal cells**

297 The molecular characterization described above suggested that the behaviour of  
298 isolated blastoderm cells from the chick and finch blastoderms at oviposition would  
299 be different. We hypothesised that finch blastodermal cells may act more like mESCs,  
300 whereas chick blastodermal cells would behave more similarly to mEpiSCs. An  
301 important difference between mESCs and mEpiSCs is the effect of Extracellular

302 receptor kinase (Erk) pathway inhibition. Naïve mESCs culture is aided by blockage  
 303 of Erk pathway in the presence of LIF (Nichols and Smith 2012) whereas mEpiSCs  
 304 do not. In order to test this hypothesis, individual blastoderms from finch or chick  
 305 embryos at oviposition were isolated, dissociated and cultured. Chick and finch cells  
 306 that were plated and cultured without LIF and without MAP kinase inhibition formed  
 307 a monolayer of cells that eventually formed aggregates. However, these aggregated  
 308 colonies did not show alkaline phosphatase (AP) activity nor did they express  
 309 pluripotency-associated genes (*Nanog* or *PouV*). Inclusion of LIF in the culture media  
 310 had little effect on the morphological and molecular features of either chick or finch  
 311 dissociated blastodermal cells. Interestingly, when we added the MEK inhibitor  
 312 together with LIF in the culture media, finch blastoderm cells formed aggregates that  
 313 showed strong AP activity, as well as expressed *Nanog* and *PouV* expression (Figure  
 314 6A-C). This effect was observed either in the presence or absence of GSK3 $\beta$   
 315 inhibition. In contrast, while chick blastodermal cells cultured with LIF and MEK  
 316 inhibitor did form aggregates, these remained negative for *Nanog* and *PouV* and did  
 317 not show AP activity (Figure 6D-F). We noted that some cells surrounding the chick  
 318 colonies did show weak AP activity and very weak *PouV* expression, but these were  
 319 only visible after extended staining incubation times, and were not found in colonies.

320 Q-PCR was used to compare the levels of expression of genes associated with  
 321 pluripotency in the finch blastodermal cells. Three conditions were analysed;  
 322 ovipositional finch cells with or without MEK inhibitor, and finch blastoderms aged  
 323 to the equivalent of HH2, dispersed and treated with MEK inhibitor (Figure 6G).  
 324 Maximal expression of the naïve pluripotency genes, *Fbxo15* and *Tbx3*, as well as the  
 325 general pluripotency marker, *Nanog*, were seen in ovipositional finch blastodermal  
 326 cells cultured with MEK inhibitor, with less expression seen in cells without MEK

inhibitor and in HH2 finch blastodermal cells cultured with MEK inhibitor. These data confirm that pluripotency markers are selectively enriched in early finch blastodermal cells cultured in the presence of MEK inhibitor. *Dnmt3b* showed higher expression in ovipositional finch blastodermal cells without MEK inhibitor. This may indicate a second *Dnmt3b*-expressing cell type that is also enriched in these conditions. In mouse, *Dnmt3b* is initially strongly expressed in the trophectoderm (Hirasawa and Sasaki 2009), and our initial evaluation suggests that some of the enriched cells in our cultures may be extra-embryonic.

## 337 DISCUSSION

338 The derivation of ES cells must reflect the innate pluripotency of the embryo as well  
339 as the ability to maintain this potency in vitro. Mouse data suggests that in permissive  
340 strains, ES cells can be derived from embryos at around E4-E4.5 of development, a  
341 stage that has been termed the naïve stage of embryogenesis (Nichols and Smith 2009;  
342 Boroviak et al. 2014). The epiblast and hypoblast cell lineage has been specified at  
343 this stage and is dispersed throughout the inner cell mass, however epithelialization  
344 has yet to occur (Plusa et al. 2008; Artus et al. 2011). We find that the finch embryo at  
345 oviposition displays similar characteristics: although the epiblast, hypoblast and germ  
346 line are specified, these cells are dispersed throughout the multi-layered blastoderm,  
347 which has yet to epithelialize. It is likely that the sorting mechanisms that ensure  
348 segregation of germ layers are similar to those in the mouse, but would require  
349 further study. However, given the accessibility of early avian development in the  
350 finch embryo, these and other comparative studies to test hypotheses on the evolution  
351 of germ layer formation in amniotes are possible.

352 Pluripotent gene expression in blastodermal cells derived from finch embryos  
353 is independent of the Erk pathway. This is also an important feature of mouse ES  
354 cells. In contrast, in our culture regimen, chick blastodermal cells do not retain  
355 pluripotent gene expression when cultured with an ERK pathway inhibitor, a feature  
356 of mEpiSC. Our data thus suggests that the finch blastoderm at oviposition is likely to  
357 correspond to the naïve stage of embryogenesis. Furthermore, the evolutionary  
358 distance between finch and mouse suggests that the naïve stage during embryogenesis  
359 is conserved amongst amniotes and that this stage likely corresponds to the pre-  
360 epithelialized epiblast in amniotes (Figure 7).

361           The relationship between the pre-epithelialized epiblast and pluripotency is not  
362 clear. Recent data suggests that a mesenchymal to epithelial transition is important for  
363 efficient reprogramming of somatic cells into induced pluripotent stem cells (Li et al.  
364 2010; Samavarchi-Tehrani et al. 2010). Furthermore, E-cadherin, which is important  
365 in establishing epithelia, mediates LIF signalling during ES cell self-renewal (del  
366 Valle et al. 2013). While, this does seem contrary to the notion that ES cell derivation  
367 occurs from the pre-epithelialized epiblast, we found that despite a lack of  
368 epithelialization of the newly laid finch blastoderm, E-cadherin is still highly  
369 expressed. This is similar to E-cadherin expression profile in the mouse late blastocyst  
370 (Thomas et al. 2004). Thus, more than a mesenchymal to epithelial transition, perhaps  
371 the expression of E-cadherin represents a metastable, transitional state during  
372 mesenchymal-epithelial transition that is permissive for ES cell derivation. This also  
373 suggests that the ability to form epithelial-type adhesive contacts is present but  
374 inhibited at early/mid-blastula stages. This may be due to the presence of endogenous  
375 inhibitors of E-cadherin homophilic interactions, or alternatively it may be simply  
376 that the full repertoire of epithelial-type adhesion molecules is not yet expressed.  
377 Consistent with this is the differing adhesive properties of finch and chick  
378 blastodermal cells in culture. Chick blastodermal cells were able to adhere to a wide  
379 variety of substrates, however optimal growth of finch blastodermal cells was only  
380 observed when type IV collagen was used as a substrate.

381           The question then remains: how does the pluripotent characteristics of isolated  
382 cells relate to the epiblast? One scenario could be that the epiblast consists of mixed  
383 populations of cells in varying states of pluripotency, and that the culture conditions  
384 employed can stabilize, select for, induce, or enrich for a particular state. Several lines  
385 of evidence suggest that this is the case. The use of MEK inhibition promotes the

386 production of ES cells from the epithelialized epiblast of mouse strains that are  
387 otherwise refractory for ES cell derivation. Conversely, EpiSC cells can be isolated  
388 from the pre-epithelialized epiblast of the majority of mouse strains that do not readily  
389 produce ES cells (Najm et al. 2011). This suggests a mixed population of cell states,  
390 and our data supports this view: finch epiblast cells at oviposition likely contain  
391 sufficient numbers of naïve state cells such that MEK inhibition is able to then  
392 stabilize and select for them. The situation in avians is less clear: Culture conditions  
393 and exogenously introduced genes can significantly alter the pluripotency state of  
394 these cells. Transcriptional profiling suggests that chick ES cells, that are cultured in a  
395 complex but defined mix of growth factors and additives, are more similar to mouse  
396 ES cells than to mouse EpiSC, but are less similar to the chicken blastodermal cells  
397 from which they are derived (Jean et al. 2015). Chick iPS cells also display  
398 characteristics of ES cells, with alkaline phosphatase activity detectable in colonies in  
399 the presence of MEK inhibition. However, these observations do not suggest how  
400 induced pluripotency relates to normal early embryonic development. Our data  
401 suggests that in a minimal media, naïve pluripotency in finch blastodermal cells is  
402 insensitive to MEK inhibition, whereas chick blastodermal cells require MEK for the  
403 expression of pluripotent markers, in these conditions. The challenge is to now further  
404 stabilize the naïve pluripotent fate of finch blastodermal cells and in combination with  
405 recent advances in avian cell culture (Jean et al. 2015; Dai et al. 2014), develop this  
406 technology for understanding and manipulating avian development.

