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2 **mTORC1 Is Necessary But mTORC2 And GSK3 $\beta$  Are Inhibitory For AKT3-**  
3 **Induced Axon Regeneration in the Central Nervous System**  
4

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17   **Abstract**

18   **Injured mature CNS axons do not regenerate in mammals. Deletion of PTEN, the negative**  
19   **regulator of PI3K, induces CNS axon regeneration through activation of PI3K-mTOR signaling.**  
20   **We have conducted an extensive molecular dissection of the cross-regulating mechanisms in axon**  
21   **regeneration that involve the downstream effectors of PI3K, AKT and the two mTOR complexes**  
22   **(mTORC1 and mTORC2). We found that the predominant AKT isoform in CNS, AKT3, induces**  
23   **much more robust axon regeneration than AKT1 and that activation of mTORC1 and inhibition**  
24   **of GSK3 $\beta$  are two critical parallel pathways for AKT-induced axon regeneration. Surprisingly,**  
25   **phosphorylation of T308 and S473 of AKT play opposite roles in GSK3 $\beta$  phosphorylation and**  
26   **inhibition, by which mTORC2 and pAKT-S473 negatively regulate axon regeneration. Thus our**  
27   **study revealed a complex neuron-intrinsic balancing mechanism involving AKT as the nodal point**  
28   **of PI3K, mTORC1/2 and GSK3 $\beta$  that coordinates both positive and negative cues to regulate**  
29   **adult CNS axon regeneration.**

## Introduction

Injuries of mature central nervous system (CNS) axons result in loss of vital functions due to the failure of CNS axons to regenerate (Schwab and Bartholdi 1996, Goldberg et al. 2002b, Fitch and Silver 2008). We and other investigators have found that activation of phosphatidylinositol 3-kinase (PI3K) by deletion of phosphatase and tensin homolog (PTEN) induces CNS axon regeneration through activation of mammalian target of rapamycin (mTOR) signaling (Park et al. 2008, Liu et al. 2010). PI3K is a lipid kinase which can be activated by receptor tyrosine kinase (RTK) and subsequently phosphorylates phosphatidylinositol 4,5-bisphosphate (PIP<sub>2</sub>) in the lipid membrane to produce phosphatidylinositol (3,4,5)-triphosphate (PIP<sub>3</sub>). PIP<sub>3</sub> in turn recruits AKT, a member of the AGC family of serine/threonine kinases, to the membrane to be activated by phosphorylation at T308 via phosphoinositide-dependent kinase-1 (PDK1) (Manning and Cantley 2007). PTEN is a lipid phosphatase that converts PIP<sub>3</sub> to PIP<sub>2</sub> and thus inhibits the activation of downstream effectors of PI3K. The PI3K-AKT pathway is the main effector by which RTKs promote cell survival and growth in response to growth factor signaling (M. S. Song et al. 2012). One of the multiple AKT downstream effectors is the tuberous sclerosis complex (TSC1/TSC2), the negative regulator of mTOR complex 1 (mTORC1). Thus AKT activation removes the inhibition of TSC and activates mTORC1. The PI3K-AKT-mTORC1 pathway is a master regulator of protein synthesis and cellular growth (Manning and Cantley 2007, Laplante and Sabatini 2012).

The two best characterized substrates of mTORC1 have been suggested as mediators of mTORC1's prominent roles in regulating cell growth, size, proliferation, motility and survival. One of these is the eukaryotic initiation factor 4E-binding proteins (4E-BPs); their phosphorylation releases inhibition of eukaryotic translation initiation factor 4E and initiates cap-dependent translation. The other is the ribosomal protein S6 kinases (S6Ks); their phosphorylation is critical for mRNA biogenesis, translation initiation and elongation (Hay and Sonenberg 2004). Phosphorylation of ribosome protein S6

54 (pS6) by S6K has often served as a marker for mTORC1 activation. Interestingly, S6K also functions as  
55 feedback inhibition of RTK/PI3K signaling, which balances mTORC1 activation(Radimerski et al.  
56 2002, Um et al. 2004, Yang et al. 2014). Both 4E-BP inhibition and S6K activation promote protein  
57 synthesis, but 4E-BPs act specifically to control cell proliferation (cell number) and S6Ks preferentially  
58 regulate cell growth (cell size)(Dowling et al. 2010, Ohanna et al. 2005). We previously reported that,  
59 although S6K1 activation, but not 4E-BP inhibition, is sufficient for axon regeneration, 4E-BP inhibition  
60 is necessary for PTEN deletion-induced axon regeneration(Yang et al. 2014). Phosphorylation and  
61 inhibition of another AKT substrate, glycogen synthase kinase 3 $\beta$  (GSK-3 $\beta$ ), is critical for neuronal  
62 polarization, axon branching and axon growth(Y. T. Kim et al. 2011). However, how GSK-3 $\beta$  regulates  
63 peripheral axon regeneration is controversial(Saijilafu et al. 2013, B. Y. Zhang et al. 2014, Gobrecht et  
64 al. 2014) and its function in CNS axon regeneration remains to be determined.

65

66 Although the mechanism is unclear, PI3K also activates mTOR complex 2 (mTORC2) in a  
67 ribosome-dependent manner(Zinzalla et al. 2011), which in turn phosphorylates AKT at S473  
68 (pS473)(Sarbasov et al. 2005, Guertin et al. 2006, Hresko and Mueckler 2005). pS473 enhances  
69 phosphorylation of AKT-T308 (Yang et al. 2002, Scheid et al. 2002), and inhibition of S473  
70 phosphorylation by destroying mTORC2 decreases AKT-T308 phosphorylation(Sarbasov et al. 2005,  
71 Carson et al. 2013, Yuan et al. 2012, Hresko and Mueckler 2005, Guertin et al. 2009). mTORC2 is  
72 involved in cell survival and actin cytoskeleton dynamics(Jacinto et al. 2004) and, like mTORC1, plays  
73 a role in lipogenesis and adipogenesis(Yao et al. 2013, Lamming and Sabatini 2013). It is not clear how  
74 these two mTOR complexes interact to determine multiple cellular events, and this information is  
75 particularly lacking for axon regeneration.

76

77 AKT appears to be the nodal point that acts as the key substrate of both PI3K-PDK1 and PI3K-  
78 mTORC2, and is also the critical upstream regulator of mTORC1 and GSK3 $\beta$ . By exploiting the  
79 anatomical and technical advantages of retinal ganglion cells (RGCs) and the crushed optic nerve (ON)  
80 as an *in vivo* model, we elucidated the important roles of AKT, mTORC1/2 and GSK3 $\beta$  in adult CNS  
81 axon regeneration. Understanding this cross-regulating mechanism should provide promising therapeutic  
82 targets for CNS injuries.

83

## 84 **Results**

### 85 **Three AKT isoforms display different effects on axon regeneration and RGC survival.**

86 To determine the role of AKT in axon regeneration, we generated adeno-associated virus 2 (AAV2)  
87 vectors containing Myr-3HA-AKT1, 2 and 3 to express membrane-bound constitutively active forms of  
88 HA-tagged AKTs *in vivo*. We and other investigators have demonstrated a specific tropism of AAV2 for  
89 RGCs after intravitreal injection (Park et al. 2008, Pang et al. 2008, Hu et al. 2012, Boye et al. 2013,  
90 Yang et al. 2014). We made AAV2-AKT viruses containing triple mutant capsid (Y444, 500, 730F) to  
91 take advantage of the high RGC transduction efficiency of capsid-mutated AAV2 (Petr-Silva et al.  
92 2011): transduction exceeded 80% of RGC, based on the ratio of HA (transgene tag) to Tuj1 (antibody  
93 for RGC marker,  $\beta$ -III tubulin) positive cells in flat-mount retinas (**Figure 1A,B**). We also confirmed  
94 that all three isoforms of AKT produced the expected changes in RGCs: significantly increased levels of  
95 pAKT-S473 and pS6 (mTORC1 activation marker), indicating activation of AKT and mTORC1 (**Figure**  
96 **1A,B, note that** pAKT-T308 antibody did not effectively immunostain retina). Western blot analysis of  
97 retina lysates showed comparable expression of AKT isoforms (HA levels), but levels of pT308 and pS6  
98 were significantly higher in retinas transfected by AKT3 than by AKT1 (**Figure 1C, D**). This difference  
99 indicates the greater activity of AKT3 in retina. We noticed that both pAKT-T308 and pAKT-S473

100 antibodies can only be used to detect AKT1 and AKT3 reliably in Western blot, whereas the pAKT2-  
101 S474 specific antibody readily detected p-AKT2 (**Figure 1C**).

102

103 We then performed ON crush in wild type (WT) mice 2 weeks after intravitreal injection of these  
104 AAV-AKTs. RGC axons that regenerated through the lesion site were labeled anterogradely by  
105 intravitreal injection of the tracer Alexa 488-conjugated cholera toxin  $\beta$  (CTB), and imaged and  
106 quantified in ON longitudinal sections at 2 weeks post-crush (**Figure 2-figure supplement 1**).  
107 Interestingly, the three isoforms of AKT elicited different patterns of axon regeneration: AKT2 and  
108 AKT3 caused significantly more axon regeneration than AKT1; AKT2 induced the longest axon growth  
109 (**Figure 2A,B**). The differing capabilities of the three isoforms of AKT in axon regeneration could not  
110 be explained simply by their expression levels, which HA staining showed to be comparable (**Figure**  
111 **1C,D**). The higher pT308 and pS6 levels and more potent axon regeneration induced by AKT3 suggest  
112 that its function in retina differs from those of AKT1 and AKT2. Similar to their effects on axon  
113 regeneration, all three isoforms increased RGC survival to more than 40% based on Tuj1 staining in  
114 retinal flat-mounts, at least a 1-fold increase over WT mice; and significantly more RGCs survived in  
115 mice injected with AAV-AKT3 than with AKT1 (**Figure 2C,D**). This series of experiments  
116 demonstrated that the downstream effector of PI3K, AKT, promotes both RGC survival and ON  
117 regeneration, and that there are significant differences among the three AKT isoforms.

118

### 119 **AKT1 and AKT3 are the predominant isoforms of AKT in RGCs.**

120 Consistent with a previous report(Yang et al. 2015), *in situ* hybridization and Western blot detected all  
121 three isoforms of AKT in retina (**Figure 2-figure supplement 2A,B**). Since AKT3 is the predominant  
122 isoform in adult mouse brain (50% AKT3, 30% AKT1 and 20% AKT2) (Easton et al. 2005), we  
123 determined whether this is also the case in RGCs. We employed the RiboTag mice, which are generated

124 by knocking in the HA-tagged ribosome protein Rpl22 (Rpl22<sup>HA</sup>) to the endogenous Rpl22 allele,  
125 immediately after the floxed endogenous Rpl22 gene(Sanz et al. 2009). After intravitreal injection of  
126 AAV2-Cre, Rpl22<sup>HA</sup> was expressed specifically in RGCs after Cre-mediated deletion of endogenous  
127 Rpl22 (**Figure 2-figure supplement 2C**). This allowed us to selectively immunoprecipitate (IP) RGC-  
128 specific ribosomes (Ribo-IP) *in situ*(Doyle et al. 2008, Heiman et al. 2008, Sanz et al. 2009) and acquire  
129 high quality RGC specific ribosome-associated translating mRNA from mouse retinas (**Figure 2-figure**  
130 **supplement 2D**). We then used three biological replicates of RGC-translating mRNAs from WT mice  
131 (8-10 mice/replicate) to perform RNA deep sequencing; each library acquired about 25 million reads  
132 and 93% of total reads aligned to unique genes in the mouse genome (mm9) by RUM(Grant et al. 2011).  
133 Measurement of transcript abundance in fragments per Kb of exon per million fragments mapped  
134 (FPKM) (Mortazavi et al. 2008) indicated that AKT1 and AKT3 were the two major isoforms of AKT in  
135 RGCs; each represented 45% of total AKT, a vivid contrast to AKT2 (10%) (**Figure 2-figure**  
136 **supplement 2E**).

137

138 **The opposite roles of phosphorylation of AKT-T308 by PDK1 and phosphorylation of AKT-S473**  
139 **by mTORC2 on axon regeneration and GSK3 $\beta$  phosphorylation.**

140 AKT1 and AKT3 are expressed equally in RGCs, but AKT3 is activated more potently in retina and  
141 induces better axon regeneration than AKT1. We therefore focused on AKT3 to investigate which of its  
142 domains is critical for axon regeneration. We generated three AKT3 mutants and verified their activities  
143 in RGCs after AAV infection: AKT3 kinase dead (KD) mutant (K177M), T305A/S472A (AA) mutant  
144 (equal to T308 and S473 in AKT1, T308 and S473 are used throughout the paper for convenience unless  
145 AKT3 is specified) and single T305A mutant were all equally expressed in RGCs and, as we expected,  
146 all three mutants showed significantly lower phosphorylation of T308, S473 and S6 than WT AKT3  
147 (**Figure 3**). Consistent with their loss of AKT kinase function, the three mutants did not induce axon

148 regeneration (**Figure 4A,B**), indicating that the phosphorylation of AKT-T308 and the kinase activity of  
149 AKT are critical for axon regeneration.

