

Identification and characterization of intermediate states in mammalian neural crest cell epithelial to mesenchymal transition and delamination

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Abstract

Epithelial to mesenchymal transition (EMT) is a cellular process that converts epithelial cells to mesenchymal cells with migratory potential in both developmental and pathological processes. Although originally considered a binary event, EMT in cancer progression involves intermediate states between a fully epithelial and a fully mesenchymal phenotype, which are characterized by distinct combinations of epithelial and mesenchymal markers. This phenomenon has been termed epithelial to mesenchymal plasticity (EMP), however, the intermediate states remain poorly described and it's unclear whether they exist during developmental EMT. Neural crest cells (NCC) are an embryonic progenitor cell population that gives rise to numerous cell types and tissues in vertebrates, and their formation is a classic example of developmental EMT. An important feature of NCC development is their delamination from the neuroepithelium via EMT, following which NCC migrate throughout the embryo and undergo differentiation. NCC delamination shares similar changes in cellular state and structure with cancer cell invasion. However, whether intermediate states also exist during NCC EMT and delamination remains unknown. Through single cell RNA sequencing, we identified intermediate NCC states based on their transcriptional signature and then spatially defined their locations in situ in the dorsolateral neuroepithelium. Our results illustrate the progressive transcriptional and spatial transitions from premigratory to migratory cranial NCC during EMT and delamination. Of note gene expression and trajectory analysis indicate that distinct intermediate populations of NCC delaminate in either S phase or G2/M phase of the cell cycle, and the importance of cell cycle regulation in facilitating mammalian cranial NCC delamination was confirmed through cell cycle inhibition studies. Additionally, transcriptional knockdown revealed a functional role for the intermediate stage marker *Dlc1* in regulating NCC delamination and migration. Overall, our work identifying and characterizing the intermediate cellular states, processes, and molecular signals that regulate mammalian NCC EMT and delamination furthers our understanding of developmental EMP and may provide new insights into mechanisms regulating pathological EMP.

eLife assessment

This **fundamental** study reports **compelling** findings that intermediate states exist in epithelial-mesenchymal transition (EMT) during natural development and differentiation of mammalian neural crest cells, similar to recent reports in cancer. The authors determined that there were at least two paths to delamination and migration - one that occurs during S-phase of cell cycle and another during G2/M phase, and that the process of delamination is not restricted to cell fate. Finally, the authors showed that expression of Dlc1 may be used to identify cells in an intermediate state of EMT as well as their spatial location in the mouse embryo. The work will be of interest to developmental biologists, neurobiologists and cancer researchers.

Introduction

Epithelial to mesenchymal transition (EMT) is a cellular process that converts epithelial cells to mesenchymal cells with migratory potential (Hay 1995 [↗](#)). EMT plays an essential role in various developmental and pathological processes such as embryonic morphogenesis, wound healing, tissue fibrosis and cancer progression (Zhao and Trainor 2023 [↗](#)). Studies of EMT, particularly in the field of cancer biology, have increased exponentially in the past 5 years due to the implied role of EMT in numerous aspects of malignancy such as cancer cell invasion, survival, stemness, metastasis, therapeutic resistance and tumor heterogeneity (Yang et al. 2020a [↗](#)).

EMT has traditionally been considered a binary process comprising either full epithelial cell or mesenchymal cell states. However, studies of cancer have uncovered an alternative scenario termed epithelial to mesenchymal plasticity (EMP), in which multiple intermediate states exist along the EMT spectrum (Dong et al. 2018 [↗](#); Gonzalez et al. 2018 [↗](#); Huang et al. 2013 [↗](#); Karacosta et al. 2019 [↗](#); Kumar et al. 2019 [↗](#); Pastushenko et al. 2018 [↗](#)). More specifically, in some cases of head and neck cancer, primary tumors and matching lymph nodes contain a subpopulation of tumor cells in a partial EMT state, as defined by their expression of both epithelial marker genes and mesenchymal marker genes (Puram et al. 2017 [↗](#)). Moreover, cells in this partial EMT state are highly invasive and located at the leading edge of tumors *in vivo*. Similarly, in mouse xenograft models of skin cancer and breast cancer, the absence of epithelial cellular adhesion molecules in several cell populations was discovered to represent early EMT hybrid states as they also expressed both Vimentin and Cytokeratin 14 at intermediate levels and consequently had high metastatic potential (Pastushenko et al. 2018 [↗](#)). Partial EMT states have also been recognized in lung cancer and ovarian cancer (Gonzalez et al. 2018 [↗](#); Karacosta et al. 2019 [↗](#)), with tumor cells expressing both the epithelial marker E-cadherin, and the mesenchymal marker Vimentin. Besides primary tumors, EMT intermediate states have also been identified in circulating tumor cells from patient samples (Yu et al. 2013 [↗](#)). Even though EMT intermediate states have been discovered in numerous studies, few have focused on describing and understanding the molecular and cellular mechanisms governing or defining each intermediate state due to the challenges of studying cancer initiation and progression *in vivo*.

Since EMT during embryogenesis and cancer progression have been shown to share analogous phenotypic changes that involve similar core transcription factors and molecular mechanisms, it was proposed that the initiation and development of carcinoma could be attributed to an unusual activation of EMT factors involved in normal developmental processes (Hay 1995 [↗](#)). However, compared to tumorigenesis, it remains largely unknown whether intermediate or transition states

exist or play a role in classic developmental EMT. Therefore, identifying and characterizing intermediate states during developmental EMT can further our understanding of the cellular processes, and molecular signaling networks that regulate EMP.

NCC formation is a classic example of developmental EMT (Lee et al. 2013 [↗](#); Zhao and Trainor 2023 [↗](#)). NCC are a migratory progenitor cell population unique to vertebrates. Formed during neurulation in the dorsolateral domain of the neural plate, EMT facilitates their delamination from the neuroepithelium and migration throughout the body, where they differentiate into neurons and glia of the peripheral nervous system, pigment cells in the skin, craniofacial bone and cartilage, as well as many other cell types (Bhatt et al. 2013 [↗](#); Dash and Trainor 2020 [↗](#); Lièvre and Douarin 1975 [↗](#); Trainor 2005 [↗](#); Weston 1983 [↗](#)). Disruption of NCC delamination and migration can result in developmental abnormalities, referred to as neurocristopathies (Achilleos and Trainor 2015 [↗](#); Watt and Trainor 2014 [↗](#)), hence it is important to study the mechanisms that regulate mammalian NCC development.

We performed single cell RNA sequencing (scRNA-seq) to identify and define intermediate transcriptional and cellular states during mouse cranial NCC EMT and delamination. We identified two NCC EMT intermediate populations distinguished by their S or G2/M cell cycle phase state during delamination. Interestingly, trajectory analyses reveal that these distinct intermediate populations are formed simultaneously, and independently, but then converge into a single or common pool, suggesting they do not have distinct fates following migration. This is consistent with the known plasticity and potency of early migrating NCC (Golding et al. 2000 [↗](#); Sandell and Trainor 2006 [↗](#); Trainor and Krumlauf 2000a [↗](#); Trainor and Krumlauf 2000b [↗](#), 2001 [↗](#)). Transcriptional profiling revealed that the intermediate NCC populations could also be defined by unique transcriptional signatures, including differential expression of genes involved in cell protrusion, such as *Dlc1*, *Pak3* and *Sp5*. Further interrogation using SABER-FISH, revealed that these intermediate NCC populations were spatially localized in the dorsolateral region of the neural plate. In addition, knocking down the NCC EMT intermediate marker *Dlc1* led to a significant reduction in the number of migratory NCC, which revealed a critical role for *Dlc1* in the regulation of mouse cranial NCC delamination. Overall, our findings provide novel, detailed, high-resolution descriptions of the intermediate cell populations, and transcriptional states that occur during cranial NCC EMT and delamination in mouse embryos. Our work further illustrates that molecular characterization of NCC EMT intermediate states can reveal essential regulatory components of mouse NCC EMT and delamination. These results shed light on similar mechanisms of NCC EMT and delamination in other mammalian species and will also serve as a resource for the community. In addition to NCC EMT, our work may also help to inform the phenotypic changes and corresponding gene regulatory control of EMP in other developmental EMT events as well as pathological conditions such as tissue fibrosis and cancer progression.

Results

Identification of intermediate stages during mouse cranial NCC EMT and delamination

To investigate the biological process and mechanisms governing mouse cranial NCC EMT and delamination, we performed scRNA-seq on dissociated cranial tissues isolated from E8.5 mouse embryos with 7-9 somites (Figure 1A [↗](#)). More specifically, embryos were collected from two transgenic mouse lines: *Wnt1-Cre;RosaeYFP* (Chai et al. 2000 [↗](#)) and *Mef2c-F10N-LacZ* (Aoto et al. 2015 [↗](#)) (Figure 1A [↗](#)). In E8.5 *Wnt1-Cre;RosaeYFP* embryos, YFP is expressed by *Wnt1*⁺ neuroepithelial cells located in the dorsolateral neural plate, which encompasses premigratory NCC (Figure 1B [↗](#)). Consequently, *Wnt1-Cre;RosaeYFP* labels premigratory and migratory NCC and other lineage labeled cells derived from the *Wnt1*⁺ cell population (Figure 1B [↗](#)) (Chai et al. 2000 [↗](#)). In contrast, *Mef2c-F10N-LacZ* predominantly labels migratory NCC, with lacZ activity

driven by the F10N enhancer of the *Mef2C* gene (**Figure 1B**) (Aoto et al. 2015). The two different transgenic lines allowed us to distinguish premigratory from migratory NCC spatially within an embryo, but also later bioinformatically following single cell dissociation and RNA-sequencing.

The scRNA-seq data was processed and analyzed as previously described (Falcon et al. 2022). We initially identified 6 major cell or tissue types present in E8.5 mouse embryonic cranial tissues based on the differential expression of classic cell or tissue type specific markers, and we clustered the data accordingly (**Figure 1C** and **1D**; **Figure 1-figure supplement 1**). For example, *Sox1* and *Sox2* were used to delineate neural ectoderm, whereas *Cdh1* (E-cadherin) was used to define non-neural ectoderm. *eYFP*, *lacZ*, *Sox10* and *Twist* delineated migrating neural crest cells. *Tbx1* was primarily used as a marker of mesoderm cells and *Kdr* (*Vegfr2*) demarcated mesoderm-derived endothelial cells. We then bioinformatically segregated the cranial NCC cluster, which includes both premigratory and migratory NCC, and divided it into 5 subclusters at a resolution of 0.26 (**Figure 2A**).

To characterize these NCC subclusters, we then interrogated the expression of known neuroepithelial and neural plate border markers, as well as genes expressed by NCC during their specification and migration (Supplementary Table 1) (Echelard et al. 1994; Hafemeister and Satija 2019; Lee et al. 2013; Murdoch et al. 2012; Parr et al. 1993; Sauka-Spengler and Bronner-Fraser 2008; Wood and Episkopou 1999). A high percentage of cells in subclusters 0-3 express elevated levels of markers of migratory NCC (*Vim*, *Sox10*, *Twist1*), while only subclusters 0 and 1 exhibit high levels of expression of NCC specifier genes (*Zeb2*, *Pax3*, *Nr6a1*, *Sox9*, *Foxd3*, *Snai1*) (**Figure 2B**) (Cheung et al. 2005; Dottori et al. 2001; Hari et al. 2012; Kobayashi et al. 2020; Lee et al. 2013; Li et al. 2000; Murdoch et al. 2012; Schorle et al. 1996; Soo et al. 2002; Van de Putte et al. 2003). Since NCC specifiers are downregulated as NCC migrate and later differentiate into specific lineages, the combinatorial expression of genes suggests that subclusters 0 and 1 likely represent an earlier stage of NCC delamination and migration than subclusters 2 and 3. This conclusion was further verified by integrating previously published networks of genes that represent an early migratory NCC program versus a late migratory NCC program (Soldatov et al. 2019). Early migratory NCC program genes are expressed by the majority of migratory NCC whereas late migratory NCC program genes are only expressed in a subset of migratory NCC as they have already begun to mature.

Subclusters 0-3 each exhibit significant expression of early migratory NCC program genes (**Figure 2-figure supplement 1**). Furthermore, subclusters 2 and 3 express a significantly higher level of late migratory NCC program genes than subclusters 0 and 1, which demonstrates that subclusters 0 and 1 contain early migratory NCC, whereas subclusters 2 and 3 comprise late migratory NCC (**Figure 2-figure supplement 1**). Interestingly, we also observed that a small percentage of subcluster 0 cells express early migratory NCC genes, but at a lower intensity compared to subcluster 1. This implies that subcluster 0 might also contain premigratory NCC that do not yet express any migratory NCC genes (**Figure 2-figure supplement 1**). Despite similar expression profiles, NCC subclusters 2 and 3 possibly represent undifferentiated NCC derived mesenchyme tissue in different parts of the head. More specifically, subcluster 2 displays a high level of expression of pharyngeal arch NCC mesenchyme marker *Dlx2* (**Figure 2-figure supplement 1**) (Bulfone et al. 1993). In contrast, subcluster 3 expresses a frontonasal mesenchyme marker *Alx1* (**Figure 2-figure supplement 1**) (Iyyanar et al. 2022). Consistent with these observations, neither population expresses a high level of neurogenic lineage markers such as *Nrp1* and *Nrp2*, which is indicative of their undifferentiated state (**Figure 2-figure supplement 1**) (Lumb et al. 2014).

Subcluster 4 exhibits a unique transcriptional profile distinct from subclusters 0-3 (**Figure 2B**). Higher expression of *Sox1* and *Sox2* is indicative of a neuroepithelial identity for subcluster 4 (**Figure 2B**). During NCC formation, *Sox1* and *Sox2* are downregulated in the dorsal neural plate

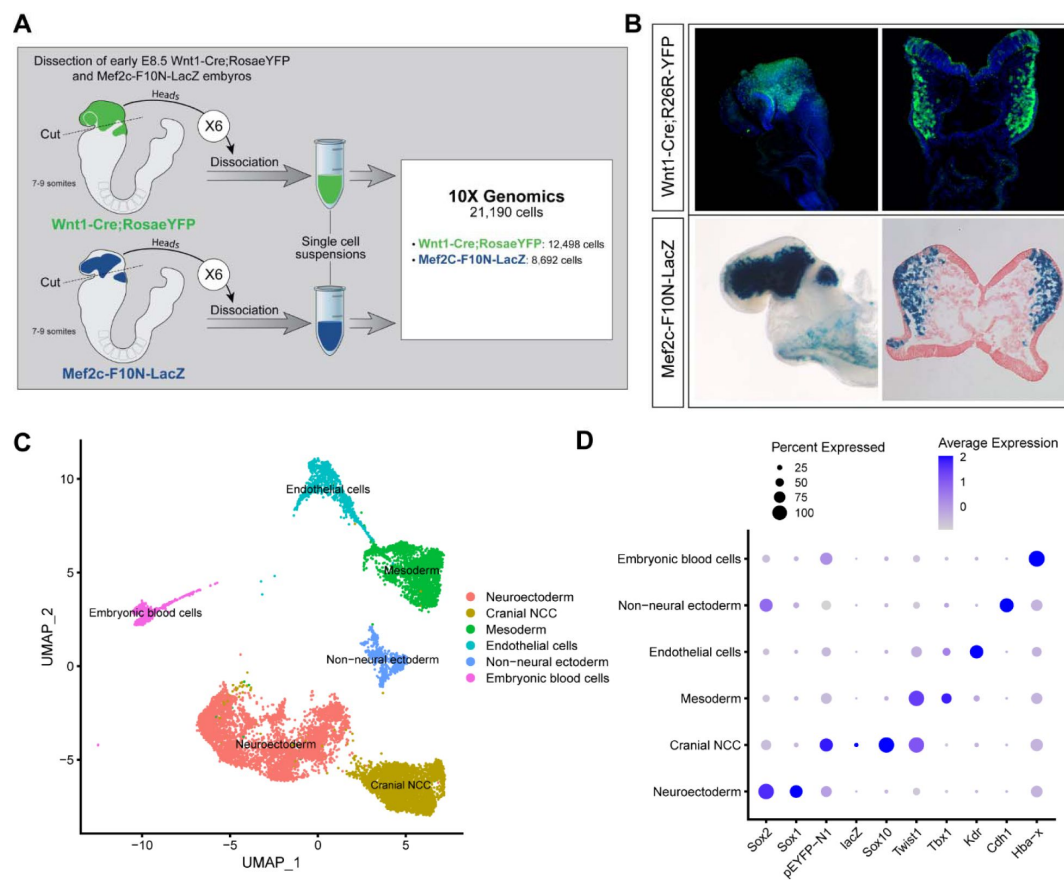


Figure 1.