407

408

## **409 MATERIALS & METHODS**

### **410 Zebra finch husbandry, eggs and embryos collection**

**411** Zebra finches were maintained under a 13:11 hour light-dark cycle with constant  
**412** room temperature at 23°C. Eggs were collected every two hours from the onset of the  
**413** light cycle and could be stored at 17-20°C for up to 5 days without any observable  
**414** drop in hatchability. Sexing of the finches was performed by PCR amplification from  
**415** genomic DNA isolated from feather pulps (Bello et al. 2001). Detailed finch  
**416** husbandry protocols are available in a separate manuscript (Mak et al., submitted).

**417** Fertile chicken eggs were purchased from Shiroyama Farm (Kanagawa,  
**418** Japan). Staging of the pre-primitive streak embryos followed a system previously  
**419** described in the chick and are stated as Eyal-Giladi-Kochav stages (EGK) -I to -XIV  
**420** in this manuscript (Eyal-Giladi and Kochav 1976). Hamburger and Hamilton (HH)  
**421** system was used for embryos of streak stages and onward (Hamburger 1951).  
**422** Chicken blastoderms were collected with a paper ring. Finch blastoderms were micro-  
**423** dissected from eggs by tungsten needles owing to their small size and yolk removed  
**424** as described below.

**425**

### **426 Blastodermal culture**

**427** Both chick and finch blastoderms were cut out in Ringer's solution. At early stages  
**428** yolk is strongly adherent to the embryo, and the bulk of this was removed by gentle  
**429** aspiration with a P1000 pipette. This left a layer of yolk adherent to the embryo.  
**430** To remove this layer, the partially cleaned embryos were transferred to 0.45% D-  
**431** Glucose (Sigma) in HBSS+ (HBSSg) solution and left at RT for 2 hours. After  
**432** incubation, this yolk layer could be lifted from the embryo by gentle aspiration around  
**433** the periphery the embryo. Embryos were then be collected and centrifuged at 400g for

2min. The pellet was re-suspended in ED medium (ESGRO (Millipore): DMEM in 1:1) supplemented with Glutamax (Invitrogen), essential amino acid (Gibco), 0.3mM 2-mercapthoethanol, sodium pyruvate (1:100, Gibco) and 1% fetal calf serum. The cells were plated and grown at different culture conditions. In experiments using MEK inhibitor (PD0325901, Stemolecule), GSK3 $\beta$  inhibitors (CHIR99021, Stemolecule), the PKC inhibitor (Gö6983 Sigma) and human LIF (LIF1005, Millipore), half to one finch blastoderm per well of an 8-well slide chamber (177445, Nunc) was used in all experiments unless specified. 1/8 of the chick blastoderm at EGK-X was seeded in each well of an 8-well-slide chamber. The growth of avian cells on a variety of substrates was also tested (Figure S4). Substrates tested were 0.1% or 0.25% gelatin (G1393, Sigma) in PBS, collagen type I (C2249, Sigma), type IV (C0543, Sigma), type VI (F1141, Sigma), recombinant E-cadherin (MAB1838, R&D), growth factor reduced matrigel (354230, BD Biosciences), fibronectin (F5022, Sigma) and pronectin F (Nishishita et al. 2012) (a kind gift from Shin Kawamata, Foundation of Biomedical Research and Innovation, Kobe, Japan) or laminin (354458, BD Biosciences). Chicken cells grew much more robustly than finch cells and could grow on many different surface types (0.1% or 0.25% gelatin in PBS, collagen type I, type IV, type VI, recombinant E-cadherin, matrigel, fibronectin, pronectin F or laminin). Optimal growth for chicken blastoderm cells was seen with type I and IV collagen, on which ES colony-like cell aggregates arose after four-day culture. Optimal growth for finch blastoderm cells was obtained on human placenta type IV collagen-coated surface. We therefore used this coating for all subsequent studies. For Q-PCR analysis, cells were dissociated from the dish using 0.25% Trypsin-EDTA, spun down and resuspended in lysis buffer before RNA extraction using the RNeasy Micro Kit (Qiagen).



459

**460 Endogenous alkaline phosphatase activity detection and antibody**  
**461 staining of embryos and cultured cells**

462 Alkaline phosphatase (AP) activity was detected by incubating 4%PFA fixed sections  
463 of embryos/cultured cells with 4.5μL/mL NBT (BD Bioscience) and 3.5μL/mL BCIP  
464 (BD Bioscience) substrates. Immunofluorescent staining was performed using  
465 standard protocols. Briefly, sections were blocked with 2% skim milk/PBST (0.1%  
466 Triton X-100 (Sigma) in PBS) for 1 hour at room temperature (RT). The primary  
467 antibody was applied on 12-14μm cryosections and the slides were incubated  
468 overnight at 4°C. Primary antibodies used were: SSEA1 and EMA1 (used in 1:200,  
469 Developmental studies hybridoma bank, DSHB), SOX3 (Wilson et al. 2001)(in 1:300,  
470 a gift of Sara Wilson, Umeå University, Sweden), GATA6 (1:1000, R&D), E-  
471 cadherin (1:200, BD Bioscience) and β-catenin (1:200, BD Bioscience). Slides were  
472 rinsed in PBST and appropriate secondary antibodies conjugated with Alexa dyes  
473 were applied. The slides were incubated at RT for 4 hours. After rinsing with PBST,  
474 the nuclei of the samples were counter-stained with DAPI (1:4000, Dojindo) and  
475 mounted in Prolong Gold anti-fade reagent (Invitrogen). Detection for the finch Vasa  
476 homologue was also attempted. Six antibodies against the chick VASA homologue  
477 (CVH/DDX) were tested, namely VN1, VN2, VC3 and VC4 (gifts from Bertrand  
478 Pain, unpublished); anti-VASA (Tsunekawa et al. 2000) and anti-CVH (Lambeth et  
479 al. 2013). These were used on the sections of zebra finch blastoderms and day 9  
480 gonads, as well as the chicken day 12 gonads as a positive control. All antibodies  
481 showed good staining in positive control chicken gonad sections, however neither  
482 finch blastoderm nor finch gonadal section showed immunoreactivity.

483

**484 In situ hybridization of whole-mount embryos and cultured cells**

485 In situ hybridization of embryos was performed using standard protocol (Freter et al.  
486 2008; Alev et al. 2013). Zebra finch-specific and chicken-specific in situ riboprobe  
487 templates were generated against cDNA libraries generated by reverse transcription  
488 PCR (SuperScript III First-strand synthesis system, Invitrogen) from embryos of  
489 EGK-VIII, EGK-X, Hamburger-Hamilton stage (HH) 4, HH8 and HH18  
490 (Supplementary File 1A).