150

151 We were surprised to find, however, that the AKT3-S472A mutant produced a small but  
152 statistically significant increase in axon regeneration at 500  $\mu$ m distal to the crush site and a trend  
153 toward increase at other distances, compared to WT AKT3 (**Figure 4C,D**), suggesting that pS473  
154 inhibits the effect of AKT on axon regeneration. This contradicts the common theme that  
155 phosphorylation of both T308 and S473 is additive to AKT activity (Bhaskar and Hay 2007, Yang et al.  
156 2002, Scheid et al. 2002). To confirm this unanticipated finding, we tested whether mTORC2 also is  
157 inhibitory for AKT-induced axon regeneration, since S473 of AKT is phosphorylated by  
158 mTORC2 (Sarbasov et al. 2005, Guertin et al. 2006, Hresko and Mueckler 2005). We blocked  
159 mTORC2 genetically by deleting its essential component, *Rictor* (rapamycin-insensitive companion of  
160 mTOR) (Guertin et al. 2006, Laplante and Sabatini 2012). We injected AAV-AKT3 and AAV-Cre  
161 together into one eye of *Rictor* floxed mice and compared ON regeneration to that elicited by injection  
162 of AAV-AKT3 alone into the contralateral eye. Consistent with our AKT3-S472A result, AKT3 and  
163 *Rictor* KO produced even more extensive and lengthier axon regeneration than AKT3 alone (**Figure**  
164 **4C,D**). This was not due to an additive effect because *Rictor* KO alone did not cause any axon  
165 regeneration (**Figure 4-figure supplement 1**), but presumably through inhibition of S473  
166 phosphorylation. Indeed, both immunostaining and Western blot analysis confirmed that AKT3-S472A  
167 mutant and *Rictor* deletion significantly decreased pS473 levels (**Figure 5A,B,F**). The enhanced axon  
168 regeneration was not due to increased expression of AKT3, which was slightly decreased in *Rictor* KO  
169 mice (**Figure 5B,D**). It was also not due to enhanced activation of AKT or mTORC1, because levels of  
170 pT308 and pS6 were also decreased (**Figure 5B,E,G**). This is consistent with the positive effect of  
171 pS473 on T308 phosphorylation (Sarbasov et al. 2005, Carson et al. 2013, Yuan et al. 2012, Hresko and



Mueckler 2005, Guertin et al. 2009, Yang et al. 2002, Scheid et al. 2002). Surprisingly, mTORC2 and pS473 had a negative effect on GSK3 $\beta$ -S9 phosphorylation; deletion of *Rictor* significantly increased pGSK3 $\beta$ -S9 level (**Figure 5B,H**). AKT3-S472A mutant also increased GSK3 $\beta$ -S9 phosphorylation although with large variation. *Rictor* KO alone did not increase pGSK3 $\beta$ -S9 compared to WT mice (**Figure 5C**), suggesting that AKT kinase activity is required for GSK3 $\beta$  phosphorylation. Since GSK3 $\beta$ -S9 phosphorylation has been suggested to promote peripheral axon regeneration(Saijilafu et al. 2013, B. Y. Zhang et al. 2014), inhibition of AKT-S473 phosphorylation may increase CNS axon regeneration through enhanced inactivation of GSK3 $\beta$ . Taken together, our results demonstrate that, in contrast to pAKT-T308, mTORC2 and pAKT-S473 inhibit GSK3 $\beta$  phosphorylation, which may contribute to their negative effect on axon regeneration.

Since retina flat-mount preparations revealed HA signals in RGC cell bodies as well as proximal axons, we investigated the distribution of AKT in ON. All three AKT isoforms and AKT3-S472A mutant were translocated into ON. Intriguingly however, the distribution in ON of the three AKT3 mutants (KD, AA and T305A) that did not induce axon regeneration was significantly limited (**Figure 4-figure supplement 2A**), suggesting a local function of axonal AKT in axon regeneration. Consistent with this idea, AKT3 was also present in regenerating axons (**Figure 4-figure supplement 2B**).

Consistent with their loss of kinase function, the three AKT3 mutants (AKT3-KD, AA and T305A mutants) significantly decreased survival of axotomized RGCs compared to WT AKT3 (**Figure 4-figure supplement 3**). Neither the AKT3-S472A mutant nor *Rictor* deletion significantly changed RGC survival (**Figure 4-figure supplement 3**), indicating that increased axon regeneration is not due to increased neuron survival.

Taken all together, these results show that AKT kinase activity is required for both axon regeneration and RGC survival; and that phosphorylation of T308 by PI3K-PDK1 and phosphorylation of S473 by mTORC2 play opposite roles in GSK3 $\beta$  phosphorylation/inhibition and axon regeneration.

#### **mTORC1 and its downstream effectors are essential for AKT3-induced axon regeneration.**

We next tested the influence of mTORC1 on AKT3-induced axon regeneration. Previous studies of mTORC1 function in axon regeneration relied on pharmacological inhibition of mTORC1 by rapamycin, which generated inconsistent or contradictory results(Christie et al. 2010, Abe et al. 2010, Park et al. 2008). To definitively determine the role of mTORC1, we disrupted mTORC1 by deleting *Rptor* (regulatory associated protein of mTOR) in RGCs specifically. *Rptor* is unique to mTORC1, and its deletion totally blocks mTORC1 activity(Laplante and Sabatini 2012, Guertin et al. 2006). We injected AAV-AKT3 and AAV-Cre together into one eye of *Rptor* floxed mice and compared the ON regeneration to that obtained with injection of AAV-AKT3 alone into the contralateral eye. *Rptor* deletion alone did not result in axon regeneration (**Figure 4-figure supplement 1**); it significantly decreased AKT3-induced axon regeneration (**Figure 6A,B**). The two best-characterized substrates of mTORC1, S6K1 and 4E-BP, are necessary for axon regeneration(Yang et al. 2014, Hu 2015), we tested whether the dominant negative mutant of S6K1 (S6K1-DN) and constitutively active mutant of 4E-BP1 (4E-BP1-4A) also inhibit AKT3-induced axon regeneration. Similar to *Rptor* deletion, S6K1-DN and 4E-BP1-4A also significantly decreased AKT3-induced axon regeneration (**Figure 6A,B**), which further confirms the essential role of mTORC1 in AKT-induced axon regeneration. Since we found that mTORC1 is necessary but that mTORC2 is inhibitory for AKT-induced axon regeneration, we asked what the combined effect would be of blocking both mTORC1 and mTORC2 by deleting *Mtor* itself. We again injected AAV-AKT3 and AAV-Cre together into one eye of *Mtor* floxed mice and compared the ON regeneration to that obtained by injecting AAV-AKT3 alone into the contralateral eye. Deletion

of *Mtor* itself did not result in axon regeneration (**Figure 4-figure supplement 1**) but significantly inhibited AKT3-induced axon regeneration (**Figure 6A,B**). This result is additional evidence for mTORC1's essential role in axon regeneration.

Consistent with its key role in protein synthesis, mTORC1 inhibition by deletion of *Rptor* or *Mtor*, or by overexpression of S6K1-DN and 4E-BP1-4A, significantly decreased the AAV-AKT3 expression (**Figure 6C,D**). However, RGC survival was not changed by these manipulations (**Figure 6E,F**), which suggests that the low level of AAV-AKT3 expression in these conditions is sufficient for its neuroprotection function in retina. The inhibitory effect of *Rptor/Mtor* KO or S6K1-DN mutant on pS6 levels (**Figure 6C-F**) implies that downregulation of mTORC1 activity is responsible for the decreased axon regeneration.

### **GSK3 $\beta$ phosphorylation and inhibition are necessary for AKT3-induced axon regeneration.**

We next investigated the influence of another substrate of AKT, GSK3 $\beta$ . GSK3 $\beta$  has been suggested to negatively regulate mammalian peripheral(Saijilafu et al. 2013, B. Y. Zhang et al. 2014) and CNS axon regeneration(Dill et al. 2008), although contradictory result has also been reported(Gobrecht et al. 2014). To definitively determine the role of GSK3 $\beta$  in CNS axon regeneration, we used AAV-GSK3 $\beta$ -S9A to express a GSK3 $\beta$  mutant that cannot be phosphorylated and inhibited by AKT. GSK3 $\beta$ -S9A significantly blocked AKT3-induced axon regeneration (**Figure 7A,B**). Combining *Rptor* deletion with GSK3 $\beta$ -S9A overexpression blocked both mTORC1 activation and GSK3 $\beta$  inhibition, which almost totally prevented AKT3-induced axon regeneration (**Figure 7A,B**). These results suggest that phosphorylation and inhibition of GSK3 $\beta$  and activation of mTORC1 are two parallel signal pathways downstream of AKT3 that act together to influence axon regeneration.

243

244 ***Gsk3b* deletion alone is sufficient for axon regeneration and also acts synergistically with**  
245 **mTORC1 and AKT.**

246 To determine whether GSK3 $\beta$  inhibition is sufficient for axon regeneration, we injected AAV-Cre into  
247 the eyes of *Gsk3b* floxed mice to delete *Gsk3b* specifically in RGCs. As **Figure 7C,D** shows, *Gsk3b* KO  
248 alone induced a small but significant amount of axon regeneration, in dramatic contrast to deletion of  
249 *Gsk3a*, which yielded no axon regeneration. Deletion of both *Gsk3a* and *Gsk3b* did not have an additive  
250 effect on axon regeneration (**Figure 4-figure supplement 1**). Since both AKT effectors, mTORC1 and  
251 GSK3 $\beta$ , are necessary for axon regeneration and either activation of mTORC1 effector S6K1 (Yang et al.  
252 2014) or *Gsk3b* deletion is sufficient to promote axon regeneration, we next tested whether these two  
253 pathways have an additive effect. This experiment showed enhancement of axon regeneration when  
254 S6K1 constitutively active mutant (S6K1-CA) was expressed in *Gsk3b* KO mice (**Figure 7C,D**),  
255 consistent with the idea that mTORC1 and GSK3 $\beta$  act in parallel, synergistic pathways downstream of  
256 AKT for axon regeneration.

257

258 Since blocking AKT-S473 phosphorylation increased GSK3 $\beta$ -S9 phosphorylation/inactivation  
259 (**Figure 5B,G**), we speculated that WT AKT alone only partially inhibits GSK3 $\beta$  and that AKT3  
260 activation together with *Gsk3b* deletion would further increase axon regeneration. Indeed, regenerating  
261 axons were more numerous and longer in *Gsk3b* KO mice injected with AAV-AKT3 (**Figure 7E,F**),  
262 indicating incomplete inhibition of GSK3 $\beta$  by WT AKT3 and/or AKT-independent GSK3 $\beta$  activity  
263 which acted additively with AKT-dependent GSK3 $\beta$  activity on axon regeneration. Consistent with this  
264 inference, AKT-independent GSK3 $\beta$  inactivation has been recognized in peripheral axon  
265 regeneration (B. Y. Zhang et al. 2014).

266

Although AKT3 levels were variable after *Gsk3b* and/or *Rptor* manipulation (**Figure 7-figure supplement 1A,B**), RGC survival induced by AKT3 was unchanged (**Figure 7-figure supplement 1C,D**), indicating sufficient AKT3 expression in retina for neuroprotection. In fact, even though expression of AKT3 was also lower in *Gsk3b* KO mice, axon regeneration was enhanced (**Figure 7E,F**). Thus the changes in axon regeneration depend on signaling cross-talk and alterations of pS6 and pGSK3 $\beta$ -S9 (**Figure 7-figure supplement 1C**), but not on the absolute levels of AKT3.

## Discussion

Although AKT has been linked with axon growth *in vitro* (Shi et al. 2003, Jiang et al. 2005, Markus et al. 2002) and axon regeneration *in vivo* (Y. Song et al. 2012, Namikawa et al. 2000, S. R. Kim et al. 2011), there is surprisingly little information about the specificity of AKT isoforms and the role of different phosphorylation sites of AKT (T308 and S473) in axon regeneration. In contrast to AKT1 and AKT2, which are widely expressed, AKT3 is the predominant isoform in brain (Easton et al. 2005). Deletion of AKT1 reduces whole body size and deletion of AKT2 results in diabetes-like syndrome (Cho et al. 2001a, Cho et al. 2001b). Deletion only of AKT3 specifically reduces brain size (Easton et al. 2005), indicating a specific role of AKT3 in regulation of CNS growth. Interestingly, AKT2 and AKT3, but not AKT1, regulate survival and growth of cultured hippocampal neurons (Diez et al. 2012). Our analysis of the expression levels of the three AKT isoforms in RGCs and their distinct roles in axon regeneration provides additional *in vivo* evidence of the unique properties of AKT3 in CNS: AKT3 activation promotes significantly greater RGC survival and ON regeneration than AKT1, presumably through its unique ability to activate mTORC1 (higher pS6) in retina. This is also true in brain as AKT3 KO, but not AKT1 deletion, decreases pS6 significantly (Easton et al. 2005). AKT3 may also selectively activate unknown, neuronal-specific signaling molecules. The partial functional redundancy of AKT isoforms, however, makes identification of these molecules difficult (Dummler and Hemmings 2007).

291

292 We found that mTORC1 inhibition (*Rptor* or *Mtor* deletion, S6K1-DN or 4E-BP1-4A over-  
293 expression) decreased AKT3 expression (**Figure 6C,D**). Decreased AKT3 by itself may not account for  
294 reduced axon regeneration, however, because the remaining amounts enabled RGCs to survive (**Figure**  
295 **6E,F**). Although we cannot exclude the possibility that the decrease in AKT3 contributed to the reduced  
296 axon regeneration, we consider the significant decreases in mTORC1 signaling and protein synthesis to  
297 be the main reason for the inhibition of axon regeneration. This notion receives additional support from  
298 our observation of other conditions in which axon regeneration was enhanced despite downregulation of  
299 AKT3 (**Figure 4C,D and Figure 7E,F**), which suggests that high levels are not required for axon  
300 regeneration. Based also on our previous demonstration of the necessary role of 4E-BP and S6K1 (Yang  
301 et al. 2014), we therefore conclude that mTORC1 is critically important for axon regeneration and that  
302 the effects on axon regeneration are due to the signaling alterations, rather than to the changed levels of  
303 AKT expression per se.

