

Multiple origins of cephalic sutures in trilobites and their relatives

Kun-sheng Du, Jin Guo, Sarah R. Losso , Stephen Pates , Ming Li, Ai-lin Chen 

Research Center of Paleobiology, Yuxi Normal University, Yuxi 653100, China • Key Laboratory for Palaeobiology and MEC International Joint Laboratory for Palaeoenvironment, Institute of Palaeontology, Yunnan University, Kunming 650091, China • Management Committee of the Chengjiang Fossil Site World Heritage, Chengjiang, China • Museum of Comparative Zoology and Department of Organismic and Evolutionary Biology, Harvard University, Cambridge, Massachusetts 02138, USA • Department of Zoology, University of Cambridge, Downing Street, Cambridge, CB2 3EJ, UK • Institute of Geology, Chinese Academy of Geological Sciences, Beijing 100037, China • State Key Laboratory of Palaeobiology and Stratigraphy, Nanjing Institute of Geology and Palaeontology, CAS, Nanjing, 210008, China

 https://en.wikipedia.org/wiki/Open_access

 Copyright information

Reviewed Preprint

Published from the original preprint after peer review and assessment by eLife.

About eLife's process

Reviewed preprint posted

November 28, 2023 (this version)

Posted to bioRxiv

October 6, 2023

Sent for peer review

October 5, 2023

Abstract

Euarthropods are an extremely diverse phylum in the modern, and have been since their origination in the early Palaeozoic. They grow through moulting the exoskeleton (ecdysis) facilitated by breaking along lines of weakness (sutures). Artiopodans, a group that includes trilobites and their non-biomineralizing relatives, dominated arthropod diversity in benthic communities during the Palaeozoic. Most trilobites – a hyperdiverse group of tens of thousands of species – moult by breaking the exoskeleton along cephalic sutures, a strategy that has contributed to their high diversity during the Palaeozoic. However, the recent description of similar sutures in early diverging non-trilobite artiopodans mean that it is unclear whether these sutures were evolved deep within Artiopoda, or evolved multiple times within the group. Here we describe new well-preserved material of *Acanthomeridion*, a putative early diverging artiopodan, including hitherto unknown details of its ventral anatomy and appendages revealed through CT scanning, highlighting additional possible homologous features between *Acanthomeridion* and trilobites. We used two coding strategies treating structures as homologous or independently derived across multiple phylogenetic analysis techniques (parsimony or Bayesian inference), and showed that regardless of these variables, the sutures crucial for the success and growth of the hyperdiverse trilobites evolved multiple times within Artiopoda.

eLife assessment

The authors present 16 new well-preserved specimens from the early Cambrian Chengjiang biota. These specimens potentially represent a new taxon which could be **useful** in sorting out the problematic topology of artiopodan arthropods - a topic of interest to specialists in Cambrian arthropods. Because the anatomic features in the new specimens were neither properly revealed nor correctly interpreted, the evidence for several conclusions is **inadequate**.

1. Introduction

Euarthropods, more specifically members of the clade Artiopoda, dominated diversity in Palaeozoic animal communities (Daley et al., 2018 [DOI](#)). This group, which includes trilobites and their non biomineralizing relatives, are united by a morphology consisting of a headshield covering a pair of uniramous antennae and at least three pairs of biramous limbs, followed by a dorsoventrally flattened body with a series of biramous appendages (Stein and Selden, 2012 [DOI](#); Giribet and Edgecombe, 2019 [DOI](#)). The origins of key morphological features facilitating the incredible diversity of trilobites can be found in their non-biomineralizing artiopodan relatives (Du et al., 2019 [DOI](#); Schmidt et al., 2022 [DOI](#)). The cephalon, as an abundantly informative and functionally specialized body region, affords pivotal data for resolving the phylogenetic relationships and evolutionary history of the group (Budd and Telford, 2009 [DOI](#); Yang et al., 2013 [DOI](#); Strausfeld et al., 2022 [DOI](#)).

Euarthropods, as ecdysozoans (Aguinaldo et al., 1997 [DOI](#)), shed their exoskeletons to grow, a process called ecdysis (Budd and Telford, 2009 [DOI](#); Yang et al., 2019 [DOI](#)). This process is facilitated through the presence of ecdysial sutures, which provide a line along which the exoskeleton can break, allowing the animal to escape from the old exoskeleton allowing the new cuticle to expand to a large size (Daley and Drage, 2016 [DOI](#)). The presence of dorsal cephalic sutures in trilobites – and the array of morphologies and moulting behaviours within this group – has been extensively documented (e.g. Daley and Drage 2016 [DOI](#); Drage, 2019 [DOI](#), 2022 [DOI](#)). The origin of dorsal cephalic sutures has traditionally been considered to fall within Trilobita. This is because olenelline trilobites, which lack dorsal sutures, are consistently resolved as the earliest diverging members of the class, making the absence of dorsal sutures appear to be a plesiomorphic character for trilobites (Lieberman, 2002 [DOI](#)). However the presence of dorsal sutures in earlier diverging artiopodans such as *Acanthomeridion* (Hou et al., 2017a [DOI](#); Du et al., 2019 [DOI](#)) in combination with the fusion of sutures during ontogeny for some trilobites (Drage et al., 2018 [DOI](#)), the lack of a clear link between morphology and moulting behaviour (Drage, 2022 [DOI](#)) and alternative functional demands on the location of sutures in trilobites (e.g. to facilitate burrowing, Esteve et al., 2021 [DOI](#)); have called this view into question. Alternative possibilities are that dorsal sutures had a deep root within the clade, and were subsequently lost in some artiopodans and multiple times within Trilobita, or that a facial suture was acquired independently at least twice in Artiopoda (Hou et al., 2017a [DOI](#); Du et al., 2019 [DOI](#)).

Resolving the phylogenetic placement of *Acanthomeridion* and other artiopodans with cephalic ecdysial sutures is crucial for resolving how many times this functionally important morphological feature evolved within the group (Hou et al., 2017a [DOI](#); Du et al., 2019 [DOI](#)). However, the morphology of many earlier diverging artiopodans is not completely understood (Ortega Hernández et al., 2013 [DOI](#); Lerosey-Aubril et al., 2017 [DOI](#)), meaning that they contribute significant amounts of missing data to character matrices used in phylogenetic analyses. Up to now, *Acanthomeridion* was arguably the least well known of these early diverging artiopodans, with its ventral anatomy and soft parts very poorly known (Hou and Bergström, 1997 [DOI](#); Hou et al., 2017a [DOI](#)).

Here we describe new specimens of *Acanthomeridion serratum*, using micro-CT to reveal details of the ventral anatomy, eyes, and appendages for the first time, and reconstruct the changing shape of the body during ontogeny. We use these new data to show that *A. anacanthus* is a junior synonym of *A. serratum*. We then assess the phylogenetic position of the species considering two hypotheses relating to the cephalic sutures – first that they were derived independently, and second that they are homologous to the dorsal sutures of trilobites – to determine how many times these sutures evolved within Artiopoda.

2. Materials and methods

New specimens of *Acanthomeridion* were collected from Jiucun town, Chengjiang (**Fig. 1**) and accessioned at the Management Committee of the Chengjiang Fossil Site World Heritage (CJHMD 00052–00062), and Research Center of Paleobiology, Yuxi Normal University (YRCP 0016–0020). New specimens of *Wutingaspis tingi* (YRCP 0021) and *Xandarella spectaculum* (YRCP 0022) were collected from Haikou town, Kunming (**Fig. 1**) and housed at Research Center of Paleobiology, Yuxi Normal University. Holotype of *Zhiwenia coronata* (YKLP 12370) is deposited at the Key Laboratory for Palaeobiology, Yunnan University which was excavated from Xiaoshiba area, Kunming (**Fig. 1**). The specimens were photographed by a LEICA DFC 550 digital camera mounted to a Stereoscope LEICA M205 C, and scanned with a Zeiss Xradia 520 Versa X-ray Microscope and Nikon XTH 225 ST micro-focus X-ray tomography machine. All micro-CT images were processed with the software Drishti (Zhai et al., 2019). Figures were processed with CorelDRAW X8 and Inkscape 1.0.

The character matrix used for the phylogenetic analyses was adapted from (Schmidt et al., 2022) and includes 65 taxa (*Acanthomeridion anacanthus* was removed). Two matrices were used to test the alternative hypotheses of sutures, one with 90 characters considering the ventral plates homologous to trilobite librigenae (‘ventral plates under head’ as a new character in the dataset) and one with 89 characters considering them not homologous (see Morphobank project). We added the character state ‘ringed distribution lamellae’ to Ch. 15 in both matrices (Chen et al., 2019; Du et al., 2019; 2023). Phylogenetic analyses were performed with TNT (Maximum Parsimony) and MrBayes (Bayesian Inference) (Ronquist et al., 2012; Du et al., 2019). The parsimony analyses were run in TNT version 1.5 under New Technology Search, using Driven Search with Sectorial Search, Ratchet, Drift, and Tree fusing options activated with standard settings under equal and implied weights set to find the minimum tree length 100 times (Chen et al., 2019; Du et al., 2019). For Bayesian Inference (Ronquist et al., 2012), both the ‘maximum information’ and ‘minimum assumptions’ strategies of ref (Bapst et al., 2018) were utilised, in order to confirm that model choice was not a major driver in differences for the results. These analyses were run for 20 million generations using four chains, every 1000th sample stored and 25% burn-in (Lewis, 2001; Ronquist et al., 2012). Convergence was diagnosed using Tracer (Rambaut et al., 2018). Matrices used in phylogenetic analyses provided through morphobank (Project #P4290. Email address: P4290, reviewer password: Acanthomeridion2023).