491

#### 492 **Quantitative RT-PCR**

493 Total RNA was isolated from either nine stage-matched newly-laid or aged  
494 blastoderms of finch or two unincubated blastoderms of chick (EGK-X) (triplicate for  
495 each group), using QIAshredder spin columns and RNeasy Micro Kit (Qiagen)  
496 according to manufacturer's instructions. First strand cDNAs were synthesized in  
497 parallel from equal amounts of total RNA, using oligo(dT) primers and Superscript III  
498 (Invitrogen). Real-time qPCR was performed in quadruplet using Mesa Green qPCR  
499 Mastermix Plus for SYBR Assay (Eurogentec) and ABI Prism 7900HT Fast Real-  
500 Time PCR system (Applied Biosystems). Melting curve analysis was performed with  
501 SDS Software (Applied Biosystems) and gene expression calculated using mean cycle  
502 threshold (Ct) values, with normalization to finch or chick glyceraldehyde-3-  
503 phosphate dehydrogenase (GAPDH) respectively. Pair-wise comparisons were tested  
504 using a t-test. Primer sequences are shown in Supplementary File 1B.

505

#### 506 **ACKNOWLEDGEMENTS**

507 We would like to thank Drs. Hiroshi Nagashima, Jennifer Nichols, Hitoshi Niwa,  
508 Austin Smith, Martin Leeb, Martin Jakt and Masatoshi Takeichi and Ms. Kanako Ota  
509 for helpful discussions. We are grateful Dr. Bertrand Pain for providing various  
510 chicken-specific CVH antibodies and Dr. Yukiko Nakaya for the E-cadherin staining.

**511** We appreciate the help from the Optical image analysis unit at the CDB and the  
**512** members of the Laboratory for Sensory Development. This work was supported by  
**513** RIKEN CDB intramural funding for R. K. L. and G. S. as well as a JSPS fellowship  
**514** for S-S M., Grant-in-aid for scientific research C from MEXT and director's fund  
**515** from RIKEN CDB for S-S M. and R. K. L.  
**516**

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**723**  
**724**

## 725 **FIGURE LEGENDS**

### 726 **Figure 1**

#### 727 **Morphology of the finch blastoderm at oviposition**

728 (A – C) Finch embryos were sectioned after *Hhex* in situ hybridisation to reveal the  
729 morphology of the blastoderm. At EGK-VI the blastoderm is thick, with no sign of  
730 epithelialization, and does not express *Hhex* (A). The blastoderm is thinner at EGK-  
731 VIII but still has not overt epithelialisation, and express low level of *Hhex* transcripts  
732 in the cells of the yolk side (B). *Hhex* expression can be detected clearly at EGK-X  
733 and is confined to a morphologically distinct hypoblast layer (C). (D – F) Staining of  
734 E-cadherin reveals the extent of epithelialization of the finch blastoderm. At EGK-VI,  
735 most of the blastodermal cells appear unpolarised, however express E-cadherin (D).  
736 At EGK-VII, while thinner, the blastoderm does not show any columnar cells typical  
737 of epithelial organization (E). At EGK-X, clear epithelial organization can be  
738 visualized, with polarized E-cadherin and morphological segregation in the bright  
739 field image (F). (G, H)  $\beta$ -catenin staining confirms the lack of epithelialisation at  
740 finch oviposition stages. The pattern at EGK-VI is similar to E-cadherin staining (G)  
741 as is the pattern at EGK-VIII (H). In A – G, scale bar represents 100 $\mu$ m. (I) Schematic  
742 of the morphology of the early, mid and late finch blastula: The late finch blastoderm  
743 is similar to the chick embryo at oviposition. Yc—yolk cells; bc—blastocoel; sc—  
744 subgerminal cavity; epi—epiblast; hyp—hypoblast; Op—area opaca; Pe—area  
745 pellucida (J) Table below showing the features of the finch at early stages.

746

### 747 **Figure 2**

#### 748 **Molecular characterisation of the finch blastoderm at oviposition**

749 (A – D) Whole mount staining of EGK-VIII finch embryos of the distribution of  
 750 putative germ cell markers SSEA1 (A, B) and EMA1 (C, D) showing views from the  
 751 vitelline membrane side (A, C) and yolk (lower) side (B, D). (E, F) Sections of finch  
 752 embryos at oviposition showing the distribution of SSEA1 positive cells at EGK-VI  
 753 (E) and EGK-VIII (F) to predominantly the upper side of the blastoderm. (G, H)  
 754 Sections of finch embryos at oviposition showing the distribution of EMA1 positive  
 755 cells at EGK-VI (G) and EGK-VIII (H) to predominantly the upper side of the  
 756 blastoderm. (I, J) Sections of finch embryos at oviposition showing the distribution of  
 757 SOX3 and GATA4 protein at EGK-VI (I) and EGK-VIII (J) SOX3 and GATA4 are  
 758 localized to opposite sides of the blastoderm. (K) In situ hybridisation of *Sox3* at  
 759 oviposition in the finch blastoderm. Section analysis, summarized in the cartoon,  
 760 shows transcripts are present throughout the upper layer of the blastoderm. (L, M) In  
 761 situ hybridisation of *Sox17* together with section analysis, summarized in the  
 762 accompanying cartoon, reveals expression dispersed throughout the blastoderm at  
 763 oviposition (L), while at EGK-X expression is more predominant in the hypoblast  
 764 with scattered epiblast cells (M). (N) In situ hybridisation of *Gata4* at oviposition in  
 765 the finch blastoderm. Section analysis, summarized in the cartoon, shows transcripts  
 766 are present throughout the lower layer of the blastoderm. (O, P) In situ hybridisation  
 767 of *Gata6* together with section analysis, summarized in the accompanying cartoon,  
 768 reveals expression more predominant in the lower layer/hypoblast at oviposition (O)  
 769 and in the late blastoderm at EGK-IX/X (P).

770

771

772 **Figure 3**

773 **Expression of pluripotent markers in the finch embryo at oviposition**

774 (A) Comparison of the NANOG (N) and NANOG-LIKE (nl) homeodomains (HD)  
 775 from chick and the finch compared to the NANOG HD from human, mouse, rat, and  
 776 zebrafish, together with percentage identity to the mouse NANOG HD. (B)  
 777 Phylogenetic analysis reveals chick and the finch NANOG and NANOG-LIKE are the  
 778 result of a recent duplication in the avian lineage. (C) In situ hybridisation of *Nanog*-  
 779 *like* at oviposition and at EGK-X in the finch blastoderm. Expression is throughout  
 780 the upper layer of the blastoderm and resolves to peripheral expression in the putative  
 781 extra-embryonic region. Diagram compiles expression revealed from sections. (D) In  
 782 situ hybridisation of *Nanog* reveals expression in the central part of the upper layer at  
 783 finch oviposition, which expands to cover the entire epiblast at EGK-X. This is shown  
 784 in sections, and detailed in the diagram shown. (E) In situ hybridisation of the avian  
 785 *Oct4* homologue *PouV* shows expression throughout the upper layer/epiblast of the  
 786 EGK-VIII and EGK-X finch embryos. (F) Expression of *Dnmt3b* shows expression  
 787 throughout the upper layer of the blastula at oviposition, which resolves to central  
 788 epiblast expression at EGK-X.

789

#### 790 **Figure 4**

#### 791 **Q-PCR characterisation of finch and chick blastoderm at oviposition** 792 **reveals fundamental molecular differences**

793 Quantitative-PCR was used to assess the differences in gene expression between finch  
 794 (green bars) and chick (red bars) embryos at oviposition. The markers used were  
 795 associated with general pluripotency (A), naïve pluripotency (B), markers of  
 796 primordial germ cell development (C), Fibroblast growth factors (D) and LIF  
 797 signalling (E).