Single-cell RNA seq analysis of mouse early E8.5 cranial tissues. (A) Schematic of experimental design. *Wnt1-Cre;RosaeYFP* and *Mef2c-F10N-LacZ* embryos with between 7-9 somites (6 each) were dissected and cranial tissues anterior to rhombomere 3 were collected. Tissues were dissociated into single cell suspensions before being processed through the 10X Genomics pipeline. The final dataset used for analysis consisted of 21,190 cells (12,498 cells from *Wnt1-Cre;RosaeYFP* and 8,692 from *Mef2c-F10N-LacZ*) and 29,041 genes. (B) YFP and LacZ staining of E8.5 *Wnt1-Cre;RosaeYFP* and *Mef2c-F10N-LacZ* embryos and 10um cranial transverse sections. YFP (green) labels cells located in the dorsal neuroepithelium and their lineages. As a result, both premigratory and migratory NCC are marked by YFP expression. LacZ (blue) labels migratory NCC. (C) Uniform Manifold Approximation and Projection (UMAP) and clustering of 6 major tissue types in the cranial region of E8.5 mouse embryos: cranial NCC, neuroectoderm, non-neural ectoderm, mesoderm, endothelial cells, and embryonic blood cells. (D) Dotplot showing the expression of tissue specific markers used for cluster identification. Dot size indicates the percentage of cells in each corresponding cluster (y-axis) that expresses a specific gene (x-axis). Dot color intensity indicates the average expression level of a specific gene in a cell cluster.

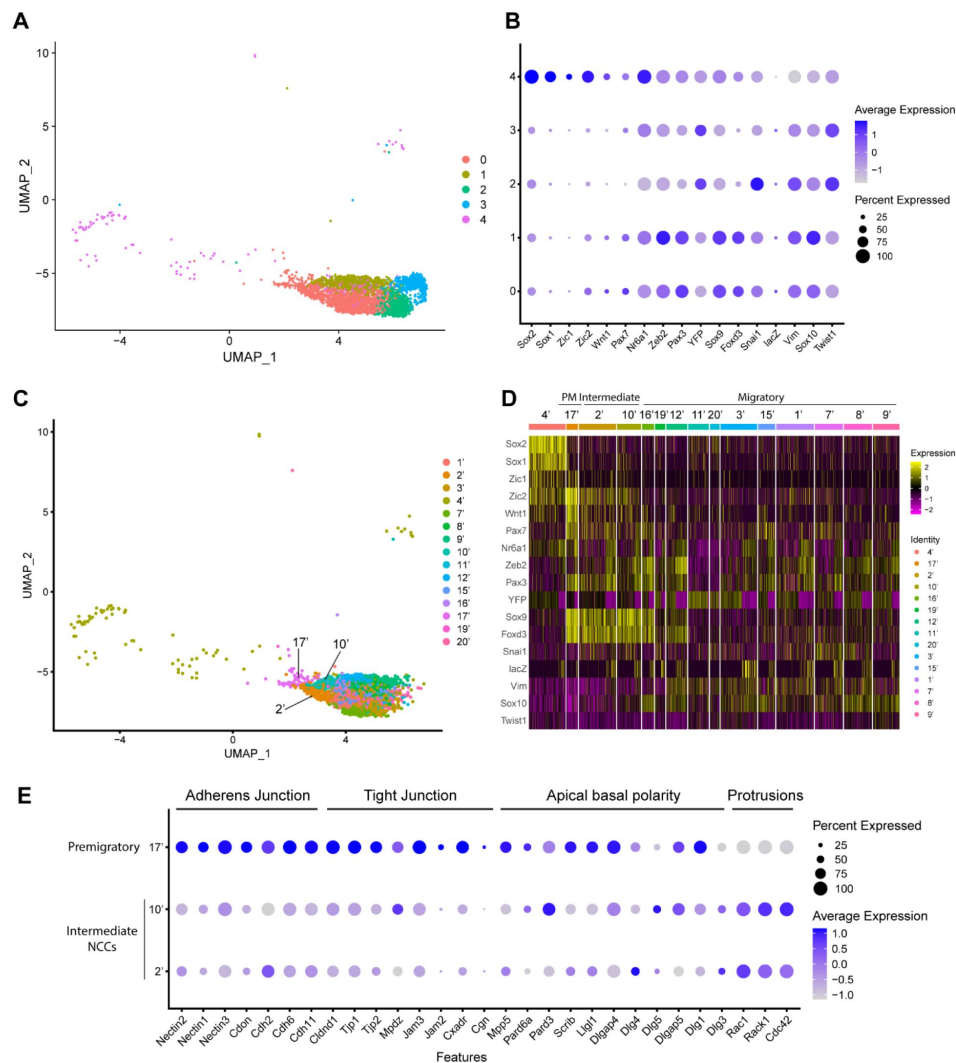


Figure 2.

Expression of NCC development related genes and EMT functional genes identifies NCC EMT intermediate populations. (A) UMAP and re-clustering of the cranial NCC cluster into 5 smaller subclusters at a resolution of 0.26. (B) Dotplot showing the expression of NCC development related genes in 5 cranial NCC subclusters. (C) UMAP and re-clustering of the early migratory NCC subclusters 0, 1 and 4 into smaller subclusters at a resolution of 2.0. (D) Heatmap showing the expression of NCC development related genes in the smaller early migratory NCC subclusters at a resolution of 2.0 shown in (C). High levels of expression are indicated in yellow, and low levels of expression are indicated in pink. Based on the gene expression profile of each subcluster, subcluster 17' was determined to be premigratory NCC; subcluster 2' and 10' are EMT intermediate NCC; the remaining subclusters are migratory NCC. (E) Dotplot showing the expression of EMT functional genes in premigratory NCC subcluster 17' and intermediate NCC subclusters 2' and 10'. EMT intermediate NCC display reduced expression of adherens junction, tight junction and apical basal polarity genes compared to premigratory NCC, whereas protrusion related genes are upregulated in intermediate NCC.

border domain but remain strongly expressed more ventrally throughout the neural plate (Supplementary Table 1). Concomitantly, Sox9 and Sox10 are activated in what is known as the SoxB (1/2) to SoxE (9/10) switch (Mandalos et al. 2014 [↗](#); Remboutsika et al. 2011 [↗](#); Wakamatsu et al. 2004 [↗](#)). Consistent with this model, overexpressing Sox2 in the dorsal neural tube has been shown to repress NCC specification, whereas overexpressing Sox9 and Sox10 have been shown to precociously promote NCC formation (Aybar et al. 2003 [↗](#); Cheung and Briscoe 2003 [↗](#); Mandalos et al. 2014 [↗](#); McKeown et al. 2005 [↗](#); Remboutsika et al. 2011 [↗](#)).

To determine if mouse cranial NCC EMT is non-binary, and occurs through intermediate or transition states, we further subdivided the 5 cranial NCC clusters into smaller subclusters (resolution=2.0; subcluster 1'-21') and extracted 15 subclusters out of the original early migratory NCC subclusters 0, 1 and 4 (**Figure 2C** [↗](#); **Figure 2-figure supplement 1** [↗](#)). Through heatmap analysis, expression of the same NCC marker genes as described above was examined within these new subclusters, and the order of the subclusters was arranged according to their combinatorial expression patterns (**Figure 2D** [↗](#)). For example, *Wnt1* and *Sox10* were used to identify NCC transitioning from premigratory to migratory states since *Wnt1* is only expressed in premigratory NCC and is immediately downregulated as NCC delaminate and start to migrate. In contrast, *Sox10* is activated only after NCC have delaminated and begun to migrate. The heatmap shows that a significant number of cells in subcluster 17' express a much higher level of *Wnt1* than any other subcluster, suggesting that subcluster 17' comprises premigratory NCC (**Figure 2D** [↗](#)). Consistent with this observation, subcluster 17' cells also express other neural plate border and NCC specifier genes such as *Zic2*, *Pax7*, *Nr6a1*, *Pax3*, *Sox9*, and *Foxd3*, but does not express migratory NCC markers such as *Sox10* and *Vim* (**Figure 2D** [↗](#)). Subclusters 2' and 10' share a similar expression profile to subcluster 17'. However, subcluster 2' and 10' express less *Wnt1* and less neural plate border specifiers such as *Zic2* and *Pax7* than subcluster 17' (**Figure 2D** [↗](#); **Figure 2-figure supplement 1** [↗](#)). This data suggests that subclusters 2' and 10' could represent EMT intermediate states as premigratory NCC transition to migratory NCC during delamination. Lastly, the remaining early NCC subclusters express *Sox10* and *Vim* indicating that they comprise or represent migratory NCC (**Figure 2D** [↗](#)).

To further validate the identity of subclusters 2' and 10' as representing intermediate cellular stages of EMT, we assessed the expression of genes associated with adherens junctions, tight junctions, and apical-basal polarity, which are required to maintain epithelial integrity, and cytoskeleton rearrangement that is typically associated with EMT (Dongre and Weinberg 2019 [↗](#); Matsuuchi and Naus 2013 [↗](#); Radisky and Radisky 2010 [↗](#)) (Zhao and Trainor 2023 [↗](#)). Intermediate NCC exhibit a decrease in *Nectin*, *Cadherin* and *Tight Junction Protein* gene expression consistent with intercellular tight junction breakdown and degradation of apicobasal polarity (**Figure 2E** [↗](#)). Although many cell junction related genes (e.g. *Cdh1*) were not expressed by premigratory and intermediate NCC in our data, *Myh9* and *Myh10* were expressed, but didn't exhibit a significant difference or change in their expression levels. This suggests that myosin-II microfilaments localized to the adherens junction-associated circumferential actin belt may not have been impacted at this stage of NCC EMT. At the same time, subcluster 2 and 10 cells exhibit an increase in *Rac*, *Rack1* and *Cdc42* gene expression which is indicative of cytoskeletal rearrangement and the formation of cell protrusions (**Figure 2E** [↗](#)). These alterations in gene expression are molecular indicators of the cellular mechanisms that underpin EMT (**Figure 2E** [↗](#)).

Mouse cranial NCC undergo EMT and delamination in S or G2/M phase cell cycle

Since intermediate NCC stages represent a transitional or intermediate phase between premigratory and migratory NCC, the molecular and signaling pathway signatures uniquely expressed by these intermediate NCC can reveal essential regulatory mechanisms governing NCC EMT and delamination. Analysis of cell cycle gene expression, for example, indicated that EMT intermediate NCC populations exhibit distinct cell cycle phase properties or characteristics. NCC in

subcluster 2' primarily express S phase cell cycle genes such as *Pcna*, *Pol1* subunits, *Plk1*, *Ccnd* and *Mcm* family members. In contrast, NCC in subcluster 10' predominantly express G2/M phase cell cycle genes including *Mik67*, *Aurka/b*, *Cenp* and *Kif* family members (**Figure 3A** and **3B**).

To understand the potential for any temporal or lineage relationships between the two intermediate stage NCC populations based on their different cell cycle states, we performed pseudotime trajectory analysis on the entire NCC population using Monocle 3 (**Figure 3C** and **3D**). Premigratory and EMT intermediate NCC were identified as the earliest discrete populations to form among the entire cranial NCC population (**Figure 3C**). In contrast, late migratory NCC represent a more mature stage of NCC development (**Figure 3C**). Results from the pseudotime analysis indirectly support the identities previously assigned to the cranial NCC subclusters under both resolutions. The trajectory analysis also demonstrates that NCC can arise through two independent paths and initially become two distinct intermediate populations (subcluster 2' and 10') during EMT (**Figure 3D**). Later the trajectories or lineages of the intermediate NCC subclusters then merge back together into a single or common population of early migratory NCC, before ultimately maturing into still as yet undifferentiated late migratory NCC as they colonize the frontonasal or pharyngeal arch mesenchyme (**Figure 3D**). These results imply that NCC representing two distinct intermediate stages form simultaneously and independently during development. Moreover, the different cell cycle status of subclusters 2' and 10' suggest that premigratory NCC can undergo EMT and delamination in either S phase or G2/M phase of the cell cycle. The trajectory analysis further depicts that EMT intermediate NCC and their immediate lineages are not fate restricted to any specific cranial NCC derivative at this timepoint.

Cell cycle regulation is critical for mouse cranial NCC EMT and delamination

Since EMT intermediate NCC are either in S phase or G2/M phase of the cell cycle, we then investigated whether cell cycle regulation plays a significant role in driving mouse cranial NCC delamination. We dissected E8.5 *Wnt1-Cre;RosaeYFP* mouse embryos and examined the expression of cell cycle markers to compare the cell cycle status of delaminating NCC at the neural plate border in cranial tissues versus premigratory non-delaminating NCC in the neural plate border of the trunk. EdU and phospho-histone H3 (pHH3) were used to label S phase and G2/M phase of the cell cycle respectively (**Figure 4A**). A majority of delaminating cranial NCC express either EdU or pHH3 or both. Only a very small percentage of cells do not express either of these cell cycle markers (**Figure 4C**). In contrast, almost 50% of premigratory, non-delaminating trunk NCC in the dorsolateral neural tube, do not express either cell cycle marker (**Figure 4D**). These observations imply that specific cell cycle phases are intimately connected to mouse cranial NCC EMT and delamination.

To further validate the association between cell cycle status and cranial NCC EMT and delamination, we inhibited S phase during early NCC development by incubating E8.0 CD1 mouse embryos in whole embryo roller culture with Aphidicolin. After 12 hours of treatment, we quantified the number of migratory NCC via Sox10 immunostaining to determine the number of premigratory NCC that delaminated. Cell cycle status was also evaluated in DMSO (control) and Aphidicolin treated samples via EdU and pHH3 staining. Based on our trajectory analyses, we hypothesized that inhibiting S phase progression would block S phase delamination but not G2/M phase delamination. As we expected, the EdU signal was completely absent in Aphidicolin treated embryos demonstrating that cells cannot enter S phase post treatment (**Figure 4-figure supplement 1**). The Aphidicolin treatment did not induce cell death as the level of TUNEL staining in treated embryos was similar to that observed in controls (**Figure 4-figure supplement 1**). Quantification of Sox10 positive cells revealed significantly fewer migratory neural crest cells in the craniofacial region of Aphidicolin treated embryos compared to DMSO treated control embryos (**Figure 4E**). Similarly, we also treated E8.0 *Mef2c-F10N-LacZ* embryos with Aphidicolin for 12 hours in roller culture and observed fewer migratory NCC compared to control embryos as

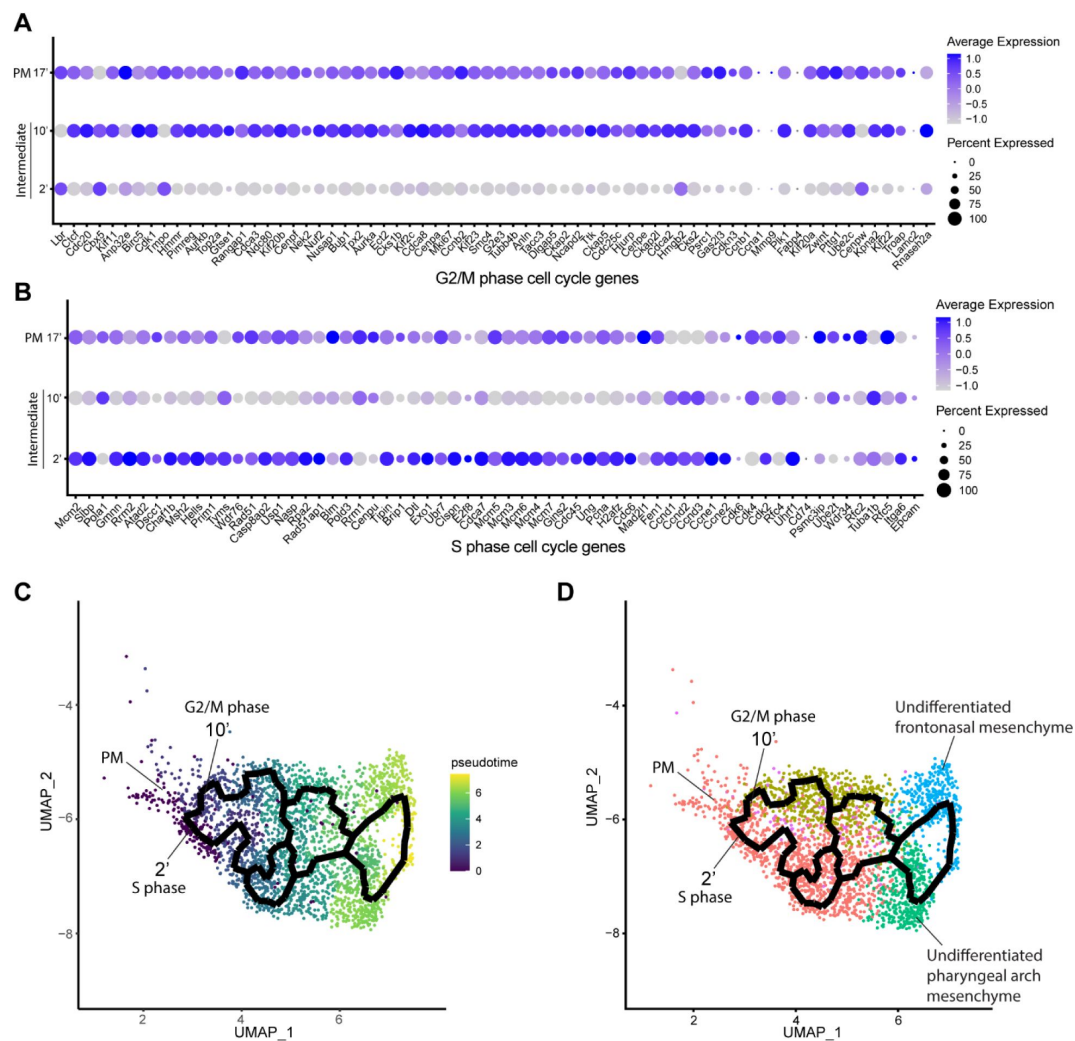


Figure 3.