3. Results

3.1 Systematic palaeontology

ARTIOPODA Hou and Bergström, 1997

Acanthomeridion Hou et al., 1989

Type and only species

Acanthomeridion serratum Hou et al., 1989

Diagnosis

Non-biomineralized artiopodan with elongate dorsal exoskeleton that gives body an elliptical outline. Subtriangular head shield with deep lateral notches that accommodate stalked elliptical eyes, rounded genal angles of the carapace, an axe-like hypostome, and paired teardrop-shaped plates on the either side of hypostome. Head bears large eyes and long multi-segmented antennae consisting of over 40 podomeres anterior to three pairs of small cephalic limbs. Trunk composed

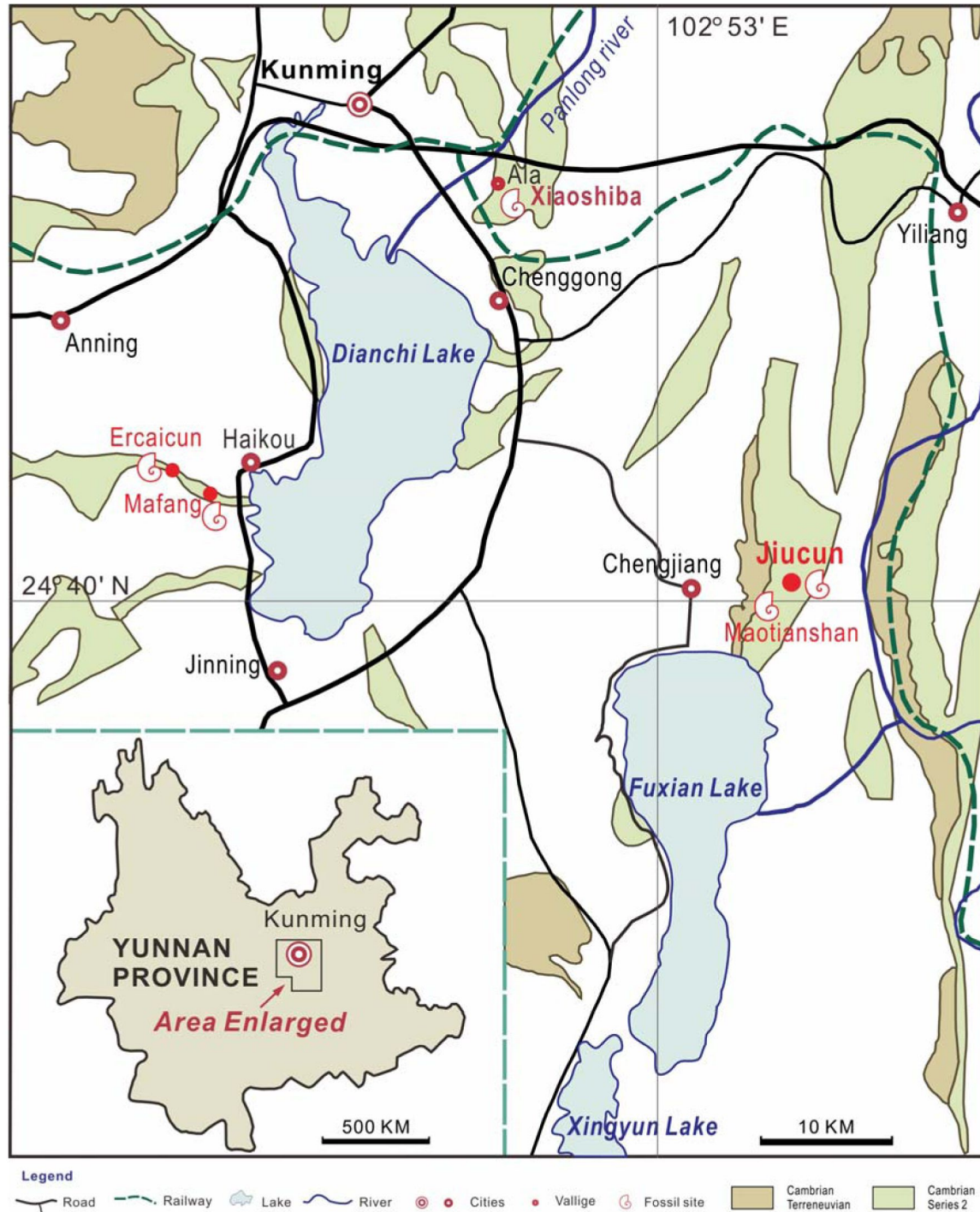


Fig. 1.

Sites yielding *Acanthomeridion* from the Cambrian Stage 3 Chengjiang Biota (red circles).

All the specimens of *A. serratum* used here are collected from Jiucun town, Chengjiang County.

of 11 tergites bearing expanded tergopleurae with well-developed distal spines, and a terminal spine. The ninth tergite bears pleural spine more elongate than others, eleventh tergite reduced and expanded into leaf-like outline. Each tergite bears a pair of biramous limbs with long and dense spines on the endopods, and slender stick-like exopods with long and dense bristles. Modified from Hou et al., 1989 [DOI](#), 2017a [DOI](#).

Acanthomeridion serratum Hou et al., 1989 [DOI](#)

Figs. 2 [DOI](#)–5 [DOI](#); 6g–i [DOI](#); S1–6

1989 *Acanthomeridion serratum* Hou et al., pl. III, **figs. 1** [DOI](#)–5 [DOI](#); pl. IV, **figs. 1** [DOI](#)–5 [DOI](#); p. 46, text-**figs. 3** [DOI](#), 4 [DOI](#).

1996 *Acanthomeridion serratum* Chen et al., p. 157, figs 199–203.

1997 *Acanthomeridion serratum* Hou and Bergström, p. 38.

1999 *Acanthomeridion serratum* Luo et al., p. 51, pl. 6 **fig. 5** [DOI](#).

1999 *Acanthomeridion serratum* Hou et al., p. 130, fig. 188.

2004 *Acanthomeridion serratum* Chen, p. 280, fig. 442.

2004 *Acanthomeridion serratum* Hou et al., p. 176, fig. 16.63; p. 177, fig. 16.64.

2017a *Acanthomeridion serratum*, *A. anacanthus*, and *Acanthomeridion* sp in Hou et al., p. 734, **figs. 1** [DOI](#)–4 [DOI](#).

2017b *Acanthomeridion serratum* Hou et al., p. 204, fig. 20.34; p. 205, fig. 20.35.

Type material

Holotype, CN 108305. *Paratype*, CN 108306–108310.

New material

Sixteen new specimens were collected from Jiucun (**Fig. 1** [DOI](#)), Chengjiang County and housed in the Management Committee of the Chengjiang Fossil Site World Heritage (CJHMD 00052–00062), and Research Center of Paleobiology, Yuxi Normal University (YRCP 0016–0020).

Occurrence

Yu'an-shan Member, Qiongzhusi Formation, *Wutingaspis*–*Eoredlichia* biozone, Cambrian Stage 3. Jiucun and Maotianshan, Chengjiang County, Yunnan, China; Mafang and Ercaicun, Haikou town, Kunming, Yunnan, China (**Fig. 1** [DOI](#)).

Diagnosis

As for genus, by monotypy.

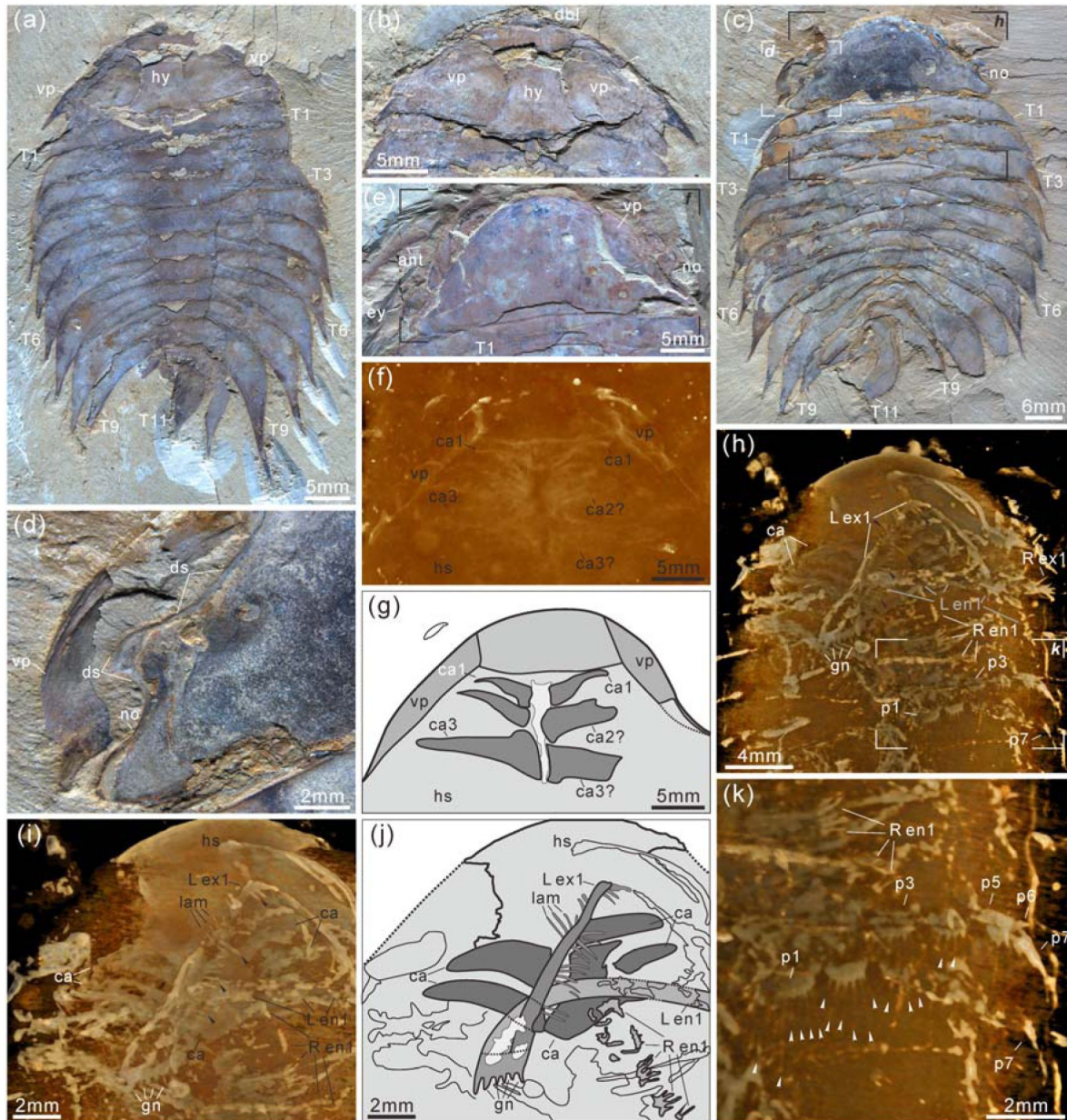


Fig. 2.