798

**799 Figure 5**

**800 Q-PCR characterisation of finch blastoderms at oviposition and aged to**

**801 EGKX**

**802** Quantitative-PCR was used to assess the differences in gene expression between finch

**803** blastoderms at laying (green bars) and aged to EGK-X, equivalent to chick

**804** oviposition (blue bars). The markers were used to assess general pluripotency (A),

**805** naïve pluripotency (B). p-values <0.05 are labelled with an asterisk.

**806**

**807 Figure 6**

**808 Finch blastodermal cell cultures retain markers of pluripotency even in**

**809 the presence of MEK inhibitor**

**810** Finch and chick embryos at oviposition were dissociated and cultured in the presence

**811** of LIF and the MEK inhibitor, PD0325901, for 4 days. (A – C) Finch blastodermal

**812** cells form aggregates that show alkaline phosphatase activity (A) as well as

**813** expression of *PouV* (B) and *Nanog* (C). (D – F) Chick blastodermal cells formed

**814** aggregates, but these did not show alkaline phosphatase activity (D) nor express *PouV*

**815** (E) and *Nanog* (F) even after extended periods of staining. (G) Q-PCR analysis of

**816** finch ovipositional blastoderms cultured in the presence of LIF and the MEK

**817** inhibitor, PD0325901, for 4 days, as well as finch blastoderm aged to HH2/3 cultured

**818** in the presence of LIF and PD0325901. Marker analysis was used to ascertain the

**819** pluripotent state of cells in each culture condition. T-tests were used to determine the

**820** significance of the difference in markers gene expression in finch ovipositional

**821** blastodermal cell culture with or without MEK inhibition. p-values <0.05 are labelled

**822** with an asterisk.



823

824 **Figure 7**

825 **Putative conservation of the naïve stage during amniote embryogenesis**

826 Similar to mouse pre-implantation embryos (around E4), prior to epiblast

827 epithelialisation, zebra finch embryos at oviposition are at early-mid blastula stages

828 (EGK-VI/VIII) show no overt morphological segregation despite the expression of

829 epiblast and primitive endoderm/hypoblast markers in distinct sub-populations of cells

830 with the embryo. Cells from mouse and finch at this stage do not require ERK

831 activation for the maintenance of pluripotent marker expression in vitro. Chick

832 embryos at oviposition are more similar to the mouse blastula where epithelialisation

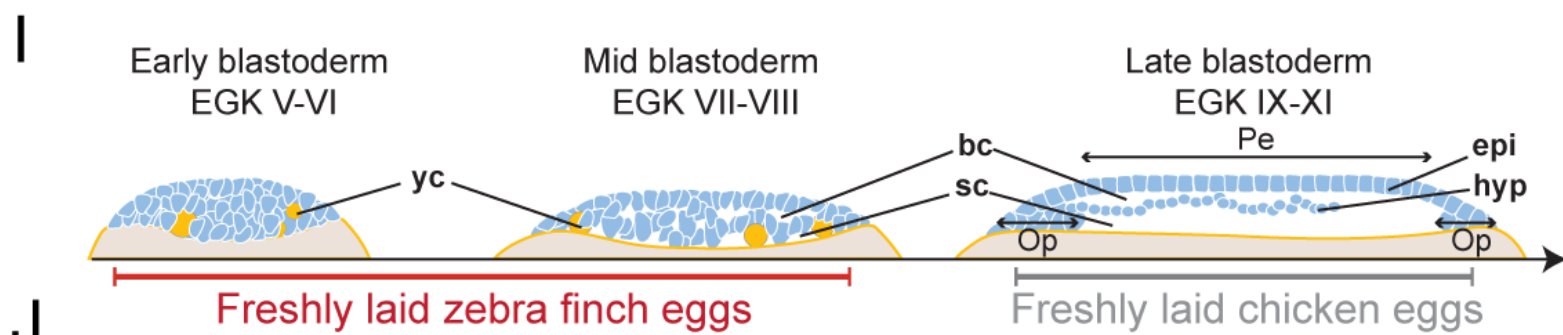
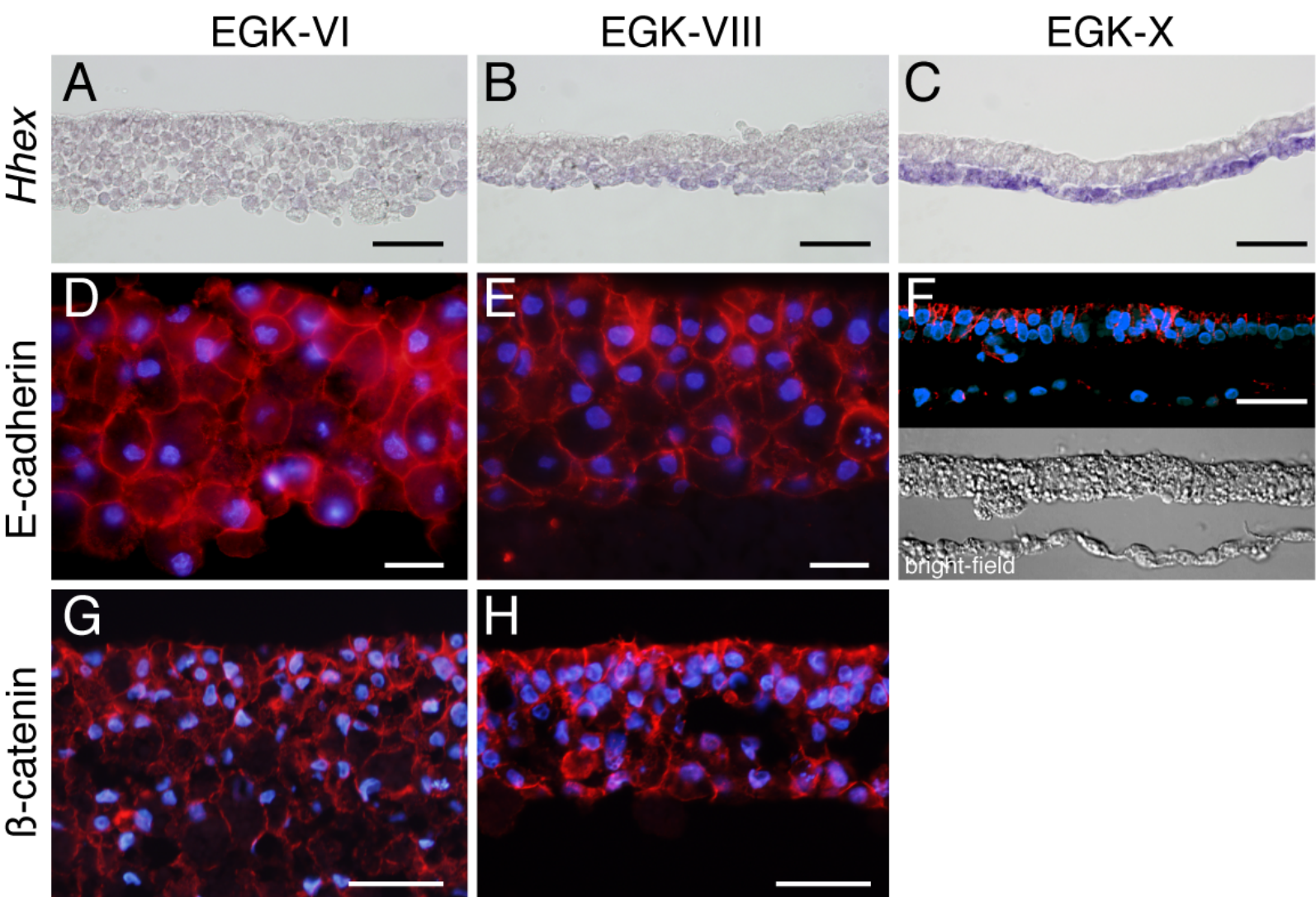
833 has occurred. Similarly, cells from chick and mouse embryos at this stage are unable

834 to maintain pluripotent marker expression when ERK signalling is inhibited.