Mouse cranial NCC delaminate in S phase or G2/M phase cell cycle independently. (A) Dotplot showing the expression of G2/M phase cell cycle genes in premigratory NCC (PM) and EMT intermediate NCC. G2/M phase cell cycle genes are expressed in PM and intermediate subcluster 10' cells. (B) Dotplot showing the expression of S phase cell cycle genes in PM and EMT intermediate NCC. S phase cell cycle genes are expressed in PM and intermediate subcluster 2' cells. (C) Pseudotime analysis of the cranial NCC cluster reveals the temporal relationship between intermediate NCC subcluster 2' and 10'. Dark color indicates early NCC development, and light color indicates later NCC development. PM and intermediate NCC subclusters represent the earliest developmental timepoints among all cranial NCC. (D) Trajectory analysis of the cranial NCC cluster reveals lineage/fate relationship between PM and intermediate NCC subcluster 2' and 10'. Two intermediate NCC subclusters develop simultaneously and independently from premigratory NCC. Apart from their cell cycle status, early migratory NCC formed from the different intermediate subclusters are transcriptionally indistinguishable. Color coding of the cell population is consistent with the re-clustering of the cranial NCC cluster into 5 smaller subclusters at a resolution of 0.26 as shown previously in [Figure 2A](#).

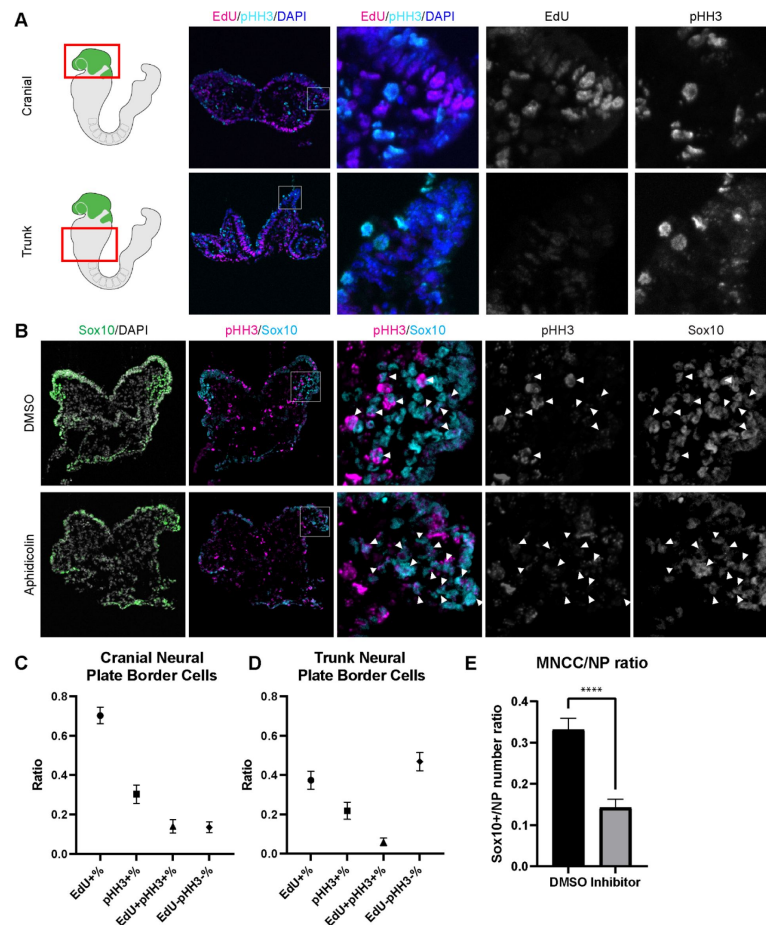


Figure 4.

Cell cycle regulation plays an important role in mouse cranial NCC delamination and EMT. (A) Cell cycle marker staining of early E8.5 mouse embryonic cranial and trunk tissues reveals differences in cell cycle status between cranial delaminating premigratory NCC and trunk neural plate border cells. EdU (magenta) and pHH3 (cyan) staining were performed on 10um transverse sections of early E8.5 (5-7 somites) *Wnt1-Cre;RosaeYFP* mouse embryo cranial and trunk tissues. (B) E8.0 CD1 mouse embryos treated with Aphidicolin exhibit reduced migratory NCC that primarily express pHH3. Cranial sections of treated embryos were stained with Sox10, EdU and pHH3 (magenta) and arrowheads indicate migratory NCC expressing pHH3. Most remaining migratory NCC in Aphidicolin treated samples express pHH3. In contrast, a small proportion of migratory NCC in control DMSO treated samples express pHH3. (C) Cell cycle staining quantification of delaminating premigratory NCC in the cranial neural plate border shows that most cells express cell cycle markers. Staining and quantification were performed on delaminating premigratory NCC in the cranial neural plate border of 5-7 somite *Wnt1-Cre;RosaeYFP* mouse embryos (n=3). The neural plate border region was manually selected in the most dorsolateral domain of the neural plate. EdU+%=the percentage of EdU positive cells within eYFP positive delaminating premigratory NCC in the selected neural plate border domain. pHH3+%= the percentage of pHH3 positive cells within eYFP positive delaminating premigratory NCC. EdU+pHH3+%= the percentage of EdU and pHH3 double positive cells within eYFP positive delaminating premigratory NCC. EdU-pHH3+%= the percentage of EdU and pHH3 double negative cells within eYFP positive delaminating premigratory NCC. (D) Cell cycle staining quantification of trunk neural plate border cells shows that a significant proportion of cells do not express any cell cycle markers. Staining and quantification were performed on trunk neural plate border cells of 5-7 somite *Wnt1-Cre;RosaeYFP* mouse embryos (n=3). The neural plate border region was manually selected in the most dorsolateral domain of the neural plate. EdU+%=the percentage of EdU positive cells within DAPI positive neural plate border cells at the trunk axial level. pHH3+%= the percentage of pHH3 positive cells within DAPI positive trunk neural plate border cells. EdU+pHH3+%= the percentage of EdU and pHH3 double positive cells within DAPI positive trunk neural plate border cells. EdU-pHH3+%= the percentage of EdU and pHH3 double negative cells within DAPI positive trunk neural plate border cells. (E) Quantification of Sox10 expressing migratory NCC upon Aphidicolin and control treatment reveals fewer cranial migratory NCC in Aphidicolin treated embryos. Sox10 staining and quantification were performed on cranial sections of 4-6 somite CD1 mouse embryos post treatment (n=3 per treatment; ****p<0.0001). For quantification, we calculated the ratio of Sox10 positive migratory NCC over DAPI positive neural plate/neuroepithelial cells.

evidenced by LacZ staining (**Figure 4-figure supplement 1** [↗](#)). Interestingly, pHH3 is expressed by a major proportion of the remaining migratory NCC after Aphidicolin treatment (**Figure 4B** [↗](#)). This data is consistent with the pseudotime trajectory analysis that cranial NCC delamination in G2/M phase of the cell cycle (subcluster 10' NCC) is independent of delamination in S phase of the cell cycle (subcluster 2' NCC). Disrupting S phase of the cell cycle didn't prohibit EMT intermediate NCC in G2/M phase of the cell cycle from delaminating and forming migratory NCC that express G2/M phase cell cycle markers. Thus, our data shows that cranial NCC delamination is disrupted upon S phase cell cycle inhibition, which supports the hypothesis that cell cycle regulation is critical for cranial NCC delamination in mouse embryos.

Spatiotemporal localization of intermediate stage NCC in vivo

To identify and define EMT intermediate stage NCC *in vivo* during mouse cranial NCC development, we used the scRNA-seq data to extract genes that were differentially expressed (threshold based on average logFC ≥ 0.25) in the intermediate NCC populations (**Figure 5-figure supplement 1** [↗](#)). Among the potential marker genes, we then selected *Dlc1*, *Sp5* and *Pak3* based on their relatively high expression levels and specificity in cranial NCC, and more importantly, intermediate NCC populations (**Figure 5A** [↗](#); **Figure 5-figure supplement 1** [↗](#)). During cranial NCC development, *Sp5* and *Pak3* are expressed at high levels in both premigratory and intermediate stage NCC. In contrast, *Dlc1* is highly expressed in intermediate and migratory NCC (**Figure 5A** [↗](#)). To further distinguish between premigratory, intermediate and migratory NCC, *Wnt1* (a premigratory NCC marker) and *Sox10* (a migratory NCC marker) were also included in the *in situ* identification analyses alongside *Dlc1*, *Sp5* and *Pak3* (**Figure 5A** [↗](#)).

To confirm that the intermediate stage markers *Dlc1*, *Sp5* and *Pak3* are expressed during NCC delamination, and determine the spatial location of the intermediate stage NCC, we performed signal amplification by exchange reaction for multiplexed fluorescent *in situ* hybridization (SABER-FISH). SABER-FISH was chosen for our multiplexed analyses of gene expression because SABER-FISH probes lack secondary structure which facilitates increased sensitivity and depth of tissue penetration. SABER-FISH oligo pools were designed for *Wnt1*, *Sox10*, *Dlc1*, *Sp5* and *Pak3*, using stringent parameters (Kishi et al. 2019 [↗](#)). Intermediate stage marker probes were validated by comparing the expression patterns of the SABER-FISH staining for *Dlc1*, *Sp5* and *Pak3* with traditional *in situ* hybridization staining in transverse histological sections (**Figure 5-figure supplement 2** [↗](#)). The expression of *Dlc1*, *Sp5* and *Pak3* matched between SABER-FISH and traditional *in situ* hybridization methods, validating our probe design (**Figure 5-figure supplement 2** [↗](#)).

Following individual validation, we then performed combined staining to visualize the spatial distribution of all the genes in the same tissue section (**Figure 5B** [↗](#)). The intermediate stage markers *Dlc1*, *Sp5* and *Pak3* appeared to overlap in expression in the dorsal most region of the neural fold, where EMT takes place as evidenced by the presence of *Sox10* labelled migratory NCC adjacent to the neuroepithelium (**Figure 5B** [↗](#)). Spatial expression of these markers changes over developmental time, thus overlap of expression in the dorsal most region of the neural fold was not observed in older embryos in regions where EMT had concluded. To better visualize and confirm co-localized expression of these genes in the same dorsolateral region of the neural plate border, we generated polyline kymographs depicting the average intensity of each gene's fluorescent signal along the dorsal most region of the neural fold and into the migratory NCC population (**Figure 5C** [↗](#)). We observed a consistent pattern of activity in which *Wnt1* is highly expressed in the dorsal neuroepithelium (**Figure 5C** [↗](#)). However, in the most dorsolateral domain, where *Wnt1* expression is slightly diminished, the intermediate stage NCC markers *Dlc1*, *Sp5* and *Pak3* are highly expressed (**Figure 5C** [↗](#)). In contrast, minimal *Sox10* expression is detected in this transition region at the edge of the neuroepithelium, but high levels of *Sox10* in the clear absence of *Wnt1*, *Dlc1*, *Sp5* and *Pak3*, is observed in migratory NCC located more ventrally

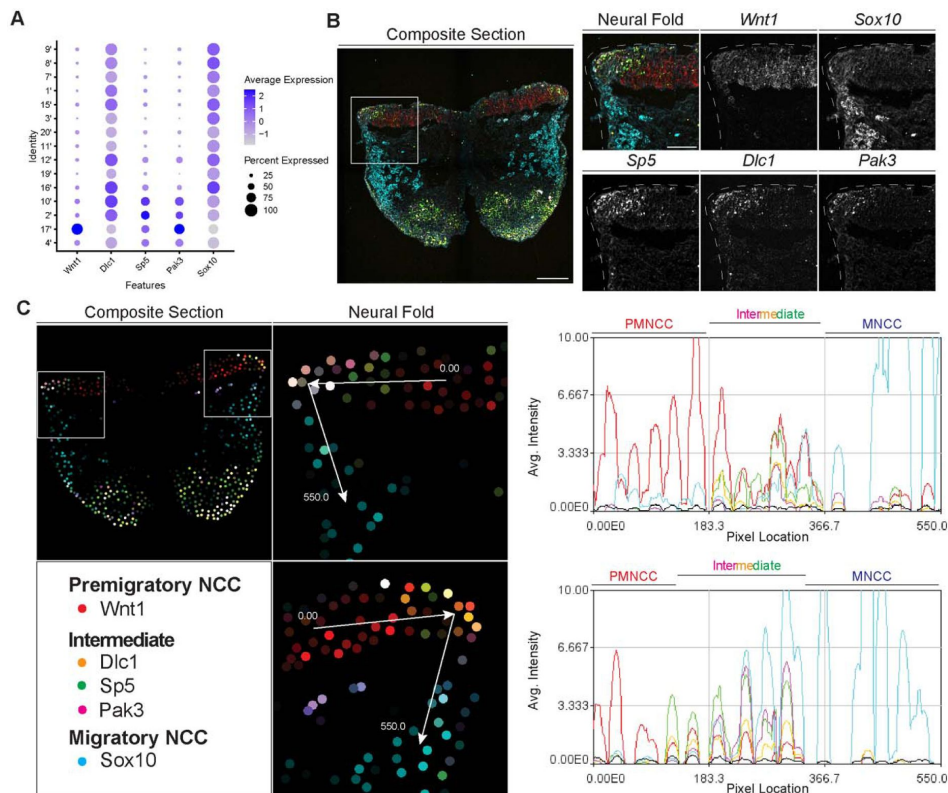


Figure 5.

SABER-FISH of EMT intermediate stage markers pinpoints the location of EMT intermediate NCC within the dorsal most region of the neural fold. (A) Dotplot showing the expression of selected EMT intermediate NCC markers in early migratory NCC subclusters (resolution 2.0). (B) SABER-FISH staining of premigratory, EMT intermediate stage and migratory NCC marker genes on the same section. Higher magnification insets of the left side neural fold (box) showing that *Wnt1* is expressed in the neuroepithelium and *Sox10* is expressed in migratory NCC populating the underlying mesenchyme. *Dlc1*, *Sp5* and *Pak3* are expressed in the dorsolateral most region of the neuroepithelium. (C) 2D map showing the number of transcripts per cell, calculated from the SABER-FISH staining. To evaluate the expression of each gene within and across tissues, a polyline kymograph was generated along the track indicated by the arrows at a width of 100 pixels. The polyline kymograph can be seen to the right of each neural fold map it depicts. At the beginning of the track, *Wnt1* expression is highest, demarcating the dorsal lateral domain of the neuroepithelium. Towards the middle of the track, at the location of the most dorsolateral region of the neuroepithelium, *Wnt1* is expressed along with the intermediate stage markers *Dlc1*, *Sp5* and *Pak3*. As the track progresses to just outside of the neuroepithelium, *Sox10* expression appears and increases as the track continues through the migratory NCC population.

(Figure 5C [↗](#)). Therefore, our data indicates that EMT intermediate stages can not only be transcriptionally defined, but also spatially resolved to the dorsolateral most region of the neuroepithelium.

EMT intermediate stage marker gene

Dlc1 regulates NCC delamination

Having transcriptionally defined intermediate stage NCC and determined their spatial location during delamination, it was important to test whether any of the intermediate stage signature genes, *Dlc1*, *Pak3* or *Sp5*, play functional or essential roles in NCC development. We prioritized *Dlc1* over *Pak3* and *Sp5* because *Dlc1* is not expressed by premigratory NCC but is expressed at high levels in all EMT intermediate stage NCC. Furthermore, *Dlc1* null mutant mice are embryonically lethal and exhibit craniofacial malformation phenotypes. Specifically, gross morphological anomalies of craniofacial tissues such as frontonasal prominence and pharyngeal arch hypoplasia, are apparent in E10.5 *Dlc1* null mouse embryos, which is suggestive of a perturbation of NCC development. In contrast, *Pak3* and *Sp5* are expressed in premigratory NCC, but in only 50-60% of intermediate stage NCC (Figure 5A [↗](#)). Moreover, *Pak3* and *Sp5* null mutant mice are healthy and fertile with no obvious abnormalities. Therefore, we hypothesized that alone, *Dlc1* loss-of-function would more likely perturb cranial NCC delamination.