304

305 Our observation of enhanced axon regeneration by AKT3-S472A mutant and *Rictor* KO suggests  
306 that pS473 either inhibits phosphorylation of T308 directly or allows AKT to activate distinct substrates  
307 that are different from those of pT308, which are inhibitory for axon regeneration. Our finding that  
308 pS473 enhances, rather than inhibits, phosphorylation of T308, implies that different substrates or  
309 differentially regulated substrates by pT308 and pS473, must contribute to the difference in axon  
310 regeneration. The inhibitory effect of mTORC2 and pAKT-S473 on GSK3 $\beta$  phosphorylation is striking  
311 and very interesting. The significantly increased pGSK3 $\beta$ -S9 after blocking mTORC2 and S473  
312 phosphorylation suggests that GSK3 $\beta$  is one of the AKT effectors that are differentially regulated by  
313 pAKT-T308 and pAKT-S473. Although previous *in vitro* study showed unchanged GSK3 $\beta$   
314 phosphorylation after *Rictor* KO and reduced S473 phosphorylation (Guertin et al. 2006), liver or

315 muscle-specific *Rictor* deletion increases GSK3 $\beta$  phosphorylation(Kumar et al. 2008, Yuan et al. 2012).  
316 The results of our studies using GSK3 $\beta$ -S9A mutant and *Gsk3b* KO mice provide additional evidence of  
317 the inhibitory role of GSK3 $\beta$  in axon regeneration and definitively resolve the contradictory information  
318 in the literature(Christie et al. 2010, Abe et al. 2010, Saijilafu et al. 2013, Dill et al. 2008, Gobrecht et al.  
319 2014). *In vitro* kinase assay will be necessary to definitively demonstrate the roles of pAKT-T308 and  
320 pAKT-S473 in GSK3 $\beta$ -S9 phosphorylation, We have shown that pAKT-T308 and pAKT-S473 regulate  
321 the inhibition and phosphorylation of retinal GSK3 $\beta$  in opposite directions. This process acts in parallel  
322 with another AKT downstream effector, mTORC1, to regulate CNS axon regeneration (**Figure 8**).  
323 Interestingly, downstream effectors that are specific to mTORC2-pAKT-S473 but not to pAKT-T308  
324 have been proposed(Guertin et al. 2006, Yang et al. 2006, Jacinto et al. 2004, Jacinto et al. 2006, Gu et  
325 al. 2011), consistent with the notion that pAKT-S473 regulates  $\beta$ -cell proliferation whereas pAKT-T308  
326 controls  $\beta$ -cell size(Gu et al. 2011, Hashimoto et al. 2006).

327

328 The balance between pro-regeneration pT308 and anti-regeneration pS473 forms of AKT  
329 appears to be critical. Our observation that *Rictor* deletion induces more potent axon regeneration with a  
330 smaller decrease in pS473 and pT308 than AKT3-S472A mutant (**Figure 5**) may indicate a better  
331 therapeutic strategy for manipulating AKT signaling to promote axon regeneration. It is also worth  
332 noting that, because *Rictor* deletion did not totally block AKT-S473 phosphorylation, other kinases in  
333 addition to mTORC2 may contribute in retina. Moreover, because other kinases have been suggested to  
334 phosphorylate GSK3 $\beta$ -S9 in addition to AKT(Tsujio et al. 2000, Fang et al. 2000, Armstrong et al.  
335 2001), it will be important to investigate whether these kinases are also regulated by mTORC2 in a  
336 similar way as AKT. Since mTORC2 and pAKT-S473 are necessary for PTEN deletion-induced tissue  
337 overgrowth in drosophila eyes(Hietakangas and Cohen 2007) and development of prostate cancer in

338 mouse(Guertin et al. 2009), targeting/blocking mTORC2 may allow us to boost PTEN/AKT's  
339 regeneration-promoting effect while at the same time minimizing its deleterious tumorigenic effect.

340

341       Apparently, neuronal survival is a prerequisite for axon regeneration. But we and others did not  
342 find that increased neuron survival was invariably linked with proportionately greater axon  
343 regeneration(Benowitz et al. 2015). This is consistent with findings in other systems. For example, most  
344 corticospinal neurons exhibit long term survival after transection in the spinal cord(Nielson et al. 2010,  
345 Nielson et al. 2011), but they fail to regenerate axons (Schwab and Bartholdi 1996, Goldberg et al.  
346 2002b, Fitch and Silver 2008). The 20% of RGCs that normally survive ON crush in mice can be  
347 increased significantly by inhibition of apoptosis, deleting tumor suppressor genes or by manipulating  
348 ER stress pathways, but these manipulations do not necessarily induce ON regeneration(Park et al. 2008,  
349 Hu et al. 2012, Goldberg et al. 2002a). This observation indicates that axon regeneration requires  
350 neuronal intrinsic growth stimulators that are distinct from neuronal surviving factors. Thus we  
351 consistently found that, although manipulation of mTOR complexes and GSK3 $\beta$  significantly changed  
352 axon regeneration, RGC survival induced by AKT remained the same. We could not exclude the  
353 possibility that changing RGC survival contributed to a change in axon regeneration, but no convincing  
354 evidence proves a direct causative relationship between these two events. The available evidence,  
355 therefore, supports the idea that the intrinsic signaling events after AKT activation and the involvement  
356 of its upstream or downstream signaling effectors are directly related to intrinsic growth control of  
357 neurons, and that these signaling pathways are distinct from or overlap only partially, signaling  
358 necessary for survival. There are far fewer regenerating axons than surviving RGCs, however,  
359 suggesting that only a small percentage of RGCs are regenerating and different subtypes of RGCs have  
360 different regeneration abilities(Duan et al. 2015). In-depth understanding of the mechanisms of this  
361 difference will be required to maximize RGC axon regeneration.



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Increasing evidence has demonstrated the importance of localized protein synthesis in peripheral axon regeneration(Willis and Twiss 2006, Jung et al. 2012, Perry and Fainzilber 2014). Intra-axonal translation has recently been demonstrated in mature mouse hippocampus(Baleriola et al. 2014) and, more intriguingly, certain mRNA species and additional components of translation machinery, including pS6 and 4E-BP1, have been detected in regenerating axons in rat spinal cord(Kalinski et al. 2015). Since we also observed that regeneration-promoting WT AKTs and AKT-S473A mutant were localized in ON whereas non-regeneration AKT mutants were excluded from ON, it will be very intriguing to investigate the significance of axonal AKT activation in CNS axon regeneration, especially its effect on axonal protein synthesis.

In summary, our genetic manipulations in RGCs have established that activation of mTORC1 and inhibition of GSK3 $\beta$  are two critical pathways downstream of AKT that act in parallel and synergistically to promote CNS axon regeneration (**Figure 8**). The opposite effects of mTORC1 and mTORC2 on axon regeneration suggest that a balancing mechanism exists downstream of the critical growth-promoting signal PI3K and that AKT integrates both positive and negative signals through phosphorylation of T308 and S473 and their specific roles in downstream effectors mTORC1 and GSK3 $\beta$  to control CNS axon regeneration (**Figure 8**). Interestingly, mTORC1/S6K also functions as feedback inhibition of PI3K signaling(Laplane and Sabatini 2012), which also balances AKT activation and axon regeneration(Yang et al. 2014). Thus it is reasonable to expect that the increased understanding of the complicated cross-regulation and feedback-control mechanisms presented by our studies will eventually lead to safe and effective therapeutic strategies for CNS injury.

## 385 **Materials and Methods**

386 **Mice.** *Rictor*<sup>flox/flox</sup>, *Rptor*<sup>flox/flox</sup>, *Mtor*<sup>flox/flox</sup> and RiboTag mice with C57BL/6 background and C57BL/6  
387 WT mice were purchased from Jackson Laboratories (Bar Harbor, Maine). *Gsk3b*<sup>flox/flox</sup> and *Gsk3a*<sup>flox/flox</sup>  
388 mice with C57BL/6 background were originally developed by Dr. Jim Woodgett(Doble et al. 2007,  
389 Patel et al. 2008) and were acquired from Dr. Thomas Force. We crossed them to generate  
390 *Gsk3a/b*<sup>flox/flox</sup> mice. All experimental procedures were performed in compliance with animal protocols  
391 approved by the IACUC at Temple University School of Medicine. For all surgical and treatment  
392 comparisons, control and treatment groups were prepared together in single cohorts, and the experiment  
393 repeated at least twice.

394  
395 **Constructs.** pcDNA3-Myr-HA-AKT1 (#9008), pcDNA3-Myr-HA-AKT2 (#9016), pcDNA3-Myr-HA-  
396 AKT3 (#9017) and pcDNA3-HA-GSK3 $\beta$ -S9A (#14754) were obtained from Addgene. We used overlap  
397 PCR method to produce AKT3 mutants AKT3-T305A, AKT3-S472A, AKT3-T305A/S472A (AKT3-  
398 AA), AKT3-K177M (AKT3-KD) and subcloned the WT AKT1-3 and AKT3 mutants into an AAV  
399 backbone that contained CBA promoter with Myr-3xHA tag at the N-terminus to get AAV-Myr-3HA-  
400 AKT1-3 and AKT3 mutants. We generated AAV-3HA-GSK3 $\beta$ -S9A similarly and AAV-Cre, AAV-  
401 S6K1-DN, AAV-S6K1-CA and AAV-4E-BP1-4A were described before(Yang et al. 2014).

402  
403 **AAV production.** The detailed procedure has been described previously(Hu et al. 2012, Yang et al.  
404 2014). Briefly, AAV plasmids containing the transgenes were co-transfected with pAAV2 (pACG2)-RC  
405 triple mutant (Y444, 500, 730F)(Petr-Silva et al. 2011, Wang et al. 2014, Y. H. Zhang et al. 2014) and  
406 the pHelper plasmid (Stratagene) into HEK293T cells. 72 hours after transfection, the cells were lysed to  
407 release the viral particles, which were precipitated by 40% polyethylene glycol and purified by cesium  
408 chloride density gradient centrifugation. The fractions with refractive index from 1.370 to 1.374 were  
409 taken out for dialysis in MWCO 7000 Slide-A –LYZER cassette (Pierce) overnight at 4°C. The AAV

410 titers that we used for this study were in the range of  $1.5\text{-}2.5 \times 10^{12}$  genome copy (GC)/ml determined  
411 by real-time PCR.

412  
413 **Intravitreal injection and ON crush.** Mice were anesthetized by xylazine and ketamine based on their  
414 body weight (0.01mg xylazine/g+0.08mg ketamine/g). For each AAV intravitreal injection, a  
415 micropipette was inserted into the peripheral retina of 3 week-old mice just behind the ora serrata, and  
416 advanced into the vitreous chamber so as to avoid damage to the lens. Approximately 2  $\mu$ l of the  
417 vitreous was removed before injection of 2  $\mu$ l AAV into the vitreous chamber. ON crush was performed  
418 2 weeks following AAV injection: the ON was exposed intraorbitally and crushed with a jeweler's  
419 forceps (Dumont #5; Fine Science Tools, Forster City, California) for 5 seconds approximately 0.5 mm  
420 behind the eyeball. Care was taken not to damage the underlying ophthalmic artery. Eye ointment  
421 containing neomycin (Akorn, Somerset, New Jersey) was applied to protect the cornea after surgery.

422  
423 **RGC axon anterograde tracing.** 2  $\mu$ l of cholera toxin  $\beta$  subunit (CTB) conjugated with fluorescence  
424 Alexa- 488 (2  $\mu$ g/ $\mu$ l, Invitrogen) was injected into the vitreous chamber 2 days before sacrificing the  
425 animals to label the regenerating axons in the optic nerve. Animals were sacrificed by CO<sub>2</sub> and fixed by  
426 perfusion with 4% paraformaldehyde in cold PBS. Eyes with the nerve segment still attached were  
427 dissected out and post-fixed in the same fixative for another 2 hours at room temperature. Tissues were  
428 cryoprotected through increasing concentrations of sucrose (15%-30%) and optimal cutting temperature  
429 compound (OCT) (Tissue Tek). They were then snap-frozen in dry ice and serial longitudinal cross-  
430 sections (8  $\mu$ m) were cut and stored at  $-80^{\circ}\text{C}$  until processed.

431  
432 **Immunohistochemistry of flat-mount retina.** Retinas were dissected out from 4% PFA fixed eyes and  
433 washed extensively in PBS before blocking in staining buffer (10% normal goat serum and 2% Triton  
434 X-100 in PBS) for 30 minutes. Mouse or rabbit neuronal class  $\beta$ -III tubulin (clone Tuj1, 1:500;

435 Covance), rat HA (clone 3F10, 1:200, Roche), phospho-S6-Ser240/244 antibody (1:200, #5364, Cell  
436 Signaling), phospho-AKT-Ser473 (1:200; #4058, Cell Signaling) and phospho-GSK-3 $\beta$  (Ser9) (1:100;  
437 #9323, Cell Signaling) were diluted in the same staining buffer. Floating retinas were incubated with  
438 primary antibodies overnight at 4°C and washed three times for 30 minutes each with PBS. Secondary  
439 antibodies (Cy2, Cy3 or Cy5-conjugated) were then applied (1:200; Jackson ImmunoResearch) and  
440 incubated for 1 hour at room temperature. Retinas were again washed three times for 30 minutes each  
441 with PBS before a cover slip was attached with Fluoromount-G (Southernbiotech).