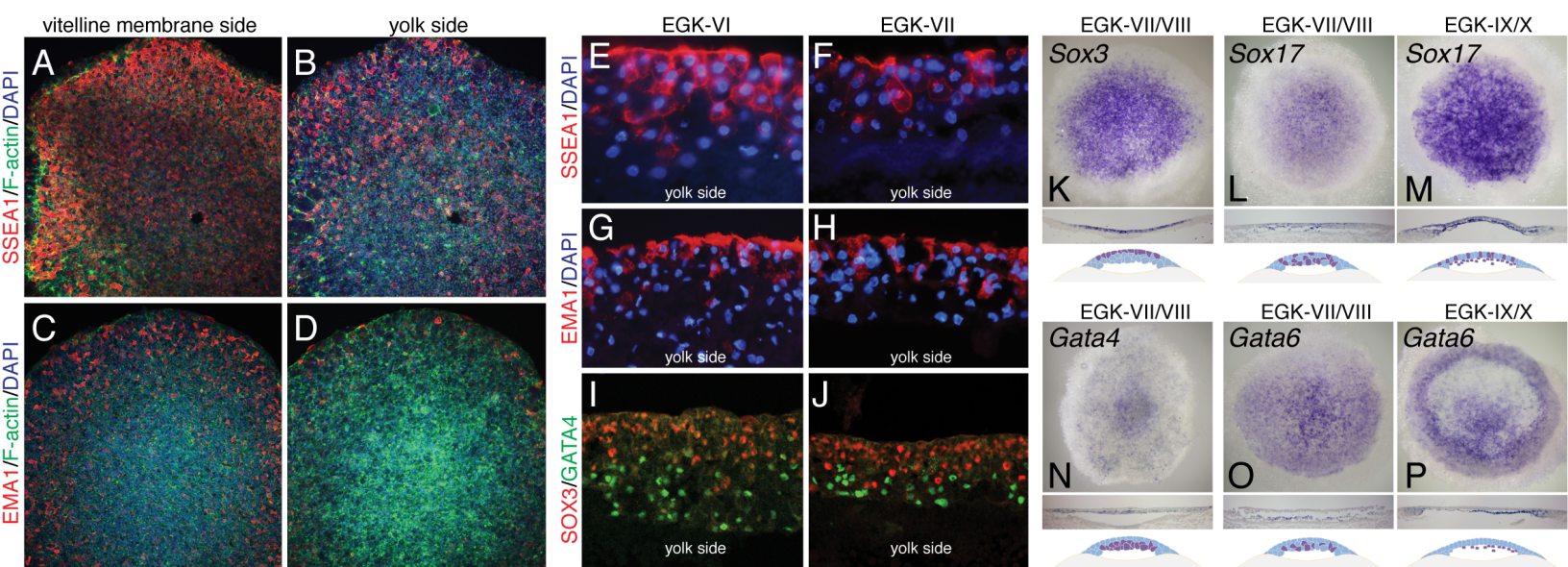
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836

837



Embryonic features	Early	Mid	Late
yolk cell (yc) within blastoderm	present	present	absent
blastocoel (bc)	no	developing	formed
subgermal cavity (sc)	no	developing	formed

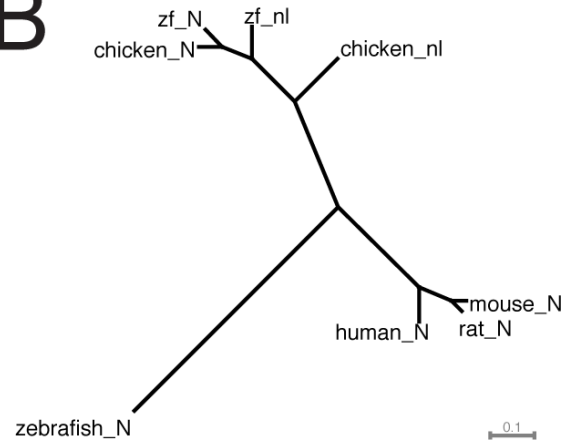




A

	Alignment of homeobox domain (HD)	%
mouse_N	KQKMRTVFSQAQLCALKDRFQKQKYLSQLQMQEELSSILNLSYKQVKTWFFQNQRMKCKRWQ	100
rat_N	KQKMRTVFSQAQLCALKDRFQQRQRYLSQLQMQDLSTILNLSYKQVKTWFFQNQRMKCKRWQ	93
human_N	KQKTRTVFSSTQLCVLNDRFQQRQKYLSQLQMQEELSNILNLSYKQVKTWFFQNQRMKSKRWQ	87
zebra finch_N	TGKSRTAFSQEQLKALHQRFSQKYLSPQQIRELAAALELTYKQVKTWFFQNQRMKFRCQ	68
chicken_N	KAKSRTAFSQEQLQTLHQRFSQKYLSPHQIRELAAALGLTYKQVKTWFFQNQRMKFRCQ	67
zebra finch_nl	KTKSRTAFSKEQLLTLHQRFSQKYLSPQQIRELAAVALGLTYKQVKTWFFQNRRMKLKRCQ	65
chicken_nl	KAKSCTAFSQEQQQILHQLLQSQKYLSPHQIQELAAAQGLTYQQMKTWFFQNQRMKMKRCQ	57
zebrafish_N	PRKTRAAFSSEEQMNALVNFVQRYLTPAEMKTLAGATGLTYKQVKTWFFQNRRMKLKRHQ	52