To test this hypothesis, we knocked down *Dlc1* by injecting *Dlc1* shRNA-based lentiviruses and control scrambled shRNA lentiviruses into the amniotic cavity of E7.5 CD1 mouse embryos. Since the neural plate remains open at this developmental stage, all neuroepithelial cells are exposed or in contact with amniotic fluid containing virus. The embryos were then cultured for 24 hours after which we assessed the number of migratory NCC via *Sox10* staining, to determine how many premigratory NCC underwent EMT and delamination (Figure 6A [↗](#)). We subsequently observed that the number of migratory NCC was significantly reduced in all *Dlc1* knockdown embryos (Figure 6B [↗](#)). In fact, each of the *Dlc1* shRNA constructs led to a significant reduction in the number of migratory NCC compared to their respective control (Figure 6-figure supplement 1 [↗](#)). Importantly, we did not observe any difference in cell death in *Dlc1* knockdown embryos comparable to controls (Figure 6D [↗](#)). On average, a 30% reduction of *Dlc1* expression was achieved by each *Dlc1* shRNA lentivirus knockdown (Figure 6C [↗](#); Figure 6-figure supplement 1 [↗](#)), and notably, the *Dlc1* isoforms targeted by the different *Dlc1* shRNA constructs used in this study suggest a correlation with *Dlc1* null mouse embryos and their phenotypes.

Dlc1a shRNA construct targets exon 1 specifically in *Dlc1* mRNA variant 2. Consequently, *Dlc1a* shRNA is capable of exclusively eliminating the expression of *Dlc1* mRNA variant 2 (isoform 2) since the same exon region is not present in variant 1 or 3. Interestingly, one of the *Dlc1* null mouse models, *Dlc1^{gt/gt}*, carries a gene trap vector inserted into intron 1, which results in reduction of the 6.1 kb transcript (*Dlc1* isoform 2) alone (Sabbir et al. 2010 [↗](#)). Therefore, it is possible that the craniofacial phenotypes observed in *Dlc1^{gt/gt}* null mice are caused by abnormal cranial NCC EMT and delamination as shown by *Dlc1a* knockdown in our data. In contrast, *Dlc1b* and *Dlc1c* shRNA constructs both target exon 5 of *Dlc1* mRNA variant 2 and 3, which is the same as exon 9 of variant 1. Consequently, all three *Dlc1* variants should be diminished by *Dlc1b* and *Dlc1c* shRNAs. In another *Dlc1* null mouse model, exon 5 was deleted by replacing it with a neomycin resistance gene, which caused a reading frame shift and subsequently premature translation termination (Durkin et al. 2005 [↗](#)). This led to the synthesis of truncated polypeptides containing only the first 77 amino acids, which encode the sterile alpha motif (SAM) protein interaction domain and 23 novel residues. Since *Dlc1^{-/-}* null, *Dlc1b* and *Dlc1c* shRNAs all cause disruptions in exon 5/9 of *Dlc1* transcripts, there is a strong correlation between the *Dlc1^{-/-}* null craniofacial phenotypes and cranial NCC EMT defects observed in *Dlc1b* and *Dlc1c* knockdown mouse embryos. Collectively, these results therefore demonstrate an important functional role for *Dlc1* in

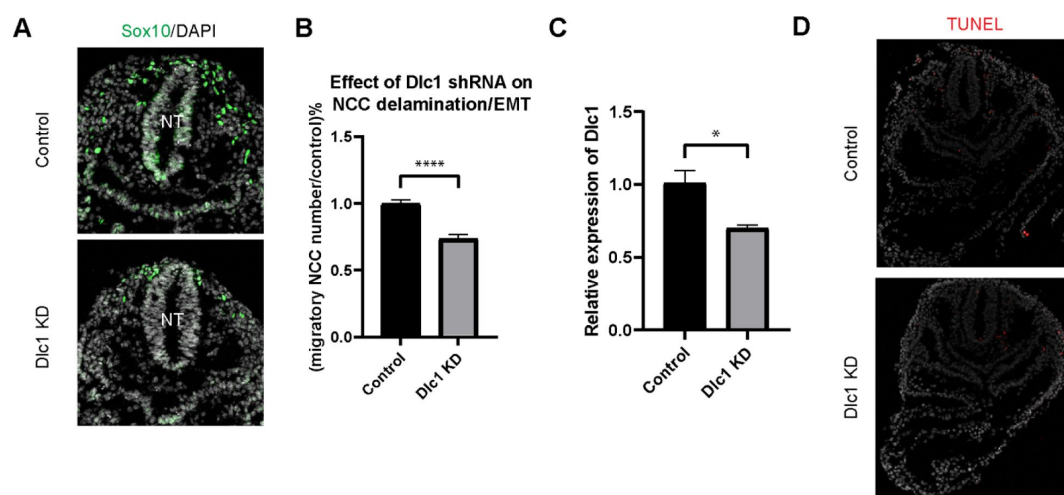


Figure 6.

Dlc1 plays a regulatory role in mouse cranial NCC EMT and delamination. (A) Sox10 immunostaining was performed on cranial sections of E8.5 control and *Dlc1* knockdown mouse embryos. (B) *Dlc1* knockdown significantly reduced the number of migratory NCC compared to the control. The number of Sox10+ migratory NCC was quantified in control (n=4) and all *Dlc1* knockdown (n=12) embryos. All datapoints in *Dlc1* knockdown samples were normalized to the control samples. ****p<0.0001. (C) *Dlc1* shRNA-based lentiviruses achieved an average of 30% reduction of *Dlc1* expression in all *Dlc1* knockdown embryos based on qRT-PCR analysis. *p<0.05. (D) TUNEL staining showed minimal cell death in *Dlc1* knockdown samples.

mammalian NCC EMT and delamination. Moreover, our results suggest that genes that are differentially expressed in intermediate NCC can play a regulatory role during EMT and delamination.

Discussion

EMT is a cellular process that converts epithelial cells to mesenchymal cells. EMT is essential for normal development and is a key driver of disease pathogenesis, particularly cancer metastasis. Although classically considered to be a binary event, studies of EMT in cancer identified multiple intermediate states within the EMT spectrum, a phenomenon termed epithelial to mesenchymal plasticity (EMP). However, it remained to be determined whether developmental EMT is also a developmental EMP process. Our goal therefore was to determine whether intermediate stages of NCC development during EMT could be transcriptionally and spatially defined, and then test whether transitional stage associated genes are functionally required for NCC EMT and delamination.

Through scRNA-seq analysis of mouse cranial tissues at E8.5, which coincided with the onset of NCC EMT and delamination, we identified two populations of NCC, whose gene expression profiles or signatures were representative of intermediate stages between premigratory and migratory NCC. Furthermore, we determined that the two intermediate populations could be defined by their distinct transcriptional states which were consistent with being in either S phase or G2/M phase of the cell cycle. Although it is possible that the two intermediate populations represent a single population that is temporarily bifurcated due to cell cycle asynchrony, the pseudotime trajectory analysis suggests that these intermediate stage cranial NCC populations can undergo EMT and delaminate in either S phase or G2/M phase, simultaneously, and independently of each other. This is further supported by the observation that S phase cell cycle inhibition failed to completely eliminate NCC delamination since NCCs delaminating in G2/M phase were observed. The two intermediate populations later merge into a single or common pool of early migratory NCC suggesting they do not have distinct fates following migration that can be tied to their cell cycle status as the time of delamination. This is not indicative a common progenitor of both ectomesenchyme and neuro/glial/pigment derivatives, but rather, is consistent with the known plasticity and potency of migrating NCC (Sandell and Trainor 2006 [↗](#); Trainor and Krumlauf 2000a [↗](#); Trainor and Krumlauf 2000b [↗](#), 2001 [↗](#)).

These results correlate with observations that cell cycle status is also a critical factor regulating NCC delamination in avian and zebrafish embryos. For example, BrdU incorporation, which demarcates proliferating cells in S phase of the cell cycle, was previously used to evaluate the cell cycle status of emigrating trunk NCC, dorsal midline neuroepithelial cells and surrounding cells at the segmental plate, epithelial somite and dissociating somite axial levels in chicken embryos (Burstyn-Cohen and Kalcheim 2002 [↗](#)). Most emigrating trunk NCC (about 80%) at the epithelial somite and dissociating somite axial levels were in S phase of the cell cycle, while less than 50% of dorsal neuroepithelial cells were BrdU+. Similarly, slice culture of the trunk of chicken embryos also revealed that most premigratory NCC contained basally positioned nuclei indicative of S phase. Interestingly, however, a small proportion of premigratory NCC presented as round mitotic cells, whose daughter cells later became migratory (Ahlstrom and Erickson 2009 [↗](#)). Furthermore, *in vivo* time-lapse imaging of chicken embryos revealed that half of the delaminating trunk NCC that were tracked, displayed signs of cell division (McKinney et al. 2013 [↗](#)). However, in most cases, only one progeny of a mitotic premigratory NCC was observed to exit the neural tube and become a migratory NCC. Interestingly, time-lapse imaging of zebrafish embryos also revealed active cell division in dorsal neuroepithelial cells prior to NCC delamination and EMT. The daughter cells of those divisions then translocate into the basal side of the neuroepithelium, where EMT subsequently occurs (Berndt et al. 2008 [↗](#)). Whether delaminating NCC undergo proliferation and cell division in zebrafish embryos remains to be investigated, however, active cell division in

the dorsal neuroepithelium is a shared feature of NCC delamination in avian and aquatic species. Even though our findings primarily illustrate the association of distinct cell cycle phases with intermediate stage NCC during EMT and delamination, this work has emphasized the importance of further examining the cell division and cell cycle activities of delaminating NCC in mouse embryos as critical contributors to normal development and the pathogenesis of neurocristopathies.

In contrast to delaminating trunk NCC, only around 30% of delaminating cranial NCC in chicken embryos were found to be in S phase (Théveneau et al. 2007 [DOI](#)) illustrating considerable differences between cranial and trunk neural crest cells and in the correlation between cell cycle phase and delamination. Blocking G1/S transition in the trunk of chicken embryos via *in ovo* electroporation, or via small molecule inhibitors in explanted neural primordia, prevents the onset of NCC delamination (Burstyn-Cohen and Kalcheim 2002 [DOI](#)). Furthermore, BMP and Wnt canonical signaling regulates the G1/S transition and promotes trunk NCC delamination (Burstyn-Cohen et al. 2004 [DOI](#)). Although it remains to be determined which signaling pathways regulate cell cycle phase progression in the intermediate or transitional populations of cranial NCC in mouse embryos, our results illustrate an evolutionarily conserved mechanistic role for cell cycle progression in NCC delamination in vertebrate embryos.

The intermediate populations of cranial NCC in mouse embryos exhibited transcriptional profiles that were characterized by the downregulation of tight junction and polarity genes. This is consistent with the breakdown of intercellular tight junctions and degradation of apicobasal polarity, which are hallmarks of EMT (Zhao and Trainor 2023 [DOI](#)). Further interrogation of genes that were differentially expressed in the intermediate NCC populations revealed *Dlc1*, *Sp5* and *Pak3* based on their relatively high expression levels as potentially specific markers, and regulators of intermediate NCC populations. Through SABER- FISH staining of *Dlc1*, *Sp5* and *Pak3* in combination with *Wnt1* as a marker of premigratory NCC, and *Sox10* as a marker of migratory NCC, we spatially resolved the location of intermediate NCC to the most dorsolateral domain of the cranial neural plate in E8.5 mouse embryos. We then prioritized *Dlc1* for functional analyses because it is expressed at high levels in all EMT intermediate stage NCC, but not in premigratory NCC. Lentiviral shRNA knockdown of *Dlc1* in cultured mouse embryos resulted in a significant reduction in the number of migratory neural crest cells, which may account for the craniofacial and cardiac malformation phenotypes observed in *Dlc1* null mutant mice (Sabbir et al. 2010 [DOI](#)). Gross morphological defects in craniofacial tissues are apparent in E10.5 *Dlc1* null mouse embryos. More specifically, *Dlc1* null embryos exhibit hyperplastic frontonasal prominences and pharyngeal arches, a neural tube closure defect and underdeveloped atrial and ventricular walls of the heart. Although phenotypes at earlier developmental stages and during NCC development were not examined in these mutants, *Dlc1* is primarily expressed in intermediate stage NCC, and plays an important role in NCC EMT, delamination, and the subsequent development of NCC derived tissues. *Dlc1* is a Rho GTPase Activating Protein (GAP) that regulates the activity of Rho family GTPases Rho and Cdc42 (Kim et al. 2008 [DOI](#)). RhoGTPases are known to regulate cell morphology and motility through modulating the activity of the actin cytoskeleton. More specifically, Rho has been shown to facilitate the formation of stress fibers, while Cdc42 is involved in filopodium formation (Kim et al. 2008 [DOI](#)). RhoGTPases also regulate the organization of tight junctions, which breakdown during EMT (Popoff and Geny 2009 [DOI](#); Terry et al. 2010 [DOI](#)). Therefore, the effect of *Dlc1* knockdown on cranial NCC EMT and delamination may be mediated via disrupted RhoGTPase activity and subsequent downstream cellular changes.

A similar regulatory role for *Dlc1* and other GAP family members has also been observed during NCC EMT and delamination in chicken and zebrafish embryos. In chicken embryos, *Dlc1* overexpression results in ectopic trunk NCC delamination, including apically into the neural tube lumen, due to a disruption in apical-basal polarity of dorsal neuroepithelial cells (Liu et al. 2017 [DOI](#)). Furthermore, NCC overexpressing *Dlc1* exhibit a loss of directionality during migration. Conversely, *Dlc1* inhibition and depletion results in less NCC emigration and thus fewer migratory

NCC. In addition, the downregulation of *Dlc1* in migrating NCC restricts their motility. Thus, *Dlc1* regulates trunk NCC delamination and migration in chicken embryos. Interestingly, in zebrafish embryos, another GAP family member *Arhgap* has been shown to modulate NCC EMT and delamination via the localization of Rho activation to designated subcellular compartments in concert with promoting localized actomyosin contraction to trigger directional cell motility (Clay and Halloran 2013 [DOI](#)). More specifically, the knockdown of *Arhgap* in NCC results in Rho activation, which in turn inhibits NCC EMT and delamination. These data suggest that the role of *Dlc1* during NCC delamination might be evolutionarily conserved in vertebrate embryos. However, whether *Dlc1* regulates mouse cranial NCC delamination through localized activation of Rho remains to be determined. Nevertheless, consistent with similarities in EMT, delamination and cell migration between neural crest cells and cancer cells, *Dlc1* may also play an important role in promoting cell migration during cancer progression. High levels of *DLC1* expression are detected in most melanoma tissues, and functional studies have revealed that *DLC1* is both necessary and sufficient for melanoma growth and metastasis (Yang et al. 2020b [DOI](#)).

Our study focused on the identification and characterization of NCC EMT intermediate states and essential regulatory factors during cranial NCC delamination and EMT. Nevertheless, whether trunk NCC delamination and EMT also involve intermediate stages and are regulated by similar genetic/cellular elements remains to be explored. Differences between cranial and trunk NCC delamination have been previously identified. For example, in mouse embryos, cranial NCCs delaminate from the dorsolateral neuroepithelium or neural plate border prior to neural plate closure. Trunk NCCs, on the other hand, delaminate from the dorsal neural tube after neural plate closure. In the cranial region, premigratory NCCs delaminate in bulk as a collective stream, to give rise to the cranial ganglia and craniofacial bones and cartilages. In the trunk region, premigratory NCCs delaminate progressively one after another in a chain migration fashion to form the sympathetic ganglia, the dorsal root ganglia, glial cells, and melanocytes. Additionally, key signaling pathways and regulatory networks governing cranial and trunk NCCs in various species have also been shown to differ in these distinct axial populations of NCC (Theveneau & Mayor, 2012). Due to these differences between cranial and trunk NCCs, the molecular and cellular mechanisms discovered during cranial NCC delamination and EMT do not necessarily represent the signaling scheme in trunk NCCs. In order to enhance our knowledge of the gene regulatory network governing trunk NCC delamination/EMT and to decipher whether EMT intermediate states also exist in the trunk region of mouse embryos, scRNA-seq must be performed using trunk tissues during trunk NCC delamination. Since trunk NCC delamination/EMT occurs progressively from anterior to posterior as the embryos grow, it is very important to select correct axial domains of the trunk to match the appropriate or required developmental stage.

In conclusion, through scRNA-sequencing we have transcriptionally identified two distinct intermediate stages of NCC during EMT and delamination based primarily on cell cycle status. Delamination in S phase or G2/M phase seems to occur simultaneously but also independently, resulting in a single or common pool of early migratory NCC. Further interrogation of our transcriptomic dataset revealed *Dlc1* to be a key molecular marker of intermediate stage NCC, and their location in situ in the dorsolateral neural plate, which we spatially resolved in E8.5 mouse embryos. Lastly, we tested and functionally validated that *Dlc1* plays an important role in NCC delamination in mouse embryos. Taken together, our identification and characterization of intermediate stage cranial NCC during their delamination are consistent with NCC EMT being a developmental EMP event. Similar to intermediate EMT states in cancer metastasis, NCC downregulate certain epithelial cell features but maintain co-expression of epithelial cell markers and mesenchymal cell markers during EMT and delamination. Additionally, intermediate stage NCC are localized at the dorsolateral edge of the neural plate border, which is reminiscent of the localization of intermediate EMT states at the leading edge of invasion in several types of primary tumors. However, unlike certain intermediate EMT cells present in the lymph nodes or circulating tumor cells, mouse EMT intermediate NCC represent a transient state and eventually form migratory NCC with mesenchymal character. This suggests that EMP may be a more common

developmental phenomenon. Our transcriptional data and signatures of intermediate stage NCC during EMT and delamination can serve as a useful resource for the community. This also now sets the stage for uncovering the gene regulatory networks that govern intermediate stage NCC development and function, and for exploring whether EMP is a feature of other developmental and pathological EMT events such as in gastrulation, wound healing, and fibrosis.