***Acanthomeridion serratum* from the Cambrian Stage 3 Chengjiang Biota.**

(a, b) CJHMD 00052a/b respectively, individual with hypostome, ventral plates, and 11 tergites. (c, d) CJHMD 00053a, showing ventral plate, dorsal sutures, and 11 tergites. (e–g) YRCP 0016a, showing the ventral plates, and three post-antennal limbs. (h–k) Showing the post-antennal appendages under head, gnathobases of trunk limbs, stick-like exopodites with bristles (black arrows), and endopodites with long spines (white arrows). (f) Micro-CT image of YRCP 0016a; (h, i, k) Micro-CT images of CJHMD 00053a. Abbreviations: ant, antenna; can, post-antennal appendage *n* beneath head; dbl, doublure; ds, dorsal suture; en, endopodites; ex, exopodites; ey, eye; hs, head shield; hy, hypostome; L, left; lam, lamellae; no, notch; pn, podomere *n*; R, right; T*n*, tergite *n*; ts, terminal spine; vp, ventral plate.

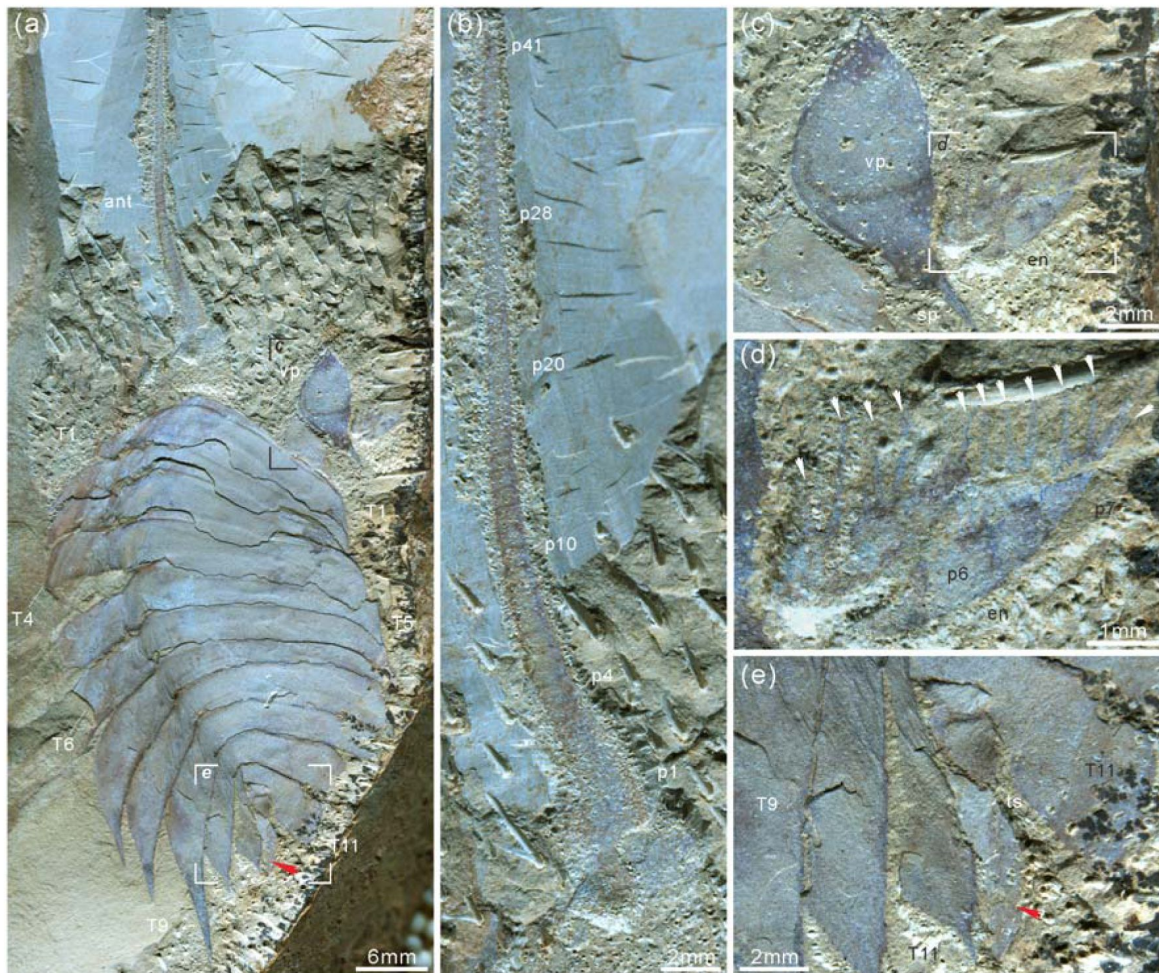


Fig. 3.

***Acanthomeridion serratum* from the Cambrian Stage 3 Chengjiang Biota.**

(a–e) CJHMD 00055, showing the antenna, ventral plate, endopodites with long spines (arrows in d), 11 tergites, and paddle-like structure (red arrow). (a) Overview of whole specimen. (b) Detail of long left antenna. (c) Close-up of ventral plate. (d) Details of long spines (arrows) of right endopodites. (e) Close-up of paddle-like structure (red arrow). Abbreviations same as Fig. 2 [↗](#).



Fig. 4.

Ontogenetic series (a-r) of *Acanthomeridion* and their ventrally curling pleurae (s, t).

(a-r) Showing the individuals from smallest to largest with same scale bar. (s) Lateral view of (o), note the right curling pleurae and left flat pleurae. (t) Lateral view of (f), showing the left curling pleurae.

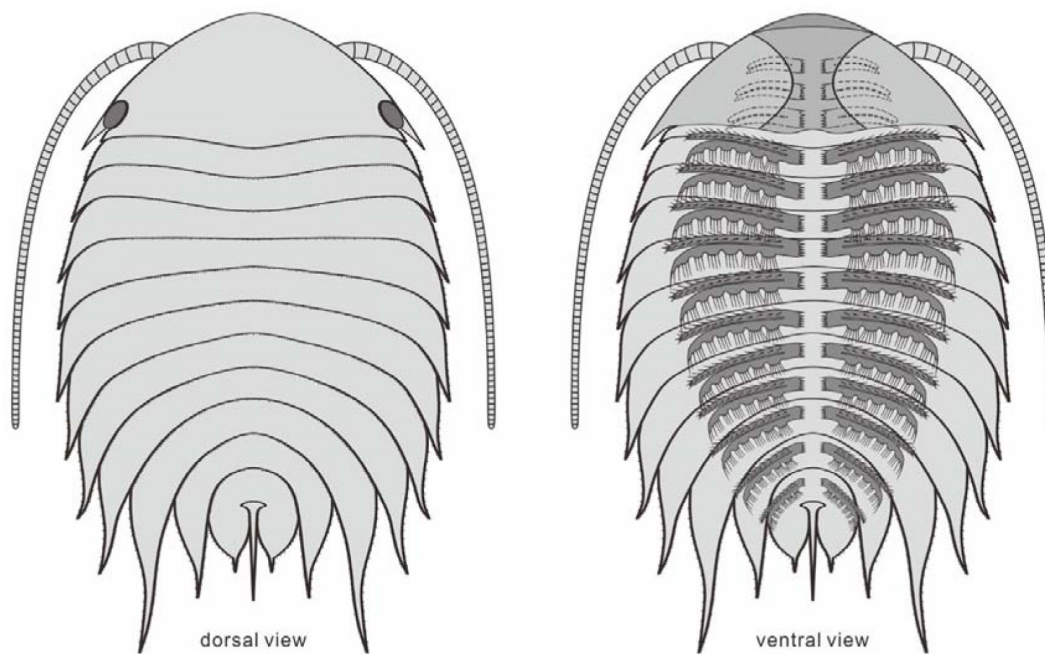


Fig. 5.

Artistic reconstruction of *Acanthomeridion serratum*.

Only the protopodite of the head appendages have been observed.



Fig. 6.

Four representative arthropodans from early Cambrian with their dorsal sutures, free cheeks and ventral plates.