442

443 **Western blot.** Retinas were dissected out from ice-cold PBS perfused eyes and homogenized and lysed  
444 in RIPA buffer (50 mM Tris HCl pH8.0, 150mM NaCl, 1% NP-40, 0.5% Sodium Deoxycholate, 0.1%  
445 SDS, 5mM Sodium Pyrophosphate, 10mM Sodium Fluoride, 1mM Sodium Orthovanadate, protease  
446 inhibitors cocktail) on ice for 30 minutes. The homogenates were centrifuged at 12,000 g for 20 minutes;  
447 supernatants were subjected to electrophoresis with 10% SDS-PAGE. After gel-transference,  
448 nitrocellulose membranes were blocked with Odyssey blocking buffer (LI-COR) for 1 hour before  
449 incubation with primary antibody at 4°C overnight. After washing three times for 10 minutes with PBS,  
450 the membranes were incubated with secondary antibodies (IRDye 680RD goat-anti-mouse IgG or  
451 IRDye 800CW goat-anti-rabbit IgG, LI-COR) at room temperature for 1 hour. The membranes were  
452 then washed three times for 10 minutes with PBS and scanned with Odyssey CLx (LI-COR). The  
453 images were analyzed with Image Studio (LI-COR). The primary antibodies used were mouse HA  
454 (clone 16B12 , 1:2000, Covance ), mouse Anti- $\beta$ -Actin(clone AC-15 , 1:2000, Sigma), and antibodies  
455 from Cell Signaling: rabbit phospho-AKT Thr308 (1:500, #2965), rabbit phospho-AKT Ser473 (1:1000,  
456 #4058), rabbit phospho-AKT2 Ser474 (1:1000, #8599), rabbit phospho-S6 Ribosomal Protein  
457 Ser240/244 (1:1000, #5364), rabbit phospho-GSK-3 $\beta$  Ser9 (1:500; #9323), mouse S6 Ribosomal Protein  
458 (1:500, #2317) and mouse GSK-3 $\beta$  (1:500, #9832).

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**In Situ Hybridization.** After adult 8-week old mice were perfused with ice-cold 4% PFA/PBS, eyeballs were dissected out and fixed in 4% PFA/PBS at 4°C overnight. The eyeballs were dehydrated with increasing concentrations of sucrose solution (15%-30%) overnight before embedding in OCT on dry ice. Serial cross sections (12 µm) were cut with a Leica cryostat and collected on Superfrost Plus Slides. The sections were washed twice for 10 minutes in DEPC-treated PBS and permeabilized twice in 0.1% Tween/PBS for 10 minutes. After blocking at 50°C for 1 hour with hybridization buffer (50% formamide, 5 x SSC, 100 µg/ml Torula Yeast RNA, 100 µg/ml Wheat Germ tRNA, 50 µg/ml heparin and 0.1% Tween in DEPC H<sub>2</sub>O), the sections were hybridized with 2 µg biotin-labeled antisense probes at 50°C overnight. The sections were washed three times at 55°C for 10 minutes with hybridization buffer, 0.1% Tween/PBS, and then blocked in PBS blocking buffer containing 0.1% BSA and 0.2% TritonX-100. The hybridized probes were detected by Streptavidin-AP-conjugate (Roche), and revealed by chromogenic substrate NBT/BCIP (Roche). Mouse AKT1, 2, 3 probe sequences were from Allen Brain Atlas (<http://mouse.brain-map.org/>).

**Counting surviving RGCs and regenerating axons.** For RGC counting, whole-mount retinas were immunostained with the Tuj1 antibody, and 6-9 fields were randomly sampled from peripheral regions of each retina. The percentage of RGC survival was calculated as the ratio of surviving RGC numbers in injured eyes compared to contralateral uninjured eyes. For axon counting, the number of CTB labeled axons was quantified as described previously (Leon et al. 2000, Park et al. 2008, Yang et al. 2014). Briefly, we counted the fibers that crossed perpendicular lines drawn on the ON sections distal to the crush site in increments of 250 µm till 1000 µm, then every 500 µm till no fibers were visible (**Figure 2-figure supplement 1**). The width of the nerve (R) was measured at the point (d) at which the counts were taken and used together with the thickness of the section (t = 8 µm) to calculate the number of axons per µm<sup>2</sup> area of the nerve. The formula used to calculate is  $\sum a_d = \pi r^2 * (\text{axon number}) / (R * t)$ . The total number of axons per section was then averaged over 3 sections per animal. All CTB signals that

were in the range of intensity that was set from lowest intensity to the maximum intensity after background subtraction were counted as individual fibers by Nikon NIS Element R4 software. The investigators who counted the cells or axons were blinded to the treatment of the samples.

**Ribo-IP and RNA-sequencing (RNA-seq).** Three groups of RiboTag mice (8-10 mice/group) were intravitreally injected with AAV2-Cre four weeks before sacrifice and removal of retinas. Ribo-IP was performed according to the published protocol(Sanz et al. 2009). Briefly, for each replicate, 10-16 pooled retinas were homogenized and lysed in 1 ml homogenization buffer (50mM Tris pH7.4, 100mM KCl, 12mM MgCl, 1% NP-40, 1mM DTT, 100 µg/ml cyclohexamide, 1mg/ml heparin, Protease Inhibitor Cocktail (Sigma) and RNasin Ribonuclease Inhibitor (Promega Corp.) in RNase-free H<sub>2</sub>O) on ice for 10 minutes and centrifuged at 4°C for 10 minutes at 12,000g. The supernatant was collected and incubated at 4°C for 4 hours with 10 µg mouse HA antibody, after which 400 µl Dynabeads Protein G (Life Technologies) were added and incubation continued at 4°C overnight. Dynabeads were washed three times for 10 minutes with high salt buffer (50mM Tris pH7.4, 300mM KCl, 12mM MgCl, 1% NP-40, 1mM DTT and 100 µg/ml Cyclohexamide in RNase-free H<sub>2</sub>O) before RNA extraction with RNeasy Micro Kit (QIAGEN). About 200ng total RNA generated from each group was used for RNA-seq, which was done at University of Pennsylvania Next-Generation Sequencing Core. Briefly, Ribo-IP RNA samples from three biological replicates went through polyA selection before generation of strand-specific RNA-seq libraries with Illumina TruSeq Stranded Total Kit and quality assessment with Agilent BioAnalyser and Kapa BioSystems Library Quant Kit. Pooled libraries that have been individually labeled were sequenced to 100bp reads from one end of the insert using a HiSeq2000 sequencer. Each library acquired about 25 million reads and 93% of total reads aligned to unique genes in the mouse genome (UCSC mm9) by RUM(Grant et al. 2011). The “raw” data (reads per transcript) were quantile normalized within groups with quantile normalization (GCRMA) to remove non-biological variability.

**Statistical analyses.** Data are presented as means  $\pm$  s.e.m and Student's t-test was used for two-group comparisons and One-way ANOVA with Bonferroni's post hoc test was used for multiple comparisons.

## Acknowledgements

We thank Drs. Michael Selzer, Alan Tessler and Xiaodong Liu for critically reading the manuscript. We are grateful to Dr. Thomas Force for providing *Gsk3a* and *Gsk3b* floxed mice. Portions of this work were supported by NIH grant EY023295 and EY024932 and Shriners Hospitals for Children research grant #85700 to Y. H. L.M and L.Y. are supported by Postdoctoral Fellowships from Shriners Hospitals for Children.

## Author contributions

Y.H., L.M. and L.Y. designed the experiments. Y.H., L.M., L.Y., H.H. and F.L. performed the experiments and analyzed the data. C.L helped with AAV production. Y.H., L.M., and L.Y. prepared the manuscript. Correspondence should be addressed to Yang Hu ([yanghu@temple.edu](mailto:yanghu@temple.edu))

**Competing financial interests:** The authors declare no competing financial interests.

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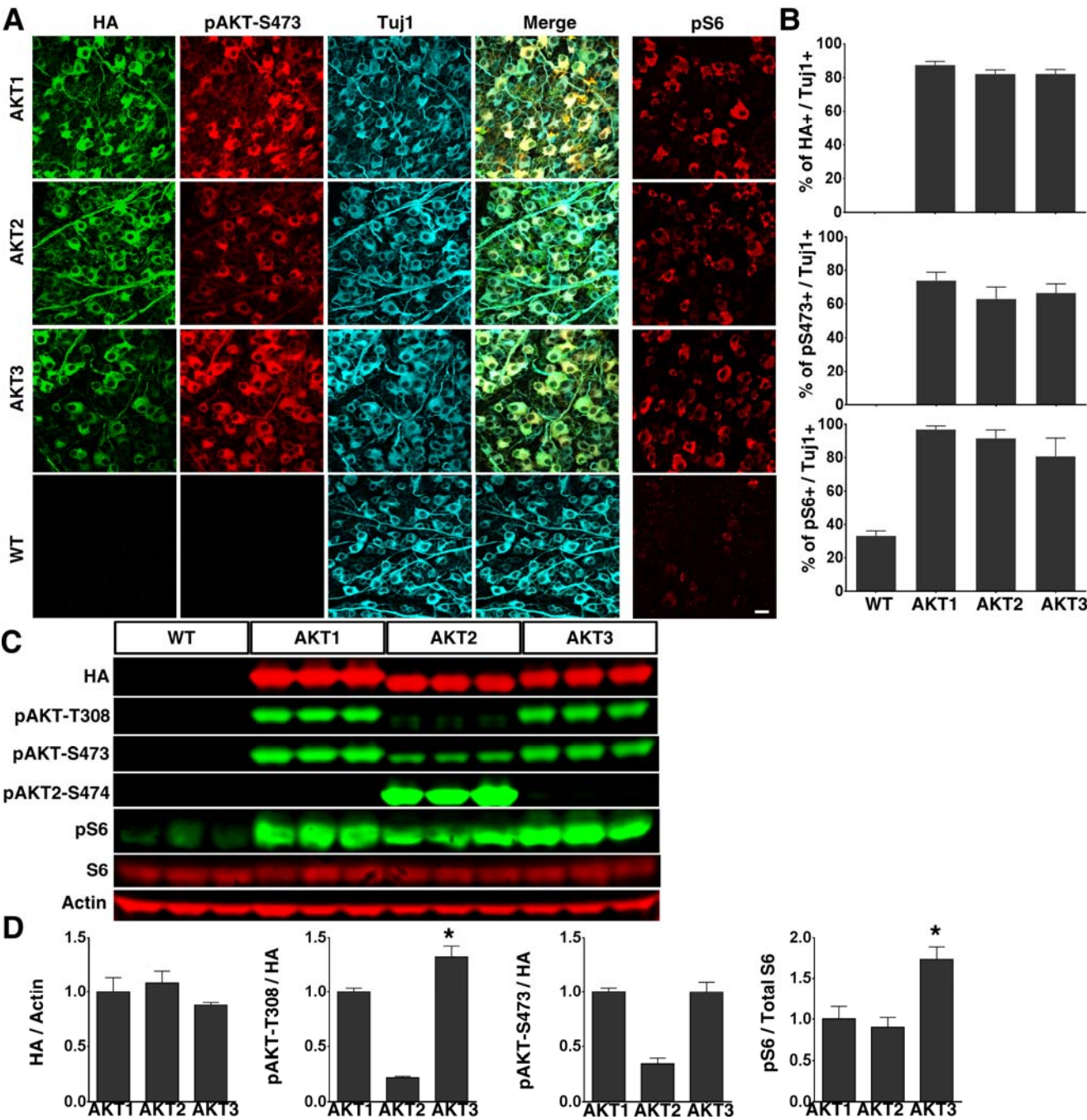