Materials and Methods

Mice and animal husbandry

All mice were kept in a 16h-light and 8h-dark light cycle in the Laboratory Animal Services Facility at the Stowers Institute for Medical Research. All animal experiments were conducted in accordance with Stowers Institute for Medical Research Institutional Animal Care and Use Committee (IACUC)-approved protocol (IACUC no. 2022-143). *Wnt1-Cre* mice (*H2afv*^{Tg(Wnt1-cre)11Rth} Tg(Wnt1-GAL4)11Rth/J, Jax stock #003829) and *RosaeYFP* mice were obtained from the Jackson Laboratory and maintained and genotyped as previously described (Chai et al. 2000 [DOI](#); Jiang et al. 2000 [DOI](#)). *Wnt1-Cre* was maintained as a heterozygous allele and crossed to homozygous *RosaeYFP* to generate *Wnt1-Cre;RosaeYFP*. *Mef2c-F10N-LacZ* mice, in which LacZ expression is regulated by a neural crest cell specific enhancer of *Mef2c*, were maintained and genotyped as previously described (Aoto et al. 2015 [DOI](#)).

Immunohistochemistry

Wholemount

Embryos were dissected and fixed in 4% PFA (in PBS) overnight. The next day the embryos were rinsed 3 times for 5 minutes in PBS, dehydrated through an increasing methanol series from 25% methanol/PBS to 100% methanol and stored at -20°C until needed. Embryos were incubated in Dent's bleach (4:1:1 Methanol:DMSO:Hydrogen peroxide) for 2 hours in the dark at room temperature, rinsed in 100% methanol for 15 minutes, and rehydrated through a decreasing methanol series from 100% methanol to PBT (0.1% Triton in 1X PBS). Embryos were blocked in 2% goat serum + 2% Bovine Serum Albumin for 2 hours at room temperature before primary antibody (GFP, 1:500, Invitrogen #A6455) was added and the embryos were incubated overnight at 4°C with rocking. Embryos were washed three times for 5 minutes in PBT and then 6 times for 1 hour at room temperature with rocking. Embryos were incubated in secondary antibody (Alexa Fluor 488 Goat anti-Rabbit, 1:500, Invitrogen #11008; DAPI, 1:1200, Sigma- Aldrich #D9564) at 4°C in the dark overnight with rocking, then washed 3 times for 5 minutes in PBT and 6 times for 1 hour at room temperature with rocking. Embryos were then mounted in VECTASHIELD® Antifade Mounting Medium with DAPI (Vector Laboratories #H-1200) for imaging.

Cryosection

Embryos were dissected and fixed in 4% PFA (in PBS) overnight. Embryos were then transferred to 1X PBS and rocked for 10 minutes at 4°C, before being incubated in 30% sucrose in 1X PBS, at 4°C for 30 minutes-5 hours with rocking (or until embryos were equilibrated as indicated by their sinking to the bottom of the tube). Embryos were embedded in Tissue-Tek O.C.T. Compound (VWR #25608-930), sectioned transversely at 10µm thickness on a NX70 Cryostar cryostat, permeabilized 3 times for 5 minutes in PBT, and blocked in 2% goat serum + 2% Bovine Serum Albumin for 2 hours at room temperature. Sections were then incubated in primary antibody overnight at 4°C and the following primary antibodies were used: Sox10 (1:500, Abcam #ab155279), phospho-histone H3 (1:500, Millipore #05-806), GFP (1:500, Invitrogen #G10362). Sections were then washed 3 times for 5 minutes in PBT at room temperature, before diluted secondary antibody and/or conjugated primary antibody solutions were added and the sections were incubated for 2 hours in

the dark at room temperature. Sections were washed 3 times for 5 minutes in PBT before mounting in VECTASHIELD®. Secondary antibodies included Alexa Fluor 488 Goat anti-Rabbit (1:500, Invitrogen, #11008), 546 Goat anti-Mouse (1:500, Invitrogen, #A21045), 647 Goat anti-Rat (1:500, Invitrogen, #A21267), 647 Donkey anti-Mouse (1:500, Invitrogen, #A32787), DAPI (1:1200, Sigma-Aldrich, #D9564).

TUNEL staining

Cryosections were washed 3 times for 5 minutes in PBT and then permeabilized in 0.1% sodium citrate/PBT for 5 minutes. Cryosections were then washed 3 times for 5 minutes in PBT before being incubated with 1:10 TUNEL enzyme buffer (Roche #12156792910) at 37°C in the dark for 2 hours. Sections were washed 3 times for 5 minutes in PBS prior to counterstaining with DAPI and/or mounting in VECTASHIELD®.

EdU labeling

EdU staining was performed on cultured whole mouse embryos. Briefly, complete media containing 50% DMEM-F12-Glutamax, 50% rat serum, and 1X penicillin/streptomycin was pre-warmed at 37°C in roller culture bottles in a 5% CO₂, 5% O₂ and 90% N₂ atmosphere (Muñoz and Trainor 2019 [↗](#); Sakai and Trainor 2014 [↗](#)). After E8.5 CD1 embryos were dissected in Tyrode's buffer with an intact yolk sac, and equilibrated in culture media for 30 minutes, EdU (Invitrogen #C10638) was added to the media according to the manufacturer's protocol at a working concentration of 500uM. DMSO was added to control embryos. Embryos were then incubated for 15 minutes, after which they were briefly rinsed in warm equilibrated culture media followed by Tyrode's buffer before fixing in 4% PFA (in PBS) overnight at 4°C. Fixed embryos were embedded and sectioned at 10um, and then stained following the manufacturer's instructions, with fluorescent immunostaining performed as needed after EdU staining.

Single cell RNA sequencing

Tissue collection, cell processing and sequencing, and data processing were performed as previously described (Falcon et al. 2022 [↗](#)). Briefly, 6 *Mef2c-F10N-LacZ* (Aoto et al. 2015 [↗](#)) and 6 *Wnt1-Cre;RosaeYFP* (Chai et al. 2000 [↗](#)) E8.5 mouse embryo littermates were collected. It's important to note we included at least two eYFP negative controls together with the *Wnt1-Cre;RosaeYFP* embryos, and negative controls of *Mef2c-F10N-LacZ*. Briefly, after isolating E8.5 embryos, we then dissected the head from those embryos, and performed scRNA-seq on dissociated cranial tissues. Cranial tissues were then dissociated into single cells, and 12,000-15,000 cells per sample were loaded on a Chromium Single Cell Controller (10x Genomics). Libraries were prepared using the Chromium Next GEM Single Cell 3' Library & Gel Bead Kit v3.1 (10x Genomics), quality control checked, and then pooled at equal molar concentrations and sequenced on an Illumina NovaSeq 6000 S1 flow cell. Raw sequencing data was processed using Cell Ranger (v3.0.0, 10x Genomics) and after mitochondria and other feature thresholding, the final dataset used for analysis consisted of 21,190 cells (12,498 cells for *Wnt1-Cre;RosaeYFP* and 8,692 for *Mef2c-F10N-LacZ*) and 29,041 genes, and is available at the Gene Expression Omnibus (accession no. GSE168351). R (v3.6.1) was used for downstream analysis. The Seurat package (v3.1.5.9003) (Stuart et al. 2019 [↗](#)) was used to normalize data via the SCTransform method (Hafemeister and Satija 2019 [↗](#)). For clustering, 3000 highly variable genes were selected, and the first 46 principal components based on those genes were used to identify 6 initial clusters at a resolution of 0.05 using the shared nearest neighbor method. Cranial NCC were identified as one of the 6 initial clusters based on the expression of tissue specific marker genes. The cranial NCC cluster was then subdivided at resolution=0.26 into 5 subclusters, three of which were characterized as the early migratory NCC based on NCC development gene expression. Early migratory NCC were further subdivided into 15 subclusters at resolution=2.0 to explore the presence of EMT intermediate NCC.

Pseudotime trajectory analysis

Trajectory analysis was performed using Monocle3 (0.2.2) with closed loop set to true and use partition set to false on cranial neural crest cells, which were subset from the single cell data set after doublet identification with DoubletFinder (2.0.2). The resulting pseudotime estimates were added back to the Seurat metadata table for visualization and the trajectory was plotted on the original clusters identified in the Seurat analysis.

SABER-FISH staining and imaging

All oligo pools, concatemer hairpins and fluorophore probes were designed and ordered through IDT using stringent settings as previously described (Kishi et al. 2019 [\[1\]](#)). Only fluorophores 488, 594 and 647 were used to prevent overlap in spectral emission and channel bleed-through. SABER-FISH probes for each gene were made following the PER concatamerization protocol. The probes were allowed to elongate for 2 hours at 37°C before heat inactivation of the polymerase. A sample of the probes was run on a gel to confirm elongation length and ensure that no secondary products had formed in the process. Probes were then cleaned using the Qiagen PCR Purification kit and the final concentration of the generated probes were measured by Nanodrop.

CD1 embryos were collected at the 5-6ss and fixed overnight in 4% PFA (in PBS) at 4°C. Embryos were then washed 5 times in PBS with DEPC-PBTW (1X DEPC-PBS + 0.1% Tween-20) and transferred into 30% sucrose PBTW and rocked overnight at 4°C. Next, embryos were embedded in OCT, frozen and cryosectioned at 20µm thickness. Sections were placed on Histogrip treated slides and warmed to encourage adherence, washed 3 times for 5 minutes in PBTW, incubated in Hybridization wash buffer and allowed to equilibrate to 37°C (the lowest melting temperature limit out of our probe set). Sections were then incubated with pre-equilibrated probes overnight (roughly 16 hours) at 37°C. The next day the sections were washed with Hybridization wash buffer, 2X SSCT (2×SSC + 0.1% Tween-20) and PBTW and then the first set of fluorophores were applied to the sections [set one: Sox10, Wnt1 and Sp5; set two: Pak3 and Dlc1] and left to hybridize at 37°C for 30 minutes. Following fluorophore probe hybridization, sections were washed in PBTW with 1:1000 DAPI for 10 minutes followed by PBTW 2 times for 5 minutes. The slides were then mounted in VECTASHIELD® containing DAPI with a 1.5 glass coverslip.

Sections were imaged with a Nikon CSU-W1 inverted spinning disk equipped with a sCMOS camera. Each laser was set to 400µs acquisition speed and a z-stack of 32 slices (1µm per slice) was acquired. Following imaging of the first set of probes, the coverglass was gently removed from each slide. The first set of fluorophore probes were removed by washing 3 times for 5 minutes in PBTW washes, followed by 3 washes with Displacement buffer and another 3 washes in PBTW. Slides were remounted with VECTASHIELD®, covered with a coverslip and imaged to ensure all previous fluorescent probes had been removed. After imaging, the coverslip was removed again, and the sections were rinsed in PBTW. 3 times for 5 minutes. The second fluorophore probes were then applied to the sections and allowed to hybridize for 30 minutes at 37 °C. Slides were then washed 3 times for 5 minutes in PBTW and mounted a final time in VECTASHIELD® containing DAPI. The second set of probes were imaged using the exact same parameters as the first.

SABER-FISH image processing

All image processing was performed in FIJI/ImageJ (Schindelin et al. 2012 [\[2\]](#)) and plugin source code can be accessed through https://github.com/jayunruh/Jay_Plugins [\[3\]](#). Plugins can be used by following the Stowers Fiji update site. Convenience macros that combine these plugin functionalities are included in the supplemental materials.

After acquisition, images were scaled by 0.5 with averaging (i.e. binning). Background subtraction was run on the scaled images using the “roi average subtract jru v1” plugin. This plugin takes the average intensity signal in a selected region of interest or chosen area of background in the image and removes that average across the image. The two series of z-stack images acquired for each set of probes were then aligned and combined through a “registration_macro.ijm”. In summary, this macro registers the two z-stacks according to DAPI signal from a selected representative z-slice. Alignment is achieved through using an implementation of the TurboReg tool set to a rigid body transformation (Thevenaz et al. 1998 [\[1\]](#)).

Once the two sets of probes have been aligned, the signals are measured and tracked with the macro “all_combined_aftersubreg.ijm”. Images were first copied, and then sum projected in z before undergoing a Gaussian blur with sigma value 4. Nuclei are then identified in the DAPI channel by a maximum finding approach with a minimum spot distance of 70 pixels and a threshold of 10% of the maximum intensity (Varberg et al. 2022 [\[2\]](#)). Those spots provided a locational value for later mapping of transcript signals in two dimensions. Individual transcripts are found in three dimensions in the original combined images with a Gaussian blur with sigma value 1 and a rolling ball background subtraction with a radius of 10 pixels. Because the signal is very punctate and slides can accumulate auto-fluorescent debris over the course of staining, we next removed the 20 brightest spots in 3D with a spheroid of xy diameter 15 and z diameter 5 to ensure we were evaluating true signal. Spots were found using the same maximum finding approach as above but in 3 dimensions with a minimum separation of 12 pixels in xy and 4 slices in z and a threshold at 7% of the maximum intensity in each channel. The positions of those found maxima were then sum projected in z to estimate the number of transcripts in the vicinity of each nuclear maximum (see above).

An image showing the number of transcripts per cell was generated using “make_nuclear_image.py” macro based on the nuclei and transcript locations identified in the previous step. To generate the polyline kymograph showcasing spatial expression through the tissue, a zoomed in region of the transcript mapped images was generated around the dorsal neural fold tips. A line of 100 pixel width was drawn starting from the middle of the neuroepithelium towards the dorsal most tip of the neural fold and then ventrally into the underlying mesoderm and migratory neural crest cell population. The polyline kymograph was generate based on this line using the plugin “polyline kymograph jru v1”.

RNA in situ hybridization

Dlc1, *Pak3* and *Sp5* *in situ* plasmids were previously published (Dunty et al. 2014; Piccand et al. 2014 [\[3\]](#)). RNA in situ hybridization was performed as follows: Embryos were rehydrated through a descending methanol series from 100% methanol to DEPC-PBTW, then washed 2 times for 5 minutes in DEPC-PBTW. Embryos were bleached in 6% hydrogen peroxide in the dark for 15 minutes with rocking and then incubated in 10µg/ml Proteinase K in DEPC- PBTW for 4-5 minutes at room temperature, without rocking. Embryos were wash with 2 mg/ml glycine in DEPC-PBTW for 5 minutes, then 2 times for 5 minutes in DEPC-PBTW before being refixed in 4% DEPC-PFA + 0.2% glutaraldehyde for 20 minutes. Embryos were then washed 3 times for 5 minutes in DEPC-PBTW, rinsed in prewarmed (68°C) hybridization buffer (50% formamide, 5X SSC pH 4.5, 0.05% EDTA pH 8, 0.2% Tween20, 0.1% CHAPS, 20mg/ml Boehringer blocking powder, 1 mg/ml torula RNA, 0.05 mg/ml heparin), and incubated in hybridization buffer with rocking for 1 hour at 68°C. Embryos were then incubated in digoxigenin-labeled riboprobes (~2 ng/ul) in hybridization buffer overnight at 68°C. Day 2: Embryos were washed 2 times for 30 minutes with hybridization buffer at 68°C. followed by prewarmed Solution I (50% formamide, 1X SSC pH 4.5, 0.1% Tween20), 3 times for 30 minutes at 65°C. Embryos were then washed in 50% Solution I/50% MABT (1X MAB – Maleic acid, 0.1% Tween-20) for 30 minutes at room temperature, followed by MABT, 3 times for 5 minutes. Embryos were then blocked in MABT + 2% Boehringer blocking powder for 1 hour at room temperature, followed by MABT + 2% Boehringer blocking powder + 20% heat-treated goat serum for 2 hours. Embryos were then incubated overnight in anti-digoxigenin-AP diluted 1:2000

in MABT + 2% Boehringer blocking powder + 20% heat-treated goat serum, at 4°C. The embryos were then rinsed in MABT, washed 2 times for 15 minutes in MABT, followed by 5-8 further washes in MABT for 1-1.5 hours each, and an overnight wash in MABT at room temperature. The embryos were then washed 3 times for 10 minutes in NTMT (100mM NaCl, 100mM Tris pH 9.5, 50mM MgCl₂, 0.1% Tween-20), and incubated in NTMT + BCIP/NBT at room temperature in the dark. Color development at room temperature was allowed to continue until the desired darkness of the substrate was achieved. The color reaction was stopped by washing in PBTW, after which the embryos were stored long-term in 4% PFA (in PBS)/0.1% glutaraldehyde at 4 °C. Stained embryos were imaged, and sectioned transversely at 10um thickness prior to imaging.