B

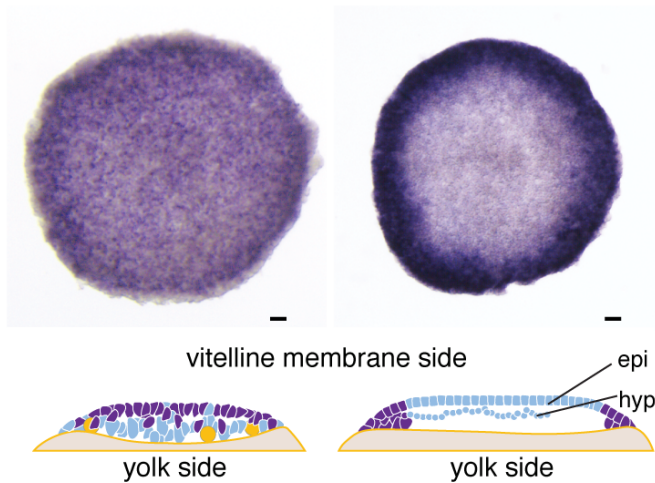


C

*Nanog-like*

EGK-VIII

EGK-IX/X

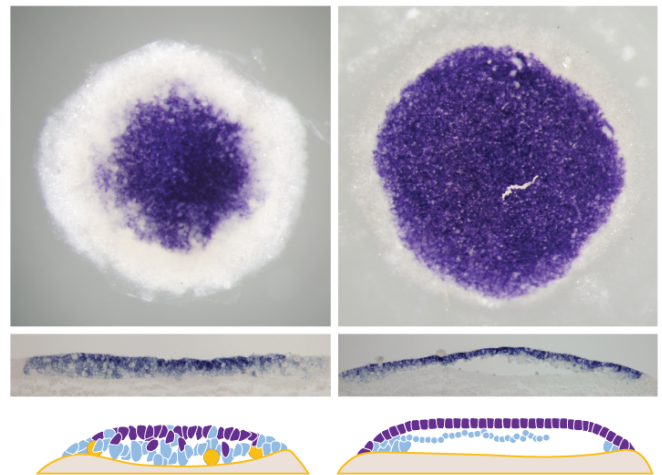


D

*Nanog*

EGK-VIII

EGK-IX/X

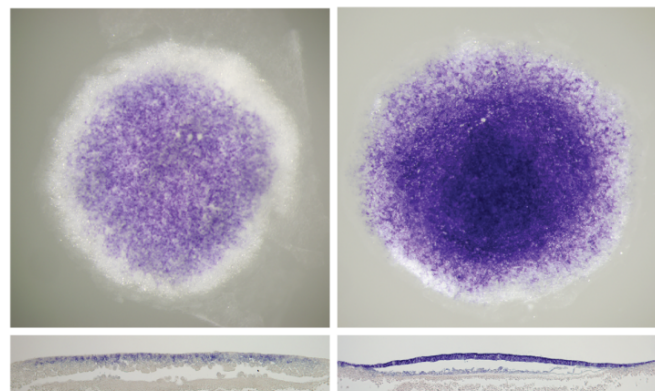


E

*PouV*

EGK-VIII

EGK-IX/X