(a, b) The iconic trilobite *Wutingaspis tingi* from Chengjiang Biota, note its free cheeks and dorsal or facial sutures. (c, d) The symbolic xandarellid arthropodan *Xandarella spectaculum* from Chengjiang Biota bearing the distinctive dorsal sutures. (e, f) Holotype of the protosuturan arthropodan *Zhiwenia coronata* from Xiaoshiba Biota developing dorsal sutures. (g) The left dorsal suture of *Acanthomeridion serratum* from Chengjiang Biota, showing the morphological and positional similarities to that of *W. tingi* (a, b), *X. spectaculum* (c, d), and *Z. coronata* (e, f). (h, i) Right ventral plates of *A. serratum* from Chengjiang Biota bearing a terminal spine, which is similar to free cheek of trilobite like *W. tingi* (a, b).

Description

The dorsal exoskeleton of *Acanthomeridion serratum* displays weak trilobation and elliptical outline. Specimens measure from 20 to 75 mm along the sagittal axis (Figs. 2a, c; 3a; 4; S1–6). The head shield is subtriangular in dorsal view, with rounded anterior margin and small spines on posterior margin (Figs. 2a, c; 3a; 4; S6a, c). A pair of lateral posterior notches accommodate stalked elliptical eyes. The lateral margin of the head shield is a suture that attaches paired ventral plates. Ventral plates have a teardrop outline, occupy the entire length of the cephalon and terminate in a posterior spine (maximum length 3.4 mm; Fig. S2b) that projects into the thorax as far as the anteriormost tergite (Fig. S1a). The medial margin is curved towards the axially line and sits adjacent to a conterminant axe-shaped hypostome (maximum dimensions 9.6 mm x 11.6 mm; Fig. 2a, b). Four pairs of appendages are present in the head (Figs. 2f–j; S5a). A pair of antennae with at least 43 segments (Figs. 3a, b; S2a, f; S4b) sit anterior to three pairs of small cephalic limbs (Fig. 2f–j). The cephalic appendages are poorly preserved and only the gross morphology can be discerned as no individual podomeres are visible. The protopodite of the cephalic limbs are subtriangular in outline. Endopodites and exopodites are not clearly preserved. Appendage three may include the endopodite, but no podomeres are visible.

The trunk is composed of 11 tergites which extend laterally into spinose tergopleurae. Tergites are all subequal in length. Tergites 8–11 curve towards the posterior, and reduce in width progressively, so that T11 is approximately 25% the width of T8 and 33% the width of T9 (Figs. 2a, c; 3a; S1a, c, d). Each tergite has small spines on its lateral and posterior margins (Figs. 5; S1a, c–f; S2i, k; S3a, j; S5b, e, f; S6a–f). The shape of tergites and the convexity of the trunk changes through ontogeny (Fig. 4). In the smaller specimens, the trunk appears slender from a dorsal view as the body displays a high convexity (Fig. 4t). Pleurae curve ventrally, and only slightly towards the posterior (Fig. 4a–k). In larger specimens, the trunk is less convex than for the smaller specimens (Fig. 4s), ventral curvature of pleurae is decreased, and posterior curvature increased (Fig. 4o–r). Where trunk appendages are preserved, each tergite is associated with a pair of biramous trunk appendages (Figs. 2a, c, h, k; 3; S1a, b). The protopodite is sub triangular with a broad attachment to the body wall and short studline gnathobasal spines along the medial margin (Figs. 2h–j; S3a–f, i). The ventral margin of the protopodite has endites longer than the gnathobasal spines. The dorsal edge of the protopodite is poorly preserved and does not clearly show the attachment of the exopodite. Exopodites are slender, stick-like and long (8.3 mm, ca. 3x protopodite length), bearing two rows of lamellae (Figs. 2h, k; S1a, b). Exopods are shorter than the width of corresponding tergites (Figs. 2h, S1a, b). The lamellae appear short but may not be completely preserved. There is no evidence that the exopodite divided into lobes or has articulations. Endopodites are composed of seven trapezoidal podomeres. Podomeres 1–6 bear spiniferous endites arranged in rows, six on pd1, 2, four on pd3, 4, and three on pd5, 6. Podomere seven displays a terminal claw of three elongate spines (Figs. 2h, k; 3d). From the preserved appendages, no significant antero-posterior differentiation or dramatic size reduction can be discerned (Figs. 2, S1). One specimen shows evidence for paired midgut diverticulae (Fig. S4a, f). The body terminates in a long, slender spine, dorsal to a paired paddle-like structure (Figs. 3a, e; S5b, g).

Remarks

The eyes were identified as genal projections (see Fig. 1, Fig. 2A, and Fig. 3A in Hou et al., 2017a) because of the limited available specimens and the posterior location of the eyes. *Acanthomeridion anacanthus* Hou et al. 2017a is a junior subjective synonym of *Acanthomeridion serratum* Hou et al. 1989 based on:

1. The paddle-like structure under the terminal spine (**Figs. 3a, e**; **S5b, g**) was previously interpreted as the twelfth tergite. The specimen YKLP 11115 (see Fig.4 in Hou et al., 2017a) which is a similar size to YKLP 11116 (holotype of *Acanthomeridion anacanthus*) and YKLP 11113 (see **Fig.2A, C** and **Fig.3A, C** in Hou et al., 2017a) show 11 tergites.
2. Specimens CJHMD 00052-55 and YRCP 0016 show the evidently pleural spines of T8 and T9 (**Figs. 2a, c**; **3a**; **S1a, c, d**; **S2a, f**; **S4b, g**).
3. The orientation of pleurae makes some specimens look like narrower than others. Specifically, those where pleurae curve ventrally look more slender than those where pleurae are curved posteriorly. Specimen YRCP 0017 preserves right ventrally curving pleura and left flat pleura, which lead to its right pleura narrower than the left (**Figs. 4j, o**; **S2i**). Moreover, most small specimens (1cm–3cm) are preserved with ventrally curving pleurae (**Figs. 4a–e**; **S3a–c**; **S4a**; **S5a–c**; **S6g, i**) and these appear narrower than the few small specimens with flat pleurae (**Figs. 4f**; **S4b**. See also Fig.2A–D, and **Fig.4** in Hou et al., 2017a). Larger specimens are typically preserved with flattened, posteriorly curving pleurae.
4. The sixteen new specimens with different size show a good ontogenetic series of *Acanthomeridion* including some that would previously have been assigned to '*Acanthomeridion anacanthus*' in the absence of data preserving the whole series (**Fig. 4**).

The new specimens and CT data presented herein allow the description of cephalic and trunk limbs, and a conterminant hypostome adjacent to ventral plates in *Acanthomeridion* for the first time. The librigena-like ventral plates bearing a terminal spine and attaching head with dorsal sutures are like the librigenae of opisthoparian trilobites (**Fig. 6a, b**) (Whittington et al., 1997). The dorsal suture is an oblique line that passes near its compound eye, which is extremely similar the facial suture of trilobites especially these bearing opisthoparian facial sutures (**Fig. 6a, b**) (Whittington et al., 1997), moreover, it is also like that of *Xandarella* (**Fig. 6c, d**) (Hou and Bergström, 1997) and *Phytophitaspis* (Ivantsov, 1999), and the notches of *Luohuilinella* and *Zhiwenia* (**Fig. 6e, f**) which were interpreted as dorsal ecdysial sutures owing to their close morphological and positional similarities (Zhang et al., 2012; Du et al., 2019; Hou et al., 2019). The presence of four appendages in the head (or three in the head and one underneath the articulation) is comparable to *Zhiwenia* (Du et al., 2019), as well as other artiopodans including trilobites (Edgecombe and Ramsköld, 1999). However, the morphology of the three non-antenniform cephalic limbs is difficult to determine with only the protopodite clearly visible. The lack of observed endopodites and exopodites on these three appendages may be a preservational artefact. Possibly the absence of clear endopodites and exopodites represents specialization of the cephalic appendages with the structures being significantly reduced. Other Cambrian artiopodans have been shown to have appendage specialization (Losso and Ortega-Hernández, 2022) which is frequently found in the cephalon (Chen et al., 2019; Schmidt et al., 2022), but none show reduction of both the exopodite or endopodite. In *Sinoburius lunaris*, the first two post-antennal appendages have reduced endopodites with elongated exopodites (Chen et al., 2019), and in *Pygmaclypeatus daziensis* the exopodite is significantly reduced in the cephalic appendages (Schmidt et al., 2022). Recently, the head of *Retifacies abnormalis* was interpreted to develop three pairs of uniramous post-antennal appendages and following by one biramous appendage pair (Zhang et al., 2022). It would be unclear the function of an appendage with both distal elements being significantly reduced until more clear appendages are found.

The exopodites of *Acanthomeridion* also differ substantially from those known in other artiopodans. Specifically, the stick like nature of the exopodite, the rows of lamellae combined with the apparent lack of segmentation, differ from the paddle shaped exopodites thought to characterise the remainder of the group (e.g. Schmidt et al., 2022; Du et al., 2023, and **fig. 4** in Ortega Hernández et al., 2013).

3.2 Phylogenetic analyses

In order to test how many times ecdysial sutures evolved within Artiopoda, we used two distinct coding strategies in our phylogenetic analyses (Figs. 7a, b; S7–9). Firstly, we considered the cephalic sutures of *Acanthomeridion* and *Zhiwenia* and the presence of eye slits in petalopleurans, as distinct from the ecdysial sutures in trilobites. In a second set of analyses we treated all these features as homologous, and the terminal spine on the ventral plate of *Acanthomeridion* as homologous to the genal spine of trilobites.