Aphidicolin treatment

E7.5-E8.0 CD1 embryos were dissected in Tyrode's buffer with their yolk sac intact and incubated in pre-warmed culture media for 30 minutes-1 hour as previously described (Muñoz and Trainor 2019 [\[1\]](#); Sakai and Trainor 2014 [\[2\]](#), 2016 [\[3\]](#)). After 30 minutes equilibration, 0.5ug/ml aphidicolin (Sigma-Aldrich, #A0781) was added to the culture media to inhibit S phase of the cell cycle. The same quantity of DMSO was added to the control embryos. Following 12-13 hours of roller culture, EdU was added during the final 15 minutes. Embryos were then fixed in 4% PFA (in PBS) overnight at 4°C. Fixed embryos were embedded and sectioned at 10um and stained using the EdU kit following the manufacturer's instructions. Sox10 and phospho-histone H3 fluorescent immunostaining was performed after EdU staining as described above. TUNEL staining (described above) was performed after fluorescent immunostaining if applicable.

ShRNA-based lentiviral plasmids and lentivirus production

A shRNA plasmid clone set targeting *Dlc1* was obtained from GeneCopoeia (MSH100727-LVRU6MP). Each set contains 3 shRNA expression constructs and 1 scrambled shRNA control. Each shRNA hairpin consists of a 7 base loop and 19-29 base stem optimized for specific gene sequences as detailed by the manufacturer. Glycerol stocks of shRNA-based lentiviral plasmids were cultured in LB buffer with 100lilug/ml of ampicillin (Amresco # 0339). Plasmids were purified using a HiSpeed Plasmid midi kit (Qiagen #12643).

A total of 4×10^6 to 5×10^6 of 293T cells were seeded in one 10cm plate with 12ml of media without antibiotics the day before transfection. On the following day, when the cells reached 70-80% confluence, transfection was performed as follows: shRNA-based lentiviral plasmids (7ug), Pax2 packaging plasmid (7ug) and VSVG envelop plasmid (1ug) were mixed with 45ul of Fugene HD transfection reagent (Promega, cat#E5911) in 1.5ml of Opti-MEM (Gibco, cat#31985070). The mixture was incubated at room temperature for 15 minutes and then added dropwise to the plate of 293T cells. Virus-containing old culture media was harvested after 48 hours and 72 hours upon transfection. We then mixed the virus-containing media and added HEPES to reach 10mM final concentration. The media was spun down at 500g for 5 minutes at 4°C to remove cell debris and the supernatant was then collected and filtered through 0.45um filter into a falcon tube. We added 1 volume of 4x lentivirus concentrator solution (40% PEG-8000 in 1.2M NaCl) to 3 volumes of the filtered virus, mixed well and placed the tube on a shaker (60rpm/minute) at 4°C for overnight. The filtered virus was centrifuged at 1600g for 1hour at 4°C and the supernatant was carefully removed without disturbing the pellet. The pellet was then thoroughly resuspended with 1ml of cold PBS by gently pipetting up and down. The solution was transferred to 1.5ml tube and placed at room temperature for 10 minutes. We gently pipetted the virus again about 20 times and spun it down in a microcentrifuge at full speed for 3 minutes to pellet the protein debris. The supernatant was then aliquoted and stored at -80°C for future use.

Lentivirus injection

E7.5-E8.0 CD1 embryos were dissected in Tyrode's buffer with an intact yolk sac and equilibrated in pre-warmed culture media for 30 minutes as previously described (Muñoz and Trainor 2019 [↗](#); Sakai and Trainor 2014 [↗](#), 2016 [↗](#)). Viruses in 5ul aliquots were thawed and kept on ice, then pipetted onto a piece of parafilm in a small dish with the lid on to prevent evaporation. Using the Eppendorf CellTram Vario system, 1-2ul of each virus was injected into the amniotic cavity of each embryo (or until the amnion had visibly expanded) after which time, the embryos were returned to roller culture. After 24 hours of incubation, embryos were rinsed in PBS and fixed in 4% PFA (in PBS) at 4°C overnight. Fixed embryos were imaged, embedded, and sectioned at 10um thickness before fluorescent immunostaining with Sox10.

RNA isolation, cDNA preparation and quantitative reverse transcription-PCR (qRT-PCR)

Individual E8.5 mouse embryo head and tail tissues post culturing were collected into 1.5mL tubes and flash froze on dry ice with minimal DEPC-Tyrode's buffer remained in the tube. RNA was extracted using the Qiagen miRNeasy Micro Kit (Qiagen #217084) with on-column DNase treatment. RNA concentration was determined by Nanodrop. The SuperScript™ III First- Strand Synthesis System (Invitrogen #18080051) was used to synthesize cDNA for qRT-PCR with random hexamer primers, and qRT-PCR was performed on an ABI7000 (Thermo QuantStudio 7) using Perfecta SYBR Green (Quantbio #95072-250). Primers are listed in Supplemental Table 2. No template and no reverse transcription controls were run as negative controls. $\Delta\Delta C_t$ method was used to calculate fold change. One-way ANOVA was used for statistical analysis and significance was determined based on $p < 0.05$.

β -galactosidase staining

E8.5 *Mef2c-F10N-LacZ* embryos were collected and fixed in 2% formalin, 0.2% glutaraldehyde in 1X PBS for 15-20 minutes. Embryos were rinsed with PBS and stained according to manufacturer's protocol (Millipore #BG-6-B, #BG-7-B, #BG-8-C). Embryos were then fixed again in 4% PFA (in PBS) at 4°C with rocking overnight followed by washing in PBS for whole embryo brightfield imaging.

Fluorescent imaging

Fluorescently stained section images were captured on an upright Zeiss LSM-700 laser scanning confocal microscope using 405 nm, 488 nm, 555 nm and 639 nm excitation lasers. Emissions filters used to acquire images were Far-red: LP 640nm, Red: BP 505-600 nm, GFP: BP 490-555 nm, DAPI: SP 490 nm. Images were acquired with a Zeiss Fluor 10x objective lens. For each specimen, a z-stack of images was collected and processed as a maximum intensity projection.

All the images used for quantification were acquired with an Orca Flash 4.0 sCMOS 100fps at full resolution on a Nikon Eclipse Ti2 microscope equipped with a Yokagawa CSU W1 10,000 rpm Spinning Disk Confocal system. The spinning disk confocal is equipped with a quad filter for excitation with 405/488/561/640. Emissions filters used to acquire images were Far- red: 669-741nm, Red: 579-631 nm, GFP: 507-543 nm, DAPI: 430-480 nm. A Nikon Plan Apochromat Lambda LWD 40x objective was used to acquire the images with 50-100 ms exposure times.

Image processing

All analyses of fluorescent intensity were performed using Fiji and custom-written ImageJ Macro and python notebooks. Prior to the analyses, raw images were processed by subtracting background and were then projected for the max intensity to form single multiple- color images. Individual cells were segmented based on DAPI channel with a pre-trained cellpose model (Stringer et al. 2021 [↗](#)), and then the mean intensity of the individual channel was measured by

FIJI. The segmented cells were classified as either positive or negative based on their intensity in the corresponding channel. For images from the shRNA lentivirus injection experiments, due to the large variety of background signals, we manually labeled positive cells and then trained a cellpose model based on the manually labeled cells. Positive cells were classified based on the trained model, and their coordinates were recorded and saved to ImageJ ROI files for future verification.

For the cell cycle staining analysis, cells in the most dorsal lateral domain of the cranial and trunk neural plate were selected and saved to ImageJ ROI files. In cranial neural plate border cells, the following quantifications were performed: $\text{EdU}+\%$ =the percentage of EdU positive cells within eYFP positive delaminating premigratory NCC; $\text{pHH3}+\%$ = the percentage of pHH3 positive cells within eYFP positive delaminating premigratory NCC; $\text{EdU}+\text{pHH3}+\%$ = the percentage of EdU and pHH3 double positive cells within eYFP positive delaminating premigratory NCC; $\text{EdU}-\text{pHH3}-\%$ = the percentage of EdU and pHH3 double negative cells within eYFP positive delaminating premigratory NCC. In trunk neural plate border cells, the following quantifications were performed: $\text{EdU}+\%$ =the percentage of EdU positive cells within DAPI positive neural plate border cells at the trunk axial level; $\text{pHH3}+\%$ = the percentage of pHH3 positive cells within DAPI positive trunk neural plate border cells; $\text{EdU}+\text{pHH3}+\%$ = the percentage of EdU and pHH3 double positive cells within DAPI positive trunk neural plate border cells; $\text{EdU}-\text{pHH3}-\%$ = the percentage of EdU and pHH3 double negative cells within DAPI positive trunk neural plate border cells. For the Aphidicolin treatment experiments, neuroepithelial cells and Sox10 positive migratory NCC were selected and saved to ImageJ ROI files. The ratio of Sox10 positive migratory NCC over DAPI positive neural plate/neuroepithelial cells was calculated. For the shRNA lentivirus injection experiments, Sox10 positive migratory NCC were selected and saved to ImageJ ROI files. The number of Sox10 positive cells was quantified.

Brightfield imaging

Embryos were imaged on a Leica MZ16 microscope equipped with a Nikon DS-Ri1 camera and NIS Elements imaging software. Manual Z stacks were taken and then assembled using Helicon Focus software. Sections from embryos stained by in situ hybridization were imaged on a ZEISS Axio Vert and stitched using ImageJ if needed.

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Figures and figure legends

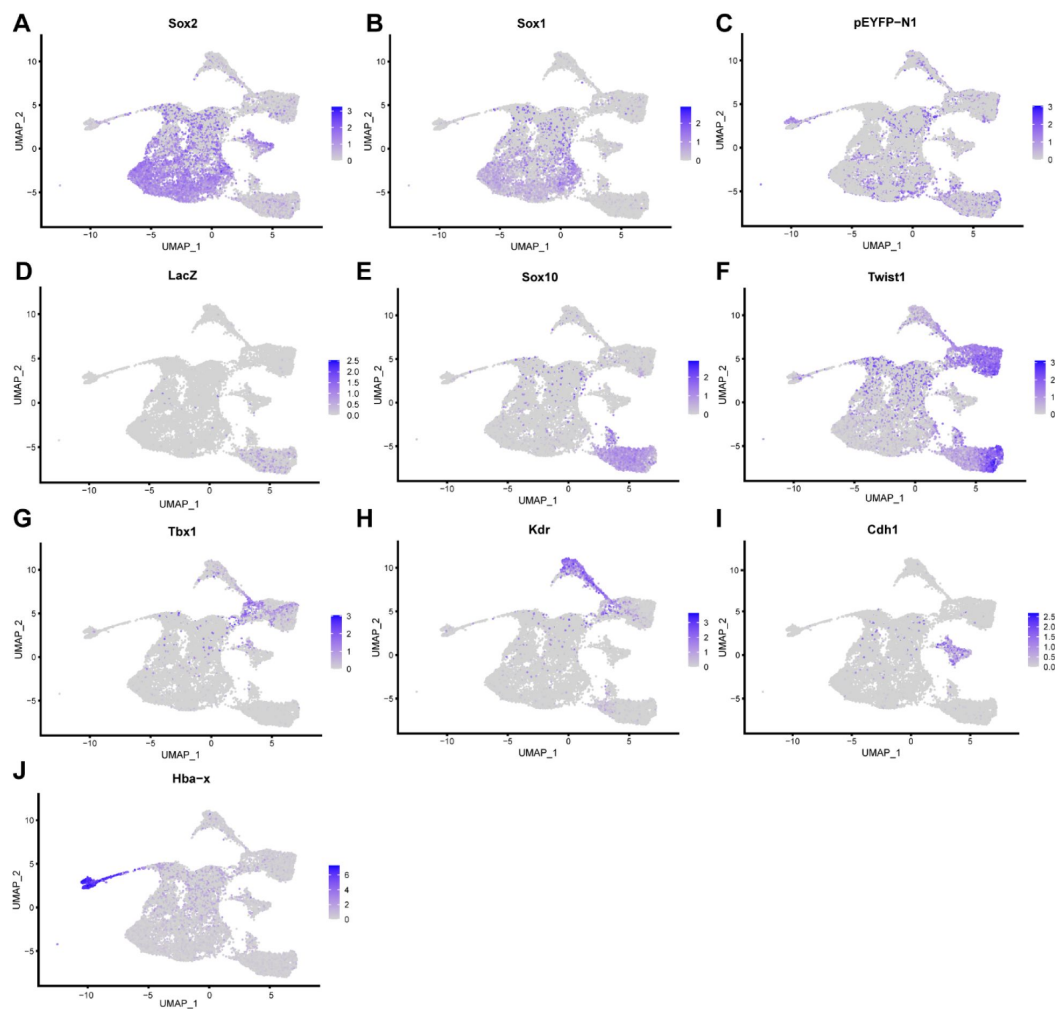


Figure 1-figure supplement 1.

Expression of tissue specific marker genes that identify 6 major cell type clusters in early E8.5 mouse embryonic cranial tissues. FeaturePlots that show the expression of (A) *Sox2*, neuroectoderm marker; (B) *Sox1*, neuroectoderm marker; (C) *eYFP*, premigratory and migratory NCC marker; (D) *LacZ*, migratory NCC marker; (E) *Sox10*, migratory NCC marker; (F) *Twist1*, NCC and mesoderm marker; (G) *Tbx1*, mesoderm marker; (H) *Kdr*, endothelial cell marker; (I) *Cdh1*, non-neural ectoderm marker; (J) *Hba-x*, embryonic blood cell marker.

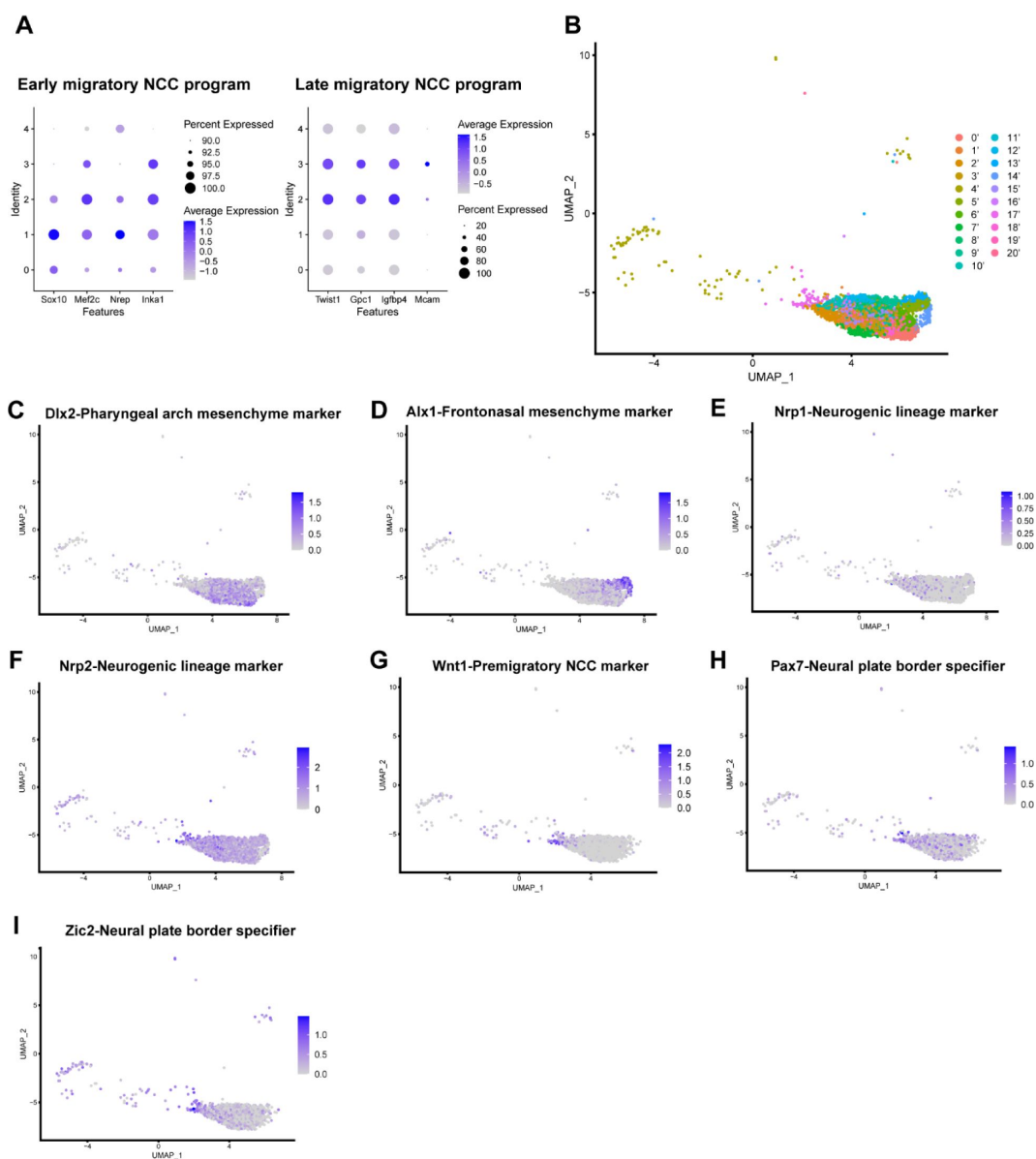


Figure 2-figure supplement 1.