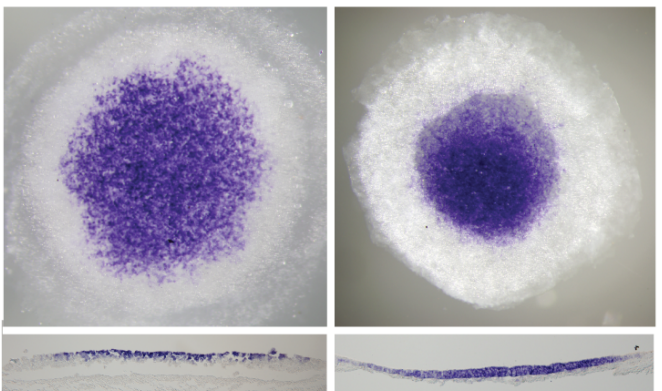


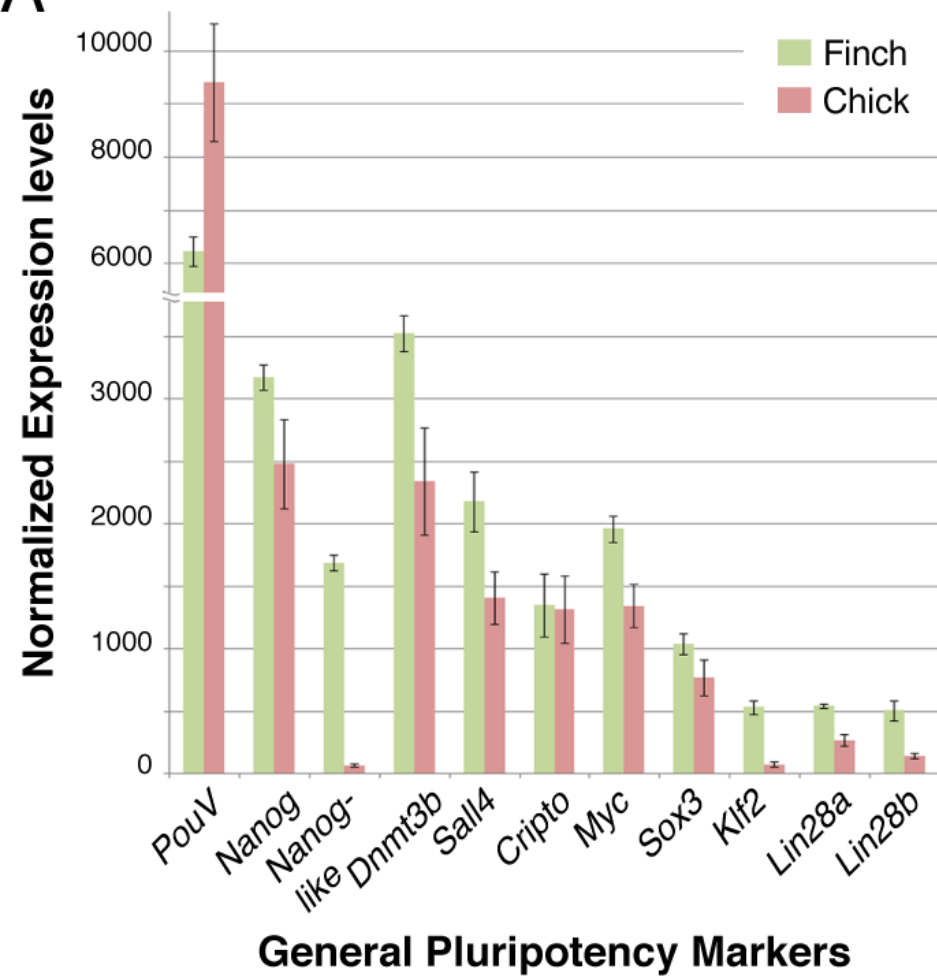
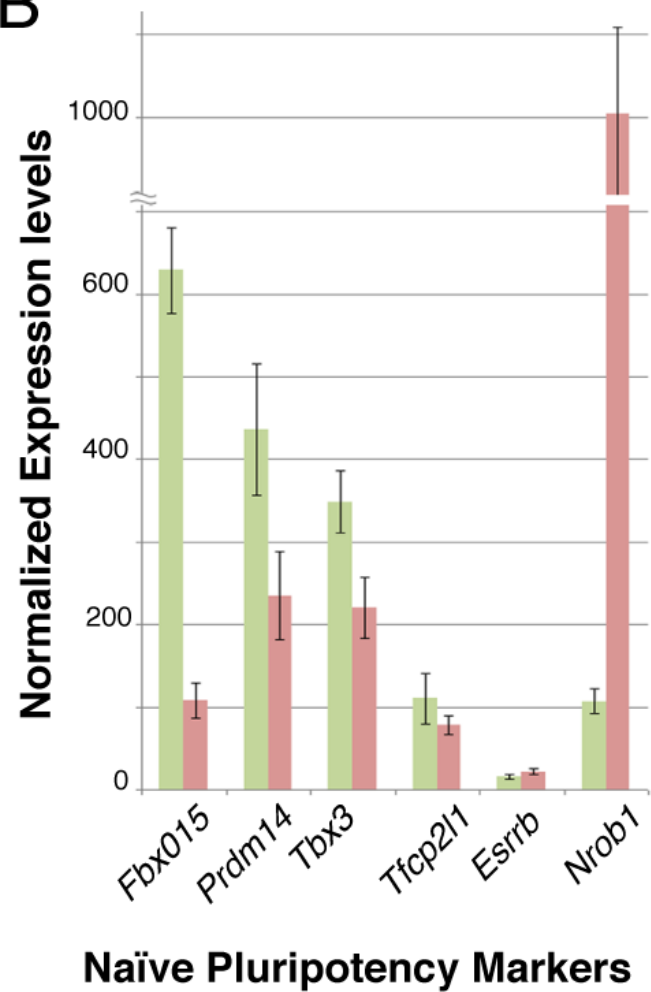
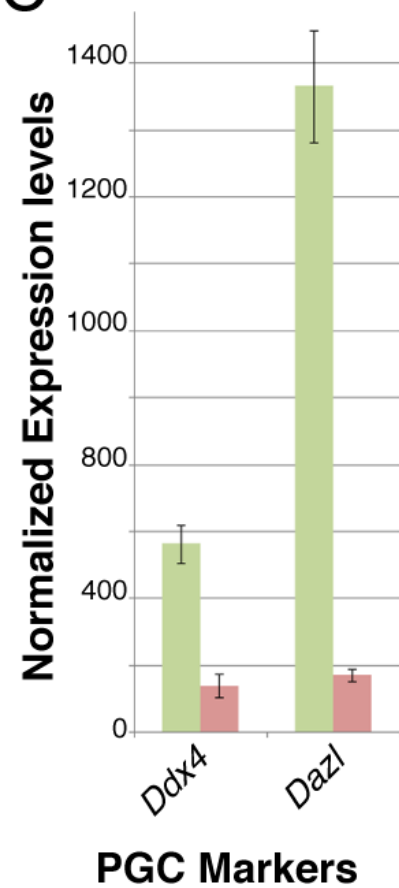
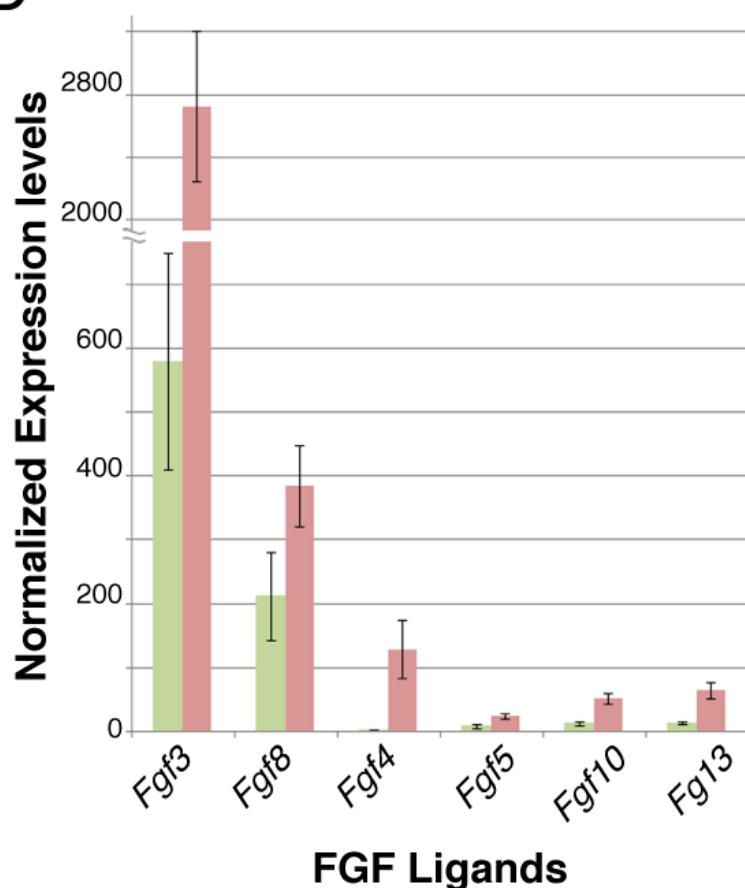
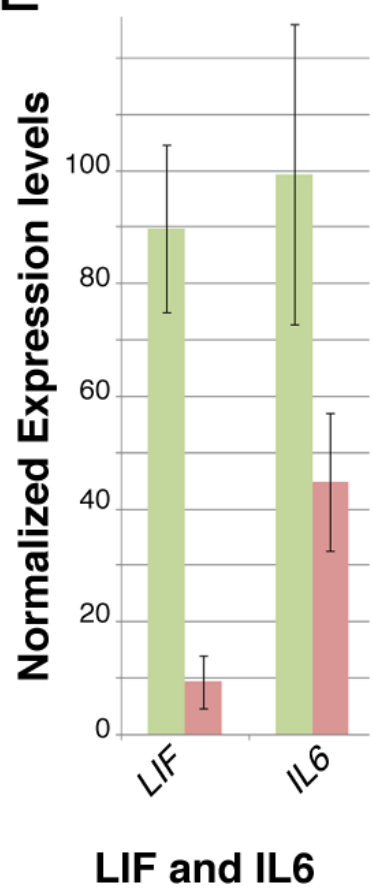
F