Consensus trees of analyses using the first coding strategy (sutures not homologous) broadly resemble other recent studies, with Vicissicaudata, Nektaspida, Petalopleura, Conciliterga and Trilobita recovered as monophyletic groups (with the latter four recovered in a monophyletic Trilobitomorpha for the parsimony analyses) (Figs. 7a, b; S7a). *Acanthomeridion* was not recovered within Protosutura in any consensus tree, though in the parsimony analyses *Zhiwenia* and *Australimicola* (the other members of Protosutura) were recovered as sister taxa. *Acanthomeridion* was instead recovered as an early diverging member of Artiopoda (in a polytomy with *Kwanyinaspis maotianshanensis* and three clades in the parsimony analyses, or in a more poorly resolved polytomy for the Bayesian analyses) (Figs. 7a, b; S7a; S8a; S9a).

Consensus trees of analyses using the second coding strategy (sutures coded as homologous) recovered *Acanthomeridion* as sister to trilobites (here represented by *Eoredlichia intermedia* and *Olenoides serratus*), with the exception of the ‘minimum assumptions’ consensus, where *Acanthomeridion* was instead recovered in a polytomy with eight other taxa and five clades (Figs. 7c, d; S7b; S8b). Both Bayesian and parsimony analyses recovered *Australimicola* as sister to Conciliterga, while the parsimony analysis resolved *Zhiwenia* as sister to Petalopleura (Figs. 7c, d; S7b; S8b). The Bayesian consensus trees recovered monophyletic Nektaspida, Petalopleura, Conciliterga and Trilobita and a polyphyletic Vicissicaudata, though the relationships between these groups were not resolved, with the exception of Petalopleura + Nektaspida (Figs. 7d; S7b; S8b). The parsimony consensus trees recovered well resolved relationships between the major constituent groups of artiopodan, albeit one very different to other recent studies (Figs. 7c; S7b). Petalopleura (+ *Zhiwenia*) represents the earliest diverging group of artiopodans in these results. Nektaspida, Trilobita (+ *Retifacies* + *Acanthomeridion*), *Bailongia* form a paraphyletic assemblage, leading to Conciliterga (+*Kwanyinaspis*, *Australimicola*) and Vicissicaudata as the last diverging groups (Figs. 7c; S7b).

4. Discussion and conclusion

All consensus trees, of parsimony and Bayesian results from both coding strategies, support multiple origins of cephalic sutures in Artiopoda (Fig. 7). Analyses coding the sutures of trilobites as distinct from comparable cephalic features in *Acanthomeridion*, *Zhiwenia* and petalopleurans recover topologies similar to other recent studies. However, *Acanthomeridion* is not recovered within Protosutura. Instead, there is a polytomy at the base of Artiopoda including these taxa, Trilobitomorpha and Vicissicaudata (or, in the Bayesian consensus trees, a more poorly resolved polytomy). These results support at least three independent origins of cephalic sutures in artiopodans – once within trilobites, a second time in petalopleurans, and a third time in protosuturans, with the unresolved position of *Acanthomeridion* offering a possible fourth origin. Results of analyses where cephalic sutures in *Acanthomeridion*, *Zhiwenia* and petalopleurans are considered homologous to those in trilobites, recover *Acanthomeridion* as sister to Trilobita. Despite the differences in the topologies of parsimony and Bayesian analyses, a deep origin for cephalic sutures in Artiopoda is not well supported, and nor are all taxa coded as possessing sutures recovered together. The results support two separate origins for cephalic sutures (*Zhiwenia* + Petalopleura and *Acanthomeridion* + Trilobita), as this represents a more parsimonious scenario than the alternative -a single origin at the base of Artiopoda and at least

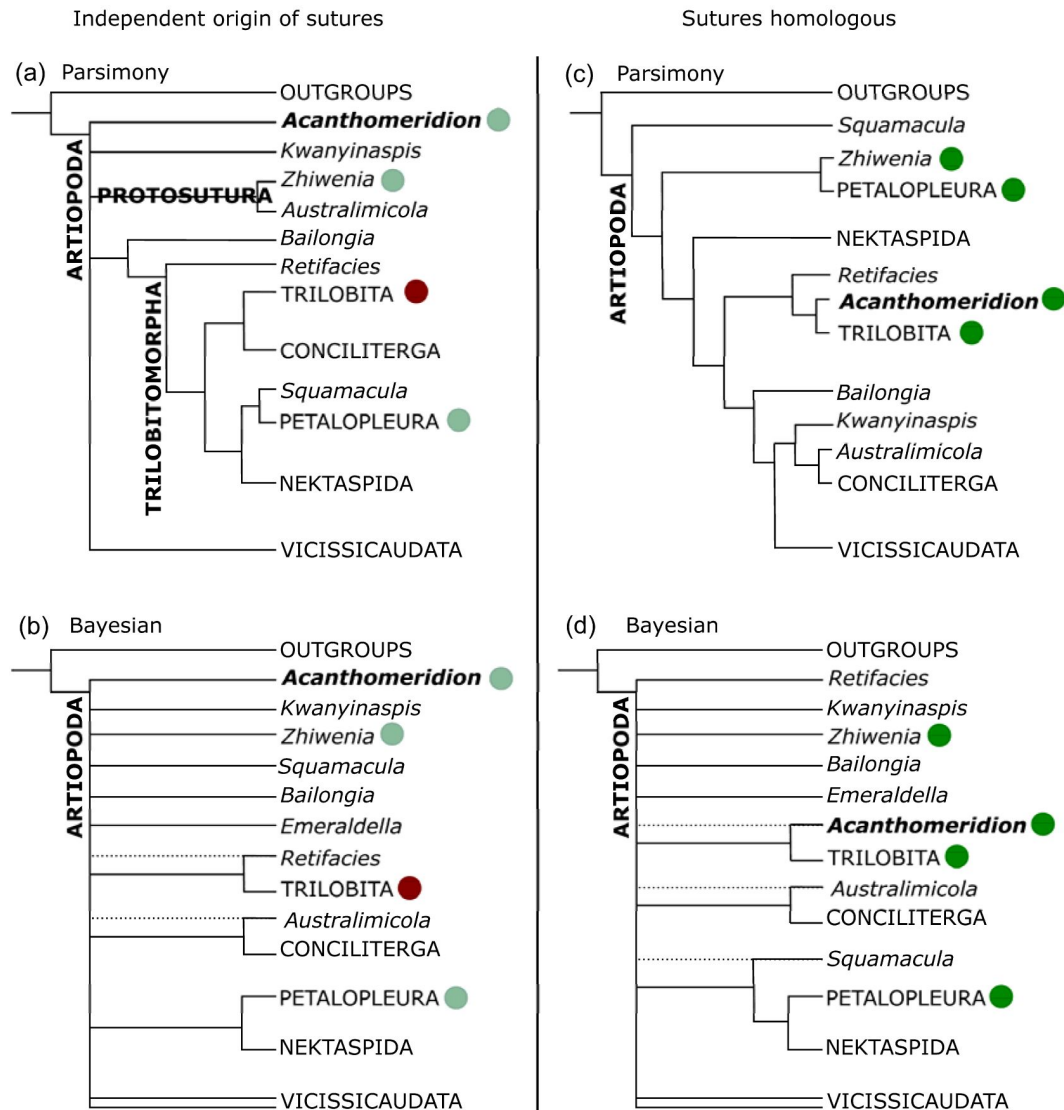


Fig. 7.

Simplified results of phylogenetic analyses.

(a) Maximum parsimony, a simplified version of Fig. S7a. (b) Bayesian inference, simplified result of Fig. S8a and S9a. (c) Maximum parsimony, a simplified version of Fig. S7b. (d) Bayesian inference, simplified result of Fig. S8b and S9b. Circles indicate presence of cephalic ecdysial sutures. Colors of circles indicate hypothesized homology, as coded in the morphological matrix (either multiple origins – 2 colours – or a single origin – 1 color). Dotted lines indicate the results of minimum assumptions strategy.

four losses (*Squamacula*, *Nektaspida*, *Retifacies*, *Bailongia* + *Conciliterga* + *Vicissicaudata*). Under this coding strategy, *Protosutura* is not recovered. Instead, *Australimicola* is resolved as sister to concilitergans, while *Zhiwenia* is found sister to petalopleurans (parsimony) or not well resolved (Bayesian).

Other features observed in *Acanthomeridion* for the first time – uniramous deutocerebral appendages, an axe-shaped conterminant hypostome, two librigenal-like plates and three additional head appendages – are consistent with a phylogenetic position for the species as an early diverging artiopodan, or as sister to trilobites. Multipodomorous uniramous deutocerebral antennae are known in nearly all other members of the clade (Zhang et al., 2007; Du et al., 2019; Zhai et al., 2019), and thus this feature is not very informative. Similarly, a conterminant hypostome was already known in numerous other artiopodans (Zhang et al., 2004; Stein and Selden, 2012). As this type of hypostomal attachment is considered ancestral within Trilobita (Fortey, 1990), its presence in the possible sister to trilobites (if cephalic sutures are considered homologous) does not have broad evolutionary implications. If *Acanthomeridion* is instead an early diverging artiopodan, the presence of natant hypostomes in both trilobitiforms and vicissicaudates (Fortey, 1990; Chen et al., 2019) demonstrates that a natant hypostome has most likely evolved repeatedly within Artiopoda. The ventral plate of *Acanthomeridion* is very similar to the free cheek of trilobites developing opisthoparian facial sutures (e.g. *Redlichiina*) by termination with a spine and attaching head with dorsal suture which is an oblique line and passes near the eye both for *Acanthomeridion* and trilobites bearing opisthoparian facial sutures (Whittington et al., 1997). Together with trilobate exoskeleton of large specimens, and endopods bearing seven podomeres, the non biomineralised *Acanthomeridion* bears many morphological similarities to biomineralised trilobites.