Additional gene expressions that support the identity of cranial NCC subclusters at 0.26 and 2.0 resolutions. (A) Expression of NCC development program genes (Soldatov et al., 2019) confirms NCC subcluster 0 and 1 as early migratory NCC and subcluster 2 and 3 as late migratory NCC. Dotplots showing the expression of early and late NCC program genes in 5 NCC subclusters at resolution 0.26. Subcluster 0-3 all express early migratory NCC program genes, but only subcluster 2 and 3 express a significant level of late migratory NCC program genes. (B) UMAP and re-clustering of the cranial NCC cluster into 21 smaller subclusters at a resolution of 2.0. (C-F) FeaturePlots show the expression of mesenchyme and neurogenic lineage markers in cranial NCC. Subcluster 2 displays a high expression of pharyngeal arch mesenchyme marker *Dlx2* (C). The frontonasal mesenchyme marker *Alx1* is specifically expressed by subcluster 3 (D). Neurogenic lineage markers *Nrp1* and *Nrp2* are expressed in late migratory NCC (E and F). (G-I) FeaturePlots showing expression of the premigratory NCC marker *Wnt1* (G) and neural plate border specifiers *Pax7* and *Zic2* (H and I) in early migratory NCC. Subcluster 2' and 10' cells express reduced levels of *Wnt1*, *Zic2* and *Pax7* than subcluster 17' cells.

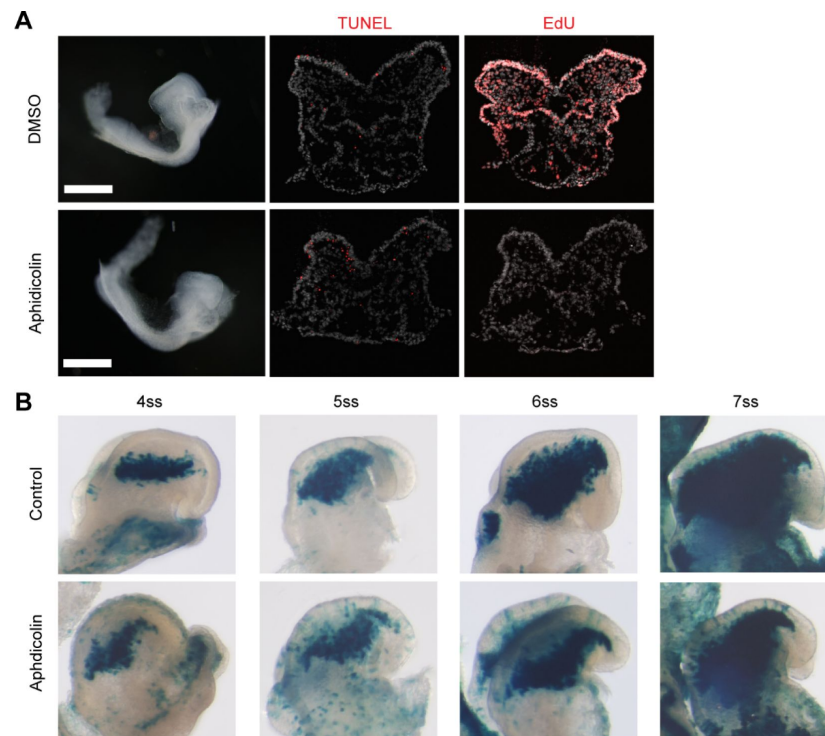


Figure 4-figure supplement 1.

Aphidicolin treatment on *Mef2c-F10N-LacZ* embryos show consistent results as CD1 embryos. (A) Aphidicolin treatment in early E8.5 CD1 embryos for 12- 13 hours prevented cells from entering S phase cell cycle and induced minimal cell death. Aphidicolin treated embryos exhibit a lack of EdU incorporation and a similar level of TUNEL signal compared to the control. (B) *Mef2c-F10N-LacZ* embryos treated with Aphidicolin for 12- 13 hours exhibit reduced migratory NCC as evidenced by β -galactosidase staining.

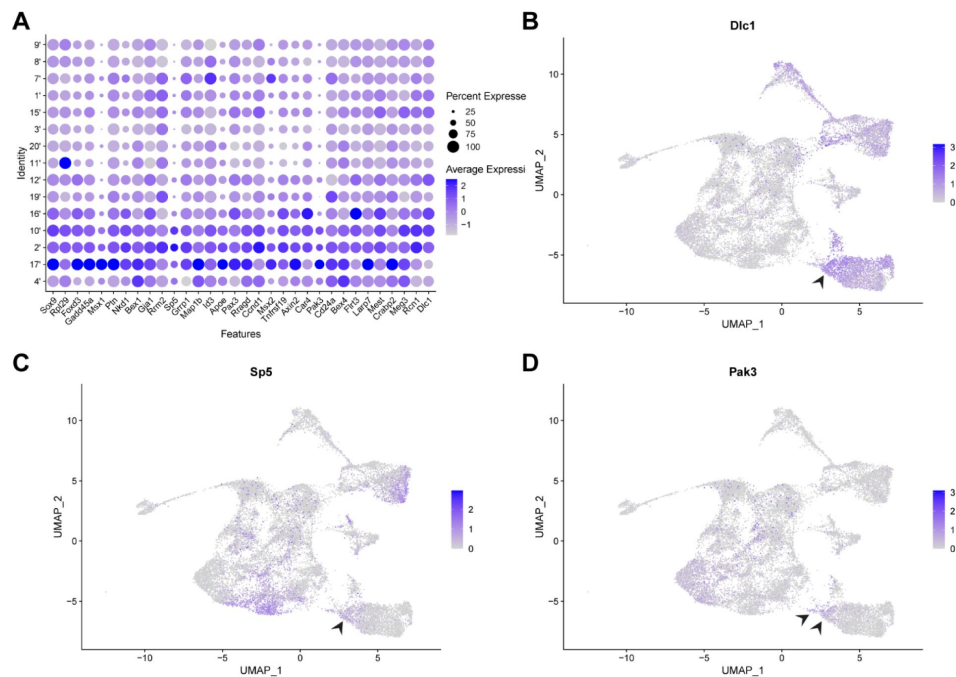


Figure 5-figure supplement 1.

Expression of EMT intermediate NCC markers in scRNA-seq data. (A) Dotplot showing the expression of all EMT intermediate NCC markers in early migratory NCC subclusters (resolution 2.0). (B-D) FeaturePlots showing the expression of *Dlc1*, *Sp5* and *Pak3* in all early E8.5 cranial mouse embryo tissues. The expression of *Dlc1*, *Sp5* and *Pak3* is largely restricted to NCC, neuroectoderm and mesoderm cells. Arrowheads indicate *Dlc1*, *Sp5* and *Pak3* expression specifically in premigratory and intermediate NCC.

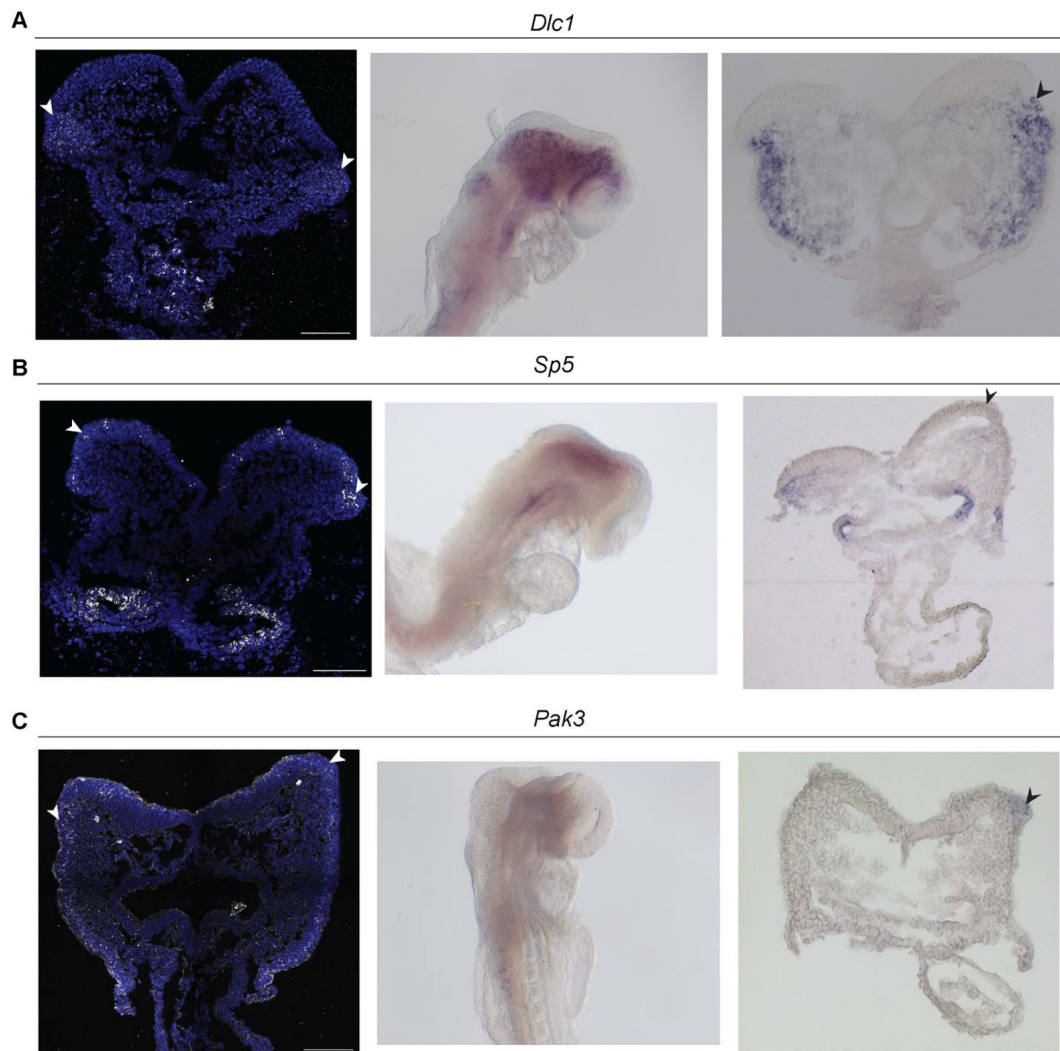


Figure 5-figure supplement 2.

Expression of intermediate NCC markers *Dlc1*, *Sp5* and *Pak3* by SABER-FISH and traditional in situ hybridization in E8.5 mouse embryos and cranial sections. Arrowheads indicate positive signals in the dorsolateral neuroepithelium. (A) *Dlc1* signal was observed in the dorsolateral region of the neuroepithelium as well as in cells just outside of the neuroepithelium in the underlying mesenchyme. (B) *Sp5* expression is localized within the neuroepithelium and underlying dorsal mesenchyme. (C) *Pak3* expression is observed in ectodermal tissues including the neuroectoderm or neuroepithelium.

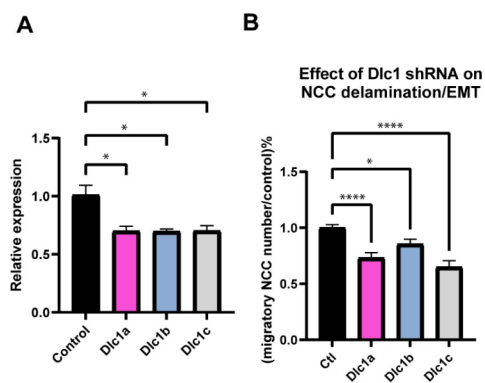


Figure 6-figure supplement 1.

Dlc1 plays a regulatory role in mouse cranial NCC EMT and delamination. (A) *Dlc1a*, *Dlc1b* and *Dlc1c* shRNA-based lentiviruses consistently achieved 30% reduction of *Dlc1* expression based on qRT-PCR analysis. * $p < 0.05$. (B) Embryos injected with *Dlc1a*, *Dlc1b* and *Dlc1c* shRNA-based lentiviruses consistently showed significantly fewer migratory NCC compared to the control. The number of Sox10+ migratory NCC was quantified in control ($n=4$), *Dlc1a* ($n=4$), *Dlc1b* ($n=4$) and *Dlc1c* ($n=4$) embryos. All datapoints in *Dlc1a*, *Dlc1b* and *Dlc1c* samples were normalized to the control samples. * $p < 0.05$. **** $p < 0.0001$.

Gene	Expression pattern in relation to NCC development	References
<i>Sox2</i>	Neural epithelium (reduced in neural plate border)	Hafemeister & Satija, 2019; Lee et al., 2013; Wood & Episkopou, 1999
<i>Sox1</i>	Neural epithelium (reduced in neural plate border)	Hafemeister & Satija, 2019; Lee et al., 2013; Wood & Episkopou, 1999
<i>Zic1/2</i>	Neuroepithelium	Sauka-Spengler & Bronner-Fraser, 2008
<i>Wnt1</i>	Neural plate border	Echelard et al., 1994; Parr et al., 1993
<i>Pax7</i>	Neural plate border	Murdoch et al., 2012
<i>GCNF (Nr6a1)</i>	Neural epithelium and early MNCC	
<i>Zeb2</i>	Neural epithelium and early MNCC	Van de Putte et al., 2003
<i>Pax3</i>	Neural plate border and early MNCC	Li et al., 2000
<i>(Wnt1-Cre) EYFP</i>	Neural plate border and early MNCC	Hari et al., 2012
<i>Sox9</i>	Neural plate border and early MNCC	Lee et al., 2013
<i>Foxd3</i>	Neural plate border and early MNCC	Dottori et al., 2001
<i>Snail1</i>	Neural plate border and early MNCC	Cheung et al., 2005
<i>Mef2c-F10N-LacZ</i>	Predominantly MNCC	Aoto et al., 2015
<i>Vimentin</i>	MNCC (marker of mesenchymal cells)	Kobayashi et al., 2020
<i>Sox10</i>	MNCC	Hari et al., 2012
<i>Twist1</i>	MNCC	Soo et al., 2002

Supplementary Table 1.

NCC development related genes.

	Forward (5'-3')	Reverse (5'-3')
<i>Dlc1</i>	AGCGGCTGTGAAAGAAA	GCATTACCCTTGGAGAAGA
<i>B2M</i>	CACTGACCGGCCTGTATGC	GGTGGCGTGAGTATACTTGAATTG
<i>CANX</i>	CCAGACCCTGATGCAGAGAAG	CCTCCCATTCTCCGTCCATA

Supplementary Table 2.

Primers for qRT-PCR

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Reviewer #1 (Public Review):

Summary:

This describes the molecular identity of the intermediate status of cranial neural crest cells (NCCs) during the initial delamination process. Taking advantage of single-cell RNA seq, the authors identify new populations of cells during EMT characterized by a specific set of gene expressions, including *Dlc1*. Promigratory cranial NCCs differentiate through different trajectories depending on their cell cycle phases but converge into a common progenitor, then differentiate into mesenchymal cells expressing region-specific genes.

Strengths:

Single-cell RNA seq data convincingly support what the authors claim. This is the first time to identify intermediate states between premigratory and migratory cranial NCCs. Silencing one of the marker genes, *Dlc1*, reduces the migratory activity of cranial NCCs. These findings deepen our understanding of the mechanism of EMT in general.

Comments on revised version:

Weaknesses:

None after substantial revision.

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Reviewer #2 (Public Review):

Zhao et al., focus on mechanisms through which cells convert from epithelium to mesenchyme and become migratory. This phenomenon of epithelial-to-mesenchymal transition (EMT) occurs during both embryonic development and cancer progression. During cancer progression, EMT seemingly includes cells at intermediate states as defined by the combinatorial expression of epithelial and mesenchymal markers. But the importance of these markers and the role of these intermediate states remains unclear. Moreover, whether EMT during development also involves equivalent intermediate cell states is not known. To address this gap in knowledge, the authors devise a strategy to identify and characterize changes that an embryonic population of cells called the cranial neural crest undergo as they delaminate from the neuroepithelium and become a highly migratory population of mesenchymal cells that ultimately give rise to a broad range of derivatives.

To isolate and study the neural crest, the authors use embryos collected at E8.5 from two transgenic mouse lines. *Wnt1-Cre;RosaeYFP* labels *Wnt1*-positive neuroepithelial cells in the dorsolateral neural plate, which includes pre-migratory neural crest that reside in the dorsal neuroectoderm and neural plate border before induction (as well as some other lineages). *Mef2c-F10N-LacZ* leverages a neural crest cell-specific enhancer of *Mef2c* to control *LacZ*

expression in predominantly migratory neural crest. This dual genetic approach that allows the authors to distinguish and compare pre-migratory and migratory neural crest cells is a strength of the work.