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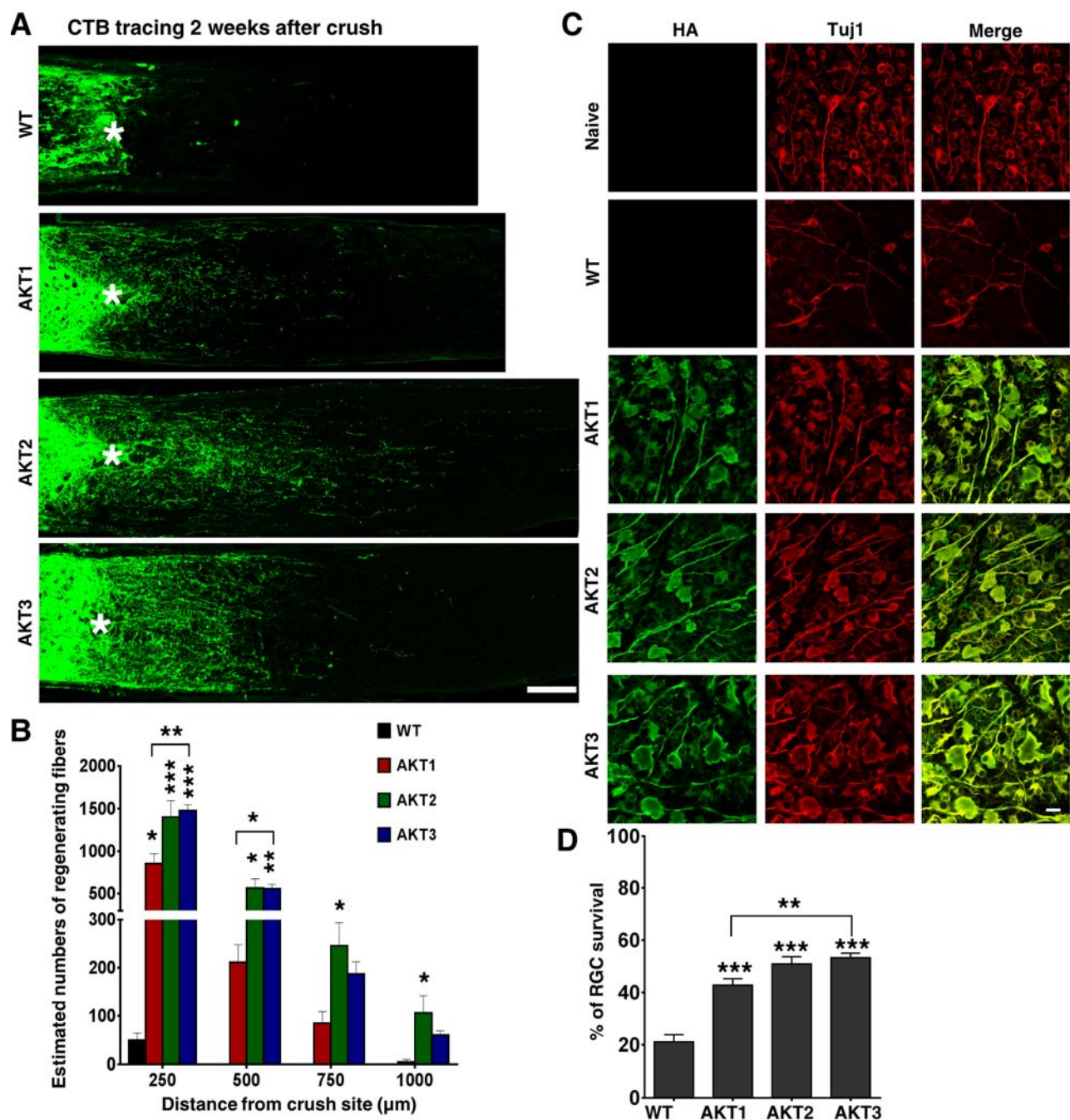
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805 **Figure 1. Overexpression of three constitutively active AKT isoforms in RGCs.** (A) Confocal  
806 images of flat-mounted retinas showing co-labeling of HA tag, Tuj1, pAKT-S473 and their merged  
807 images, and pS6 in a separate retina sample. Scale bar, 20  $\mu$ m. (B) Quantification of HA, pAKT-S473 or  
808 pS6 positive RGCs. Data are presented as means  $\pm$  s.e.m, n=6. (C) Western blot of retina lysates from

809 three biological replicates showing expression levels of HA-AKT isoforms, and phosphorylation levels  
810 of AKT-T308, AKT-S473 and S6. **(D)** Quantification of Western blots. \*:  $p < 0.05$ . Data are presented as  
811 means  $\pm$  s.e.m,  $n=3$ .

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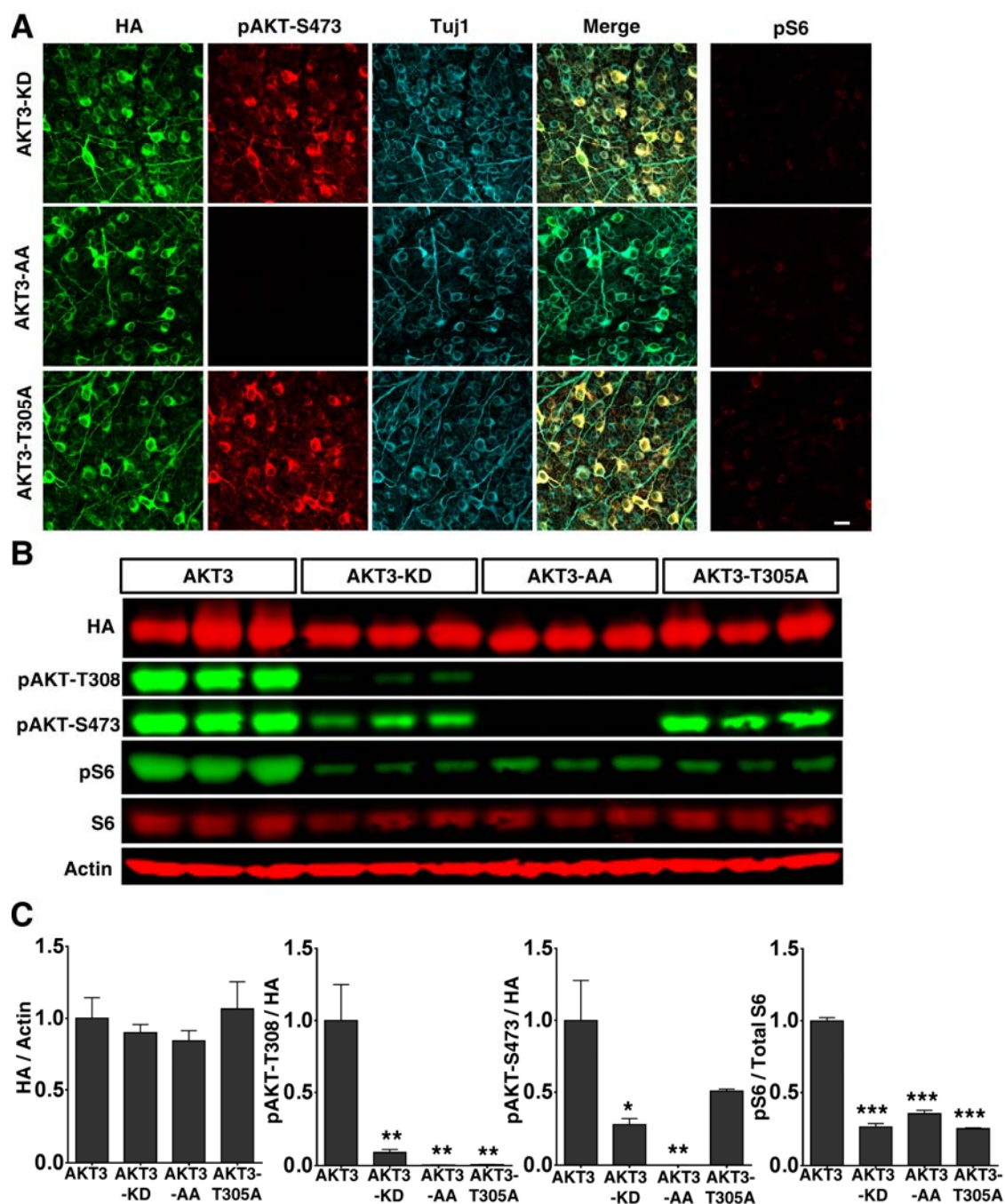
**Figure 2. Differential effects of three AKT isoforms on axon regeneration and RGC survival.** (A) Confocal images of ON longitudinal sections showing regenerating fibers labeled with CTB-Alexa 488 2 weeks after ON crush. Scale bar, 100  $\mu$ m. \*: crush site. (B) Quantification of regenerating fibers at different distances distal to the lesion site. Data are presented as means  $\pm$  s.e.m, n=10-20. (C) Confocal images of flat-mounted retinas showing co-labeling of HA-AKTs and Tuj1, 2 weeks after ON crush.

820 Scale bar, 20  $\mu\text{m}$ . **(D)** Quantification of surviving RGCs, represented as percentage of Tuj1 positive  
821 RGCs in the injured eye, compared to the intact contralateral eye. Data are presented as means  $\pm$  s.e.m,  
822 n=8-10. \*:  $p<0.05$ , \*\*:  $p<0.01$ , \*\*\*:  $p<0.001$ .

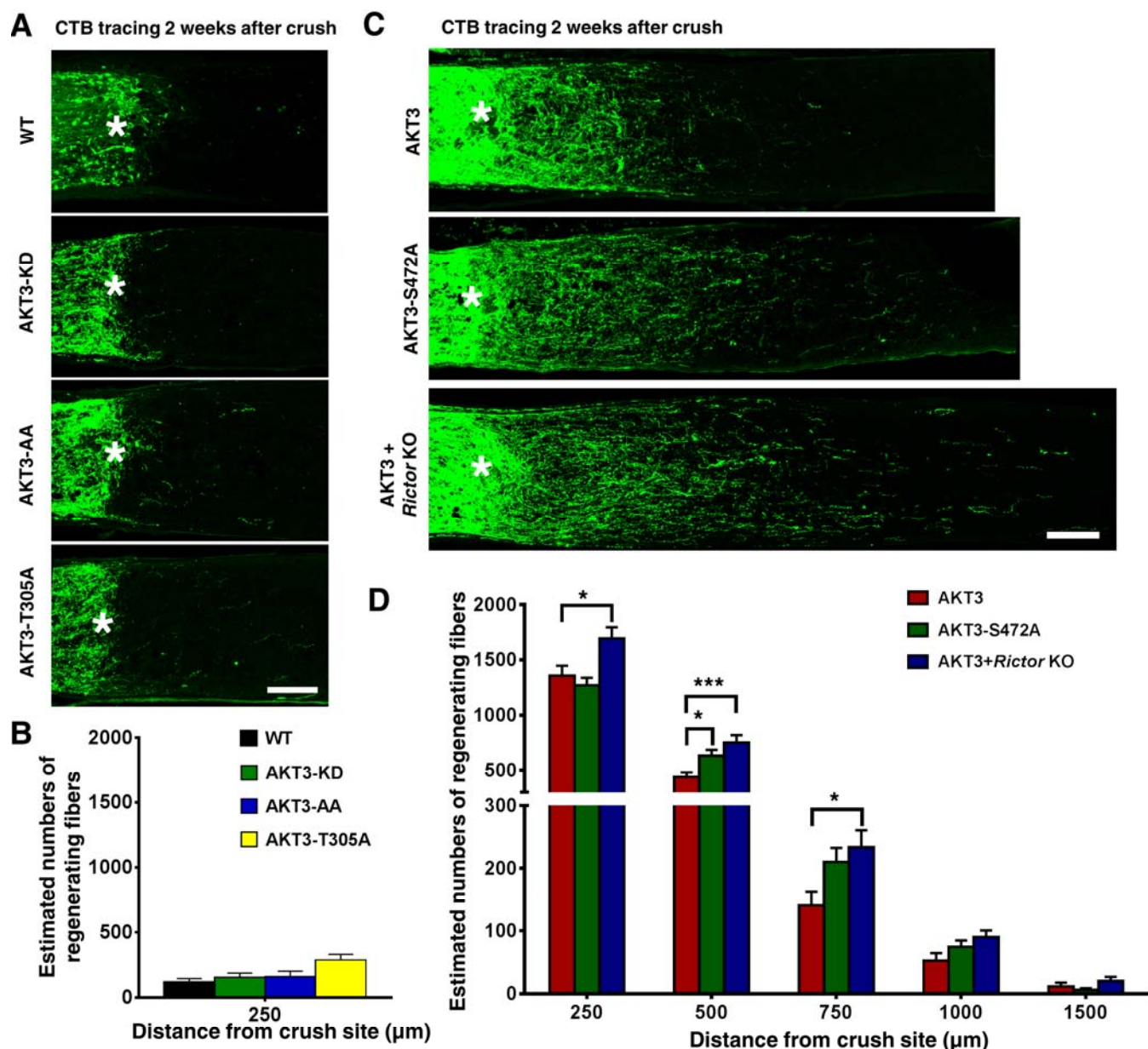
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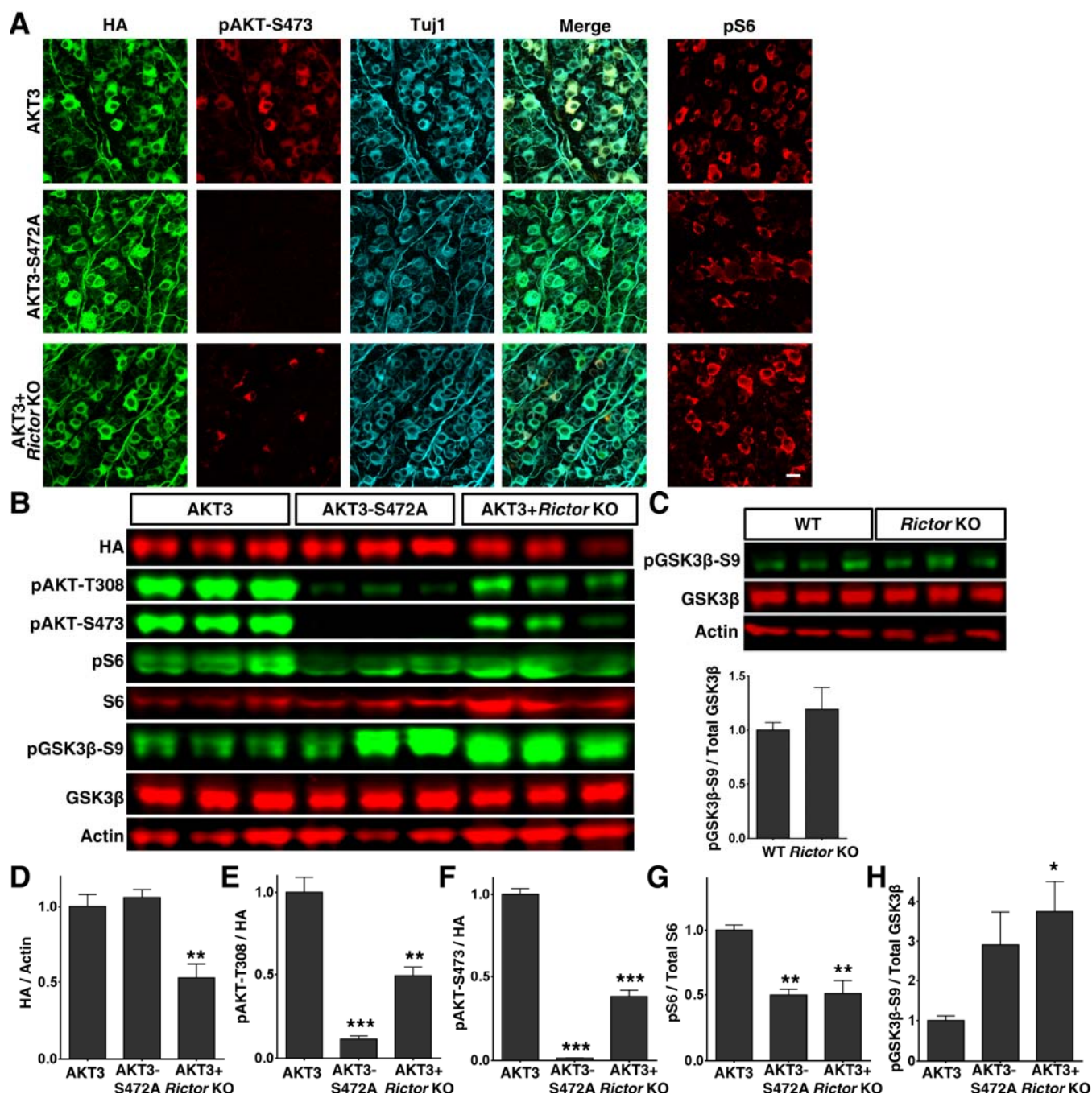
**Figure 3. Over expression of three AKT3 mutants in RGCs.** (A) Confocal images of flat-mounted retinas showing co-labeling of HA tag, Tuj1, pAKT-S473 and their merged images, and pS6 in a separate retina sample. Scale bar, 20  $\mu$ m. (B) Western blot of retina lysates from three biological replicates showing expression level of HA-AKT, and phosphorylation level of AKT-T308, AKT-S473 and S6. (C) Quantification of Western blots. \*:  $p < 0.05$ , \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ . Data are presented as means  $\pm$  s.e.m,  $n=3$ .