Acanthomeridion displays an unusual organisation of the head region, where the axe-shaped hypostome and two librigena-like plates appear to cover the entire ventral surface. The reduced post-antennal cephalic appendages combined with the hypostome and librigenal like plates were likely specialized for feeding. Such ventral head structures are unknown from other fossil arthropods, or even extant arthropods (Edgecombe, 2020), e.g. radiodonts (Potin and Daley 2023), fuxianhuiids (Yang et al., 2018), Cambrian bivalved arthropods (Zhang et al., 2023), megacheirans (Liu et al., 2020), artiopodans excluding *Acanthomeridion* (Ortega Hernández et al., 2013; Jiao et al., 2022), chelicerates and mandibulates (Brusca et al., 2016). One ecological interpretation is that *Acanthomeridion* may have used its head to plough through the sediment, using endopodites bearing long endites to catch food items, while the large ventral plates and hypostome protected the non-biomineralized structures and directed sediment.

In summary, new fossils and CT-scan data reveals the ventral anatomy and appendages of *Acanthomeridion serratum* for the first time, and additional specimens demonstrate that *A. 'anacanthus'* represents a junior synonym of *A. serratum*, with some features thought to distinguish the two instead ontogenetic in origin. The presence of a posteriorly orientated spine on the cephalic ventral plates of *Acanthomeridion* might be homologous to the genal spine of trilobite librigenae, providing support for treating the ventral plates of *A. serratum* as homologous to these free cheeks. However, regardless of the coding strategy concerning the nature of cephalic sutures in *Acanthomeridion*, *Zhiwenia* and petalopleurans (homologous to those of trilobites or not), and the resulting position of *Acanthomeridion* within Artiopoda, all results support multiple origins of cephalic sutures in the group rather than a single, deep origin.

Conflict of interest

The authors declare that they have no conflict of interest.

Acknowledgements

We thank Jian Han and Jie Sun for scanning the fossils and Javier Ortega-Hernández for helpful discussion. This work was supported by the National Natural Science Foundation of China (grant numbers 42262004, 42202003, 41662003), State Key Laboratory of Palaeobiology and Stratigraphy (Nanjing Institute of Geology and Palaeontology, CAS) (No. 193104). Stephen Pates acknowledges support from a Herchel Smith Postdoctoral Fellowship (University of Cambridge).

CRediT authorship contribution statement

Kunsheng Du: Conceptualization, Data Curation, Investigation, Formal analysis, Methodology, Visualization, Writing – original draft, Writing – review and editing, Supervision. **Jin Guo:** Resources, Data curation, Validation. **Sarah R. Losso:** Conceptualization, Data Curation, Formal analysis, Writing – original draft, Writing – review and editing. **Stephen Pates:** Conceptualization, Data Curation, Investigation, Formal analysis, Methodology, Visualization, Writing – original draft, Writing – review and editing, Supervision. **Ming Li:** Methodology, Data curation. **Ailin Chen:** Resources, Funding acquisition, Project administration, Visualization, Validation, Writing – review and editing, Supervision.

References

- Aguinaldo A.M.A., Turbeville J.M., Linford L.S., Rivera M.C., Garey J.R., Raff R.A., Lake J.A. (1997) **Evidence for a clade of nematodes, arthropods and other moulting animals** *Nature* **387**:489–493
- Bapst D.W., Schreiber H.A., Carlson S.J. (2018) **Combined analysis of extant Rhynchonellida (Brachiopoda) using morphological and molecular data** *Syst. Biol* **67**:32–48
- Brusca R.C., Moore W., Shuster S.M. (2016) **Invertebrates, Third 3rd Edition** :711–961
- Budd G.E., Telford M.J. (2009) **The origin and evolution of arthropods** *Nature* **457**:812–817
- Chen J.Y. (2004) **The dawn of animal world**
- Chen J.Y., Zhou G.Q., Zhu M.Y., Yeh K.Y. (1996) **The Chengjiang biota: a unique window of the Cambrian explosion**
- Chen X.H., Ortega-Hernández J., Wolfe J.M., Zhai D.Y., Hou X.G., Chen A.L., Mai H.J., Liu Y. (2019) **The appendicular morphology of Sinoburius lunaris and the evolution of the artiopodan clade Xandarellida (Euarthropoda, early Cambrian) from South China** *BMC Evol. Biol* **19**
- Daley A.C., Antcliffe J.B., Drage H.B., Pates S. (2018) **Early fossil record of Euarthropoda and the Cambrian Explosion** *Proc. Natl. Acad. Sci. USA* **115**:5323–5331
- Daley A.C., Drage H.B. (2016) **The fossil record of ecdysis, and trends in the moulting behaviour of trilobites** *Arthropod Struct. Dev* **45**:71–96
- Drage H.B. (2019) **Quantifying intra-and interspecific variability in trilobite moulting behaviour across the Palaeozoic** *Palaeontol. Electron* **22**:1–39 <https://doi.org/10.26879/940>
- Drage H.B. (2022) **Trilobite moulting behaviour variability had little association with morphometry** *bioRxiv* <https://doi.org/10.1101/2022.12.12.520015>
- Drage H.B., Laibl L., Budil P. (2018) **Postembryonic development of Dalmanitina, and the evolution of facial suture fusion in Phacopina** *Paleobiology* **44**:638–659
- Du K.S., Bruton D.L., Yang J., Zhang X.G. (2023) **An early Cambrian Sidneyia (Arthropoda) resolves the century-long debate of its head organization** *Sci. China Earth Sci* **66**:521–527
- Du K.S., Ortega Hernández J., Yang J., Zhang X.G. (2019) **A soft-bodied euarthropod from the early Cambrian Xiaoshiba Lagerstätte of China supports a new clade of basal artiopodans with dorsal ecdysial sutures** *Cladistics* **35**:269–281
- Edgecombe G.D. (2020) **Arthropod origins: integrating paleontological and molecular evidence** *Annu. Rev. Ecol. Evol. Syst* **51**:1–25
- Edgecombe G.D., Ramsköld L. (1999) **Relationships of Cambrian Arachnata and the systematic position of Trilobita** *J. Paleontol* **73**:263–287

Esteve J., Marcé Nogué J., Pérez Peris F., Rayfield E. (2021) **Cephalic biomechanics underpins the evolutionary success of trilobites** *Palaeontology* **64**:519–530

Fortey R.A. (1990) **Ontogeny, hypostome attachment and trilobite classification** *Palaeontology* **33**:529–576

Giribet G., Edgecombe G.D. (2019) **The Phylogeny and Evolutionary History of Arthropods** *Curr. Biol* **29**:R592–R602

Hou X.G., Aldridge R.J., Bergström J., Siveter D.J., Siveter D.J., Feng X.H. (2004) **The Cambrian fossils of Chengjiang, China: the flowering of early animal life**, 233

Hou X.G., Bergström J. (1997) **Arthropods of the Lower Cambrian Chengjiang fauna, southwest China** *Foss. Strat* **45**:1–116

Hou X.G., Bergström J., Feng X.H., Wang H.F., Chen A.L. (1999) **The Chengjiang Fauna: exceptionally well-preserved animals from 530 million years ago**

Hou X.G., Chen J.Y., Lu H.Z. (1989) **Early Cambrian new arthropods from Chengjiang, Yunnan** *Acta Palaeontol. Sin* **28**:42–57

Hou X.G., Siveter D.J., Siveter D.J., Aldridge R.J., Cong P.Y., Gabbott S.E., Ma X.Y., Purnell M.A., Williams M. (2017) **The Cambrian fossils of Chengjiang, China: The flowering of early animal life**

Hou X.G., Williams M., Gabbott S., Siveter D.J., Siveter D.J., Cong P.Y., Ma X.Y., Sansom R. (2017) **A new species of the artiopodan arthropod Acanthomeridion from the lower Cambrian Chengjiang Lagerstätte, China, and the phylogenetic significance of the genus J. Syst.** *Palaeontol* **15**:733–740

Hou X.G., Williams M., Sansom R., Siveter D.J., Siveter D.J., Gabbott S., Harvey T.H.P., Cong P.Y., Liu Y. (2019) **A new xandarellid euarthropod from the Cambrian Chengjiang biota, Yunnan Province, China** *Geol. Mag* **156**:1375–1384

Ivantsov A.Y. (1999) **Trilobite-like arthropod from the Lower Cambrian of the Siberian Platform** *Acta Palaeontol. Pol* **44**:455–466

Jiao D.G., Du K.S., Zhang X.G., Yang J., Eggink D. (2022) **A new small soft-bodied non-trilobite artiopod from the Cambrian Stage 4 Guanshan Biota** *Geol. Mag* **159**:730–734

Lerosey-Aubril R., Zhu X.J., Ortega-Hernández J. (2017) **The Vicissicaudata revisited-insights from a new aglaspidid arthropod with caudal appendages from the Furongian of China** *Sci. Rep* **7**:1–18

Lewis P.O. (2001) **A likelihood approach to estimating phylogeny from discrete morphological character data** *Syst. Biol* **50**:913–925

Lieberman B.S. (2002) **Phylogenetic analysis of some basal early Cambrian trilobites, the biogeographic origins of the Eutrilobita, and the timing of the Cambrian radiation** *J. Paleontol* **76**:692–708

Liu Y., Ortega-Hernández J., Zhai D.Y., Hou X.G. (2020) **A reduced labrum in a Cambrian great-appendage euarthropod** *Curr. Biol* **30**:3057–3061