To assay for the differential expression of genes involved in the EMT and migration of cranial neural crest, the authors perform single cell RNA sequencing (scRNA-seq) using current methods. A strength is a large sample size per mouse line, and relatively high numbers of single cells analyzed. The authors identify six major cell/tissue types present in mouse E8.5 cranial tissues using known markers, which they then segregate into a cranial neural crest cluster using a well-reasoned bioinformatic strategy. The cranial neural crest cluster contains pre-migratory and migratory cells that they partition further into five subclusters and then characterize using the differential expression and combinatorial patterns of neural crest specifier genes, markers of pre-migratory neural crest, markers of early versus late migratory neural crest, markers of undifferentiated versus differentiated neural crest, tissue-specific markers, and region-specific markers. One weakness is that there is little attempt to map potential novel genes and/or pathways that also distinguish these clusters.

The authors then go on to subdivide the five cranial neural crest subclusters into almost two dozen smaller subclusters, again using the combinatorial expression of known markers (e.g., neural crest genes, cell junction genes, and cell cycle genes). A weakness is that the marker analysis and accompanying interpretation of the results relies heavily on the purported roles of different genes as described in the published work of others, which potentially introduces some untested assumptions and a bit of hand-waving into the study. Moreover, the limited correlation between mRNA and protein abundance for cell cycle markers is well documented in the literature but the authors rely heavily on gene expression to determine cell cycle status. Even though the authors add a compelling Edu/pHH3 double-labeling experiment and cell cycle inhibition studies, the work would be strengthened by including some analysis of protein expression to see if the cell cycle correlations hold up. Nonetheless, the subcluster and cell cycle analyses lead the authors to conclude that there are a series of intermediate cell states between neural crest EMT and delamination, and that cell cycle regulation is a defining feature and necessary component of those states. These novel findings are generally well supported by the data.

To test if there are spatiotemporal differences in the localization of neural crest cells during EMT *in vivo*, the authors apply a cutting-edge technique called signal amplification by exchange reaction for multiplexed fluorescent *in situ* hybridization (SABER-FISH), which they validate using standard *in situ* hybridization. The authors select specific marker genes that seem justified based on their scRNA-seq dataset, and they generate a series of convincing images and quantitative data that add valuable depth to the story.

As a functional test of their hypothesis that one of the genes indicative of an EMT intermediate stage (i.e., *Dlc1*) is essential for neural crest migration, the authors use a lentivirus-mediated knockdown strategy. A strength is that the authors include appropriate scramble and cell death controls as part of their experimental design.

The authors use *Sox10* as a marker to count neural crest cells, but *Sox10* may only label a subset of neural crest cells and thus some unaffected lineages may not have been counted. Although the data are persuasive, a second marker for counting neural crest cells following knockdown would make the analysis more robust.

Overall, this is a first-rate study with many more strengths than weaknesses. The authors generate high quality data, and their interpretations are reasonable and balanced. Another strength is the writing, which is clear and well organized, and the figures (including supplemental), which are excellent and provide unambiguous visualization of some very complex data sets. The methods are state-of the art and are effectively executed, and they will be useful to the broader cell and developmental biology community. The work contains well-

substantiated findings and supports the conclusion that EMT is a highly dynamic, multi-step process, which was previously thought to be more-or-less binary. Such findings will alter the way the field thinks about EMT in neural crest and the work will likely serve as an important example alongside cancer metastasis.

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Reviewer #3 (Public Review):

Summary:

Zhao et al. address the question of whether intermediate states of the epithelial-to-mesenchymal transition (EMT) exist in a natural developmental context as well as in cancer cells. This is important not only for our understanding of these developmental systems but also for their development as resources for new anti-cancer approaches. Guided by single-cell RNA sequencing analysis of delaminating mouse cranial neural crest cells, they identify two distinct populations with transcriptional signatures intermediate between neuroepithelial progenitors and migrating crest. Both clusters are also spatially intermediate and are actively cycling, with one in S-phase and one in G2/M. They show that blocking progression through S phase prior to the onset of delamination and knockdown of intermediate state marker Dlc1 both reduce the number of migratory cells that have completed EMT. Overall, the work provides a modern take and new insights into the classical developmental process of neural crest delamination.

Strengths:

- Deep analysis of the scRNAseq dataset revealed previously unappreciated cell populations intermediate between premigratory and migratory crest.
- The observation that delaminating/intermediate neural crest cells appear to be in S or G2/M phase is interesting and worth reporting, though the ultimate significance remains unclear, given that they do not make distinct derivatives depending on their cycle state.
- The authors employ new methods for multiplex spatial imaging to more accurately define their populations of interest and their relative positions.
- The authors present evidence that intermediate state gene Dlc1 (a Rho GAP) is not just a marker but functionally required for neural crest delamination in mouse, as previously shown in chicken.

Weaknesses:

- Similar experiments involving blockade of cell cycle progression and Dlc1 dose manipulation were previously performed in chick models, as noted in the discussion. The newly-defined intermediate states give added context to the results, but they are not entirely novel.

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Author Response

The following is the authors' response to the original reviews.

Reviewer #1 (Recommendations For The Authors):

(1) More explanation/description of Fig 3C and 3D would be helpful for readers, including the color code of 3D and black lines shown in both panels.

We have added more description to the legend of Figure 3, and we have used the same color code as in Figure 2, which we now specifically note in the figure legend as well.

(2) Differences between cranial and trunk NCC could be experimentally shown or discussed. Fig 4C shows some differences between these two populations, but in situ, results using Dlc1/Sp5/Pak3 probes in the trunk region may be informative, like Fig 5 supplement 2 for cranial NCCs.

This is an important point. The focus of our study was on cranial neural crest cells, and the single cell sequencing data is therefore truly reflective of only cranial neural crest cells. We have not functionally tested for the roles of Dlc1/Sp5/Pak3 in trunk neural crest cells, however, based on the expression and loss-of-function phenotypes of Sp5 or Pak3 knockout mice, we predict they individually may not play a significant role. It remains plausible that Dlc1 could play an important role in the delamination of trunk neural crest cells, but we have not tested that definitively. Nonetheless, Sabbir et al 2010 showed in a gene trap mouse mutant that Dlc1 is expressed in trunk neural crest cells. Regarding the similarities and differences between cranial and trunk neural crest cells as noted by the reviewer with respect to Figure 4, it's important to recognize the temporal differences illustrated in Figure 4. Neural crest cell delamination proceeds in a progressive wave from anterior to posterior, but also that the analysis was designed to quantify cell cycle status before and during neural crest cell delamination. We have compared cranial and trunk neural crest cells in more detail in the discussion and also speculate what might happen in the trunk based on what we know from other species.

(3) Discussion can be added about the potential functions of Dlc1 for NCC migration and/or differentiation based on available info from KO mice.

We have added specific details regarding the published Dlc1 knockout mouse phenotype to the discussion, particularly with respect to the craniofacial anomalies which included frontonasal prominence and pharyngeal arch hyperplasia, and defects in neural tube closure and heart development. Although the study didn't investigate the mechanisms underpinning the Dlc1 knockout phenotype, the craniofacial morphological anomalies would be consistent with a deficit in neural crest cell delamination reducing the number of migrating neural crest cells, as we observed in our Dlc1 knockdown experiments.

Reviewer #2 (Recommendations For The Authors):

The authors used the (Tg(Wnt1-cre)11Rth Tg(Wnt1-GAL4)11Rth/J) line but work from the Bush lab (see Lewis et al., 2013) has demonstrated fully penetrant abnormal phenotypes that affect the midbrain neuroepithelium, increased CyclinD1 expression and overt cell proliferation as measured by BrdU incorporation. The authors should explain why they used this mouse line instead of the Wnt1-Cre2 mice (129S4-Tg(Wnt1-cre)1Sor/J) in the Jackson Laboratory (which lacks the phenotypic effects of the original Wnt1-Cre line), or a "Cre-only" control, or at a minimum explain the steps they took to ensure there were no confounding effects on their study, especially since cell proliferation was a major outcome measure.

This is an important point, and we thank the reviewer for raising it. Yes, it has been reported that the original Wnt1Cre mice exhibit a midbrain phenotype (Ace et al. 2013). However, it has also been noted that Wnt1Cre2 can exhibit recombination in the male germline leading to ubiquitous recombination (Dinsmore et al., 2022). Therefore, to avoid any potential for bias, we used an equal number of cells derived from the Wnt1 and F10N transgenic line embryos in our scRNA-seq, and this included multiple non-Cre embryos. Our scRNA-seq analysis was therefore not dependent upon Wnt1-Cre, but also because we used whole heads not

fluorescence sorted cells. However, Wnt1-Cre lineage tracing was advantageous from a computational perspective to help define cells that were premigratory and migratory in concert with Mef2c-lacZ –based on their expression of YFP, LacZ or both. We note these specifics more clearly in the methods.

The Results section (line 122) states that scRNA-seq was performed on dissociated cranial tissues but the Methods section (lines 583-584) implies that whole E8.5 mouse embryos were dissociated. Which was dissociated, whole embryos or just cranial tissues? Obviously, the latter would be a better strategy to enrich for cranial neural crest, but the authors also examine the trunk neural crest. This should be clarified in the text.

We apologize that some of the details regarding the tissue isolation were confusing and we have clarified this in the methods and the text. For the record, after isolating E8.5 embryos, we then dissected the head from those embryos, and performed scRNA-seq on dissociated cranial tissues. As the reviewer correctly noted, this approach strategically enriches for cranial neural crest cells.

The authors do not justify why they chose a knockdown strategy, which has its limitations including its systemic injection into the amniotic cavity, its likely global and more variable effects, and its need to be conducted in culture. Why the authors did not instead use a Wnt1-Cre-mediated deletion of Dlc1, which would have been "cleaner" and more specific to the neural crest, is not clear (maybe so they could specifically target different Dcl1 isoforms?). Also, the authors use Sox10 as a marker to count neural crest cells, but Sox10 may only label a subset of neural crest cells and thus some unaffected lineages may not have been counted. The authors should mention what is known about the regulation of Dcl1 by Sox10 in the neural crest. Although the data are persuasive, a second marker for counting neural crest cells following knockdown would make the analysis more robust. Can the authors explain why they did not simply use the Mef2c-F10N-LacZ line and count LacZ-positive cells (if fluorescence signal was required for the quantification workflow, then could they have used an anti-beta Galactosidase antibody to label cells)?

We thank the reviewer for raising these important considerations. It has previously been noted that although Wnt1-Cre is the gold standard for conditional deletion analyses in neural crest cell development, especially migration and differentiation, it is not a good tool for functional studies of the specification and delamination of neural crest cells due to the timing of Wnt1 expression and Cre activation and excision (see Barriga et al., 2015). Therefore, we chose a knockdown strategy instead, and also because it allows us to more rapidly evaluate gene function. We agree that there are limitations to the approach with respect to variability, however, this is outweighed by the ability to repeatedly perform the knockdown at multiple and more relevant temporal stages such as E7.5 (which is prior to the onset of Wnt1-Cre activity), as well as target different isoforms, and also treat large numbers of embryos for quantitative analyses. The advantage of using Sox10 as a marker for counting neural crest cells is that at the time of analysis, cranial neural crest cells are still migrating towards the frontonasal prominences and pharyngeal arches, and the overwhelming majority of these cells are Sox10 positive. Moreover, we can therefore assay every Dlc1 knockdown embryo for Sox10 expression and count the number of migrating neural crest cells. The limitation of using the Mef2c-F10N-LacZ line is that this transgenic line is maintained as a heterozygote, and thus only half the embryos in a litter could reasonably be expected to be lacZ+. But combining Sox10 and Mef2c-F10N-LacZ fluorescent immunostaining for similar analyses in the future is a great idea.

Reviewer #3 (Recommendations For The Authors):

The putative intermediate cells differentially express mRNAs for genes involved in cell adhesion, polarity, and protrusion relative to bona fide premigratory cells (Fig. 2E). This is persuasive evidence, but only differentially expressed genes are shown. Discussing those markers that have not yet changed, e.g. Cdh1 or Zo1 (?), would be instructive and help to clarify the order of events.

We thank the author for this suggestion and we have provided more detail about adherens junction and tight junctions. Cdh1 is not expressed, and although Myh9 and Myh10 are expressed, we did not detect any significant changes. ZO1 is a tight junction protein encoded by the gene Tjp1, which along with other tight junctions protein encoding genes, is downregulated in intermediate NCCs as shown in the Figure 2E.

It is unclear whether the two putative intermediate state clusters differ other than their stage of the cell cycle. Based on the trajectory analysis in Fig. 3C-D, the authors state that these two populations form simultaneously and independently but then merge into a single population. However, without further differential expression, it seems more plausible that they represent a single population that is temporarily bifurcated due to cell cycle asynchrony.

We have addressed the cell cycle question in the discussion by noting that while it is possible the transition states represent a single population that is temporarily bifurcated due to cell cycle asynchrony, if this were true, then we should expect S phase inhibition to eliminate both transition state groups. Instead, our trajectory analyses suggest that the transition states are initially independent, and furthermore, S phase inhibition did not affect delamination of the other population of neural crest cells.

The authors do not present an in-depth comparison of these neural crest intermediate states to previously reported cancer intermediate states. This analysis would reveal how similar the signatures are and thus how extrapolatable these and future findings in delaminating neural crest are to different types of cancer.

We have also added more detail to the discussion to address the potential for similarities and differences in neural crest intermediate states compared to previously reported cancer intermediate states. The challenge, however, is that none of the cancer intermediate states have been characterized at a molecular level. Nonetheless, with the limited molecular markers available, we have not identified any similarities so far, but our datasets are now available for comparison with future cancer EMP datasets.

The reduction in SOX10+ cells may be in part or wholly attributable to inhibition of proliferation AFTER delamination. Showing that there are premigratory NCCs in G2/M at ~E8.0 would bolster the argument that this population is present from the earliest stages.

The presence of premigratory neural crest cells in G2/M is shown by the scRNA-seq data and cell cycle staining data in the neural plate border.

Lines 248-249: The pseudo-time analysis in Fig 3C/D does indicate that the two most mature cell clusters (pharyngeal arch and frontonasal mesenchyme) may arise from common or similar migratory progenitors. However, given the decades of controversy about fate restriction of neural crest cells, the statement that "EMT intermediate NCC and their immediate lineages are not fate restricted to any specific cranial NCC derivative at this timepoint" should be toned down so as to not give the impression that they have identified common progenitors of ectomesenchyme and neuro/glial/pigment derivatives.

We appreciate this comment, because as the reviewer noted, there has been considerable literature and debate about the fate restriction and plasticity of neural crest cells, and indeed we did not intend to imply we have identified common progenitors of ectomesenchyme and neuro/glial/pigment derivatives. That can only be truly functionally demonstrated by clonal lineage tracing analyses. Rather, we interpret our pseudo-time analyses to indicate that irrespective of cell cycle status at the time of delamination, these two populations come together with equivalent mesenchymal and migratory properties, but in the absence of fate determination in the collective of cells. This does not mean that individual cells are common progenitors of both ectomesenchyme and neuro/glial/pigment derivatives. The nuance is important, and we address this more carefully in the text.

Lines 320-321: "...this overlap in expression was notably not observed in older embryos in areas where EMT had concluded". It is unclear whether the markers no longer overlap in older embryos (i.e. segregate to distinct populations) or are simply no longer expressed.

The data in Figure 5 demonstrates the dynamic and overlapping expression of Dlc1, Sp5 and Pak3 in the different clusters of cells as they transition from being neuroepithelial to mesenchymal. In contrast to Sp5 and Pak3, Dlc1 is not expressed by premigratory neural crest cells but is expressed at high levels in all EMT intermediate stage neural crest cells. Later as Dlc1 continues to be expressed in migrating neural crest cells, Pak3 and Sp5 are downregulated. But the absence of overlapping expression in the dorsolateral neural plate at the conclusion of EMT coincides with their downregulation in that territory.

In the final results section on Dlc1, the previously published mutant mouse lines are referenced as having "craniofacial malformation phenotypes". The lack of detail given on what those malformations are (assuming descriptions are available) makes the argument that they may be related to insufficient delamination less persuasive. The degree of knockdown correlates so well with the percentage reduction in migratory neural crest (Fig. 6) that one would imagine a null mutant to have a very severe phenotype.

The inference from the reviewer is correct and indeed Dlc1 null mutant mice do have a severe phenotype. We have added more specific details regarding the craniofacial and other phenotypes of the Dlc1 mutant mice to the discussion. Of note the frontonasal prominences and the pharyngeal arches are hypoplastic in E10.5 Dlc1 mutant embryos, which would be consistent with a neural crest cell deficit. Although a deficit in neural crest cells can be caused by multiple distinct mechanisms, our Dlc1 knockdown analyses suggest that the phenotype is due to an effect on neural crest cell delamination which diminishes the number of migrating neural crest cells.

Use the same y-axis for Fig. 4C/D

This has been corrected.

Fig. 6C: Please note in the panel which gene is being measured by qPCR

This has been corrected to denoted Dlc1.

Lines 108-117: More concise language would be appropriate here.

As requested, we were more succinct in our language and have shortened this section.

The SABER-FISH images are very dim. I realize the importance of not saturating the pixels, but the colors are difficult to make out.

We thank the reviewer for pointing this out and have endeavored to make the SABER-FISH images brighter and easier to see.