*Dnmt3b*

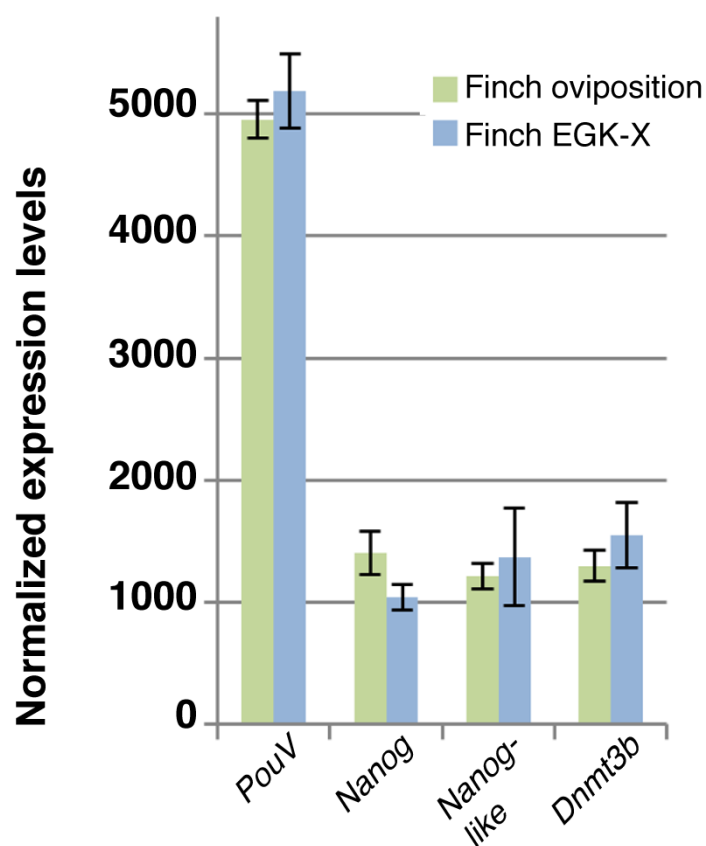
EGK-VIII

EGK-IX/X



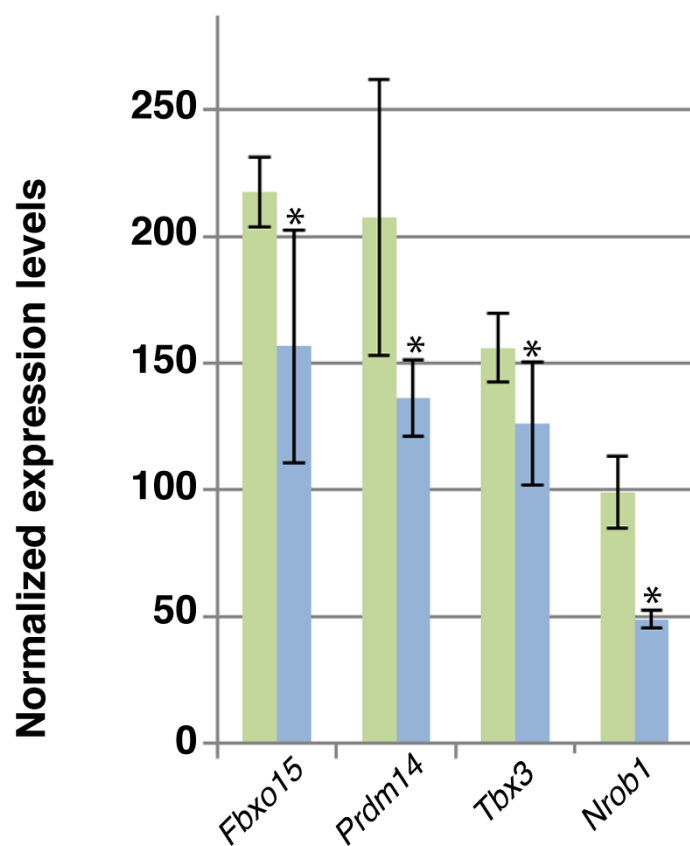
**A****B****C****D****E**

**A**

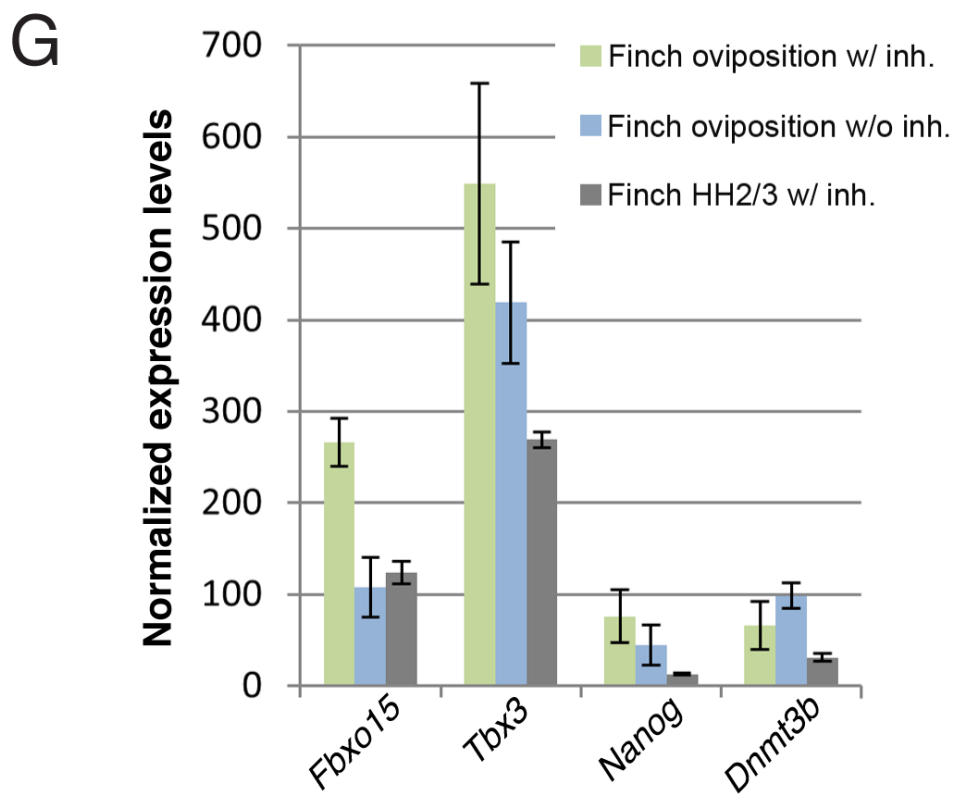
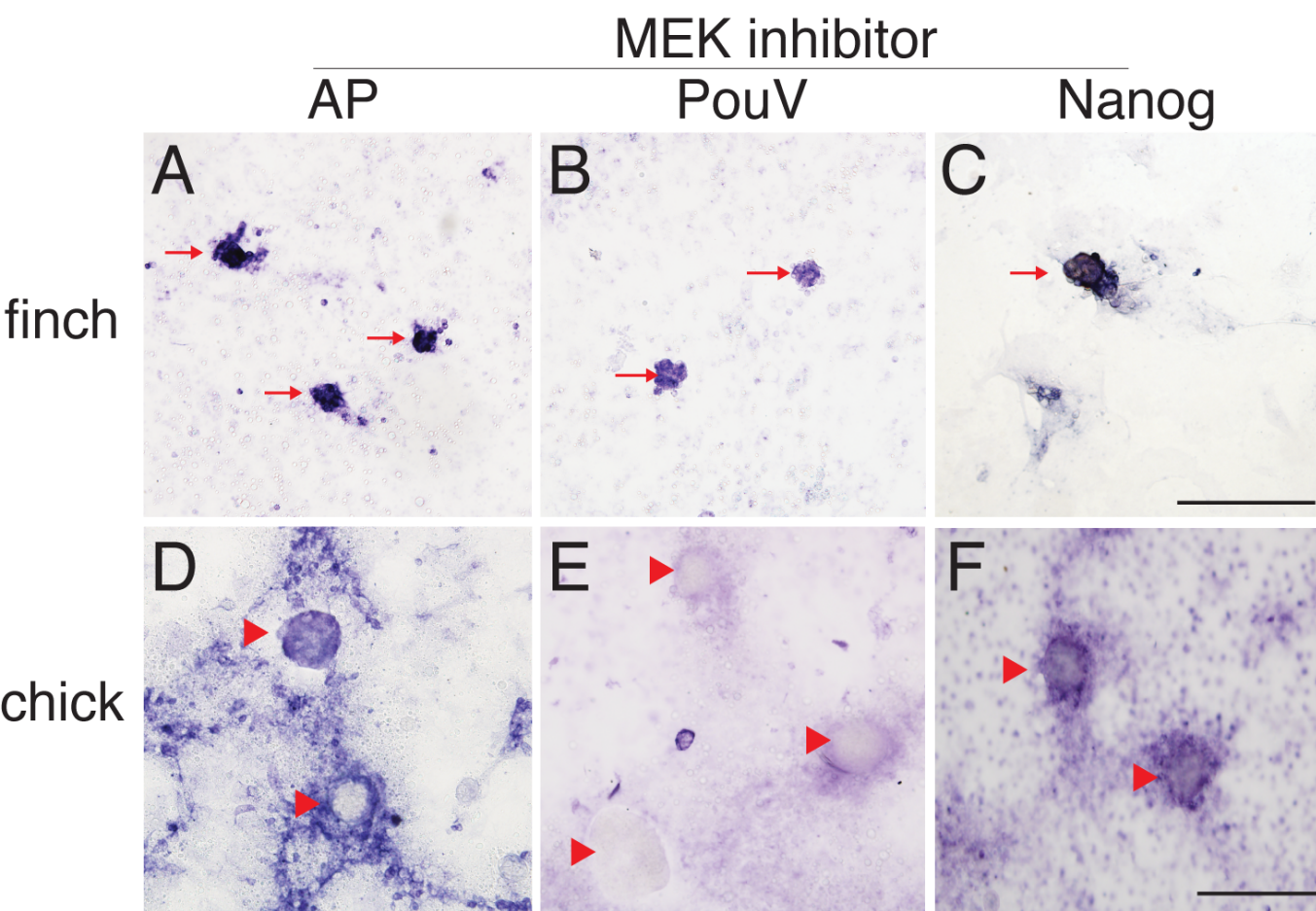


**General pluripotency markers**

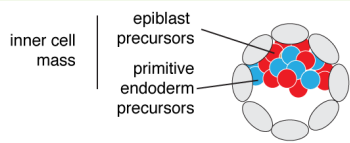
**B**



**Naïve pluripotency markers**



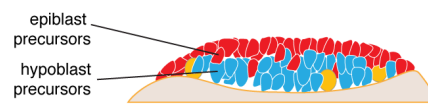
## Mouse Embryo



E4

Early/Mid Blastula

## Avian Embryo

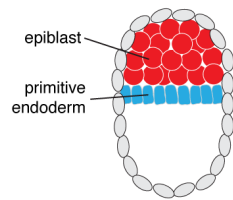


finch at oviposition

EGK-VI/VIII

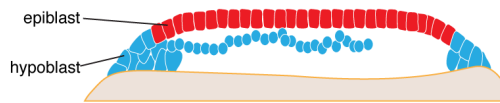
Cell Culture  
+LIF  
+MEKi

AP +ve  
PouV/Oct4 +ve  
Nanog +ve



E5

Late Blastula



chick at oviposition

EGK-X

Cell Culture  
+LIF  
+MEKi

AP -ve  
PouV/Oct4 -ve  
Nanog -ve