**Figure 4. Phosphorylation of AKT3-T305 is necessary but phosphorylation of AKT3-S472 by mTORC2 is inhibitory for axon regeneration.** (A) Confocal images of ON longitudinal sections showing lack of regenerating fibers 2 weeks after ON crush. Scale bar, 100  $\mu\text{m}$ . \*: crush site. (B) Quantification of regenerating fibers at different distances distal to the lesion site. Data are presented as means  $\pm$  s.e.m, n=6-8. (C) Confocal images of ON longitudinal sections showing regenerating fibers labeled with CTB 2 weeks after ON crush. Scale bar, 100  $\mu\text{m}$ . \*: crush site. (D) Quantification of

839 regenerating fibers at different distances distal to the lesion site. \*:  $p < 0.05$ , \*\*\*:  $p < 0.001$ . Data are  
840 presented as means  $\pm$  s.e.m, n=20-30.

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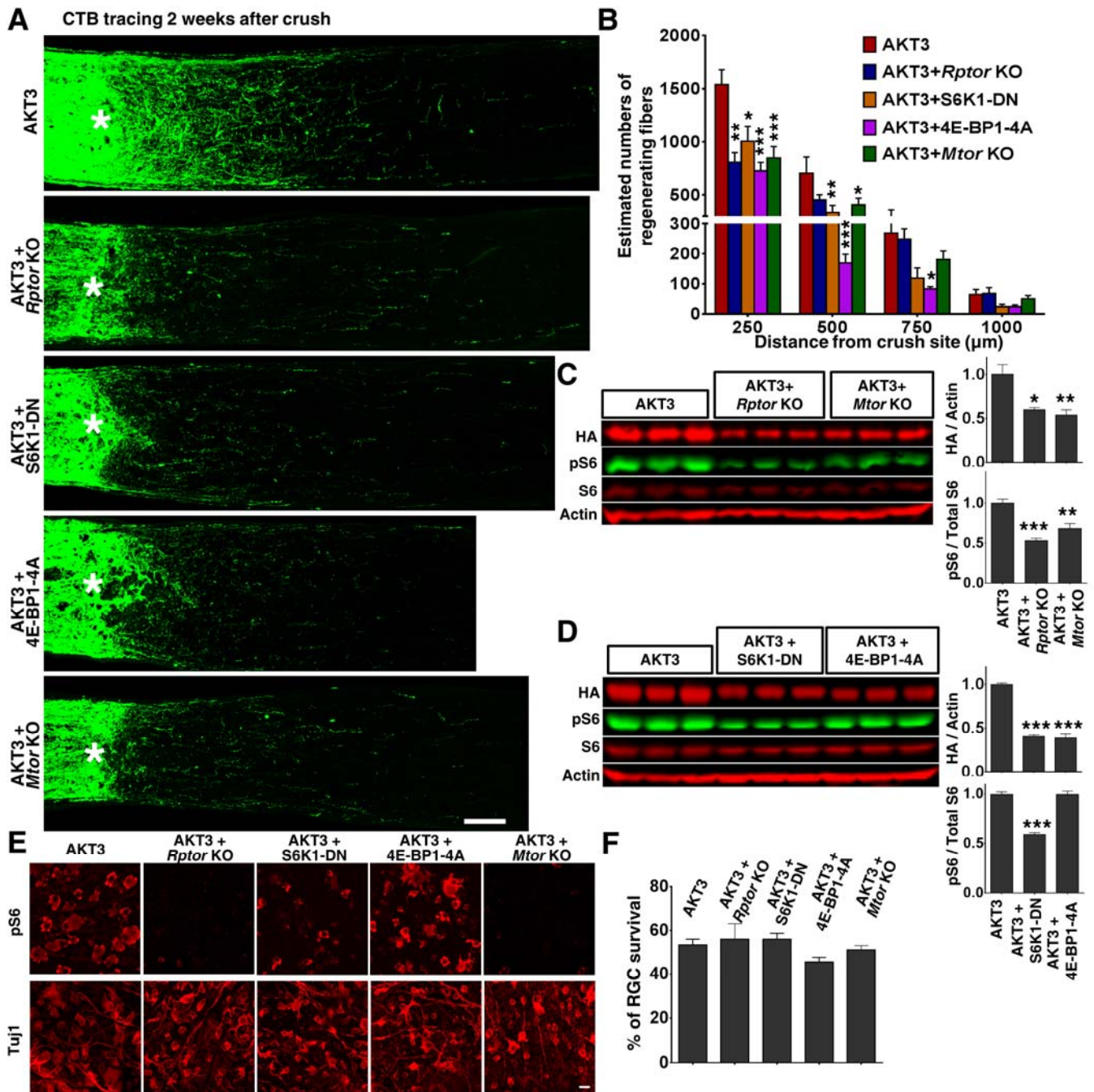


**Figure 5. Increased phosphorylation of GSK3 $\beta$ -S9 after blocking AKT-S473 phosphorylation in RGCs.** (A) Confocal images of flat-mounted retinas showing co-labeling of HA tag, Tuj1, pAKT-S473 and their merged images, and pS6 in a separate retina sample. Scale bar, 20  $\mu$ m. (B) Western blots of retina lysates from three biological replicates showing expression level of HA, and phosphorylation levels of AKT-T308, AKT-S473, S6 and GSK3 $\beta$ -S9. (C) Western blots of retina lysates from three

849 biological replicates showing phosphorylation levels of GSK3 $\beta$ -S9. **(D-H)** Quantification of Western  
850 blots. \*: p<0.05, \*\*: p<0.01, \*\*\*: p<0.001. Data are presented as means  $\pm$  s.e.m, n=3.

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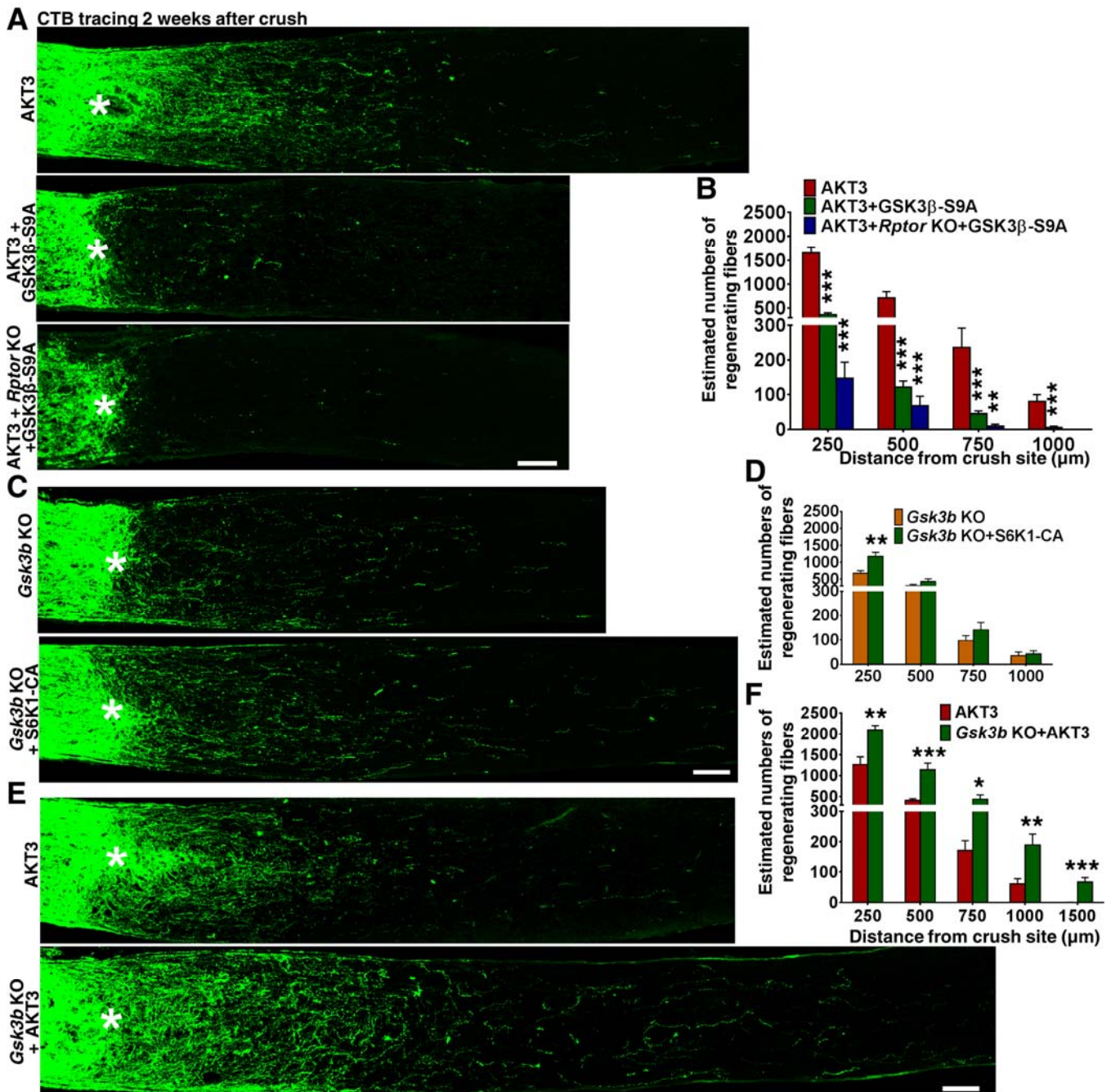




**Figure 6. mTORC1 and its downstream effectors are essential for AKT3-induced axon regeneration.** (A) Confocal images of ON longitudinal sections showing regenerating fibers labeled with CTB 2 weeks after ON crush. Scale bar, 100  $\mu$ m. \*: crush site. (B) Quantification of regenerating fibers at different distances distal to the lesion site. \*:  $p < 0.05$ , \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ . Data are presented as means  $\pm$  s.e.m,  $n = 8-10$ . (C, D) Western blots of retina lysates from three biological

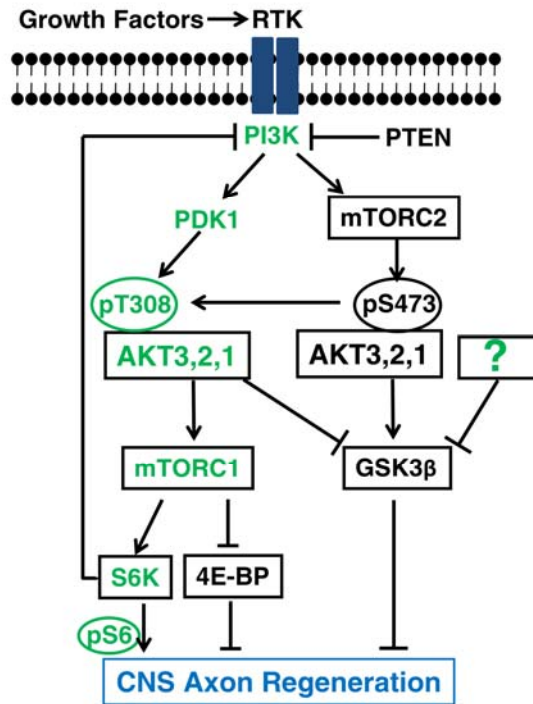
859 replicates showing expression levels of HA-AKT3, and phosphorylation levels of S6. **(E)** Confocal  
860 images of flat-mounted retinas showing pS6 levels and Tuj1 positive RGCs, 2 weeks after ON crush.  
861 Scale bar, 20  $\mu$ m. **(F)** Quantification of surviving RGCs, represented as percentage of Tuj1 positive  
862 RGCs in the injured eye, compared to the intact contralateral eye. Data are presented as means  $\pm$  s.e.m,  
863 n=8-14.