- Losso S.R., Ortega-Hernández J. (2022) **Claspers in the mid-Cambrian *Olenoides serratus* indicate horseshoe crab-like mating in trilobites** *Geology* **50**:897–901
- Luo H.L., Hu S.X., Chen L.Z., Zhang S.S., Tao Y.H. (1999) **Early Cambrian Chengjiang Fauna From Kunming Region China**
- Ortega Hernández J., Legg D.A., Braddy S.J. (2013) **The phylogeny of aglaspidid arthropods and the internal relationships within Artiopoda** *Cladistics* **29**:15–45
- Potin G. J. M., Daley A. C. (2023) **The significance of *Anomalocaris* and other Radiodonta for understanding paleoecology and evolution during the Cambrian explosion** *Front. Earth. Sci* **11** <https://doi.org/10.3389/feart.2023.1160285>
- Rambaut A., Drummond A.J., Xie D., Baele G., Suchard M.A. (2018) **Posterior summarization in Bayesian phylogenetics using Tracer 1.7** *Syst. Biol* **67**:901–904
- Ronquist F., Teslenko M., Van Der Mark P., Ayres D.L., Darling A., Höhna S., Larget B., Liu L., Suchard M.A., Huelsenbeck J.P. (2012) **MrBayes 3.2: efficient Bayesian phylogenetic inference and model choice across a large model space** *Syst. Biol* **61**:539–542
- Schmidt M., Hou X.G., Zhai D.Y., Mai H.J., Belojevi J., Chen X.H., Melzer R.R., Ortega-Hernández J., Liu Y. (2022) **Before trilobite legs: *Pygmaclipeatus daziensis* reconsidered and the ancestral appendicular organization of Cambrian artiopods** *Philos. T. R. Soc. B* **377** <https://doi.org/10.1098/rstb.2021.0030>
- Stein M., Selden P.A. (2012) **A restudy of the Burgess Shale (Cambrian) arthropod *Emeraldella brocki* and reassessment of its affinities** *J. Syst. Palaeontol* **10**:361–383
- Strausfeld N.J., Hou X., Sayre M.E., Hirth F. (2022) **The lower Cambrian lobopodian *Cardiodictyon* resolves the origin of euarthropod brains** *Science* **378**:905–909
- Whittington H.B., Chatterton B., Speyer S.E., Fortey R.A., Owens R.M., Chang W.T., Dean W.T., Jell P.A., Laurie J.R., Palmer A.R. (1997) **Treatise on Invertebrate Paleontology, Part O, Arthropoda 1, Trilobita, Revised**
- Yang J., Ortega-Hernández J., Butterfield N.J., Zhang X.G. (2013) **Specialized appendages in fuxianhuiids and the head organization of early euarthropods** *Nature* **494**:468–471
- Yang J., Ortega-Hernández J., Drage H.B., Du K.S., Zhang X.G. (2019) **Ecdysis in a stem-group euarthropod from the early Cambrian of China** *Sci. Rep* **9** <https://doi.org/10.1038/s41598-019-41911-w>
- Yang J., Ortega-Hernández J., Legg D.A., Lan T., Hou J.B., Zhang X.G. (2018) **Early Cambrian fuxianhuiids from China reveal origin of the gnathobasic protopodite in euarthropods** *Nat. Commun* **9** <https://doi.org/10.1038/s41467-017-02754-z>
- Zhai D.Y., Edgecombe G.D., Bond A.D., Mai H.J., Liu Y. (2019) **Fine-scale appendage structure of the Cambrian trilobitomorph *Naraoia spinosa* and its ontogenetic and ecological implications** *P. Roy. Soc. B-Biol. Sci* **286** <https://doi.org/10.1098/rspb.2019.2371>
- Zhang C.X., Liu Y., Ortega-Hernández J., Wolfe J.M., Jin C.F., Mai H.J., Hou X.G., Guo J., Zhai D.Y. (2023) **Three-dimensional morphology of the biramous appendages in *Isoxys* from the early Cambrian of South China, and its implications for early euarthropod evolution** *P. Roy. Soc. B-Biol. Sci* **290** <https://doi.org/10.1098/rspb.2023.0335>

Zhang M.Y., Liu Y., Hou X.G., Ortega-Hernández J., Mai H.J., Schmidt M., Melzer R.R., Guo J. (2022) **Ventral Morphology of the Non-Trilobite Artiopod *Retifacies abnormalis* Hou, Chen & Lu, 1989, from the Early Cambrian Chengjiang Biota, China** *Biology* **11** <https://doi.org/10.3390/biology11081235>

Zhang X.L., Fu D.J., Dai T. (2012) **A new xandarellid arthropod from the Chengjiang Lagerstätte, Lower Cambrian of Southwest China** *Geobios* **45**:335–338

Zhang X.L., Han J., Zhang Z.F., Liu H.Q., Shu D.G. (2004) **Redescription of the Chengjiang arthropod *Squamacula clypeata* Hou and Bergström, from the Lower Cambrian, south-west China** *Palaeontology* **47**:605–617

Zhang X.L., Shu D.G., Erwin D.H. (2007) **Cambrian naraoiids (Arthropoda): morphology, ontogeny, systematics, and evolutionary relationships** *J. Paleontol* **81**:1–52

Article and author information

Kun-sheng Du

Research Center of Paleobiology, Yuxi Normal University, Yuxi 653100, China, Key Laboratory for Palaeobiology and MEC International Joint Laboratory for Palaeoenvironment, Institute of Palaeontology, Yunnan University, Kunming 650091, China
ORCID iD: [0000-0002-3009-8323](https://orcid.org/0000-0002-3009-8323)

Jin Guo

Key Laboratory for Palaeobiology and MEC International Joint Laboratory for Palaeoenvironment, Institute of Palaeontology, Yunnan University, Kunming 650091, China, Management Committee of the Chengjiang Fossil Site World Heritage, Chengjiang, China

Sarah R. Losso

Museum of Comparative Zoology and Department of Organismic and Evolutionary Biology, Harvard University, Cambridge, Massachusetts 02138, USA
For correspondence: sarahlosso@g.harvard.edu

Stephen Pates

Department of Zoology, University of Cambridge, Downing Street, Cambridge, CB2 3EJ, UK
For correspondence: sp587@cam.ac.uk

Ming Li

Institute of Geology, Chinese Academy of Geological Sciences, Beijing 100037, China

Ai-lin Chen

Research Center of Paleobiology, Yuxi Normal University, Yuxi 653100, China, State Key Laboratory of Palaeobiology and Stratigraphy, Nanjing Institute of Geology and Palaeontology, CAS, Nanjing, 210008, China
For correspondence: ailinchen@yxnu.edu.cn

Copyright

© 2023, Du et al.

This article is distributed under the terms of the [Creative Commons Attribution License](#), which permits unrestricted use and redistribution provided that the original author and source are credited.

Editors

Reviewing Editor

Ariel Chipman

The Hebrew University of Jerusalem, Israel

Senior Editor

George Perry

Pennsylvania State University, United States of America

Reviewer #1 (Public Review):

Summary:

Du et al. report 16 new well-preserved specimens of artiopodan arthropods from the Chengjiang biota, which demonstrate both dorsal and ventral anatomies of a potential new taxon of antipodeans that are closely related to trilobites. Authors assigned their specimens to *Acanthomeridion serratum* and proposed *A. anacanthus* as a junior subjective synonym of *Acanthomeridion serratum*. Critically, the presence of ventral plates (interpreted as cephalic liberigenae), together with phylogenetic results, lead authors to conclude that the cephalic sutures originated multiple times within the Artiopoda.

Strengths:

New specimens are highly qualified and informative. The morphology of the dorsal exoskeleton, except for the supposed free cheek, was well illustrated and described in detail, which provides a wealth of information for taxonomic and phylogenetic analyses.

Weaknesses:

The weaknesses of this work are obvious in a number of aspects. Technically, ventral morphology is less well revealed and is poorly illustrated. Additional diagrams are necessary to show the trunk appendages and suture lines. Taxonomically, I am not convinced by the authors' placement. The specimens are markedly different from either *Acanthomeridion serratum* Hou et al. 1989 or *A. anacanthus* Hou et al. 2017. The ontogenetic description is extremely weak and the morphological continuity is not established. Geometric and morphometric analyses might be helpful to resolve the taxonomic and ontogenetic uncertainties. I am confused by the author's description of the free cheek (librigena) and ventral plate. Are they the same object? How do they connect with other parts of the cephalic shield, e.g. hypostome, and fixigena? Critically, the homology of cephalic slits (eye slits, eye notch, dorsal suture, facial suture) is not extensively discussed either morphologically or functionally. Finally, the authors claimed that phylogenetic results support two separate origins rather than a deep origin. However, the results in Figure 4 can explain a deep homology of the cephalic suture at molecular level and multiple co-options within the Artiopoda.

Reviewer #2 (Public Review):

Overall: This paper describes new material of *Acanthomeridion serratum* that the authors claim supports its synonymy with *Acanthomeridion anacanthus*. The material is important and the description is acceptable after some modification. In addition, the paper offers thoughts and some exploration of the possibility of multiple origins of the dorsal facial suture among artiopods, at least once within Trilobita and also among other non-trilobite artiopods. Although this possibility is real and apparently correct, the suggestions presented in this paper are both surprising and, in my opinion, unlikely to be true because the potential

homologies proposed with regard to *Acanthomeridion* and trilobite-free cheeks are unconventional and poorly supported.

What to do? I can see two possibilities. One, which I recommend, is to concentrate on improving the descriptive part of the paper and omit discussion and phylogenetic analysis of dorsal facial suture distribution, leaving that for more comprehensive consideration elsewhere. The other is to seek to improve both simultaneously. That may be possible but will require extensive effort.

Major concerns

Concern 1 - Ventral sclerites as free cheek homolog, marginal sutures, and the trilobite doublure

Firstly, a couple of observations that bear on the arguments presented - the eyes of *A. serratum* are almost marginal and it is not clear whether a) there is a circumocular suture in this animal and b) if there was, whether it merged with the marginal suture. These observations are important because this animal is not one in which an impressive dorsal facial suture has been demonstrated - with eyes that near marginal it simply cannot do so. Accordingly, the key argument of this paper is not quite what one would expect. That expectation would be that a non-trilobite arthropod, such as *A. serratum*, shows a clear dorsal facial suture. But that is not the case, at least with *A. serratum*, because of its marginal eyes. Rather, the argument made is that the ventral doublure of *A. serratum* is the homolog of the dorsal free cheeks of trilobites. This opens up a series of issues.

The paper's chief claim in this regard is that the "teardrop" shaped ventral, lateral cephalic plates in *Acanthomeridion serratum* are potential homologs of the "free cheeks" of those trilobites with a dorsal facial suture. There is no mention of the possibility that these ventral plates in *A. serratum* could be homologs of the lateral cephalic doublure of olenelloid trilobites, which is bound by an operative marginal suture or, in those trilobites with a dorsal facial suture, that it is a homolog of only the doublure portions of the free cheeks and not with their dorsal components.

The introduction to the paper does not inform the reader that all olenelloids had a marginal suture - a circumcephalic suture that was operative in their molting and that this is quite different from the situation in, say, "*Cedaria*" *woosteri* in which the only operative cephalic exoskeletal suture was circumocular. The conservative position would be that the olenelloid marginal suture is the homolog of the marginal suture in *A. serratum*: the ventral plates thus being homolog of the trilobite cephalic doublure, not only potential homolog to the entire or dorsal only part of the free cheeks of trilobites with a dorsal facial suture. As the authors of this paper decline to discuss the doublure of trilobites (there is a sole mention of the word in the MS, in a figure caption) and do not mention the olenelloid marginal suture, they give the reader no opportunity to assess support for this alternative.

At times the paper reads as if the authors are suggesting that olenelloids, which had a marginal cephalic suture broadly akin to that in *Limulus*, actually lacked a suture that permitted anterior egression during molting. The authors are right to stress the origin of the dorsal cephalic suture in more derived trilobites as a character seemingly of taxonomic significance but lines such as 56 and 67 may be taken by the non-specialist to imply that olenelloids lacked a forward egression-permitting suture. There is a notable difference between not knowing whether sutures existed (a condition apparently quite common among soft-bodied arthropods) and the well-known marginal suture of olenelloids, but as the MS currently reads most readers will not understand this because it remains unexplained in the MS.

With that in mind, it is also worth further stressing that the primary function of the dorsal sutures in those which have them is essentially similar to the olenelloid/limulid marginal

suture mentioned above. It is notable that the course of this suture migrated dorsally up from the margin onto the dorsal shield and merged with the circumocular suture, but this innovation does not seem to have had an impact on its primary function - to permit molting by forward egression. Other trilobites completely surrendered the ability to molt by forward egression, and there are even examples of this occurring ontogenetically within species, suggesting a significant intraspecific shift in suture functionality and molting pattern. The authors mention some of this when questioning the unique origin of the dorsal facial suture of trilobites, although I don't understand their argument: why should the history of subsequent evolutionary modification of a character bear on whether its origin was unique in the group?

The bottom line here is that for the ventral plates of *A. serratum* to be strict homologs of only the dorsal portion of the dorsal free cheeks, there would be no homolog of the trilobite doublure in *A. serratum*. The conventional view, in contrast, would be that the ventral plates are a homolog of the ventral doublure in all trilobites and ventral plates in arthropods. I do not think that this paper provides a convincing basis for preferring their interpretation, nor do I feel that it does an adequate job of explaining issues that are central to the subject.

Concern 2. Varieties of dorsal sutures and the coexistence of dorsal and marginal sutures

The authors do not clarify or discuss connections between the circumocular sutures (a form of dorsal suture that separates the visual surface from the rest of the dorsal shield) and the marginal suture that facilitates forward egression upon molting. Both structures can exist independently in the same animal - in olenelloids for example. Olenelloids had both a suture that facilitated forward egression in molting (their marginal suture) and a dorsal suture (their circumocular suture). The condition in trilobites with a dorsal facial suture is that these two independent sutures merged - the formerly marginal suture migrating up the dorsal pleural surface to become confluent with the circumocular suture. (There are also interesting examples of the expansion of the circumocular suture across the pleural fixigena.) The form of the dorsal facial suture has long figured in attempts at higher-level trilobite taxonomy, with a number of character states that commonly relate to the proximity of the eye to the margin of the cephalic shield. The form of the dorsal facial suture that they illustrate in *Xanderella*, which is barely a strip crossing the dorsal pleural surface linking marginal and circumocular suture, is comparable to that in the trilobites *Loganopeltoides* and *Entomapsis* but that is a rare condition in that clade as a whole. The paper would benefit from a clear discussion of these issues at the beginning - the dorsal facial suture that they are referring to is a merged circumcephalic suture and circumocular suture - it is not simply the presence of a molt-related suture on the dorsal side of the cephalon.

Concern 3. Phylogenetics

While I appreciate that the phylogenetic database is a little modified from those of other recent authors, still I was surprised not to find a character matrix in the supplementary information (unless it was included in some way I overlooked), which I would consider a basic requirement of any paper presenting phylogenetic trees - after all, there's no a space limit. It is not possible for a reviewer to understand the details of their arguments without seeing the character states and the matrix of state assignments.

The section "phylogenetic analyses" provides a description of how tree topology changes depending on whether sutures are considered homologous or not using the now standard application of both parsimony and maximum likelihood approaches but, considering that the broader implications of this paper rest of the phylogenetic interpretation, I also found the absence of detailed discussion of the meaning and implications of these trees to be surprising, because I anticipated that this was the main reason for conducting these analysis. The trees are presented and briefly described but not considered in detail. I am troubled by "Circles indicate presence of cephalic ecdysial sutures" because it seems that in "independent origin of sutures" trilobites are considered to have two origins (brown color dot) of cephalic ecdysial

sutures - this may be further evidence that the team does not appreciate that olenelloids have cephalic ecdysial sutures, as the basal condition in all trilobites. Perhaps I'm misunderstanding their views, but from what's presented it's not possible to know that. Similarly, in the "sutures homologous" analyses why would there be two independent green dots for both Acanthomeridion and Trilobita, rather than at the base of the clade containing them both, as cephalic ecdysial sutures are basal to both of them? Here again, we appear to see evidence that the team considers dorsal facial sutures and cephalic ecdysial sutures to be synonymous - which is incorrect.

This point aside, and at a minimum, that team needs to do a more thorough job of characterizing and considering the variety of conditions of dorsal sutures among artiopods, their relationships to the marginal suture and to the circumocular suture, the number, and form of their branches, etc.

Reviewer #3 (Public Review):

Summary: Well-illustrated new material is documented for Acanthomeridion, a formerly incompletely known Cambrian arthropod. The formerly known facial sutures are shown to be associated with ventral plates that the authors very reasonably homologise with the free cheeks of trilobites. A slight update of a phylogenetic dataset developed by Du et al, then refined slightly by Chen et al, then by Schmidt et al, and again here, permits another attempt to optimise the number of origins of dorsal ecdysial sutures in trilobites and their relatives.

Strengths: Documentation of an ontogenetic series makes a sound case that the proposed diagnostic characters of a second species of Acanthomeridion are variations within a single species. New microtomographic data shed some light on appendage morphology that was not formerly known. The new data on ventral plates and their association with the ecdysial sutures are valuable in underpinning homologies with trilobites.

Weaknesses: The main conclusion remains clouded in ambiguity because of a poorly resolved Bayesian consensus and is consistent with work led by the lead author in 2019 (thus compromising the novelty of the findings). The Bayesian trees being majority rules consensus trees, optimising characters onto them (Figure 7b, d) is problematic. Optimising on a consensus tree can produce spurious optimisations that inflate tree length or distort other metrics of fit. Line 264 refers to at least three independent origins of cephalic sutures in artiopodans but the fully resolved Figure 7c requires only two origins. We can't say how many origins are required by Figures 7b and 7d.

The question of how many times dorsal ecdysial sutures evolved in Artiopoda was addressed by Hou et al (2017), who first documented the facial sutures of Acanthomeridion and optimised them onto a phylogeny to infer multiple origins, as well as in a paper led by the lead author in Cladistics in 2019. Du et al. (2019) presented a phylogeny based on an earlier version of the current dataset wherein they discussed how many times sutures evolved or were lost based on their presence in Zhiwenia/Protosutura, Acanthomeridion, and Trilobita. To their credit, the authors acknowledge this (lines 62-65). The answer here is slightly different (because some topologies unite Acanthomeridion and trilobites).

The following points are not meant to be "Weaknesses" but rather are refinements:

I recommend changing the title of the paper from "cephalic sutures" to "dorsal ecdysial sutures" to be more precise about the character that is being tracked evolutionarily. Lots of arthropods have cephalic sutures (e.g., the ventral marginal suture of xiphosurans; the Y-shaped dorsomedian ecdysial line in insects). The text might also be updated to change other instances of "cephalic sutures" to a more precise wording.

The authors have provided (but not explicitly identified) support values for nodes in their Bayesian trees but not in their parsimony ones. Please do the jackknife or bootstrap for the

parsimony analyses and make it clear that the Bayesian values are posterior probabilities.

In line 65 or somewhere else, it might be noted that a single origin of the dorsal facial sutures in trilobites has itself been called into question. Jell (2003) proposed that separate lineages of Eutrilobita evolved their facial sutures independently from separate sister groups within Olenellina.

I have provided minor typographic or terminological corrections to the authors in a list of recommendations that may not be publicly available.