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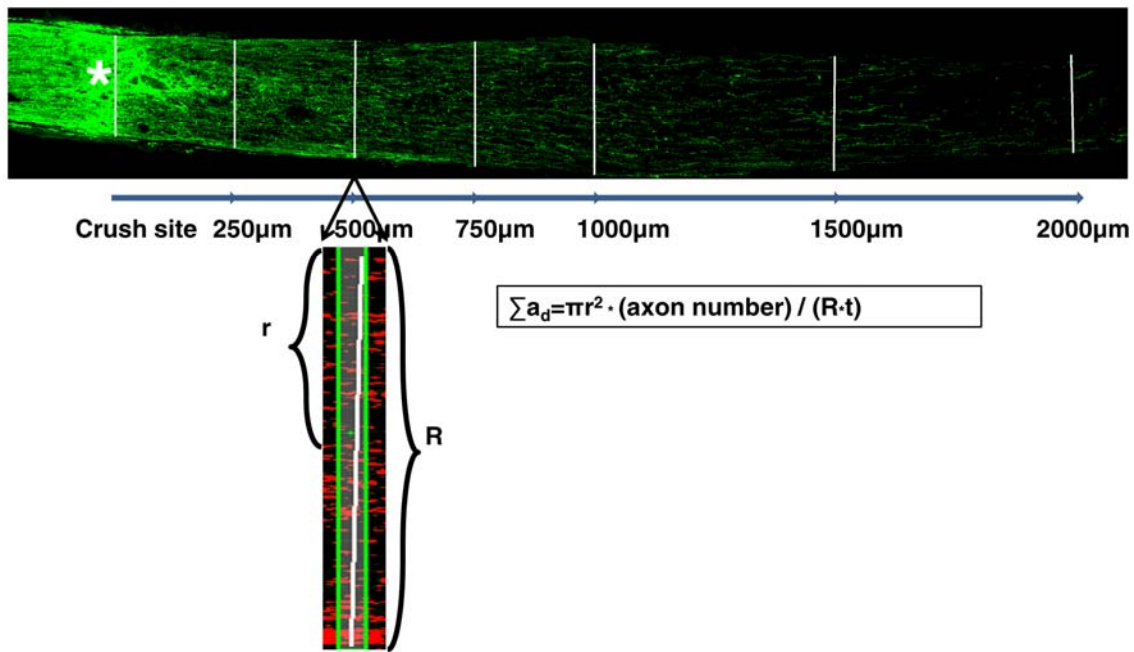
**Figure 7. GSK3 $\beta$  phosphorylation and inhibition by AKT is necessary and sufficient for axon regeneration.** (A,C,E) Confocal images of ON longitudinal sections showing regenerating fibers labeled with CTB 2 weeks after ON crush. Scale bar, 100  $\mu$ m. \*: crush site. (B,D,F) Quantification of regenerating fibers at different distances distal to the lesion site. \*:  $p < 0.05$ , \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ . Data are presented as means  $\pm$  s.e.m, n=8-15.





**Figure 8. A schematic illustration depicting the interplay between AKT, mTORC1/2 and GSK3 $\beta$  in CNS axon regeneration.** The predominant isoform of AKT in brain and retina, AKT3, generates the most robust axon regeneration. Its function in axon regeneration is positively regulated by the PI3K/PDK1 pathway through phosphorylation of T308 (T305 in AKT3), and negatively regulated by the PI3K/mTORC2 pathway through phosphorylation of S473 (S472 in AKT3), through at least partially regulation of GSK3 $\beta$  phosphorylation and inhibition. Both AKT downstream effectors, activation of mTORC1 and phosphorylation/inhibition of GSK3 $\beta$ , synergistically promote axon regeneration; inhibition of GSK3 $\beta$  alone is also sufficient for axon regeneration. The question mark represents other upstream regulators of GSK3 $\beta$  that may also promote axon regeneration. Green color-coated molecules are pro-axon regeneration and dark color-coated molecules are anti-axon regeneration.

885 **Figure Supplements**



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888 **Figure 2-figure supplement 1. Illustration of the regenerating axon quantification procedure.**

889 Perpendicular lines on the ON sections were drawn distal to the crush site in increments of 250 µm till

890 1000 µm, then every 500 µm till no fibers were visible. The regenerating fibers that crossed the

891 perpendicular lines were counted. The width of the nerve (R) was measured at the point (d) at which the

892 counts were taken and used together with the thickness of the section (t = 8 µm) to calculate the number

893 of axons per µm<sup>2</sup> area of the nerve. The total number of axons per section was calculated based on the

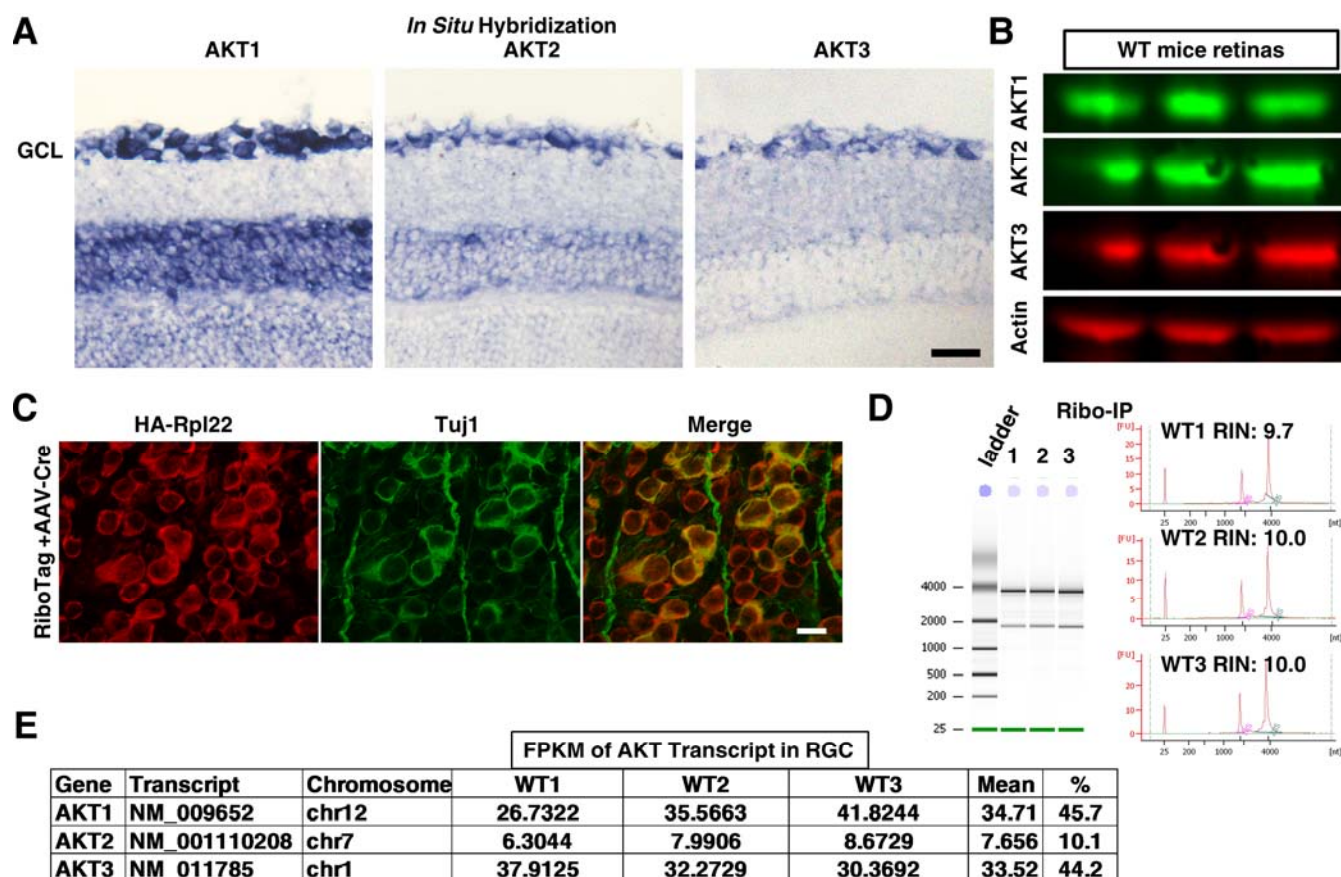
894 formula:  $\sum a_d = \pi r^2 \cdot (\text{axon number}) / (R \cdot t)$ , which then was averaged over 3 sections per animal. All

895 CTB signals that were in the range of intensity that was set from lowest intensity to the maximum

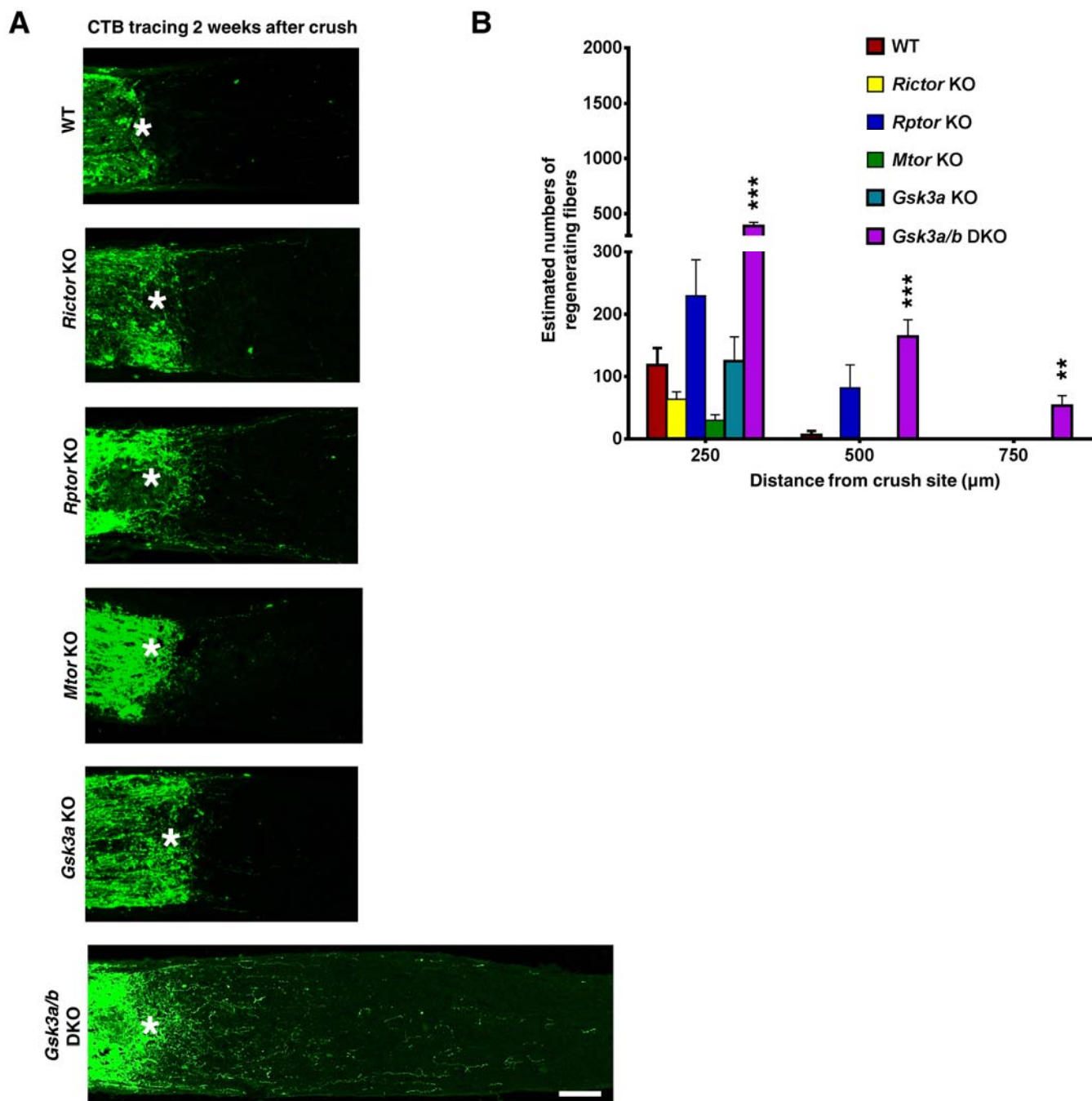
896 intensity after background subtraction were counted as individual fibers by Nikon NIS Element R4

897 software.

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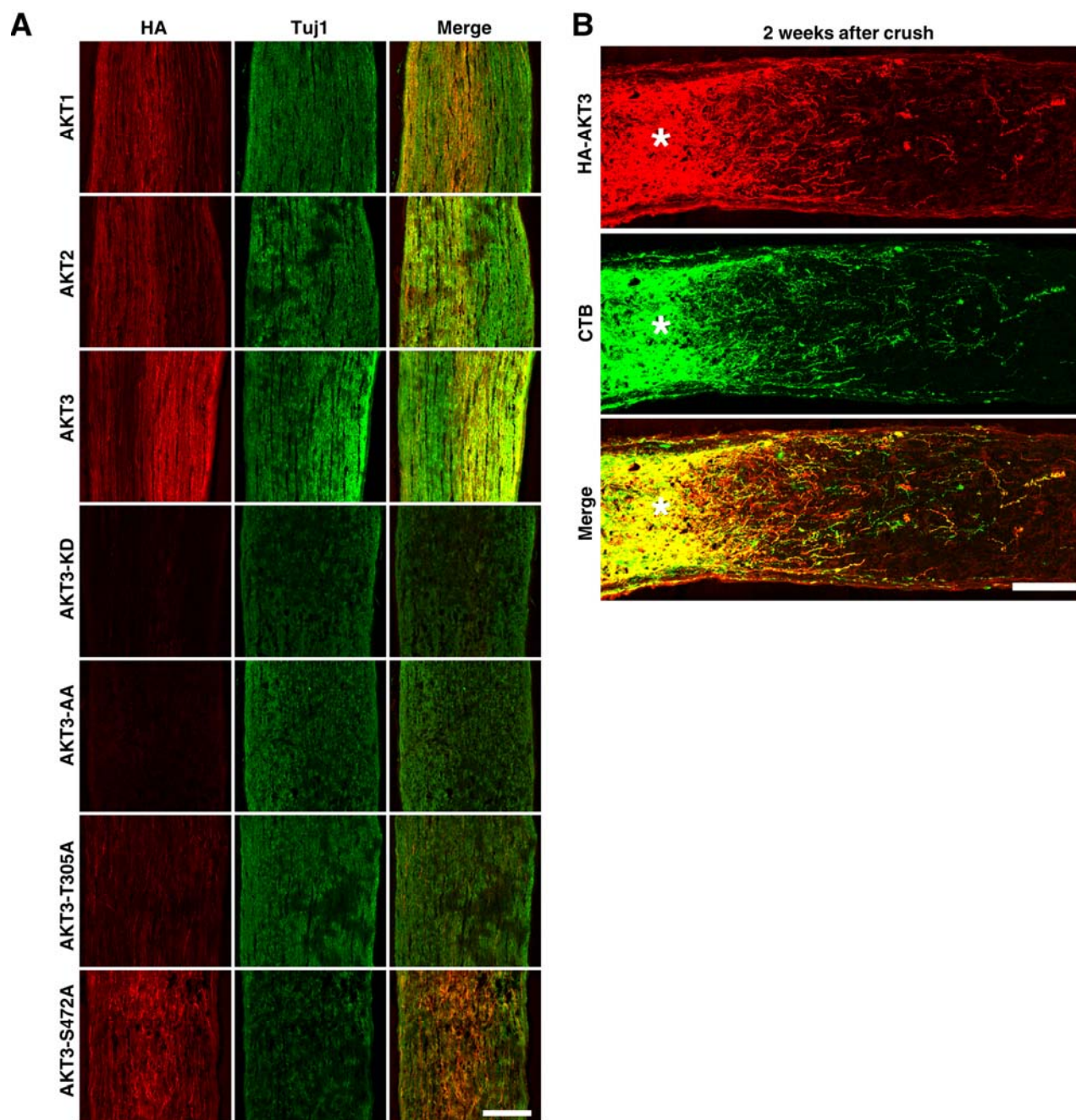


**Figure 2-figure supplement 2. Endogenous expression of three AKT isoforms in RGCs.** (A) *In situ* hybridization images of retina sections showing expression of three AKT isoforms in ganglion cell layer (GCL). Scale bar, 20  $\mu$ m. (B) Western blots of retina lysates from three biological replicates showing expression of three AKT isoforms. (C) Confocal images of flat-mounted retinas showing co-labeling of HA tag and Tuj1. Scale bar, 20  $\mu$ m. (D) RGC specific ribosome-associated translating mRNA purified by Ribo-IP from three replicates of WT mice. (E) RNA-seq showing expression levels of three AKT isoforms in RGCs.

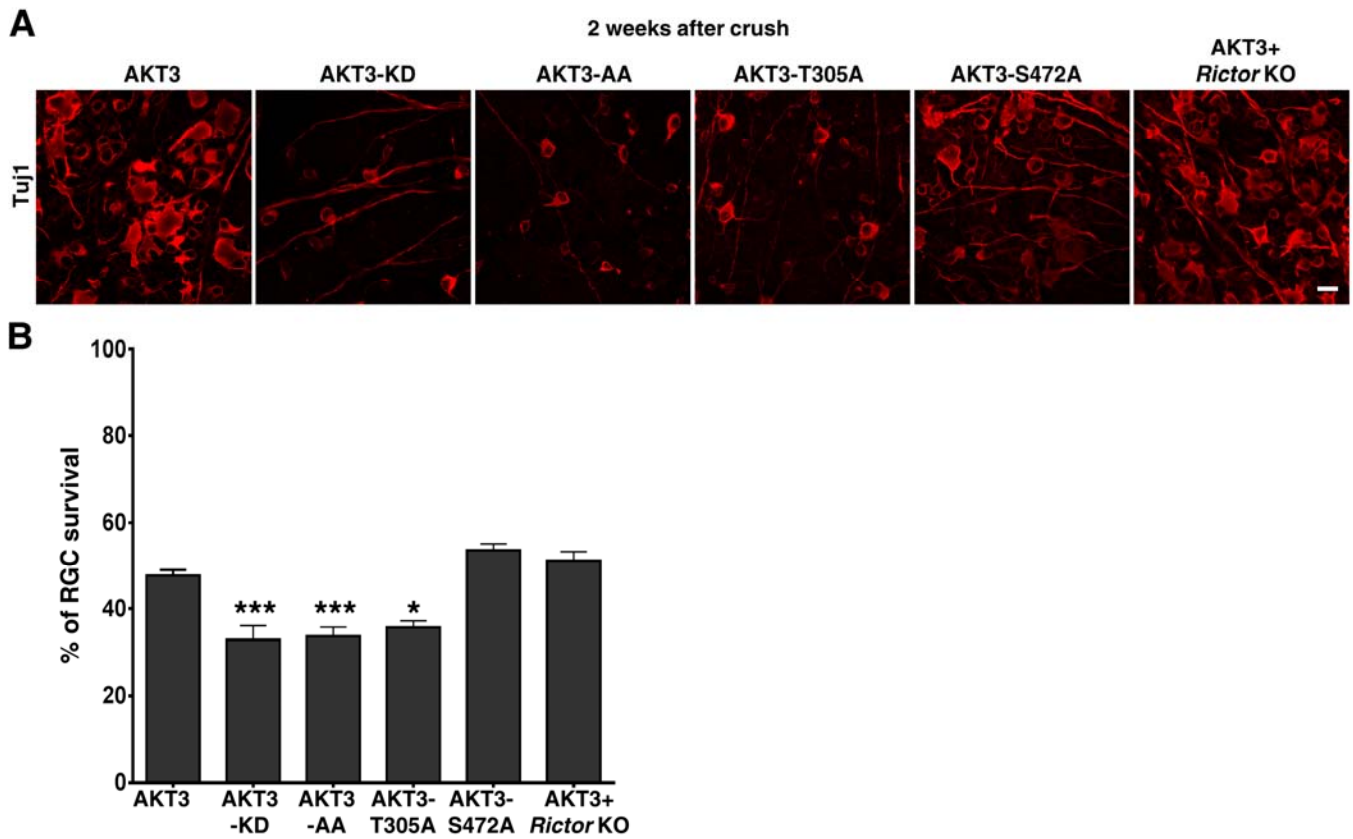


**Figure 4-figure supplement 1. AAV-Cre-mediated RGC-specific deletion of *Rictor*, *Rptor*, *Mtor* or *Gsk3a* did not induce axon regeneration.** (A) Confocal images of ON longitudinal sections showing regenerating fibers labeled with CTB, 2 weeks after ON crush. Scale bar, 100 μm. \*: crush site. (B) Quantification of regenerating fibers at different distances distal to the lesion site. Data are presented as means ± s.e.m, n=6. \*\*: p<0.01, \*\*\*: p<0.001

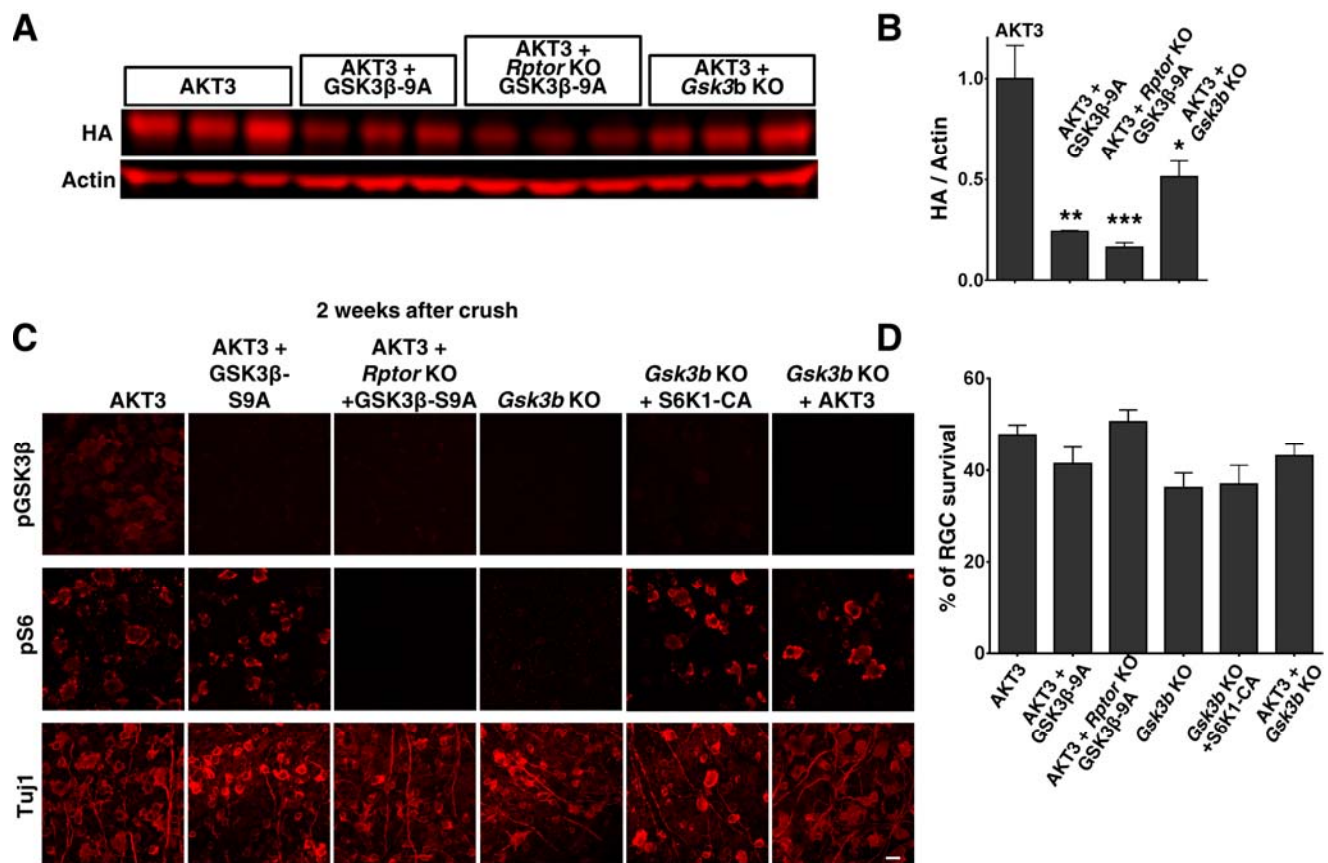




**Figure 4-figure supplement 2. Translocation of AKT in ON.** (A) Confocal images of ON longitudinal sections showing axons co-labeled with HA tag and Tuj1. Scale bar, 100  $\mu$ m. (B) Confocal images of ON longitudinal sections showing regenerating fibers co-labeled with HA tag and CTB, 2 weeks after ON crush. Scale bar, 100  $\mu$ m. \*: crush site.



**Figure 4-figure supplement 3. The effects of AKT3 mutants on RGC survival.** (A) Confocal images of flat-mounted retinas showing Tuj1 positive RGCs, 2 weeks after ON crush. Scale bar, 20  $\mu$ m. (B) Quantification of surviving RGCs, represented as percentage of Tuj1 positive RGCs in the injured eye, compared to the intact contralateral eye. \*:  $p < 0.05$ , \*\*\*:  $p < 0.001$ . Data are presented as means  $\pm$  s.e.m,  $n=8-10$ .



**Figure 7-figure supplement 1. AKT3 expression and RGC survival after GSK3β manipulation.** (A) Western blots of retina lysates from three biological replicates showing expression level of HA-AKT3. (B) Quantification of Western blots. \*:  $p < 0.05$ , \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ . Data are presented as means  $\pm$  s.e.m,  $n=3$ . (C) Confocal images of flat-mounted retinas showing individual labeling of pGSK3β, pS6 and Tuj1, 2 weeks after ON crush. Scale bar, 20  $\mu$ m. (D) Quantification of surviving RGCs, represented as percentage of Tuj1 positive RGCs in the injured eye, compared to the intact contralateral eye. Data are presented as means  $\pm$  s.e.m,  $n=8-10$ .